

# PUSHING THE BOUNDARIES OF NEUTRINO PHYSICS WITH DEEP LEARNING

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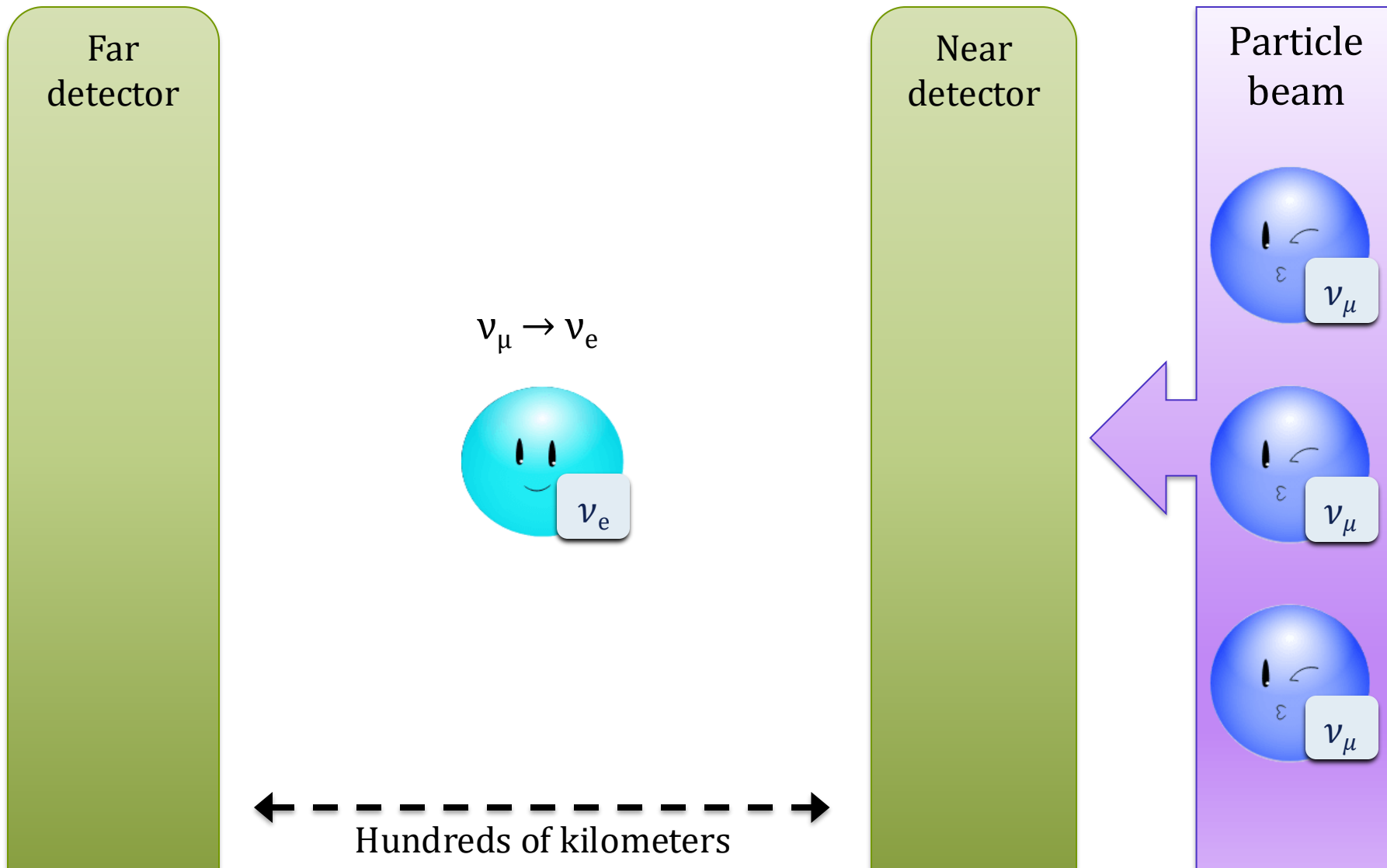
Dr. Saúl Alonso-Monsalve

ETH Zurich

Experimental Seminar, SLAC

8 May 2025

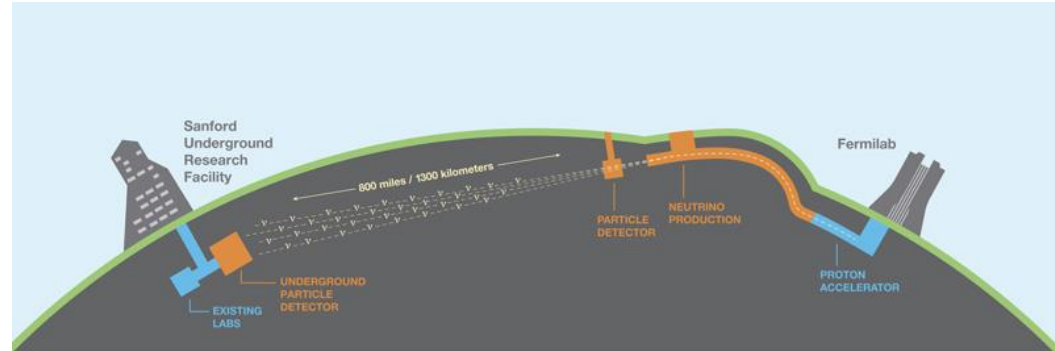
# Neutrino oscillation experiments





# DEEP UNDERGROUND NEUTRINO EXPERIMENT

- International neutrino oscillation experiment in the USA.
- Far detectors located 1300 kilometres away from the source.
- Goal: measuring CP violation.



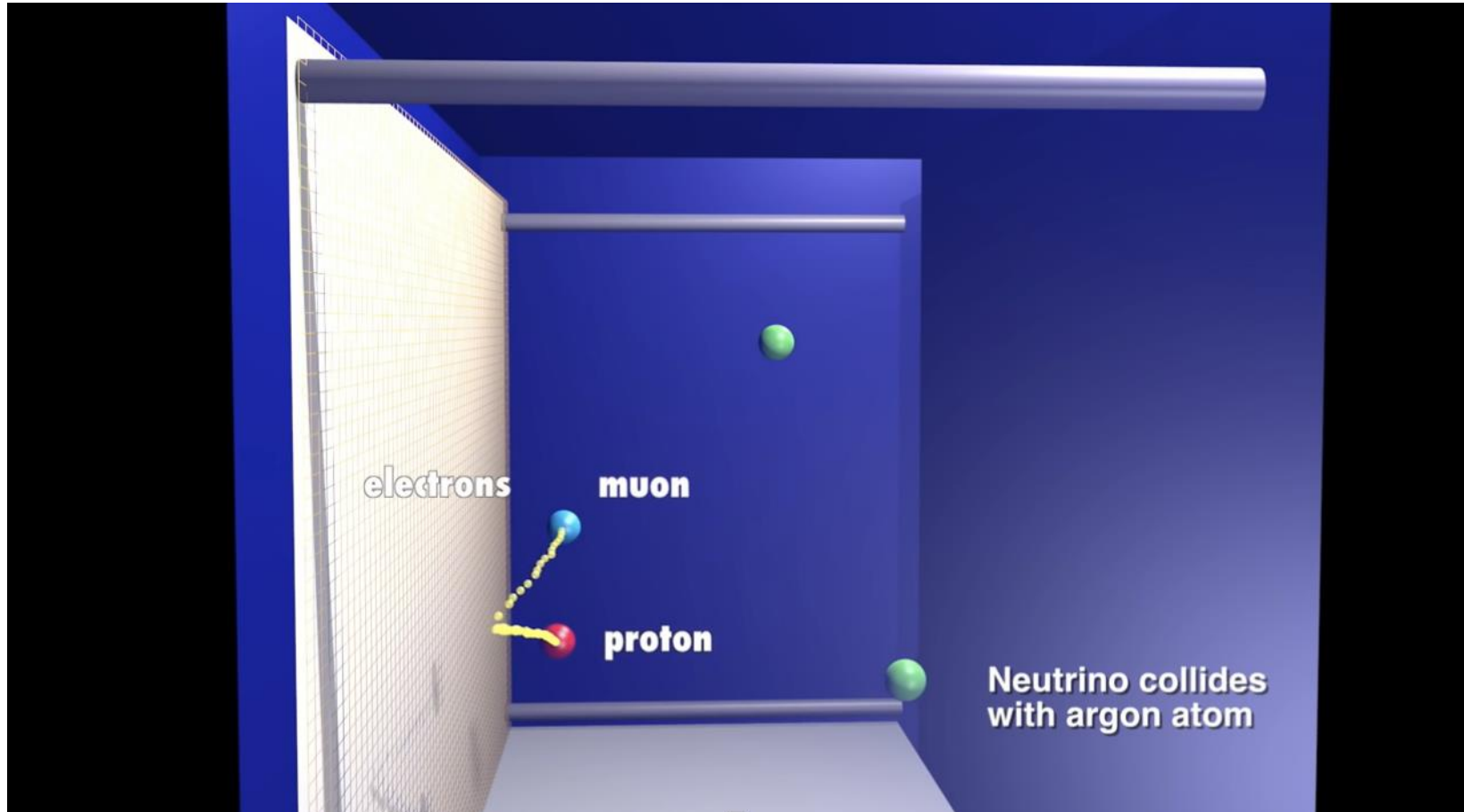
[www.dunescience.org/](http://www.dunescience.org/)

More than 1000 collaborators from  
200 institutions in 30 countries.



# DUNE far detectors

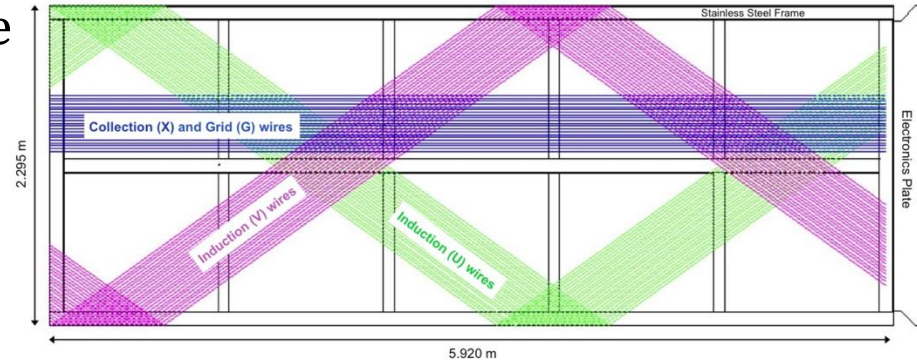
- Technology: Liquid Argon Time Projection Chamber (LArTPC).
  - Provides images of each neutrino interaction.



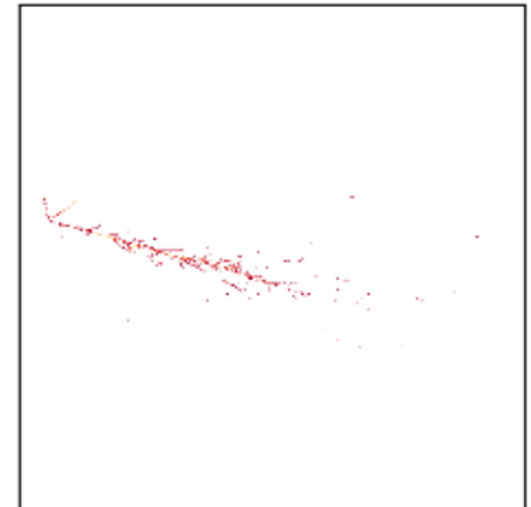
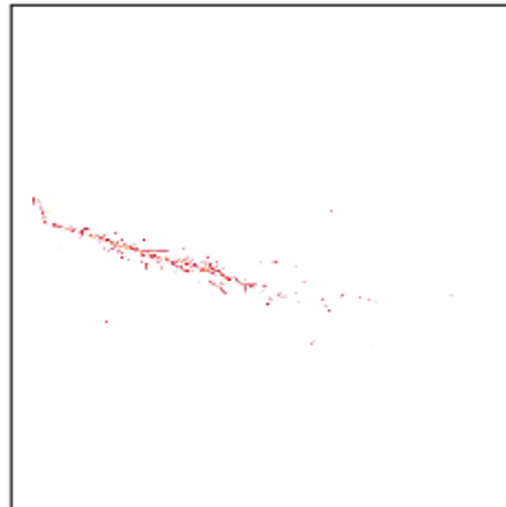
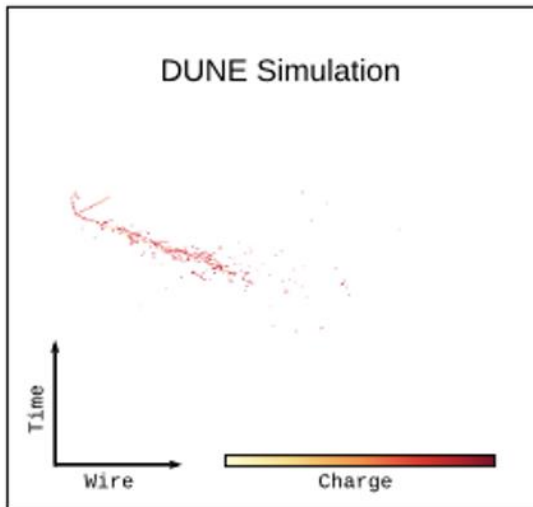
[youtube.com/c/fermilab](https://youtube.com/c/fermilab)

# DUNE far detector data

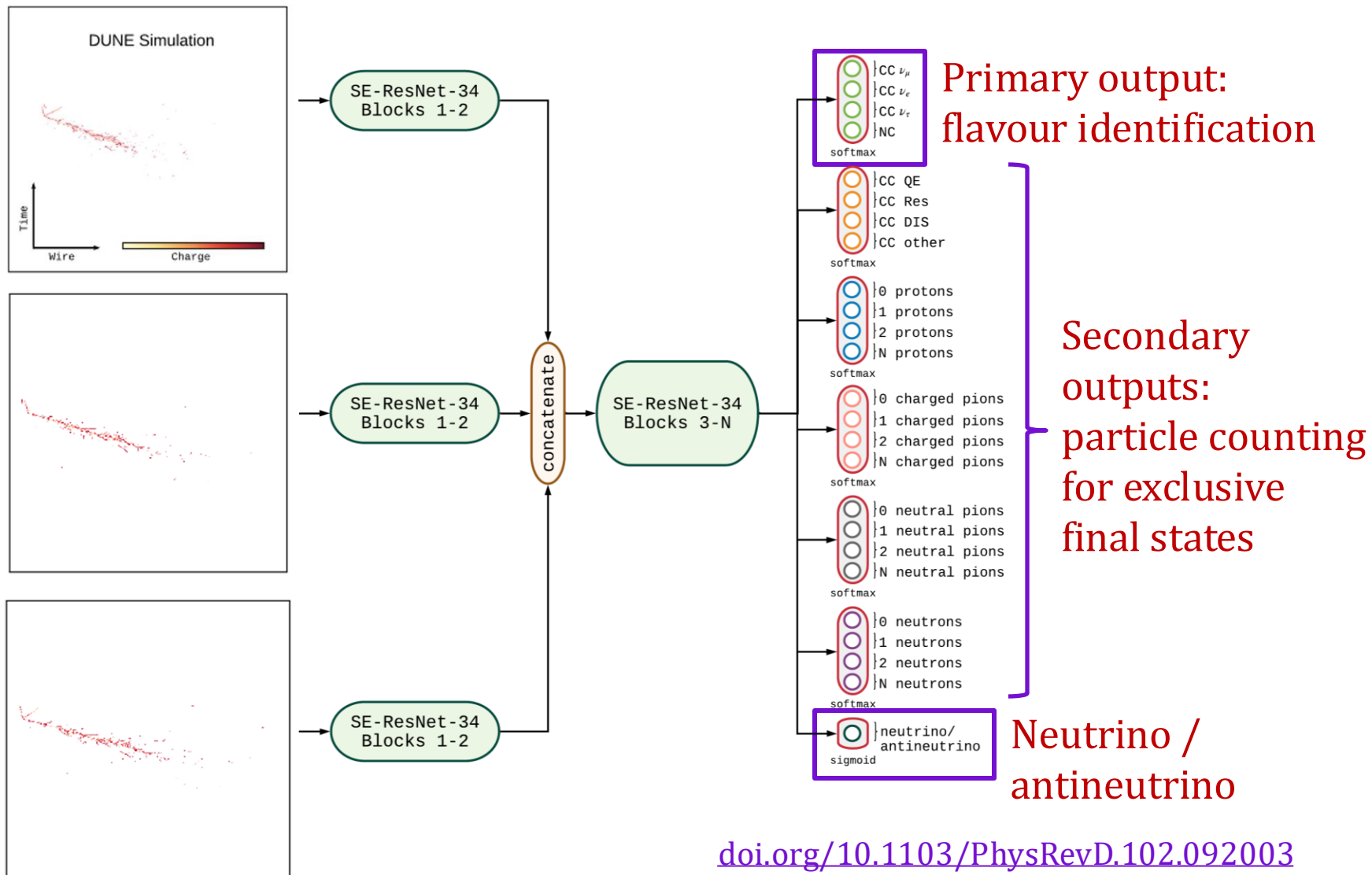
- The Far Detectors contain three wire readout planes.
  - This provides three “images” of each neutrino interaction (500x500 pixels each).
- Official simulated electron neutrino interaction:



[doi.org/10.1016/j.nima.2022.167217](https://doi.org/10.1016/j.nima.2022.167217)

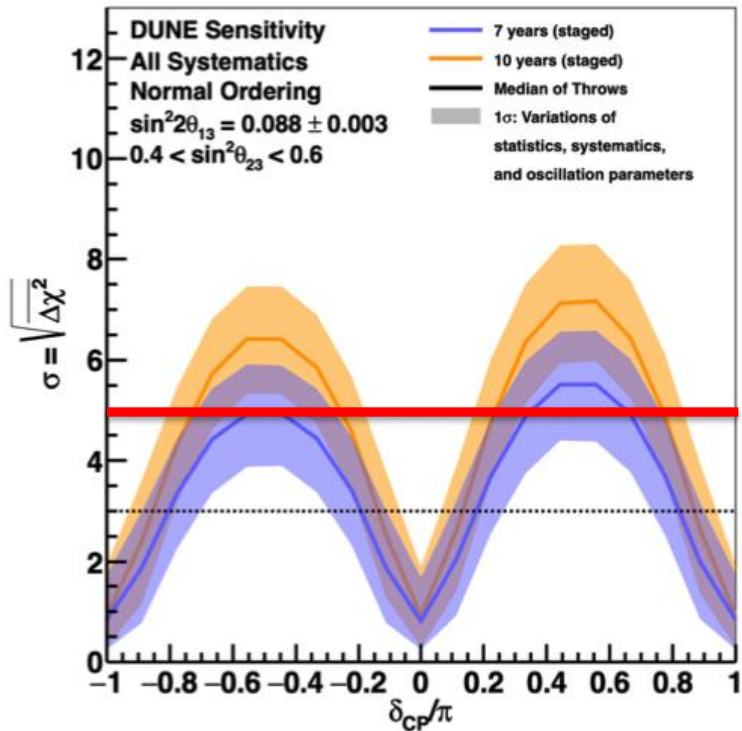


# Convolutional neural network in DUNE



# Results

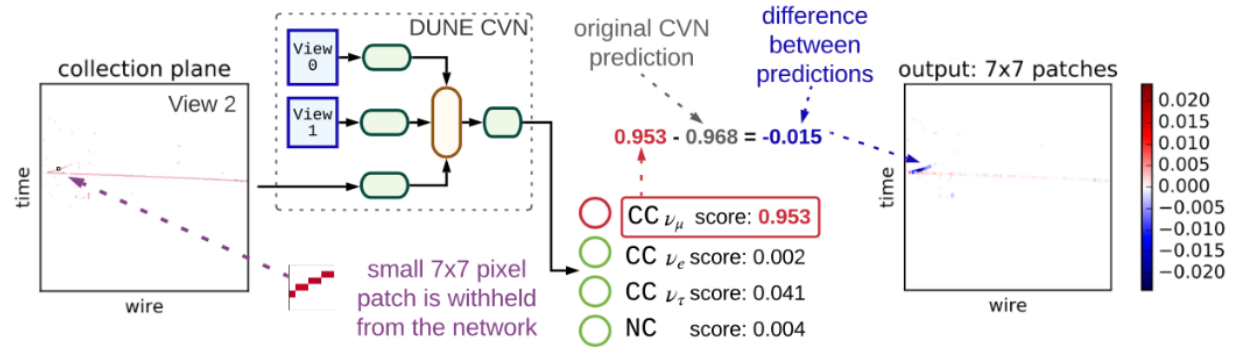
- The flavour identification results are used in the official analysis of DUNE:
  - [arXiv:2002.03005](https://arxiv.org/abs/2002.03005).
  - <https://doi.org/EPJC/S10052-020-08456-Z>.
- Sensitivity to CP violation:



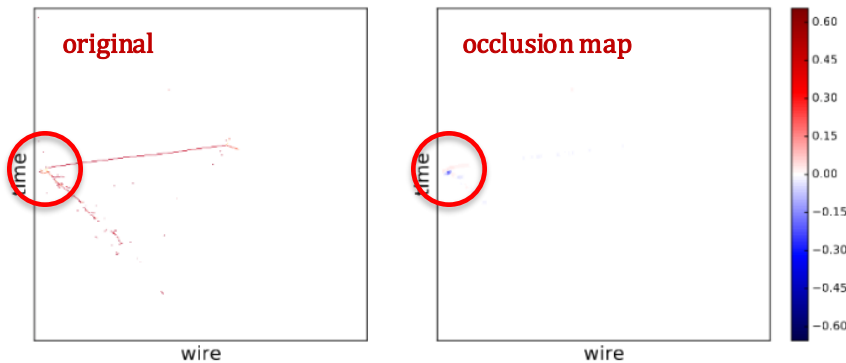
- $5\sigma$  after seven years of data collection.
- A milestone for the experiment!
- Made possible thanks to the convolutional neural network.

# Understanding the CNN

- Occlusion tests:
  - Hide parts of the images and check how the CNN reacts to the changes.

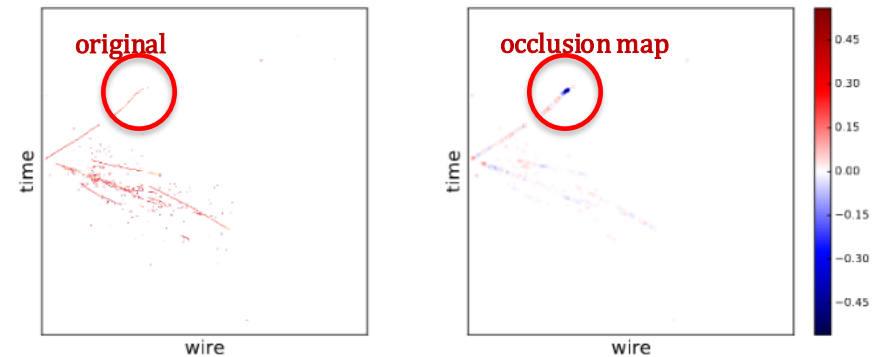


electron neutrino ( $\nu_e$ )



Removing the start of the electron shower reduces the  $\nu_e$  score, as expected

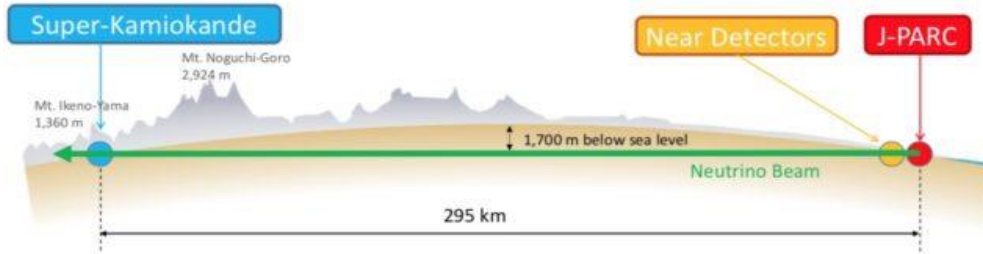
muon neutrino ( $\nu_\mu$ )



The CNN finds the vertex a bit ambiguous, but it is using the end point of the muon to gain a handle on the event type.

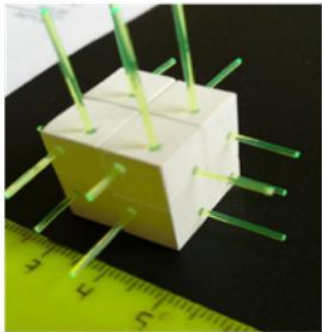
# T2K

- T2K (Tokai to Kamioka) is an international neutrino oscillation experiment in Japan.

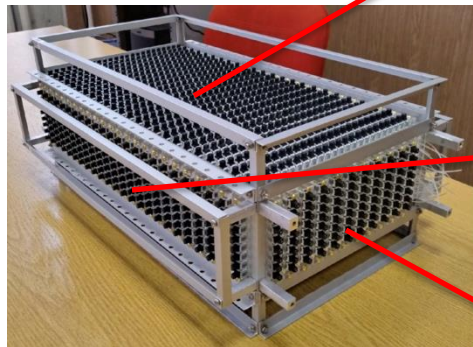


More than 500 collaborators from 80 institutions in 12 countries.

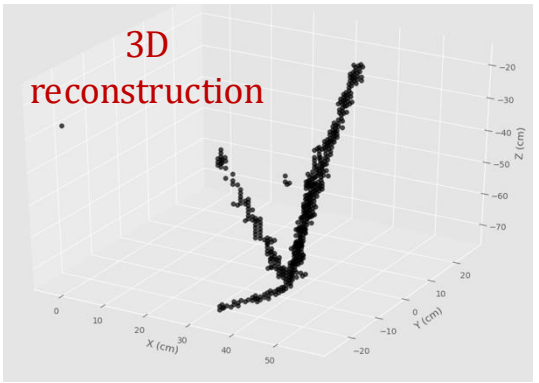
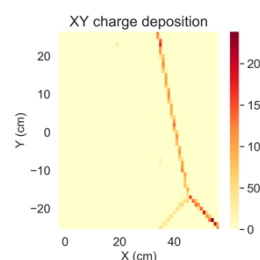
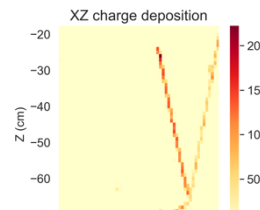
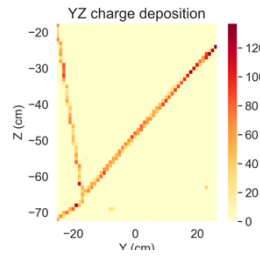
- SuperFGD: main upgrade to the near detector.



JINST 13 (2018) 02, P02006  
NIM A936 (2019) 136-138

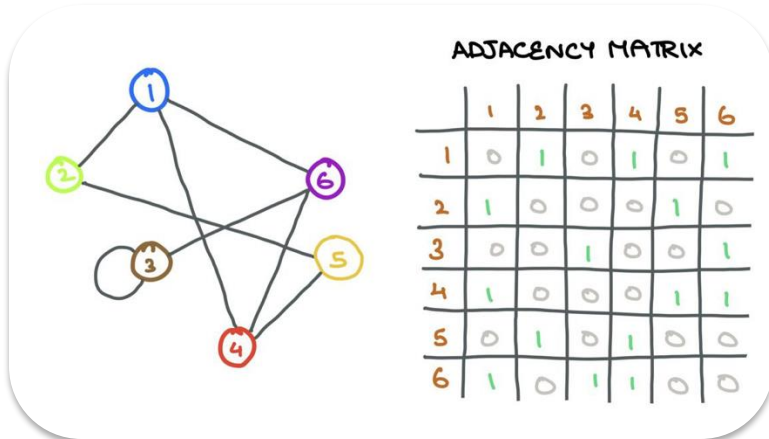
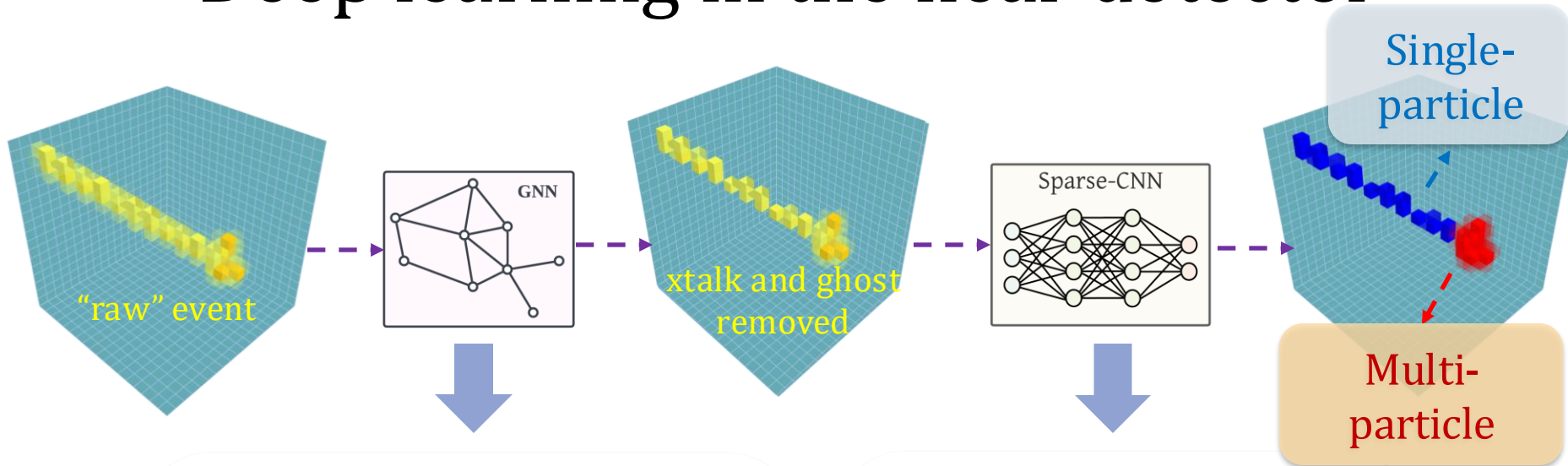


2D projections



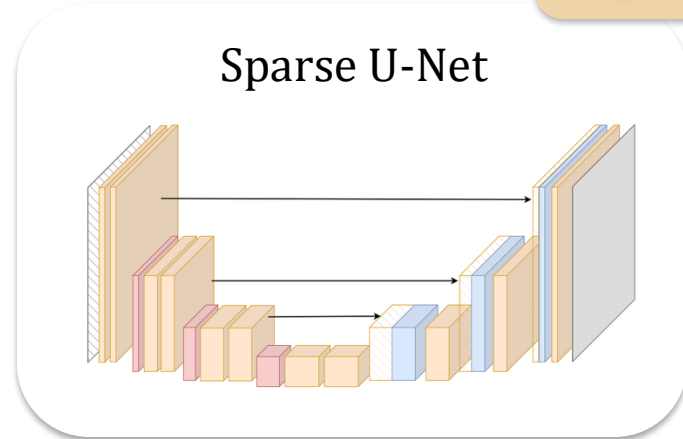
- 2M optically independent cubes, 1 cm<sup>3</sup> per cube.
- Spatial localisation of scintillation light.

# Deep learning in the near detector



90% of the noise is eliminated without harming the signal.

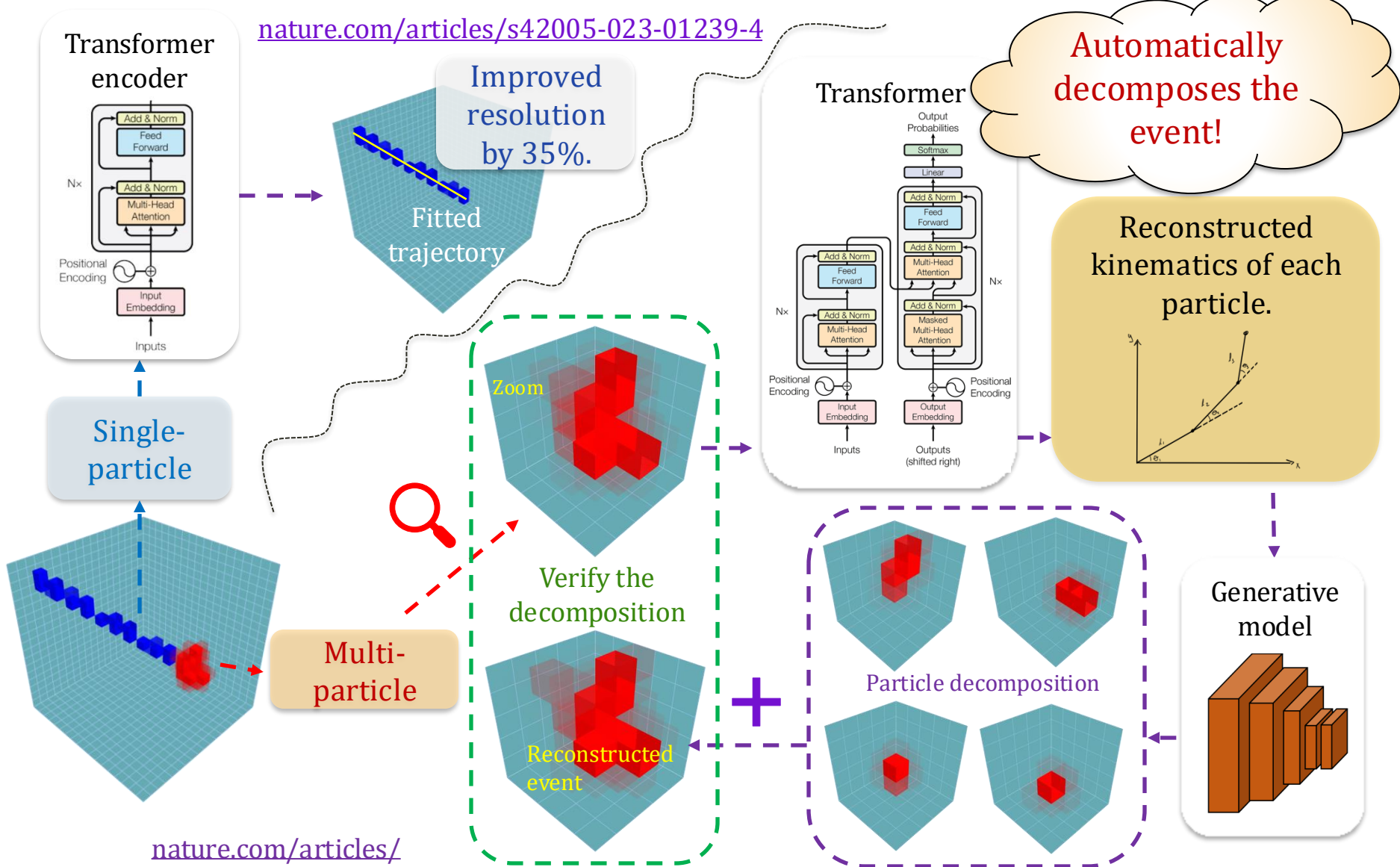
[doi/10.1103/PhysRevD.103.032005](https://doi.org/10.1103/PhysRevD.103.032005)



~95% accuracy!

# Deep learning in the near detector

[nature.com/articles/s42005-023-01239-4](https://www.nature.com/articles/s42005-023-01239-4)



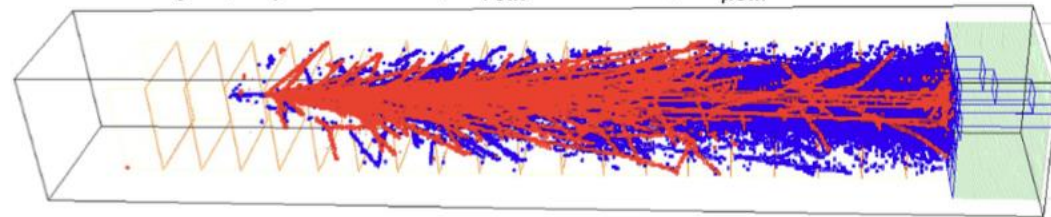
[nature.com/articles/s42005-024-01669-8](https://www.nature.com/articles/s42005-024-01669-8)



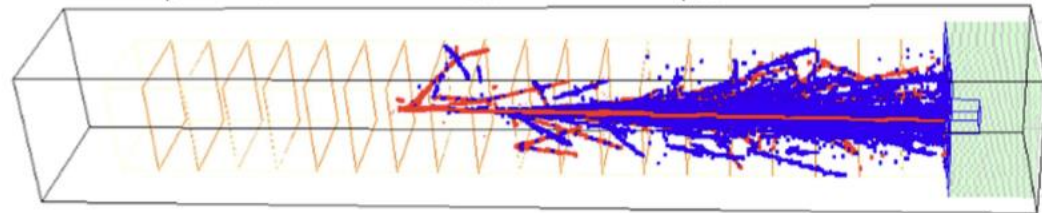
- Experiment at CERN's Large Hadron Collider designed to search for light, weakly interacting particles and study high-energy neutrinos produced in proton-proton collisions.
- FASERCal is a proposed upgrade for FASER.
  - 3D precision calorimeter for high-energy neutrinos.
  - Inspired by the SuperFGD detector at T2K using plastic scintillation cubes.
  - Goals: detecting CC  $\nu_e$  and CC  $\nu_\mu$  interactions, measuring differential cross-sections and identifying charm and tau events.
  - ETH Zürich is leading its design.

### Simulated neutrino interactions in FASERCal

$\nu_e CC, E_\nu = 3963 \text{ GeV}, E_{r\text{cal}} = 536 \text{ GeV}, E_{\mu\text{cal}} = 0 \text{ MeV}$



$\nu_\mu CC, E_\nu = 770 \text{ GeV}, E_{r\text{cal}} = 26 \text{ GeV}, E_{\mu\text{cal}} = 20 \text{ MeV}$

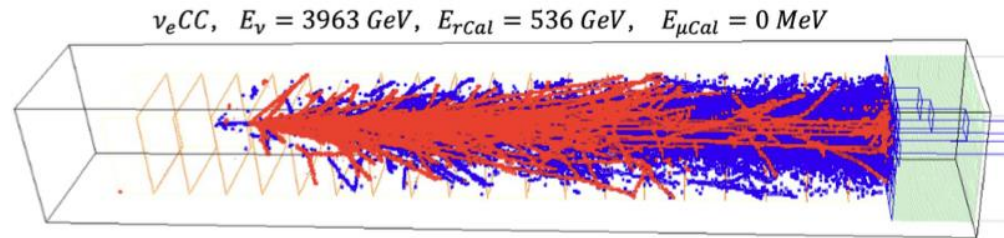


[github.com/rubbiaa/FASER](https://github.com/rubbiaa/FASER)

# Deep learning in FASERCcal

- Neutrinos with energies up to the TeV scale!

- Around 100K simulated events.
- Single events with  $\sim 60,000$  hits!



- Goals:

- Stage 1: categorising each hit as (primary lepton / electromagnetic / hadronic / ghost).
  - Stage 2: flavour identification + regression tasks (energy, missing transverse momentum, jet momentum, primary lepton momentum).
- Both architectures use ConvNextV2 blocks ([arxiv:2301.00808](https://arxiv.org/abs/2301.00808)).
  - Implementation using MinkowskiEngine.
  - Depth-wise convolutions.
  - The model from stage 2 uses pretrained weights from stage 1.

# Results (I)

## Voxel Level Results - I

Ghost/electromagnetic/hadronic:

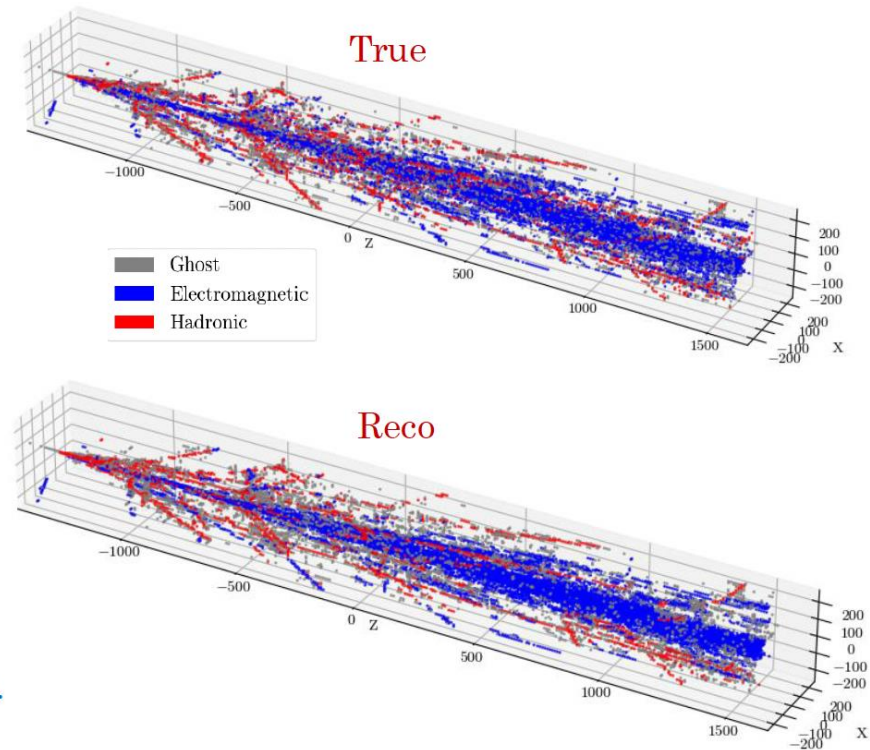
	True ghost	True electromag.	True hadronic
Pred. ghost	94,546,869	23,683,123	9,399,787
Pred. electromagn.	17,920,388	97,211,544	12,555,489
Pred. hadronic	4,093,591	5,796,918	29,519,436

### Purity:

- Ghost: 74%.
- Electromagnetic: 76%.
- Hadronic: 75%.

### Efficiency:

- Ghost: 81%.
- Electromagnetic: 77%.
- Hadronic: 57%.



# Results (II)

## Voxel Level Results - II

Primary lepton ID:

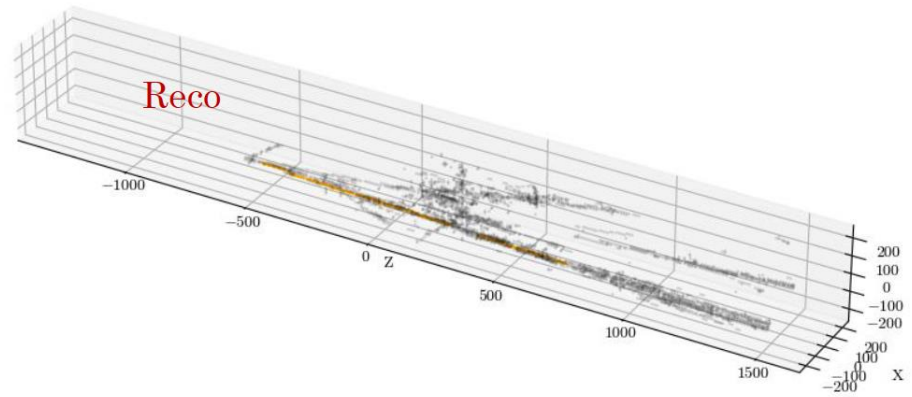
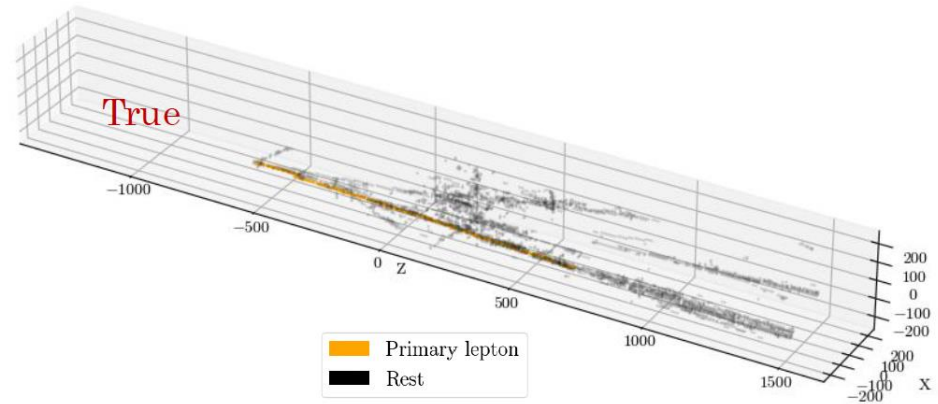
<b>Overall accuracy: 99%</b>	<b>True primary lepton voxel</b>	<b>True rest</b>
<b>Pred. primary lepton voxel</b>	<b>2,802,111</b>	<b>435,835</b>
<b>Pred. rest</b>	<b>1,061,313</b>	<b>290,427,886</b>

**Purity:**

- Prim. lepton: 87%.
- Rest: 100%.

**Efficiency:**

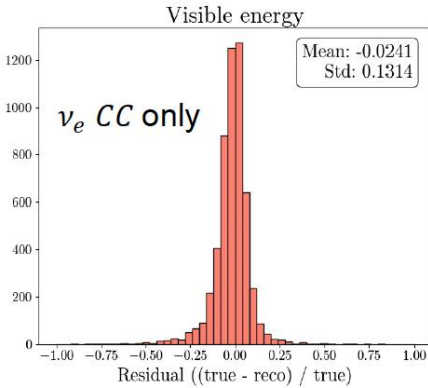
- Prim. lepton: 73%.
- Rest: 100%.



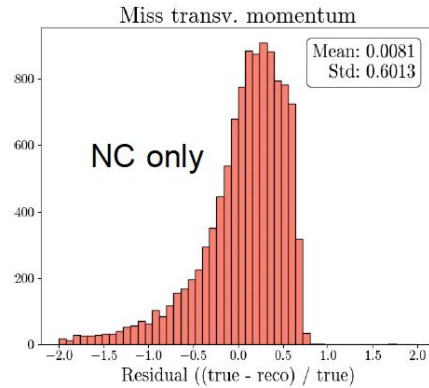
# Results (III)

## Event Level Results

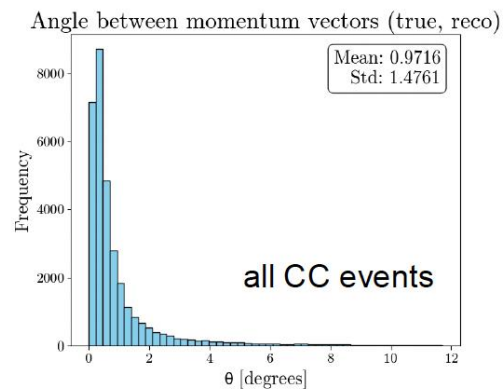
Neutrino Flavor identifications		
	Purity	Efficiency
$\nu_e$ CC	88%	83%
$\nu_\mu$ CC	87%	94%
NC	84%	71%
Overall accuracy 87%		



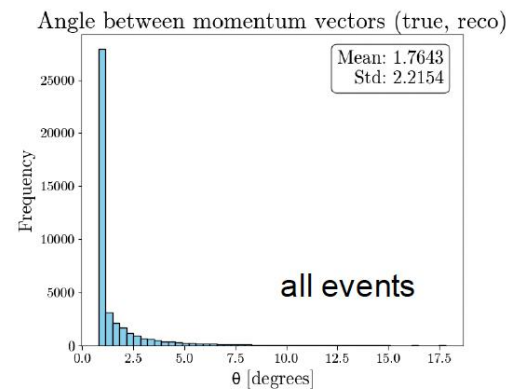
Visible Energy



Missing transverse momentum



Primary lepton momentum (direction)



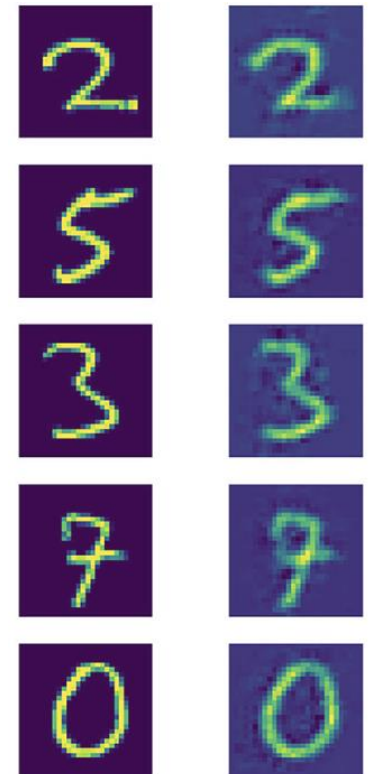
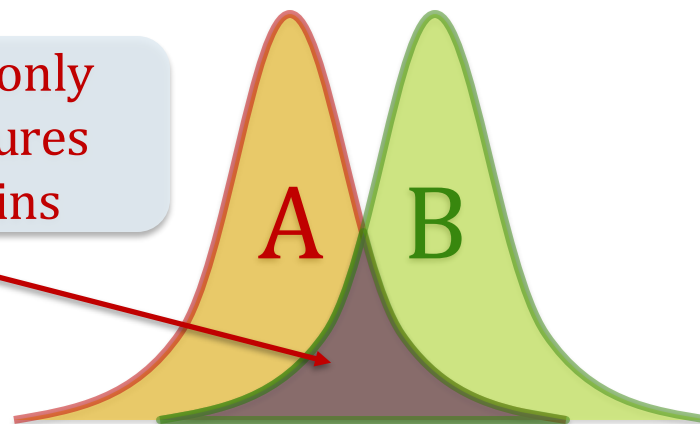
Jet momentum (direction)

# Domain adaptation

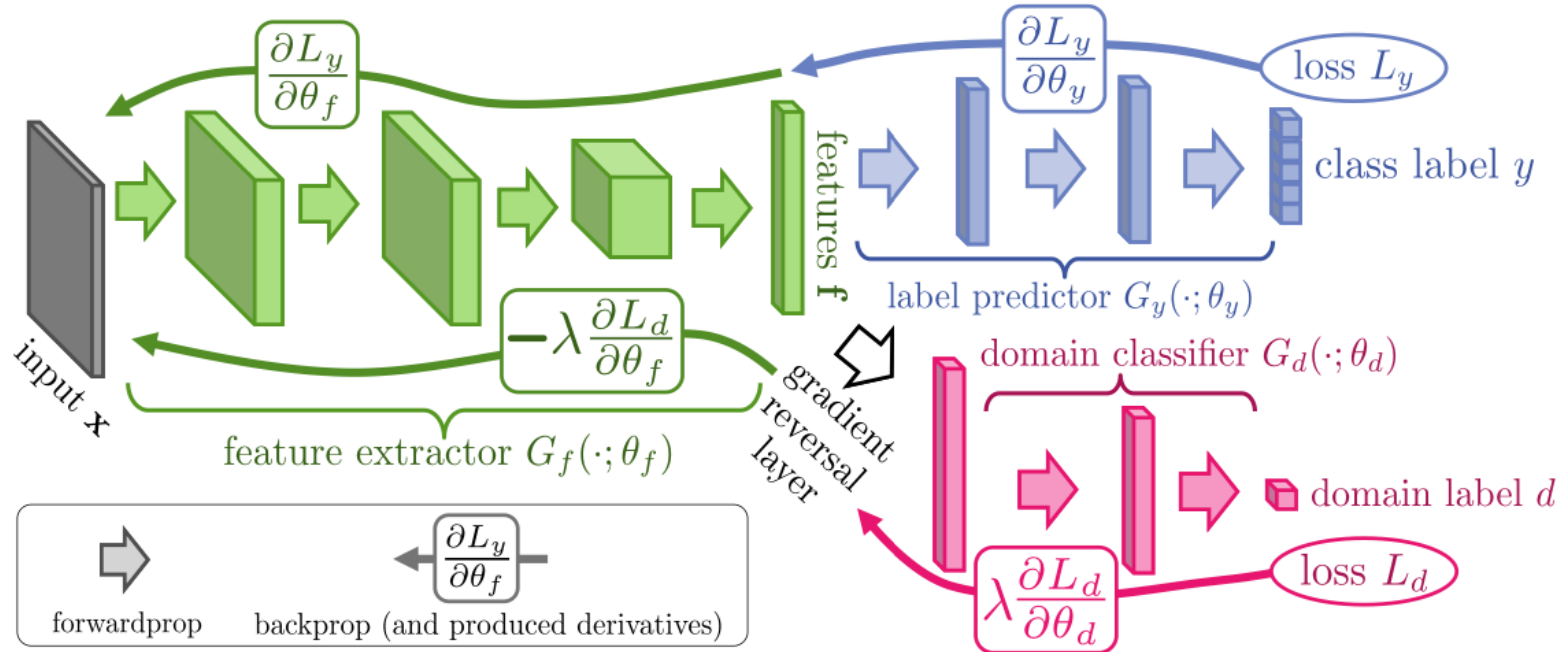
- Machine learning models in particle physics are often trained with **highly precise simulated data**.
- The training data is "unlimited". It allows us to perform supervised learning.
- However, there's a risk that **real data may not be exactly the same as simulated data**.
- One solution: **domain adaptation models**.

Force the model to only learn common features across both domains

Example: Domain adversarial neural networks  
([arXiv:1505.07818](https://arxiv.org/abs/1505.07818))



# Domain adversarial neural networks



Force the model to only learn common features across both domains

A B

Example: Domain adversarial neural networks

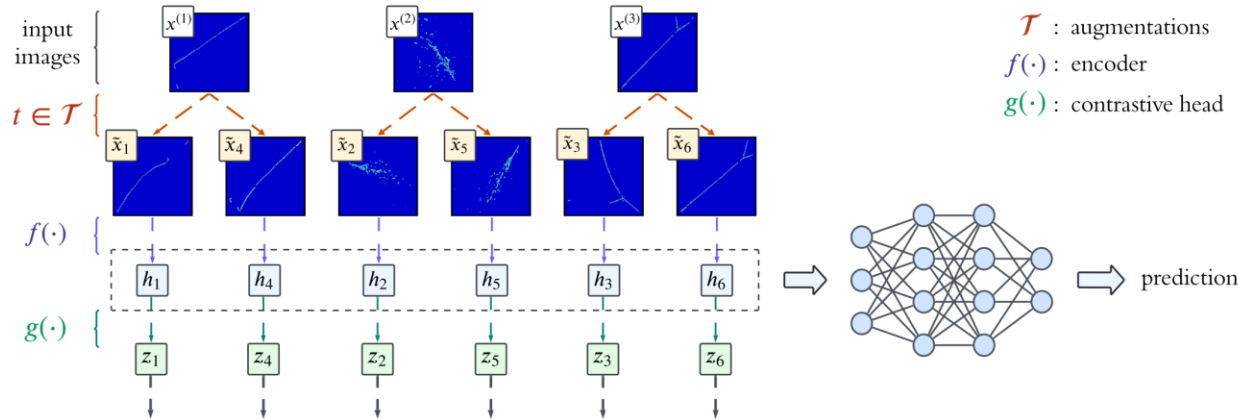
([arXiv:1505.07818](https://arxiv.org/abs/1505.07818))

Example on MINERvA (2018):  
[doi.org/10.1088/1748-0221/13/11/P11020](https://doi.org/10.1088/1748-0221/13/11/P11020)

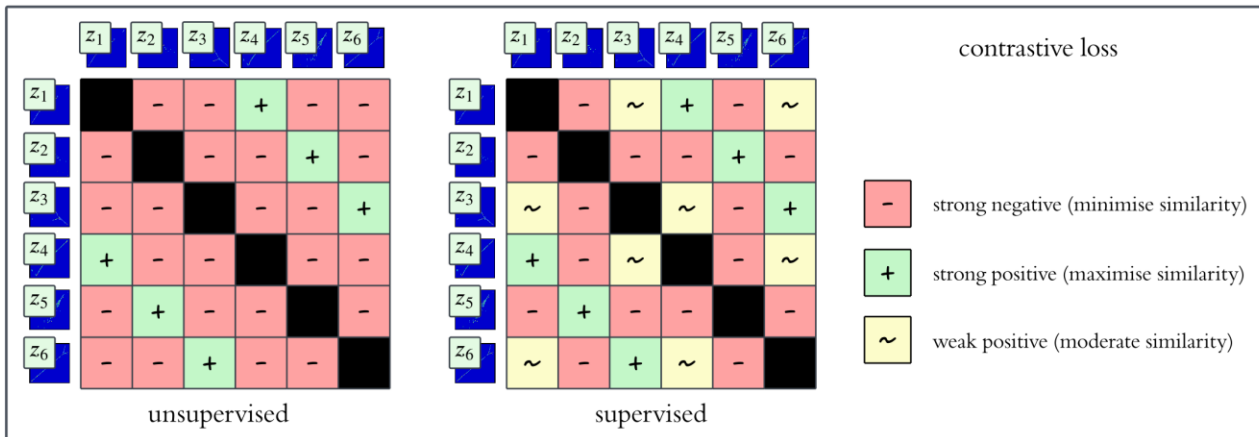
Example on ICARUS (2022):  
[doi/10.1103/PhysRevD.105.112009](https://doi.org/10.1103/PhysRevD.105.112009)

# Contrastive learning

- Contrastive-learning recipe:
  - Pre-train a self-supervised model to produce **similar embeddings for augmented views** of the same example, and **dissimilar embeddings for different examples**.
  - Fine-tune a classification head on top of the pre-trained model.
  - Leverage the **contrastive model's robust representations** to boost performance.

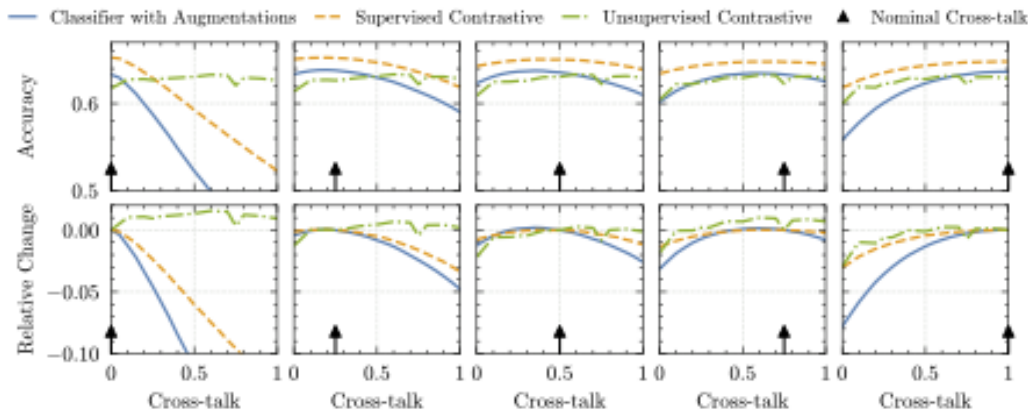
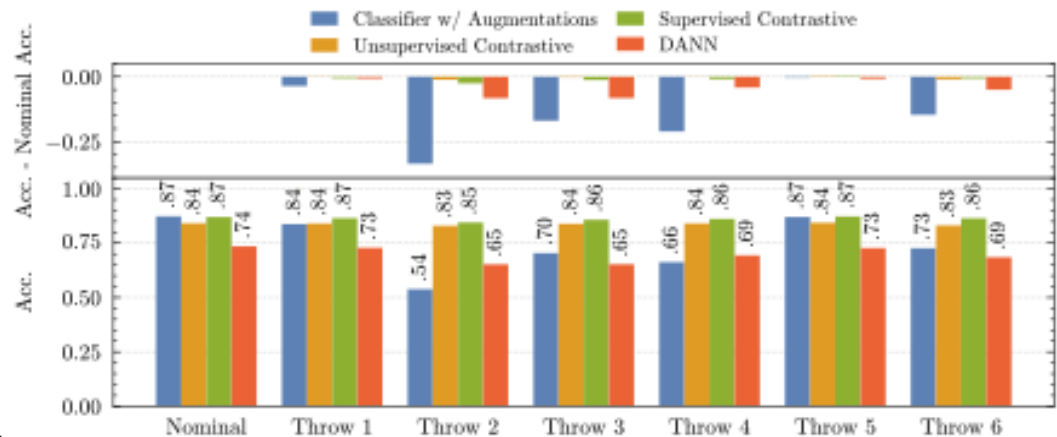


Augmentations include:  
 rotations, voxel  
 dropping, voxel-wise  
 energy shifts, and  
 translations.



# Contrastive learning

- Tested on two datasets.
  1. Pixelated LArTPC detector.
    - Using **larnd-sim**.
    - 4 models compared.
    - Evaluated on various data throws (random shift in detector simulation parameters).
  2. Segmented plastic-scintillator detector.
    - Publicly available dataset: [doi.org/10.5281/zenodo.10998285](https://doi.org/10.5281/zenodo.10998285)
    - Evaluated on different crosstalk levels.



Contrastive models consistently showing superior performance!

Recently accepted for publication ([arXiv:2502.07724](https://arxiv.org/abs/2502.07724), link)

# Bringing neutrino knowledge to other domains

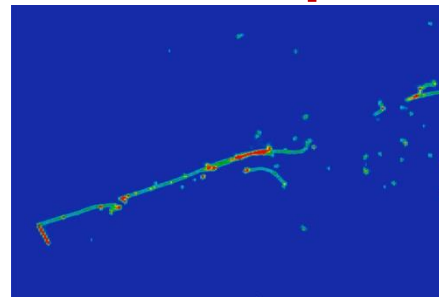
- In **particle physics and astrophysics**, **data is often sparse**, due to the nature of the objects being studied or the particles detected.

“Dense” image



- All pixels might be helpful for the target task.
- Ideal for standard CNNs.

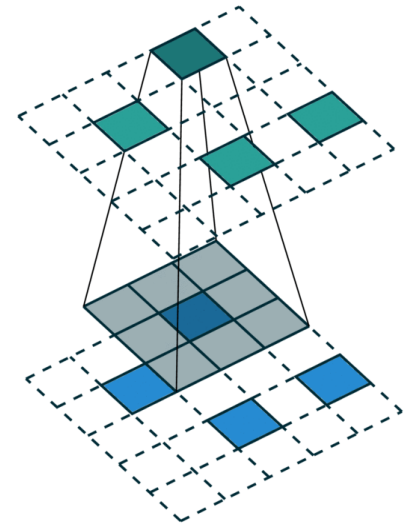
“Sparse” images



- Most pixels are background.
- A standard CNN would perform loads of useless computations.

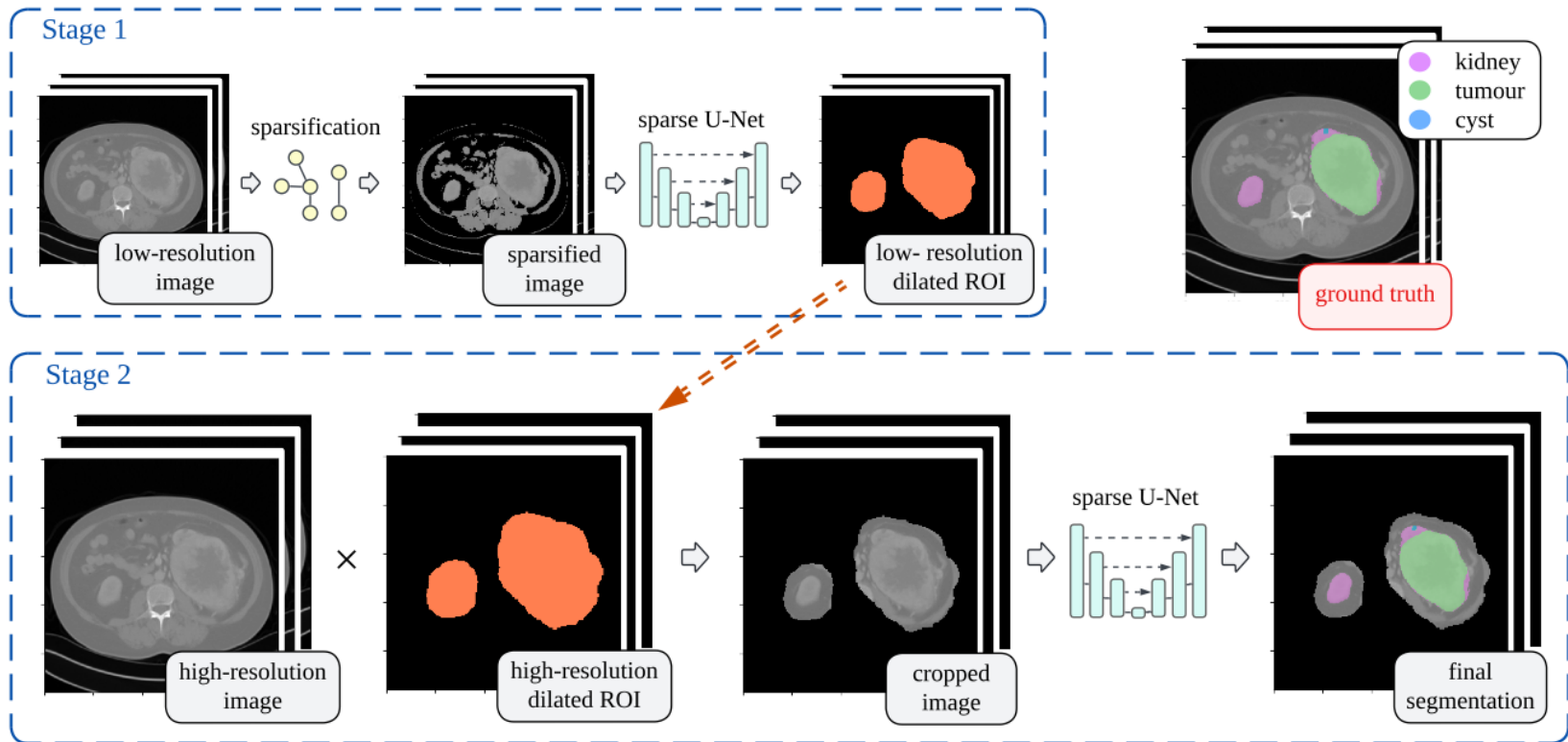
[Source: [britannica.com](http://britannica.com)]

- Challenge for computer vision: standard CNNs are designed to work with dense data.
- Submanifold Sparse Convolutional Networks (SSCNs) perform the convolution **operation only on the non-zero elements of the data**, resulting in an efficient and accurate representation of the data.
  - ~16 times faster than standard CNNs on neutrino data! ([arxiv.org/abs/2303.08812](http://arxiv.org/abs/2303.08812)).
- Can we use them somewhere else?



# Application to Computed Tomography

- Even though CT scans are not naturally sparse, we found that in segmentation tasks (organ and tumour finding) we could “**sparsify**” the 3D images by removing voxels outside the segmentation range, **removing ~80% of background voxels while keeping 99% of the signal**.
- Sparse submanifold networks on the sparse images.
- Kits23 ([kits-challenge.org/kits23/](https://kits-challenge.org/kits23/)): public challenge, only 489 scans, ~80M voxels per image.

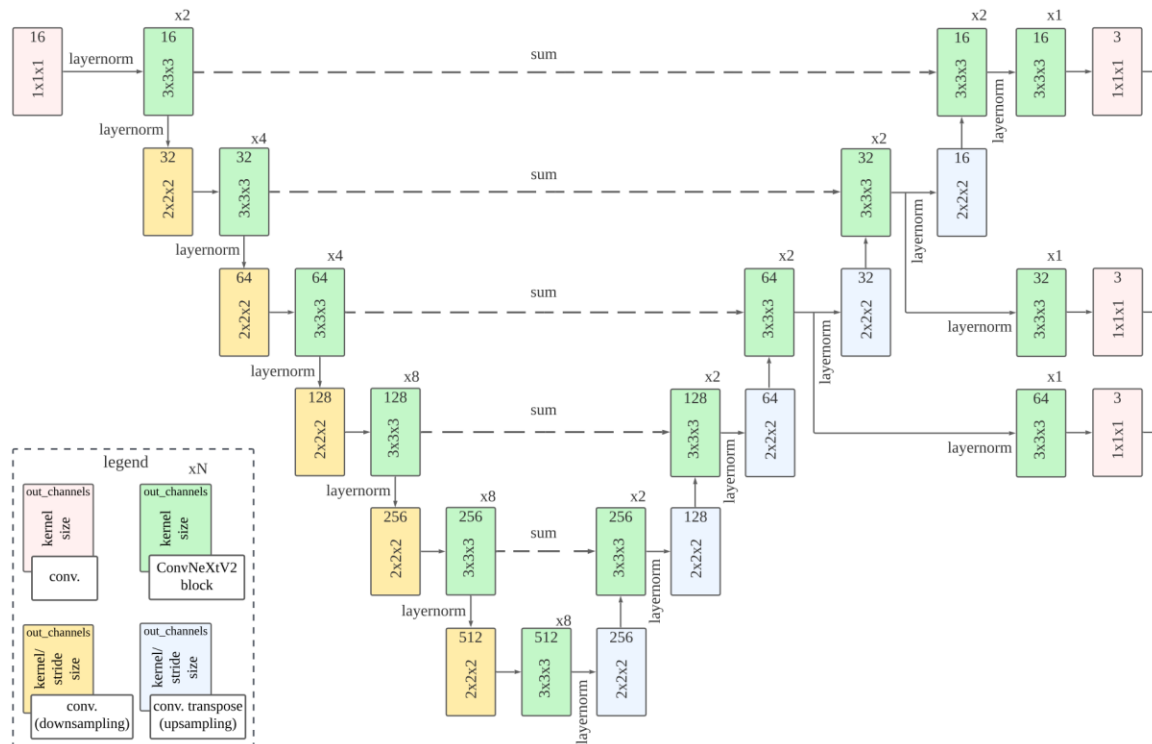


# Training

- Sparse-submanifold U-Net.

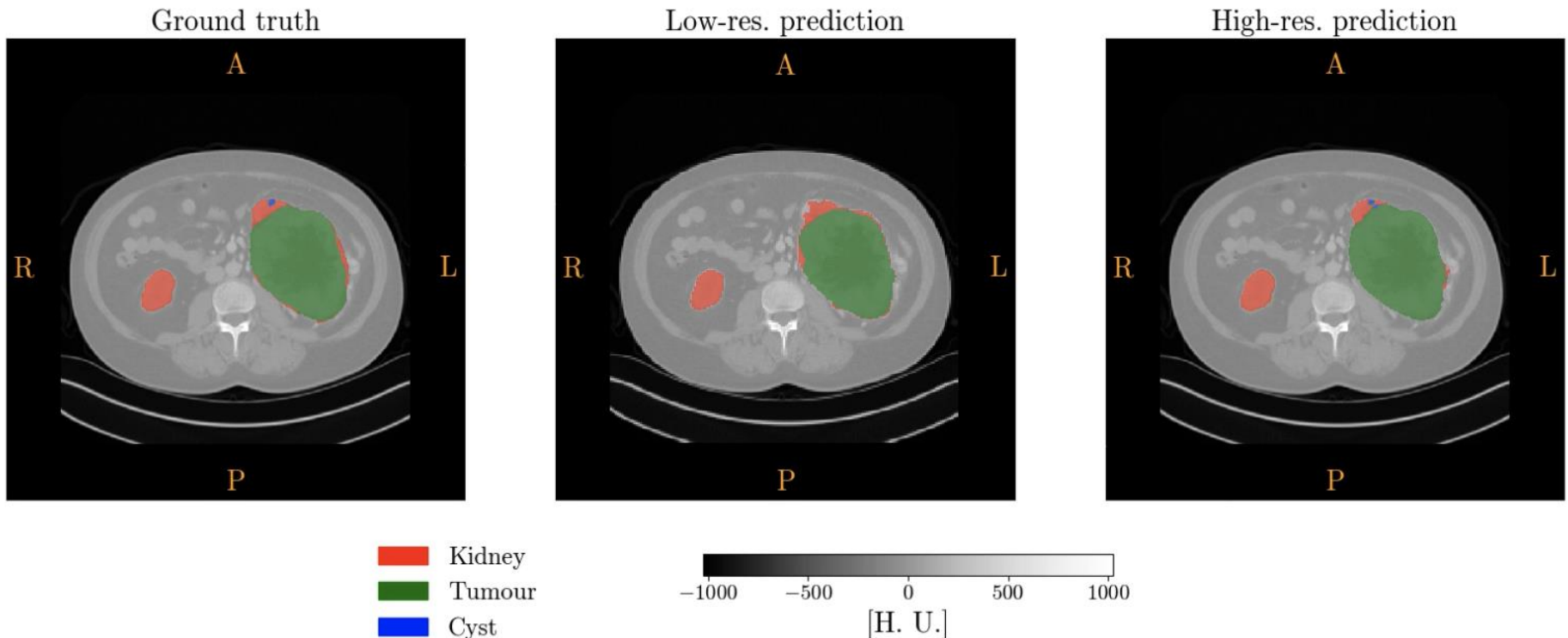
- 5-fold cross validation.
- Dice similarity coefficient as loss.
- Deep supervision.
- 500 epochs, warmup + cosine annealing.
- Augmentations: affine transformations, flipping, intensity variations, noise and smoothing.

$$\text{Dice\_loss}(\text{pred}, \text{target}) = 1 - 2 \frac{\text{pred} \cap \text{target}}{\text{pred} + \text{target} + \epsilon}$$



# Results

- Dice similarity coefficients of 95.8% for kidneys + masses, 85.7% for tumours + cysts, and 80.3% for tumours alone.
  - **Comparable to the winners of the KiTS23 challenge!**



- Crucially, our method also offers **significant computational improvements**:
  - Up to a 60% reduction in inference time and up to a 75% reduction in VRAM usage!

# Summary

- Deep learning is revolutionising neutrino physics, enabling precise event reconstruction and physics analyses.
- Transformers, graph, and sparse convolutional networks have been deployed across multiple neutrino experiments (DUNE, T2K, FASERCal).
- Interpretability techniques (e.g., occlusion tests) help build trust in ML-driven analyses.
- Novel detector concepts (e.g., plenoptic systems) are pushing the boundaries of 3D reconstruction.
- Cross-domain applications demonstrate the broader impact of ML methods developed for neutrino physics.
- Domain adaptation and contrastive learning are key tools to bridge simulation–reality gaps.

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Dr. Saúl Alonso-Monsalve

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Experimental Seminar, SLAC

8 May 2025