



**BERKELEY
LAB**



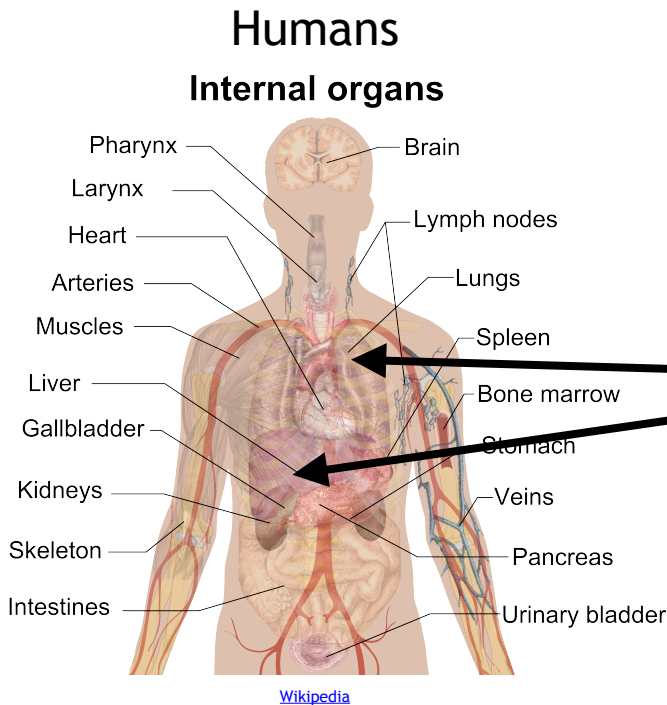
Probing the associated production of the Higgs boson and charm quarks at ATLAS

Elliot Reynolds, Chamberlain Fellow, LBNL

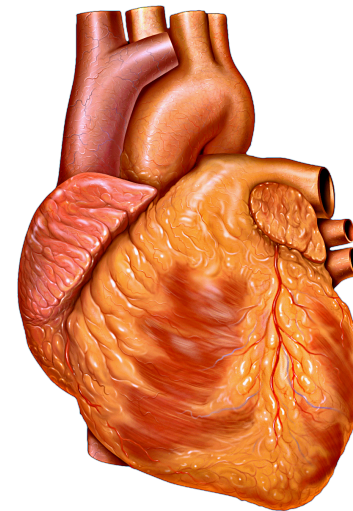
FPD Seminar, SLAC, August 27, 2024

Building Blocks of Reality

- Take this (simplified) description of human beings:



Organs (+ blood, bones etc.)

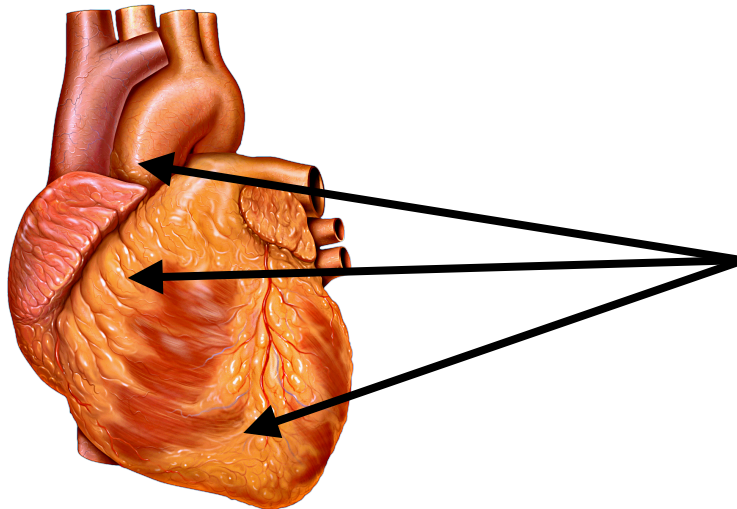


[Wikipedia](#)

Building Blocks of Reality

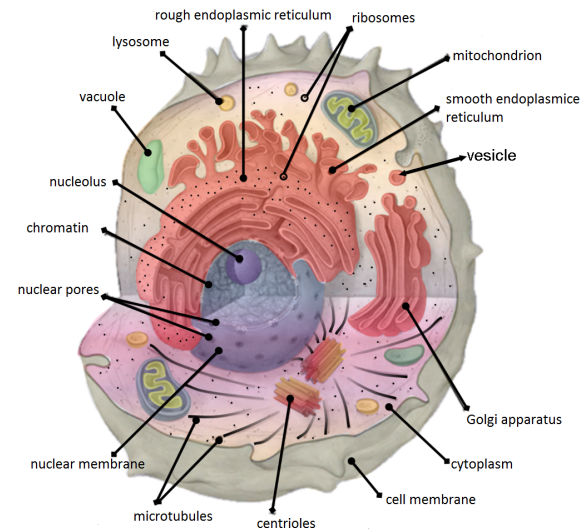
- Take this (simplified) description of human beings:

Organs



[Wikipedia](#)

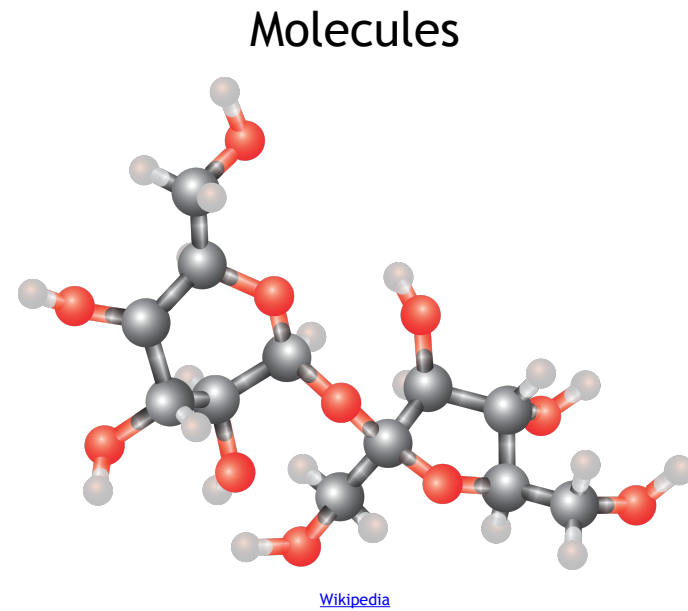
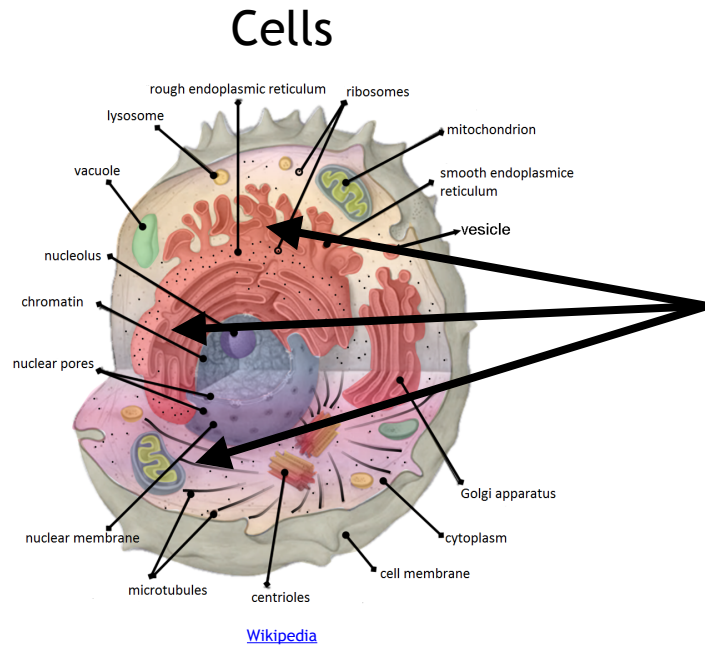
Cells



[Wikipedia](#)

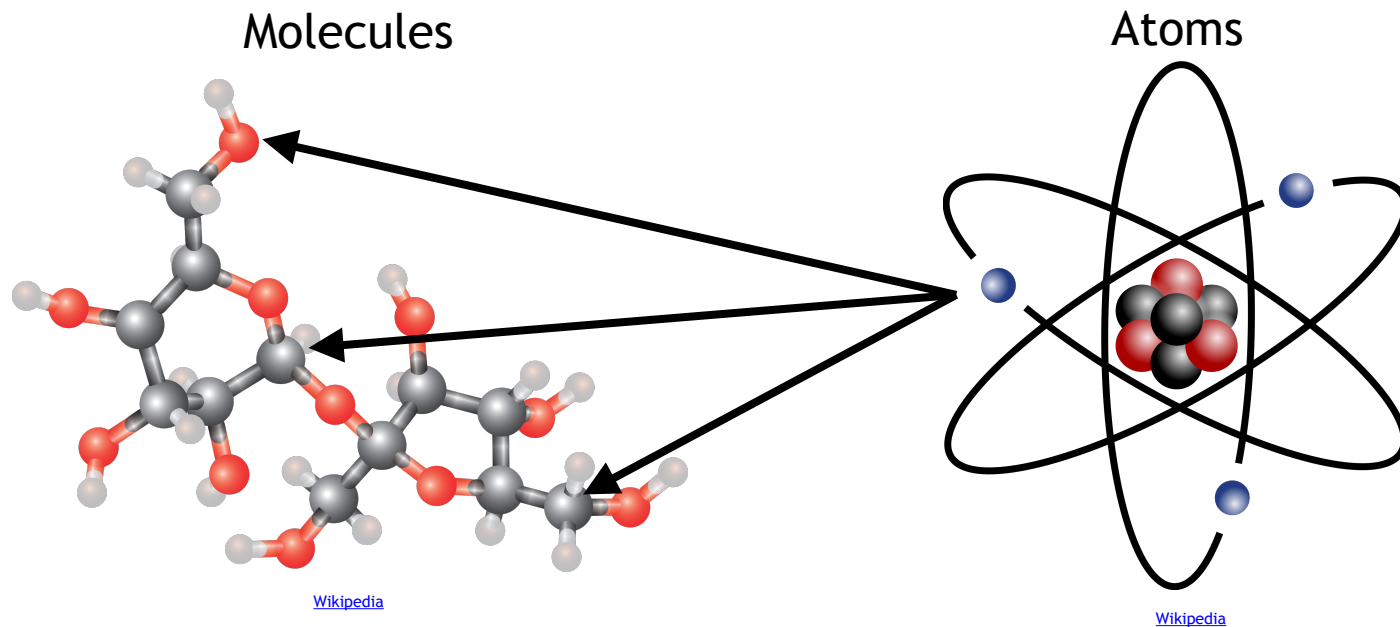
Building Blocks of Reality

- Take this (simplified) description of human beings:



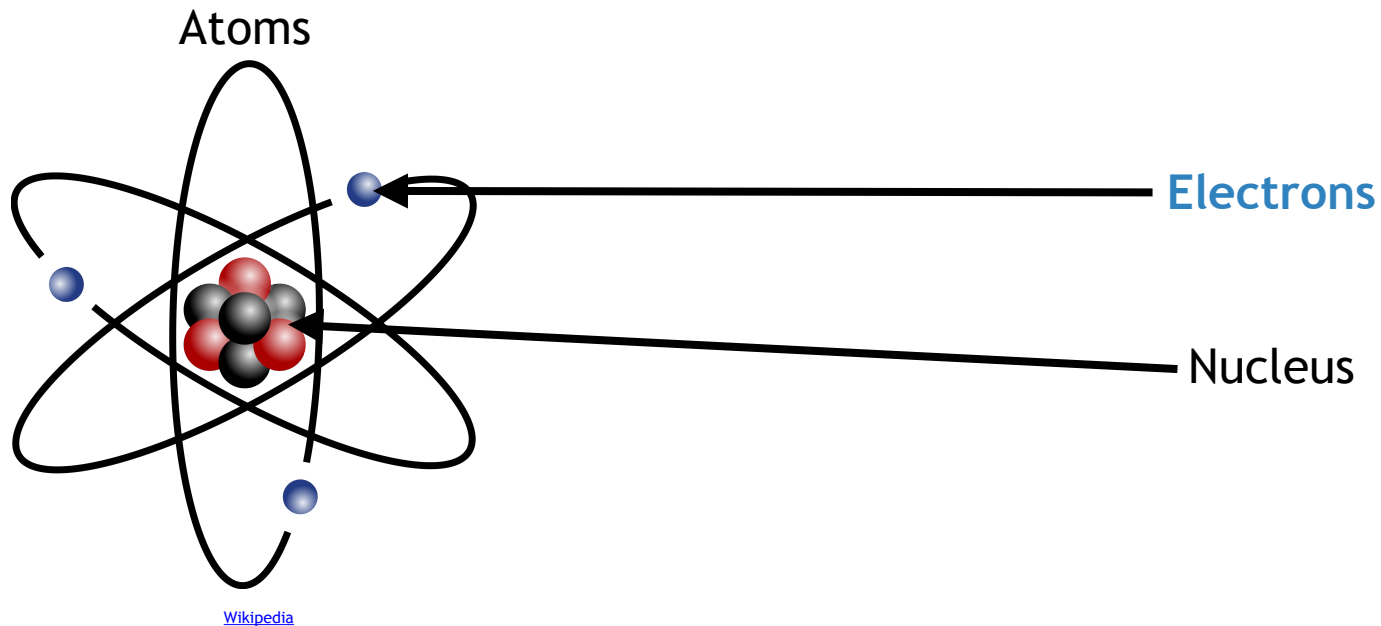
Building Blocks of Reality

- Take this (simplified) description of human beings:



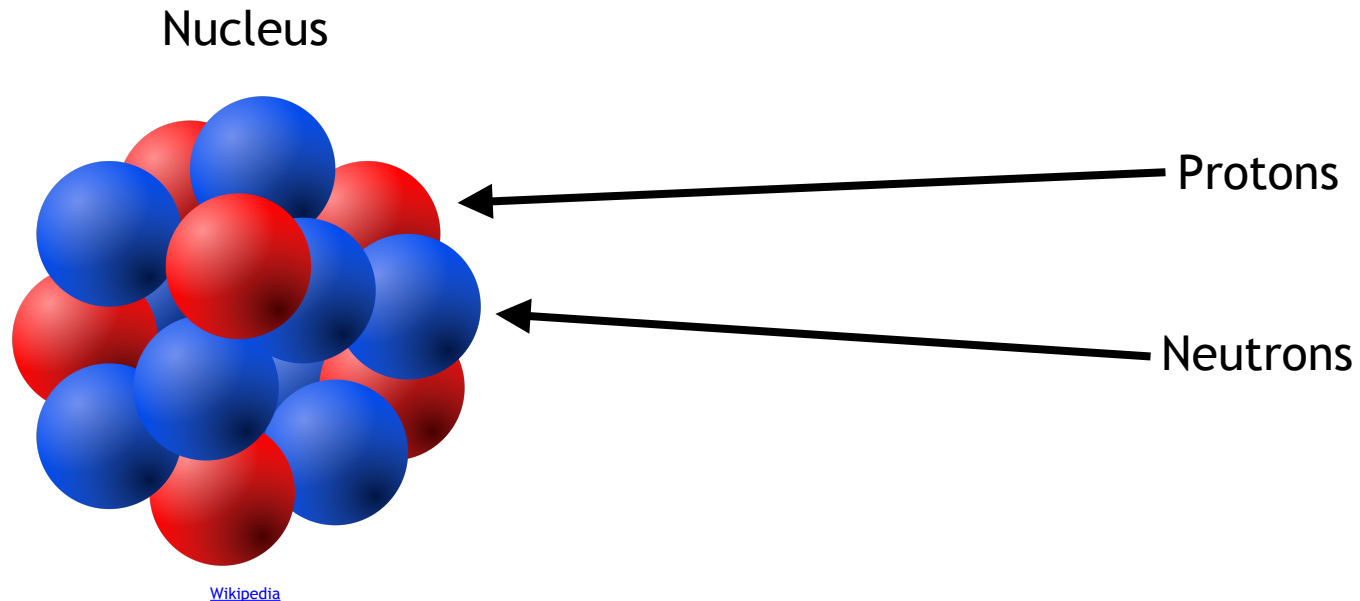
Building Blocks of Reality

- Take this (simplified) description of human beings:



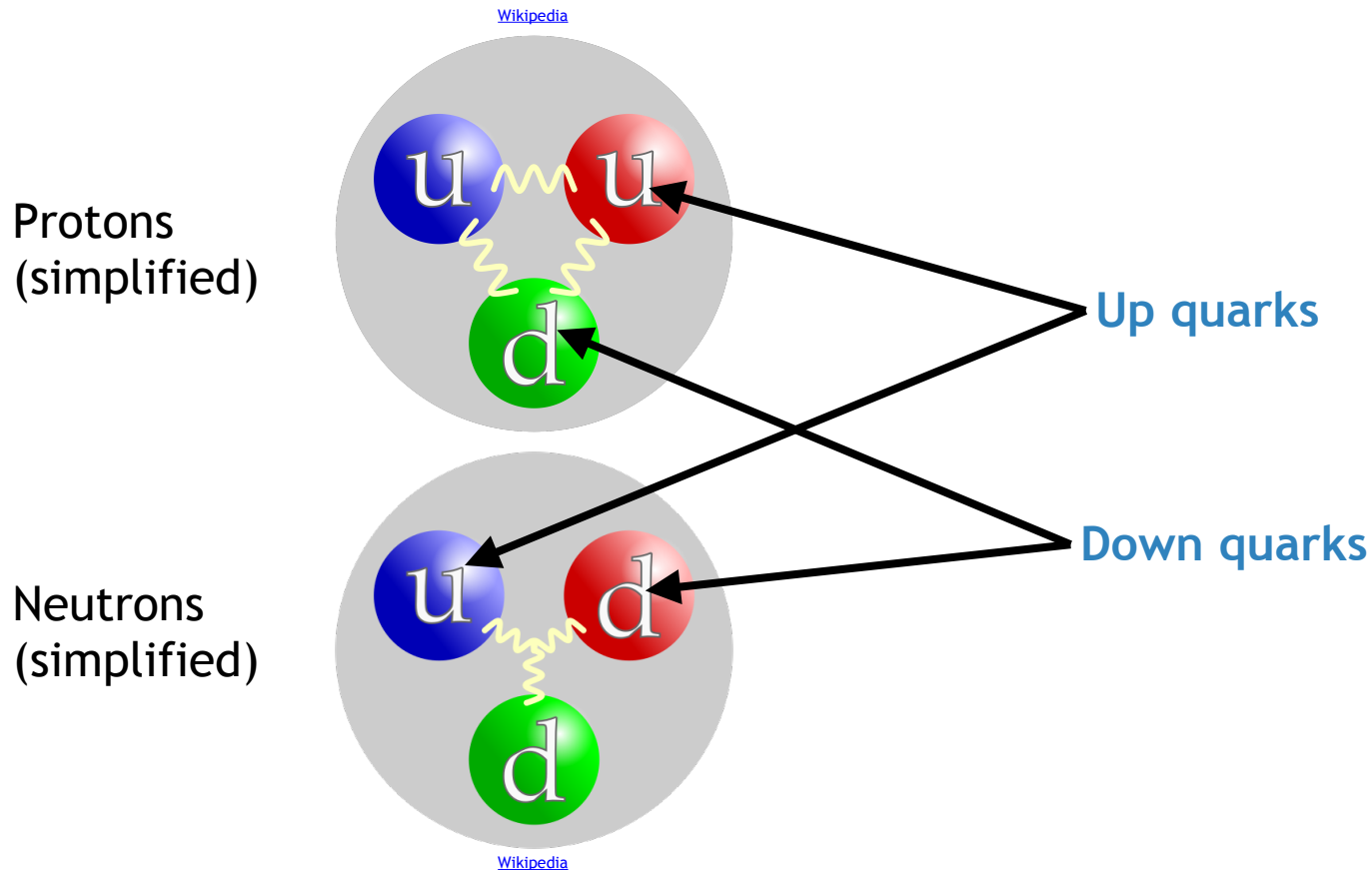
Building Blocks of Reality

- Take this (simplified) description of human beings:



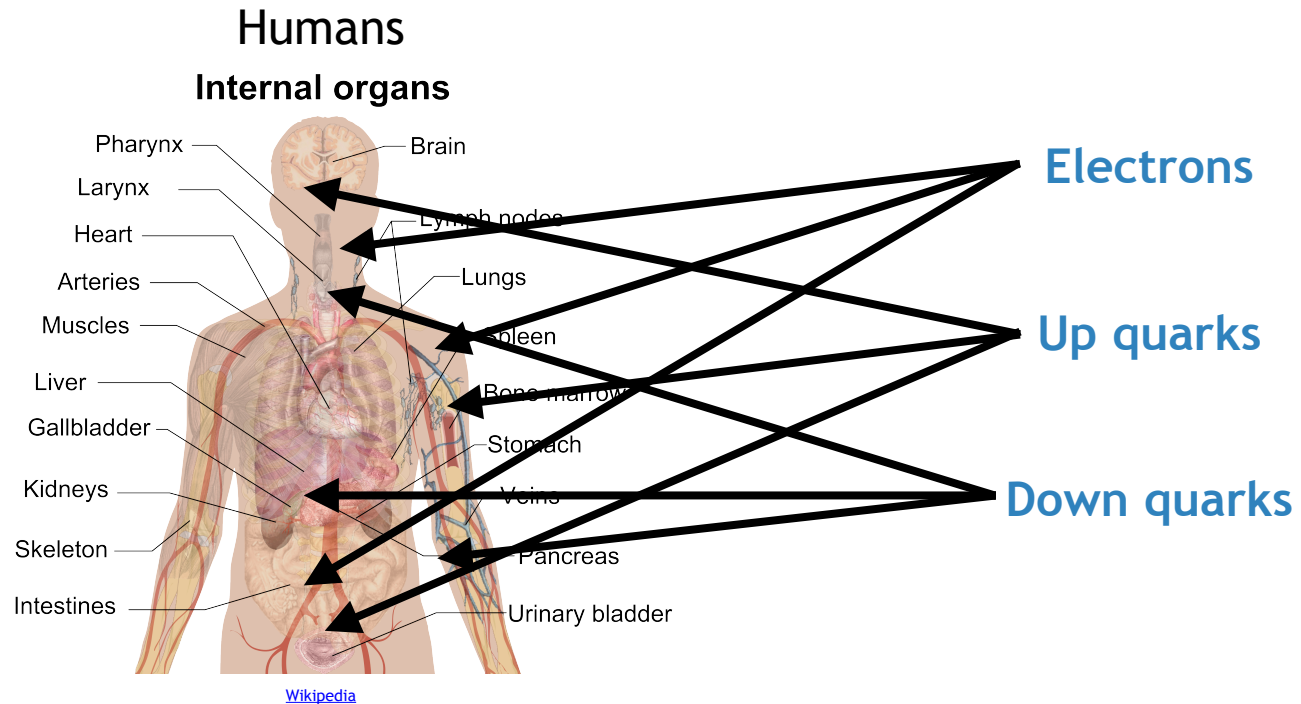
Building Blocks of Reality

- Take this (simplified) description of human beings:



Reductionism

- Thus, humans are ~entirely made up of electrons, up quarks, and down quarks

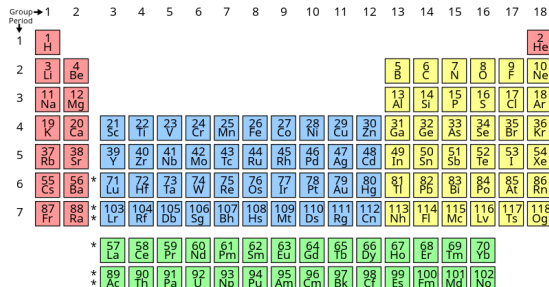


Reductionism

- But so is almost everything else:



ACS

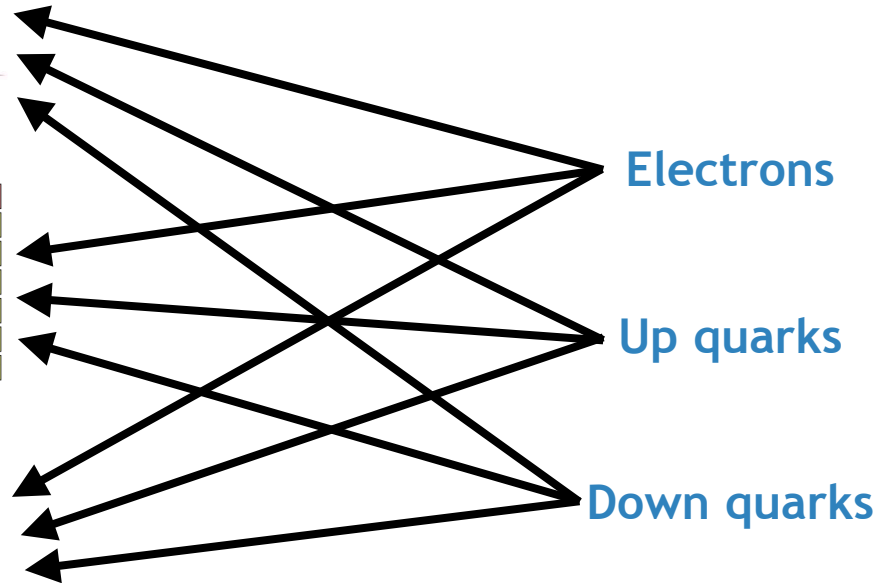


Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	1 H																	2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	* 71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	* 103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Me	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
			* 57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb		
			* 89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No		

Wikipedia

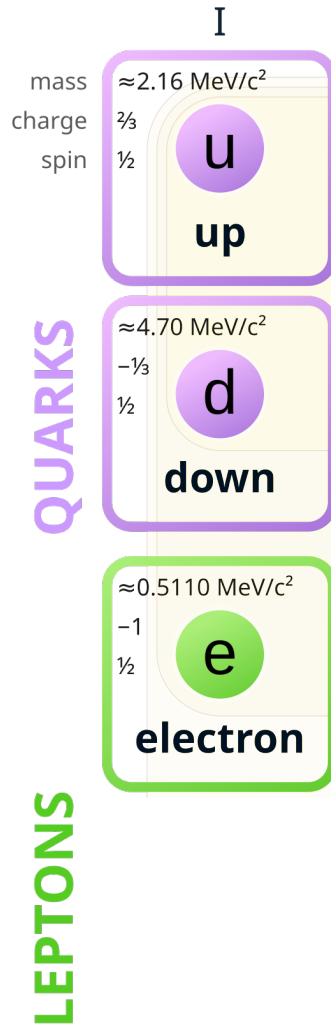


Historical Emporium



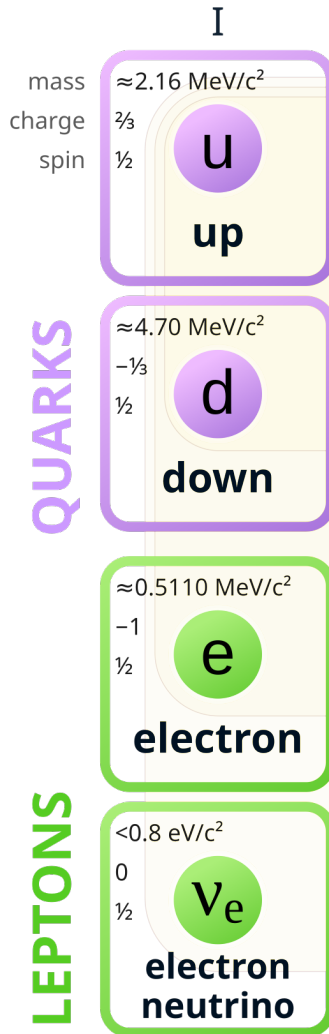
The fermions

three generations of matter
(fermions)



The fermions

three generations of matter
(fermions)



The fermions

three generations of matter (fermions)			
	I	II	III
mass	$\approx 2.16 \text{ MeV}/c^2$	$\approx 1.2730 \text{ GeV}/c^2$	$\approx 172.57 \text{ GeV}/c^2$
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
QUARKS	u up	c charm	t top
	d down	s strange	b bottom
	e electron	μ muon	τ tau
LEPTONS	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino
	$\approx 0.5110 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1776.93 \text{ MeV}/c^2$
	-1	-1	-1
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$
	$< 0.8 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$
	0	0	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$

Why?

- Particle physics seeks to explain what *all* things are made of...

Why?

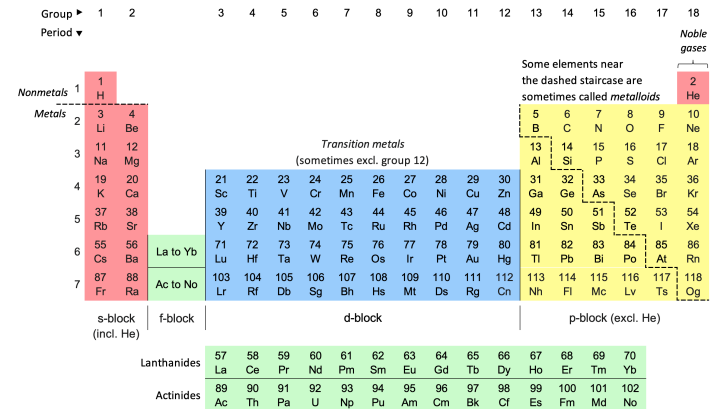
- Particle physics seeks to explain what *all* things are made of...
- It also seeks to explain *why* *all* things happen



[Independent](#)

Why?

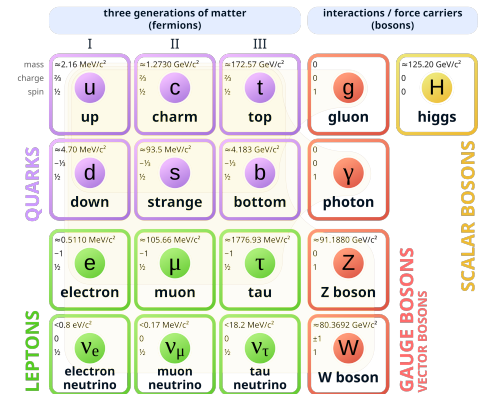
- Particle physics seeks to explain what *all* things are made of...
- It also seeks to explain *why* *all* things happen



[Wikipedia](#)



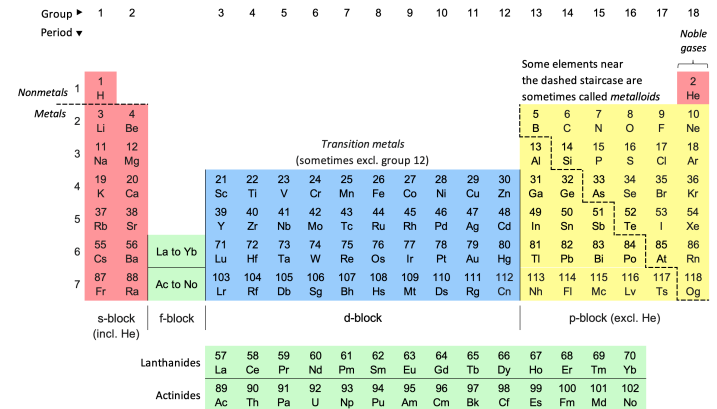
[Independent](#)



[Wikipedia](#) (adapted)

Why?

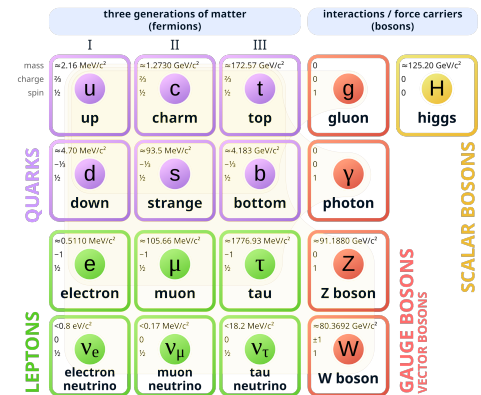
- Particle physics seeks to explain what *all* things are made of...
- It also seeks to explain *why* *all* things happen
- **Theory of Everything!**



[Wikipedia](#)



[Independent](#)



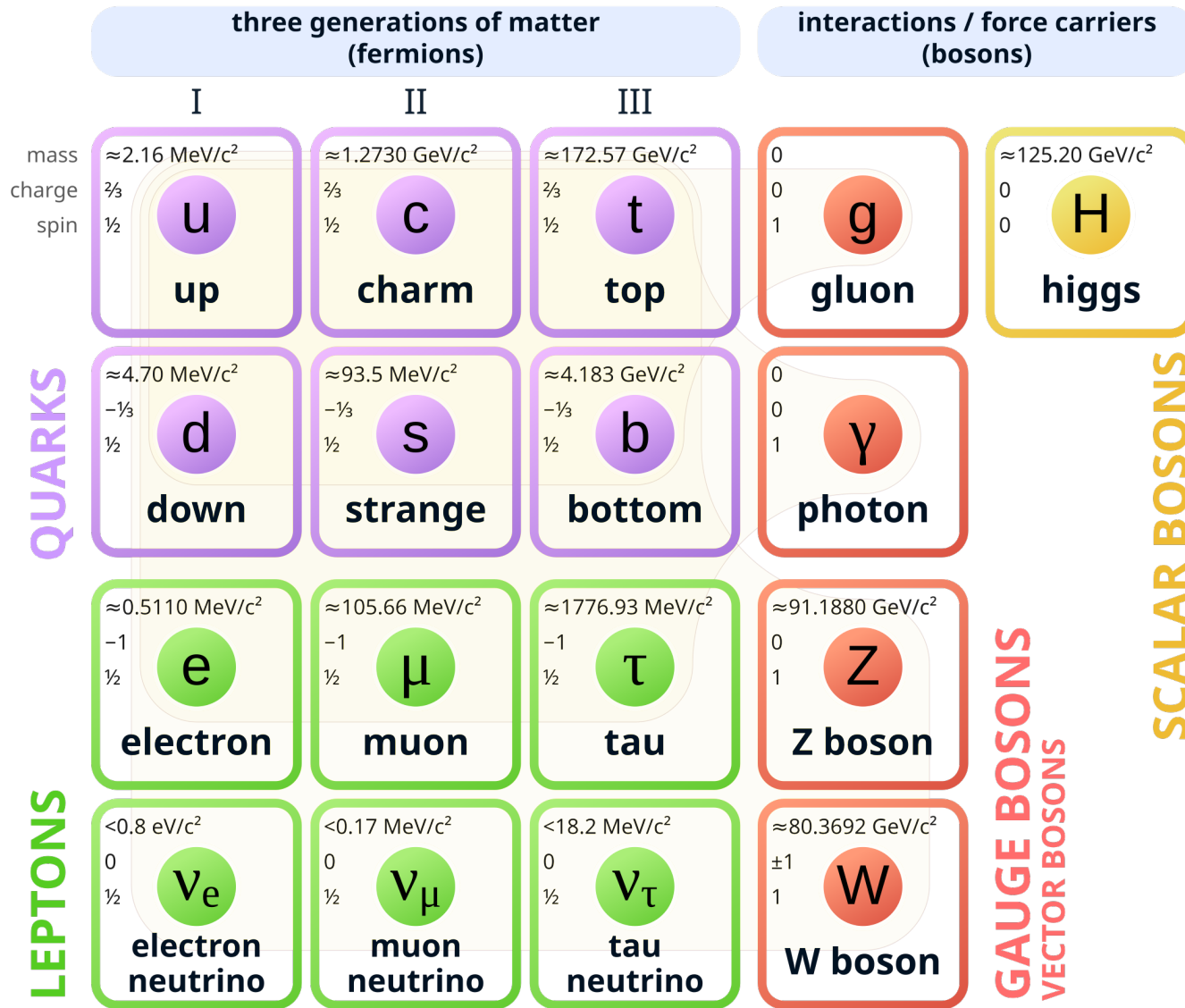
[Wikipedia](#) (adapted)

The gauge bosons

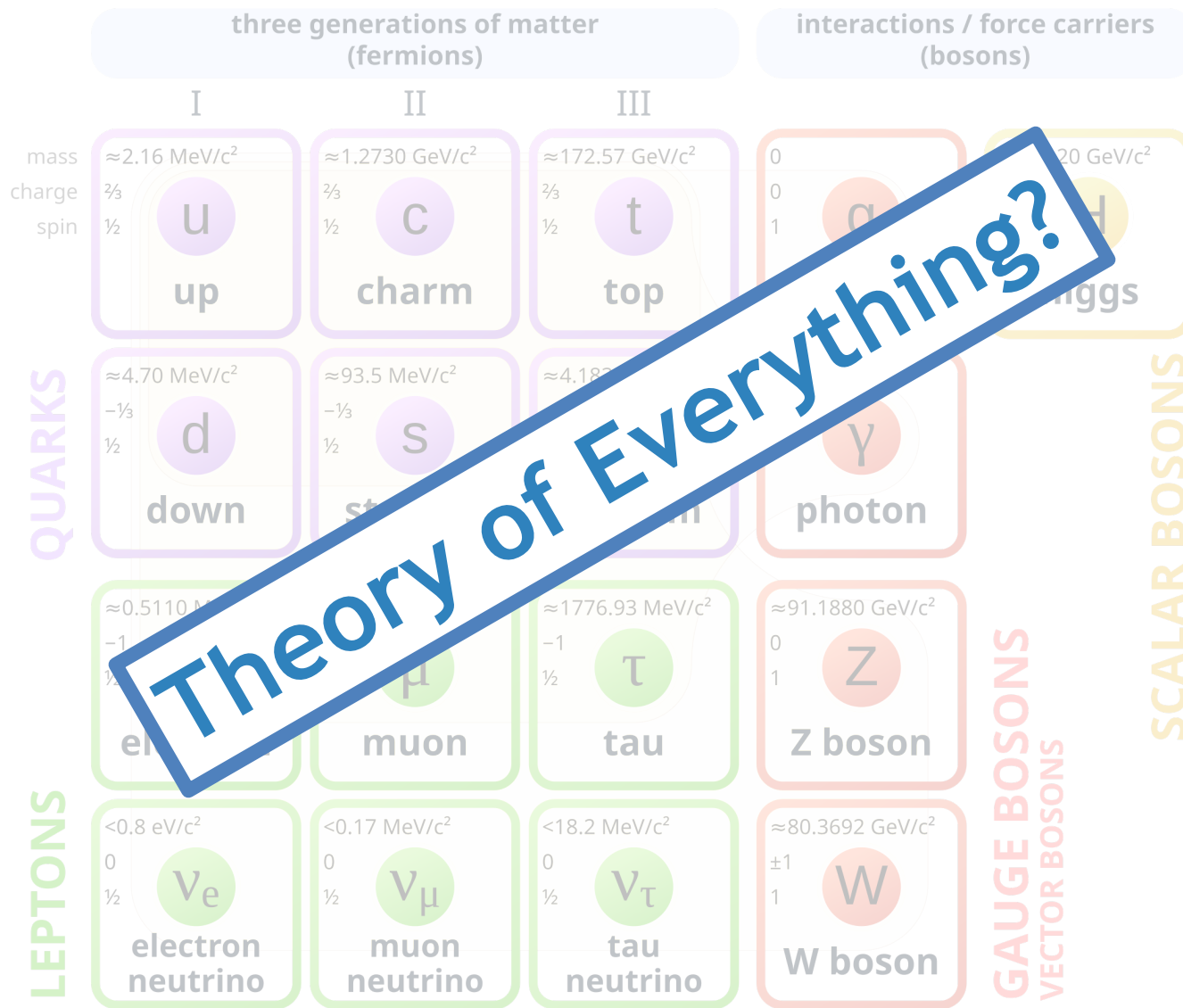
three generations of matter (fermions)			interactions / force carriers (bosons)	
	I	II	III	
mass	$\approx 2.16 \text{ MeV}/c^2$	$\approx 1.2730 \text{ GeV}/c^2$	$\approx 172.57 \text{ GeV}/c^2$	0
charge	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0
spin	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
QUARKS	u up	c charm	t top	g gluon
	$\approx 4.70 \text{ MeV}/c^2$	$\approx 93.5 \text{ MeV}/c^2$	$\approx 4.183 \text{ GeV}/c^2$	0
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	d down	s strange	b bottom	γ photon
LEPTONS	$\approx 0.5110 \text{ MeV}/c^2$	$\approx 105.66 \text{ MeV}/c^2$	$\approx 1776.93 \text{ MeV}/c^2$	$\approx 91.1880 \text{ GeV}/c^2$
	-1	-1	-1	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	e electron	μ muon	τ tau	Z Z boson
	$< 0.8 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 18.2 \text{ MeV}/c^2$	$\approx 80.3692 \text{ GeV}/c^2$
	0	0	0	± 1
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson

GAUGE BOSONS
VECTOR BOSONS

The Standard Model (SM)



The Standard Model (SM)



What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - How to reconcile quantum mechanics & gravity?

What Next?

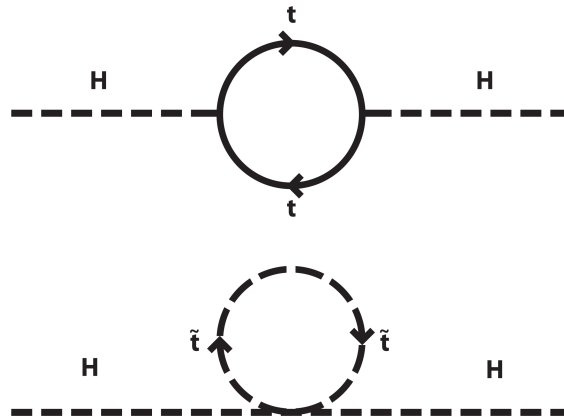
- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

1. Theoretical consistency

- How to reconcile quantum mechanics & gravity?

1.1. Fine tuning and naturalness

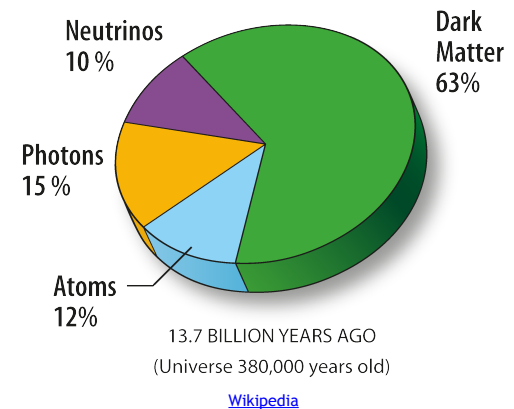
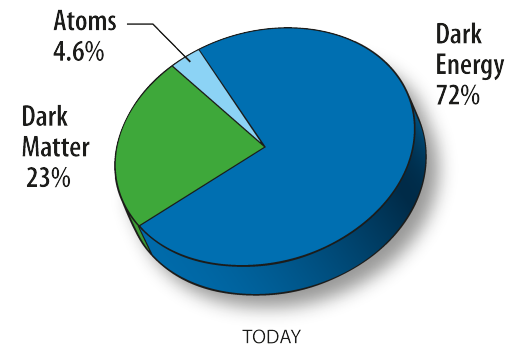
- Why is the Higgs boson so light?



[Wikipedia](#)

What Next?

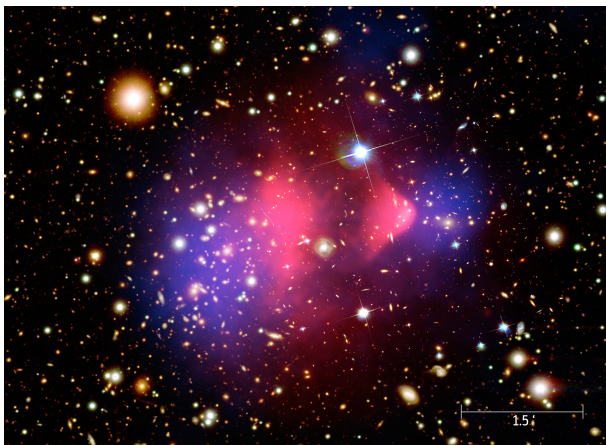
- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 1. Theoretical consistency
 2. Experimental consistency
 - What is dark matter?



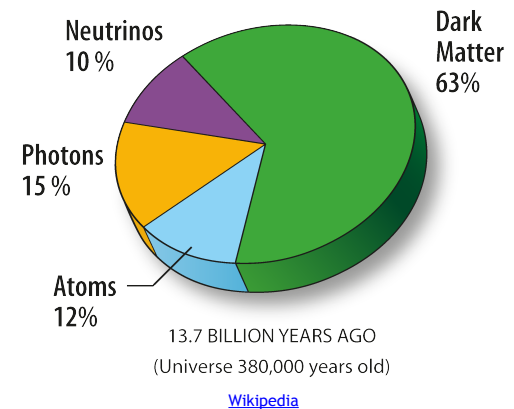
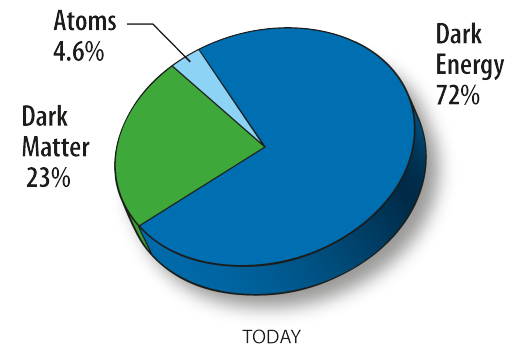
What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 1. Theoretical consistency
 2. Experimental consistency
 - What is dark matter?

Bullet Cluster



[Wikipedia](#)



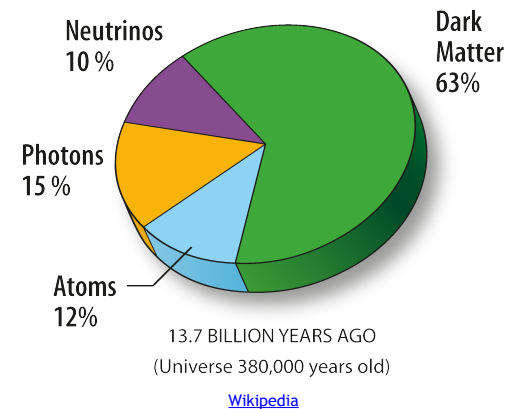
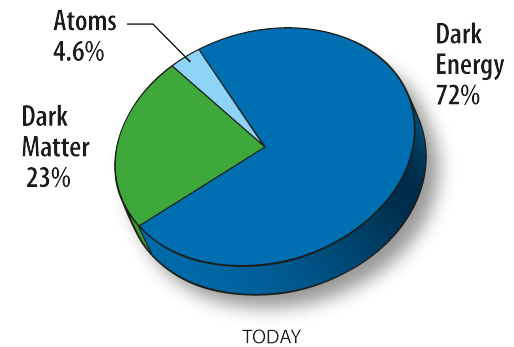
What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

1. Theoretical consistency

2. Experimental consistency

- What is dark matter?
- What is dark energy?



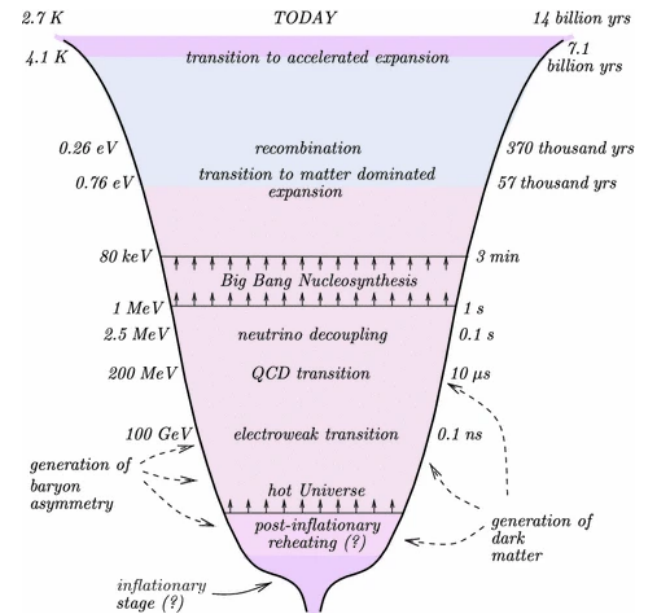
What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

1. Theoretical consistency

2. Experimental consistency

- What is dark matter?
- What is dark energy?
- Why is there more matter than anti-matter?



[Springer](#)

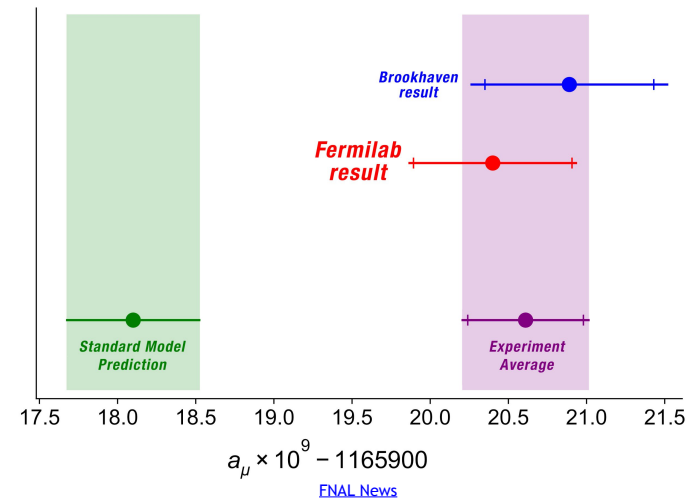
What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

1. Theoretical consistency

2. Experimental consistency

- What is dark matter?
- What is dark energy?
- Why is there more matter than anti-matter?
- Any other anomalies?



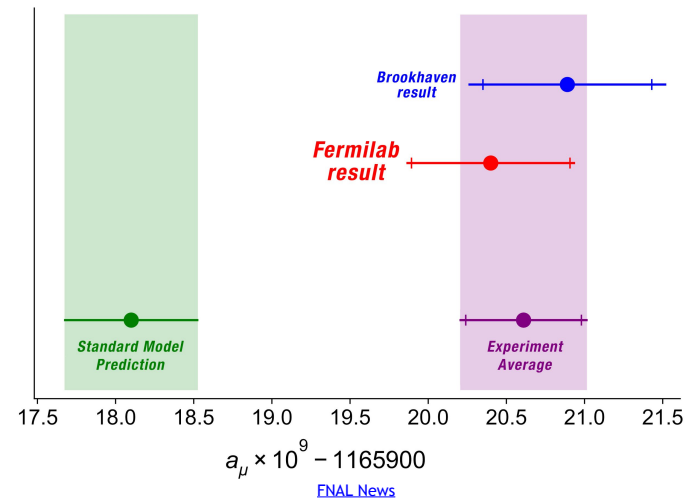
What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

1. Theoretical consistency

2. Experimental consistency

- What is dark matter?
- What is dark energy?
- Why is there more matter than anti-matter?
- **Any other anomalies?**



What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**

What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**

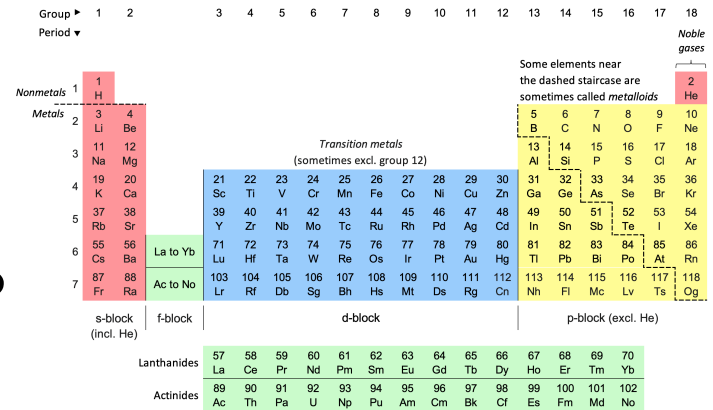


[Independent](#)

What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?

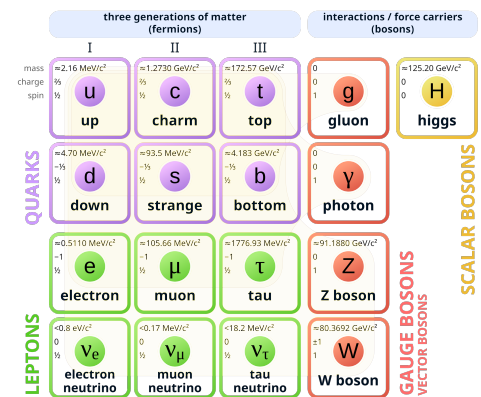
1. Theoretical consistency
2. Experimental consistency
3. Why is nature the way it is?



[Wikipedia](#)



[Independent](#)



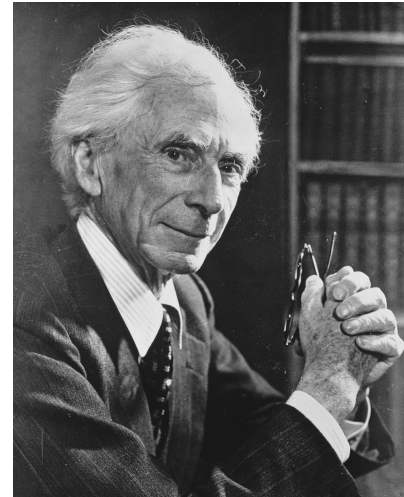
[Wikipedia](#) (adapted)

What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**



[Independent](#)



[Wikipedia](#)

What Next?

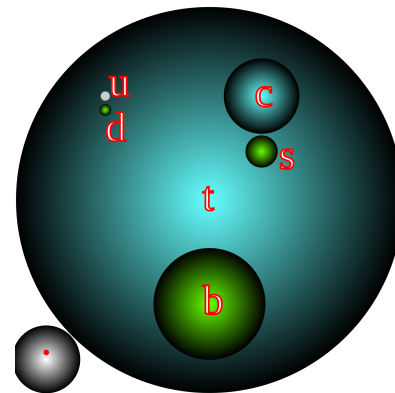
- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**
 - Why 26 free parameters in the SM?

What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**
 - Why 26 free parameters in the SM?
 - Why are there three generation of matter particles?

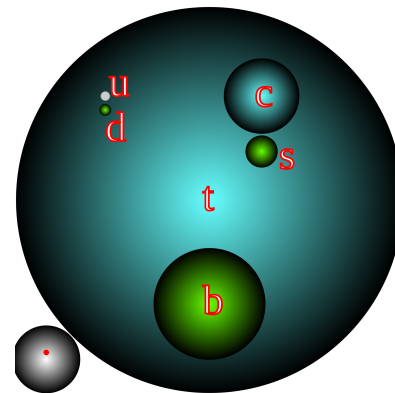
What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**
 - Why 26 free parameters in the SM?
 - Why are there three generation of matter particles?
 - Why these masses?



What Next?

- The SM *does not* seem to be the Theory of Everything...
- How do we get there?
 - 1. Theoretical consistency**
 - 2. Experimental consistency**
 - 3. Why is nature the way it is?**
 - Why 26 free parameters in the SM?
 - Why are there three generation of matter particles?
 - **Why these masses?**



Fermion masses in the SM

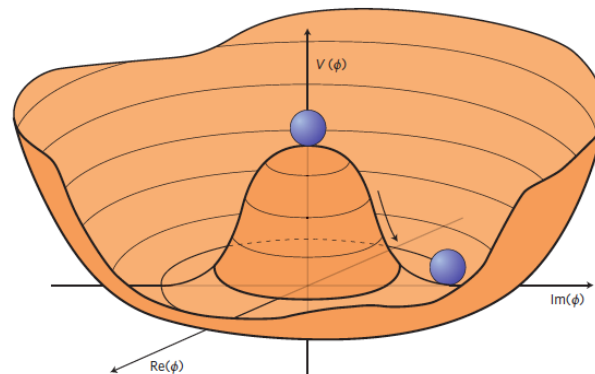
- Particles acquire their masses during spontaneous symmetry breaking (SSB) via the Higgs mechanism

Fermion masses in the SM

- Particles acquire their masses during spontaneous symmetry breaking (SSB) via the Higgs mechanism
 - Before SSB: $\mathcal{L}_f = -g_f(\bar{L}\phi R + \bar{R}\phi^\dagger L)$

Fermion masses in the SM

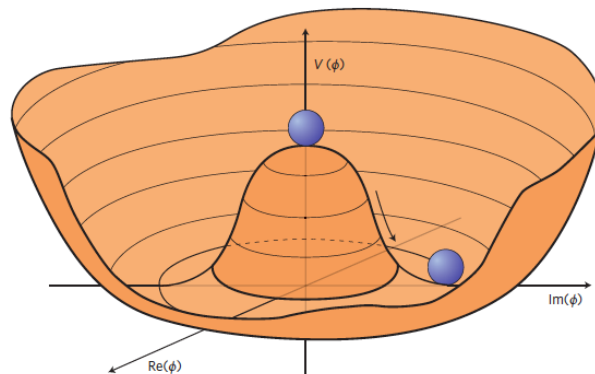
- Particles acquire their masses during spontaneous symmetry breaking (SSB) via the Higgs mechanism
 - Before SSB: $\mathcal{L}_f = -g_f(\bar{L}\phi R + \bar{R}\phi^\dagger L)$
 - After SSB: $\mathcal{L}_f = -m_f\bar{f}f - \frac{m_f}{v}\bar{f}fh$



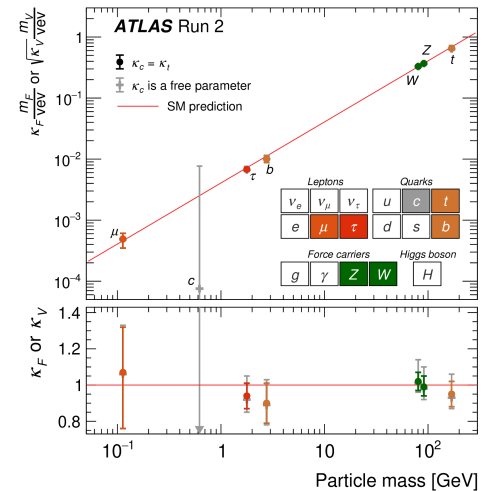
[Nat. Rev. Phys. 3 \(2021\) 608](#)

Fermion masses in the SM

- Particles acquire their masses during spontaneous symmetry breaking (SSB) via the Higgs mechanism
 - Before SSB: $\mathcal{L}_f = -g_f(\bar{L}\phi R + \bar{R}\phi^\dagger L)$
 - After SSB: $\mathcal{L}_f = -m_f\bar{f}f - \frac{m_f}{v}\bar{f}fh$
- The $\bar{f}fh$ coupling is $\propto m_f$



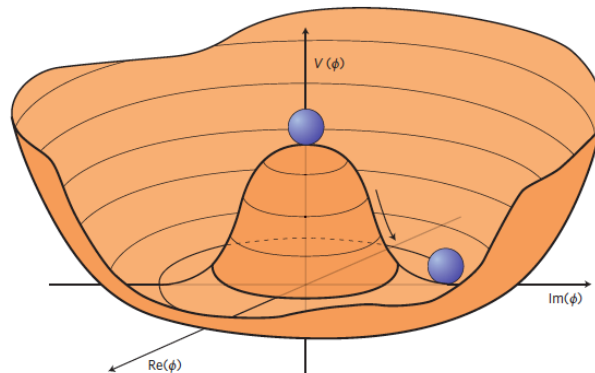
Nat. Rev. Phys. 3 (2021) 608



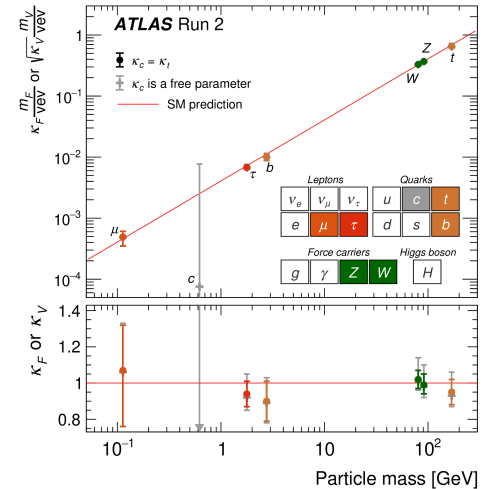
Nature 607 (2022) 52

Fermion masses in the SM

- Particles acquire their masses during spontaneous symmetry breaking (SSB) via the Higgs mechanism
 - Before SSB: $\mathcal{L}_f = -g_f(\bar{L}\phi R + \bar{R}\phi^\dagger L)$
 - After SSB: $\mathcal{L}_f = -m_f\bar{f}f - \frac{m_f}{v}\bar{f}fh$
- The $\bar{f}fh$ coupling is $\propto m_f$
- Fermion mass/coupling values not explained in the SM



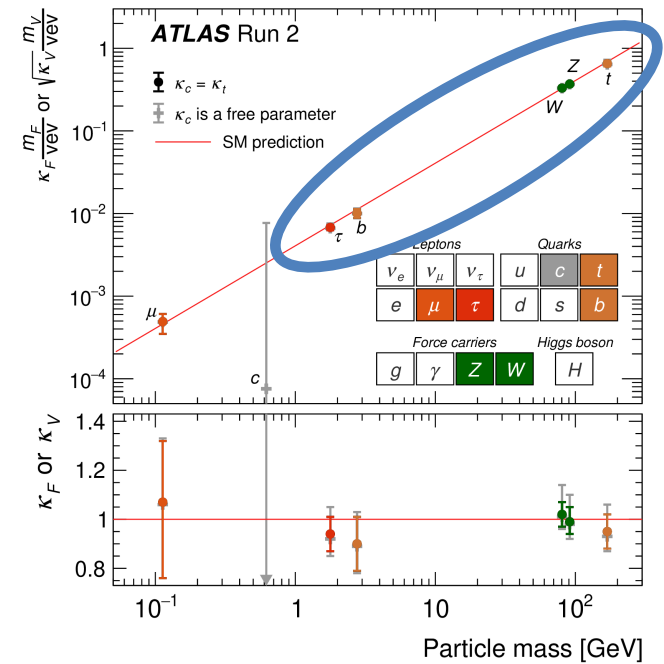
Nat Rev Phys 3 (2021) 608



Nature 607 (2022) 52

Hc Coupling: y_c

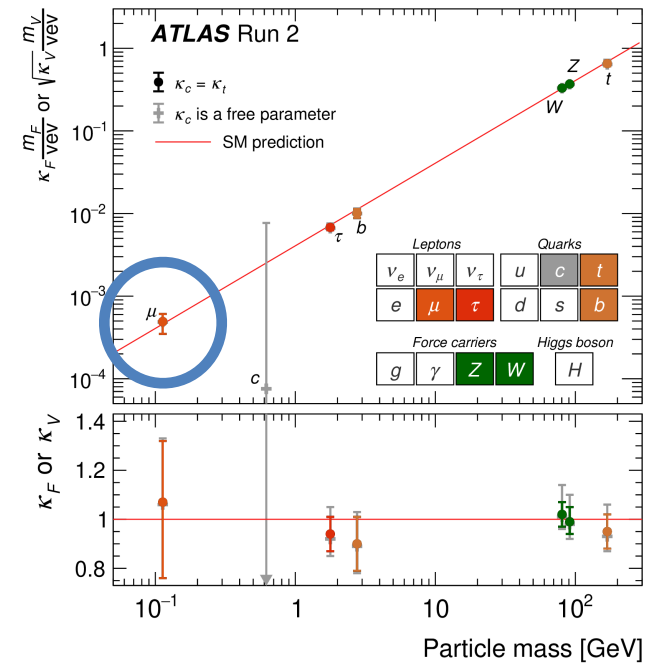
- Higgs couplings to Z and W bosons, and third generation fermions, established



[Nature 607 \(2022\) 52](#)

Hc Coupling: y_c

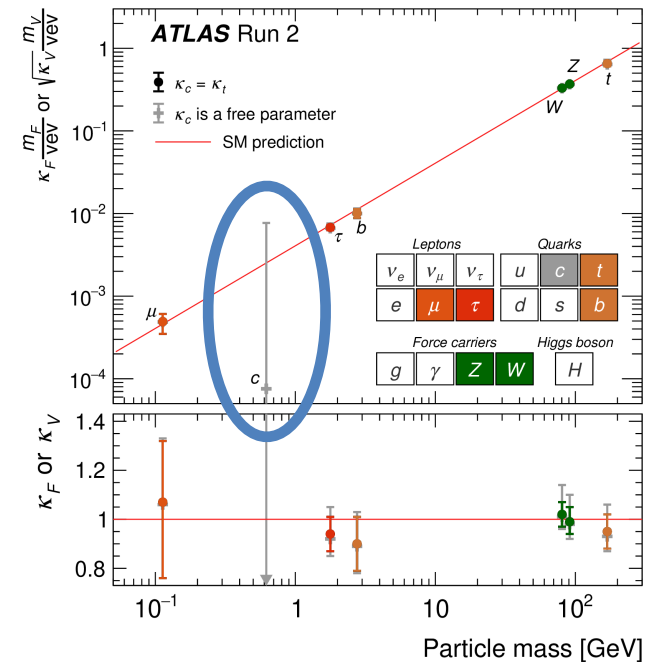
- Higgs couplings to Z and W bosons, and third generation fermions, established
- CMS has published [evidence](#) for the muon coupling



[Nature 607 \(2022\) 52](#)

Hc Coupling: y_c

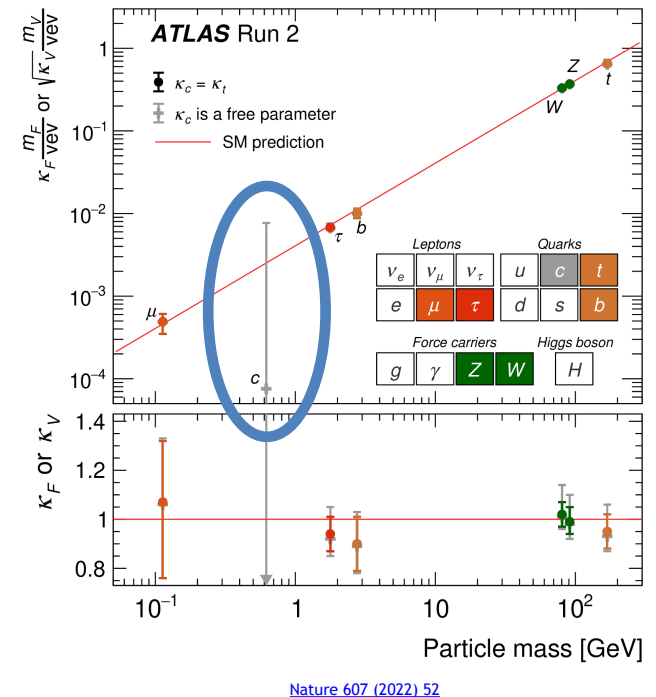
- Higgs couplings to Z and W bosons, and third generation fermions, established
- CMS has published [evidence](#) for the muon coupling
- y_c is our **best chance** to measure a first- or second-generation quark coupling
- Adequate y_c observation strategy is *not yet available*



[Nature 607 \(2022\) 52](#)

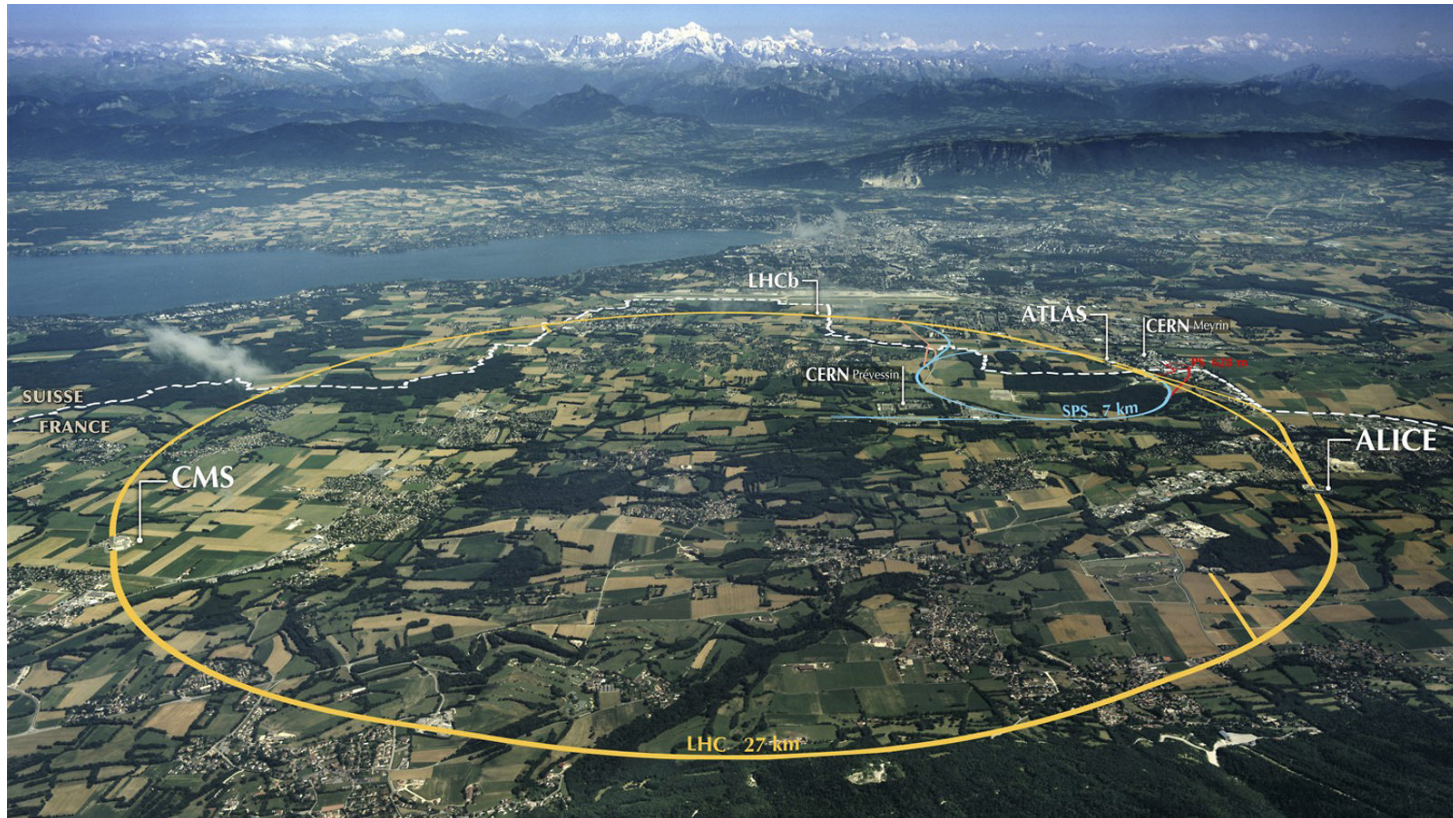
Hc Coupling: y_c

- Higgs couplings to Z and W bosons, and third generation fermions, established
- CMS has published [evidence](#) for the muon coupling
- y_c is our **best chance** to measure a first- or second-generation quark coupling
- Adequate y_c observation strategy is *not yet available*
- Sensitive to [BSM physics](#), e.g. from: [subdominant sources of EWSB](#), [Randall–Sundrum models](#), [Higgs-dependent Yukawa couplings](#), [extended Higgs sectors](#)



CERN Large Hadron Collider

LHC: 13 TeV (13.6 TeV) proton-proton collisions



[Symmetry Magazine](#)

CMS Detector

CMS DETECTOR

Total weight : 14,000 tonnes
Overall diameter : 15.0 m
Overall length : 28.7 m
Magnetic field : 3.8 T

STEEL RETURN YOKE
12,500 tonnes

SILICON TRACKERS
Pixel ($100 \times 150 \mu\text{m}$) $\sim 1\text{m}^2 \sim 66\text{M}$ channels
Microstrips ($80 \times 180 \mu\text{m}$) $\sim 200\text{m}^2 \sim 9.6\text{M}$ channels

SUPERCONDUCTING SOLENOID
Niobium titanium coil carrying $\sim 18,000\text{A}$

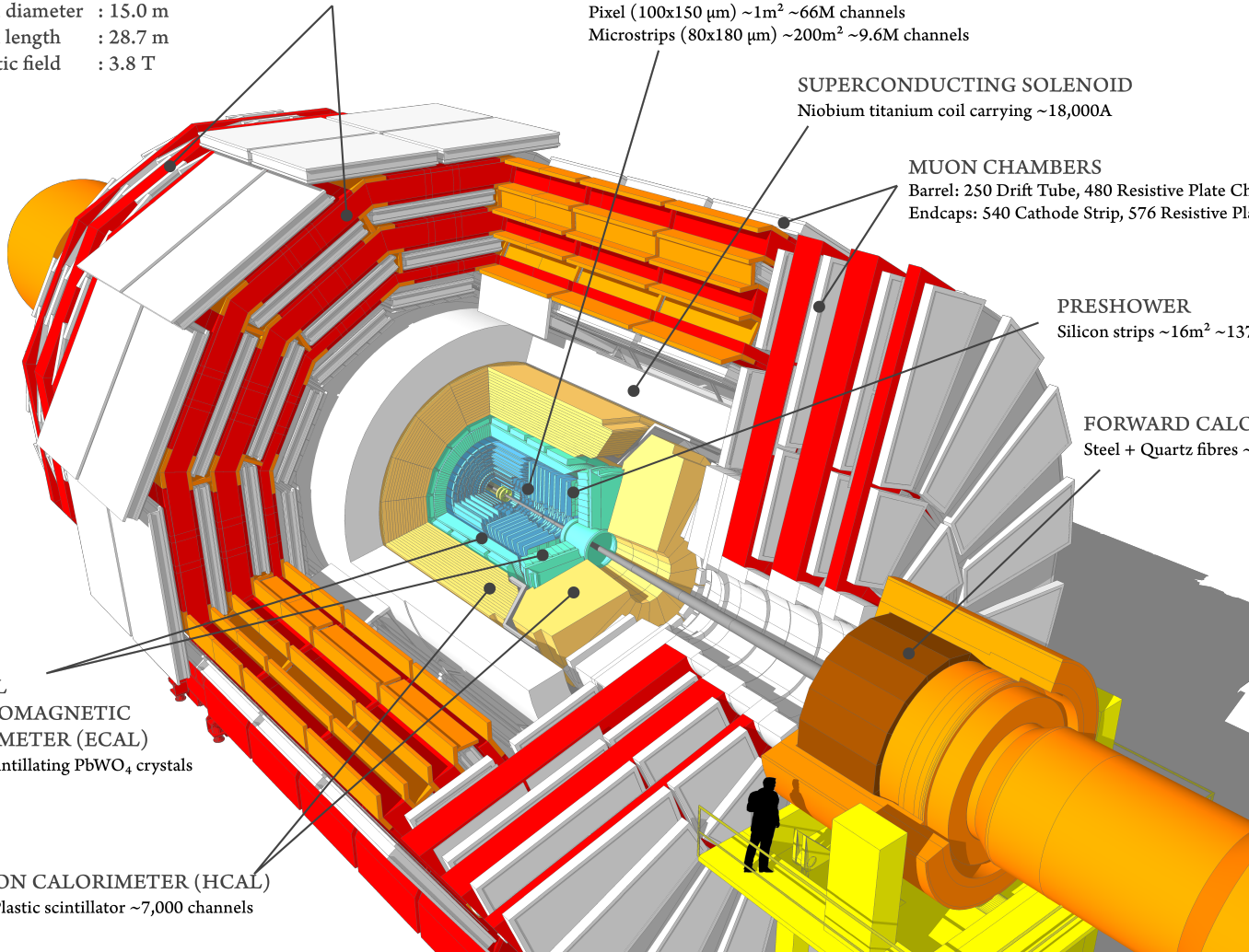
MUON CHAMBERS
Barrel: 250 Drift Tube, 480 Resistive Plate Chambers
Endcaps: 540 Cathode Strip, 576 Resistive Plate Chambers

PRESHOWER
Silicon strips $\sim 16\text{m}^2 \sim 137,000$ channels

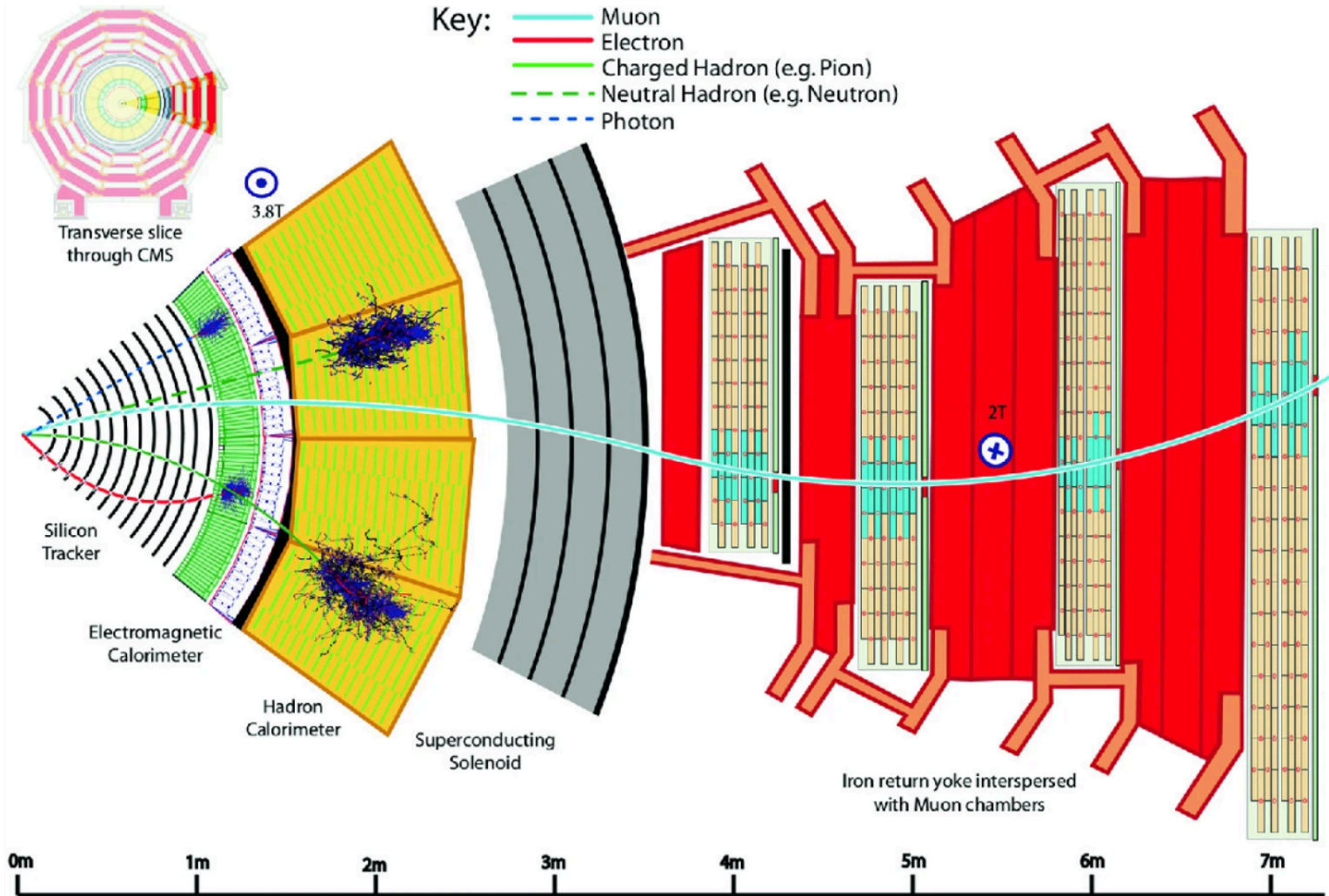
FORWARD CALORIMETER
Steel + Quartz fibres $\sim 2,000$ Channels

CRYSTAL
ELECTROMAGNETIC
CALORIMETER (ECAL)
 $\sim 76,000$ scintillating PbWO_4 crystals

HADRON CALORIMETER (HCAL)
Brass + Plastic scintillator $\sim 7,000$ channels



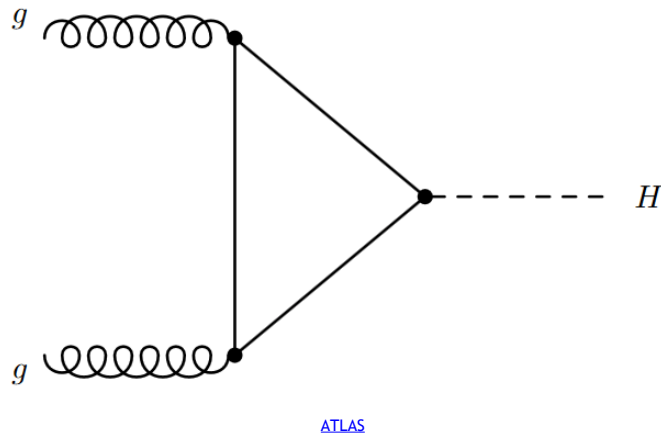
Particle reconstruction (CMS)



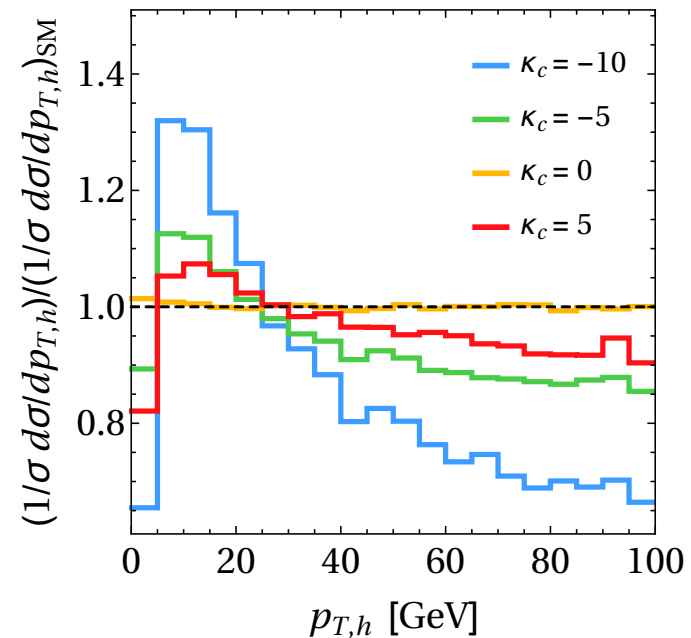
Probing y_c with the Higgs p_T

- y_c impacts shape & normalisation of Higgs transverse momentum (p_T) spectrum due to charm quarks in its gluon-gluon fusion and quark-induced production

Gluon-gluon fusion



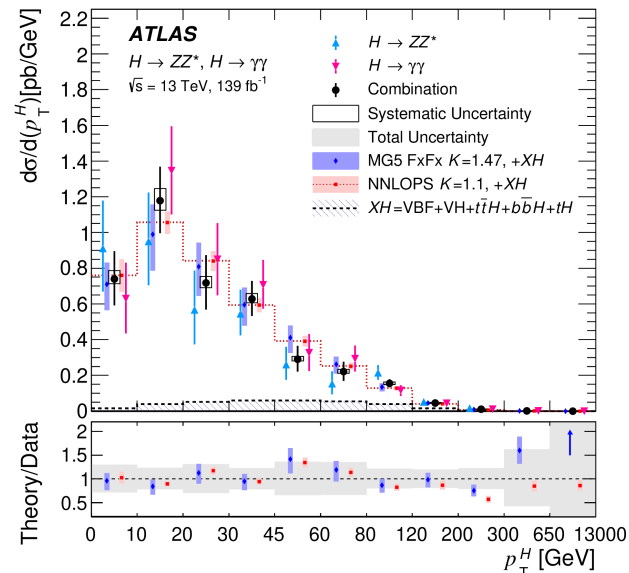
8 TeV



[PRL 118 \(2017\) 121801](#)

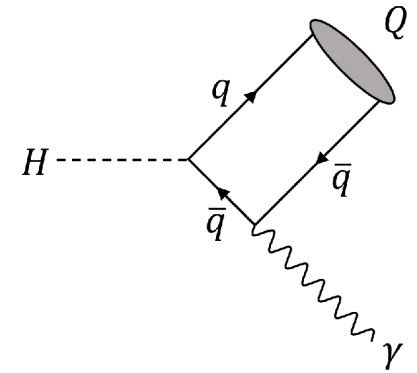
Probing y_c with the Higgs p_T

- y_c impacts shape & normalisation of Higgs transverse momentum (p_T) spectrum due to charm quarks in its gluon-gluon fusion and quark-induced production
- [ATLAS](#) used 139 fb^{-1} of pp data to constrain y_c using $H \rightarrow ZZ, H \rightarrow \gamma\gamma$ decays
- Obs (exp) 95% CL intervals on y_c/y_c^{SM} are **[-8.6, 17.3]** ([-8.5, 15.9]) with shape-only info
- Same intervals are **[-2.27, 2.27]** ✓ ([-2.77, 2.75]) with also normalisation information ✗



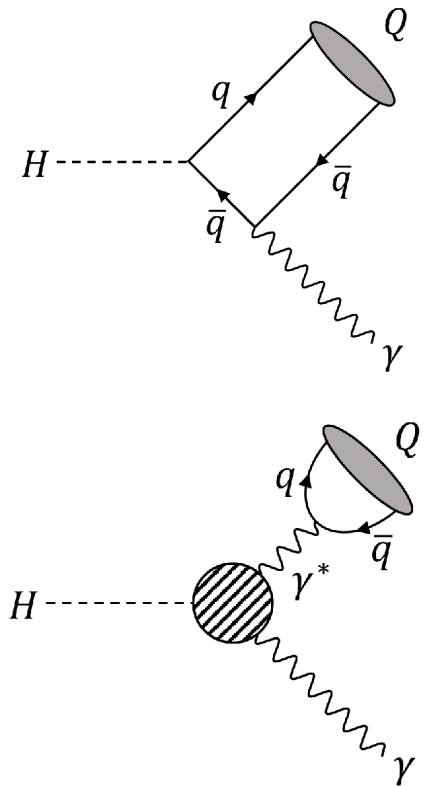
$$H \rightarrow J/\psi + \gamma$$

- $H \rightarrow J/\psi + \gamma$ decays can probe both the magnitude and sign of y_c ✓



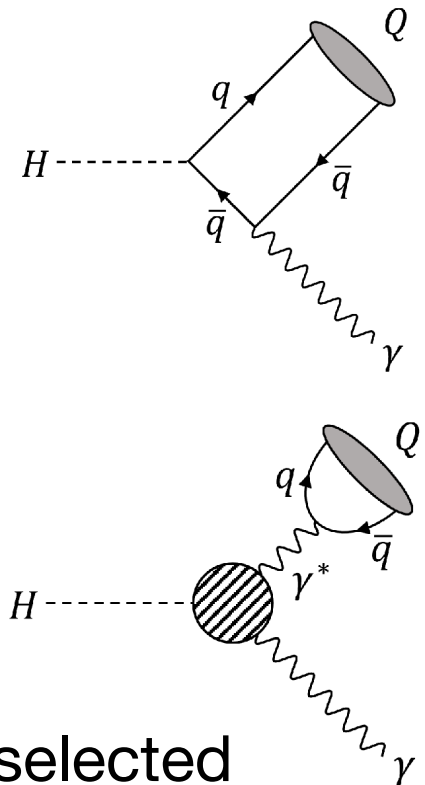
$$H \rightarrow J/\psi + \gamma$$

- $H \rightarrow J/\psi + \gamma$ decays can probe both the magnitude and sign of y_c ✓
- There is a dominant Feynman diagram not sensitive to y_c ✗
- The diagrams interfere destructively, resulting in $\mathcal{B}(H \rightarrow J/\psi + \gamma) \sim 10^{-6}$ ✗



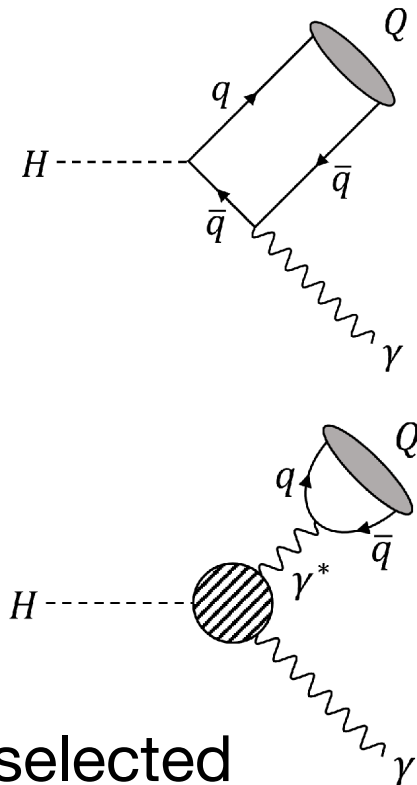
$H \rightarrow J/\psi + \gamma$

- $H \rightarrow J/\psi + \gamma$ decays can probe both the magnitude and sign of y_c ✓
- There is a dominant Feynman diagram not sensitive to y_c ✗
- The diagrams interfere destructively, resulting in $\mathcal{B}(H \rightarrow J/\psi + \gamma) \sim 10^{-6}$ ✗
- ATLAS has searched for this using 139 fb^{-1} of pp data and custom triggers
- Events with two muons and a photon are selected



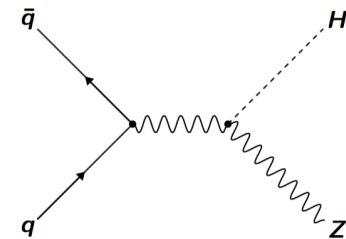
$H \rightarrow J/\psi + \gamma$

- $H \rightarrow J/\psi + \gamma$ decays can probe both the magnitude and sign of y_c ✓
- There is a dominant Feynman diagram not sensitive to y_c ✗
- The diagrams interfere destructively, resulting in $\mathcal{B}(H \rightarrow J/\psi + \gamma) \sim 10^{-6}$ ✗
- ATLAS has searched for this using 139 fb⁻¹ of pp data and custom triggers
- Events with two muons and a photon are selected
- The signal is modelled using simulation, and the multi-jet/ γ + jet backgrounds using a [data-driven approach](#)
- 2D likelihood fit to $m_{\mu\mu}$ and $m_{\mu\mu\gamma}$, and combination with a $H \rightarrow \gamma\gamma$ [result](#), leads to obs (exp) constraints on $(y_c/y_c^{\text{SM}})/(y_\gamma/y_\gamma^{\text{SM}})$ of **[-133, 175]** ([-120, 161])

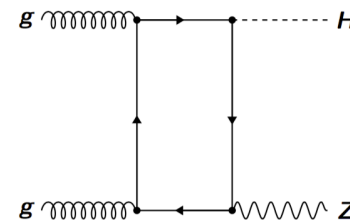


CMS VH , $H \rightarrow c\bar{c}$ Method

- y_c can be probed in $H \rightarrow c\bar{c}$ decays
- VH production is used for triggering and background rejection, where $V \rightarrow \ell\ell, \ell\nu, \nu\nu$
- 138 fb^{-1} of pp data



$pp \rightarrow ZH$ dominated $q\bar{q} \rightarrow ZH$ processes,
 $\sigma \approx 0.76 \text{ pb}$ at $\sqrt{s} = 13 \text{ TeV}$

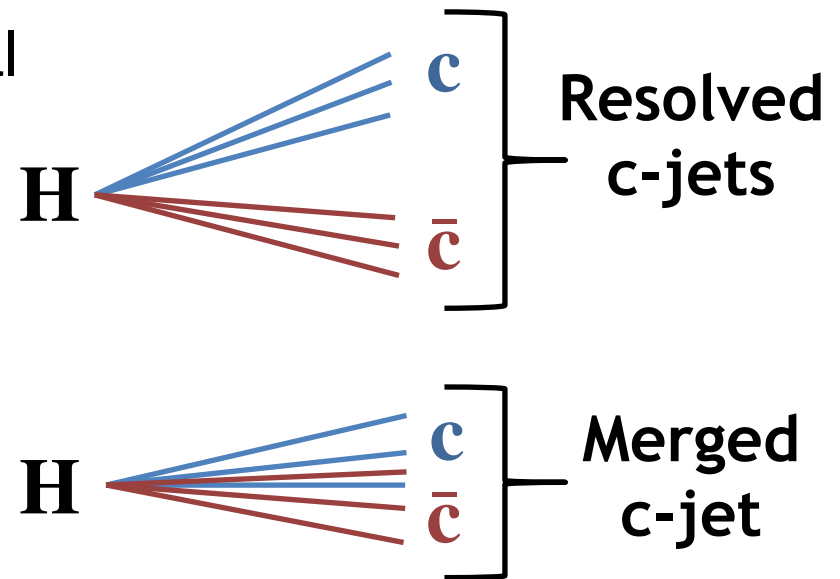


Smaller contributions from $gg \rightarrow ZH$, but
harder p_T^H , $\sigma \approx 0.12 \text{ pb}$ at $\sqrt{s} = 13 \text{ TeV}$

Figure (both diagrams and texts) made by Andrew Chisholm

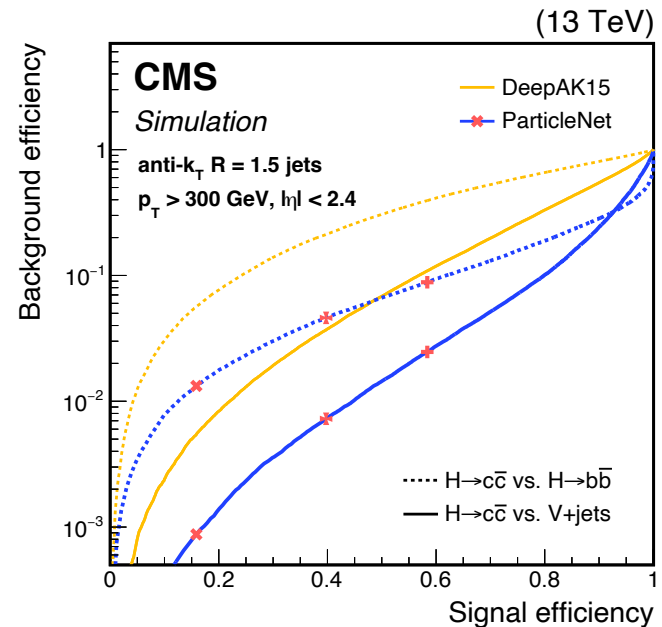
CMS VH , $H \rightarrow c\bar{c}$ Method

- y_c can be probed in $H \rightarrow c\bar{c}$ decays
- VH production is used for triggering and background rejection, where $V \rightarrow \ell\ell, \ell\nu, \nu\nu$
- 138 fb^{-1} of pp data
- Physics objects are reconstructed using a global particle-flow algorithm
- Resolved and merged $H \rightarrow c\bar{c}$ decays targeted



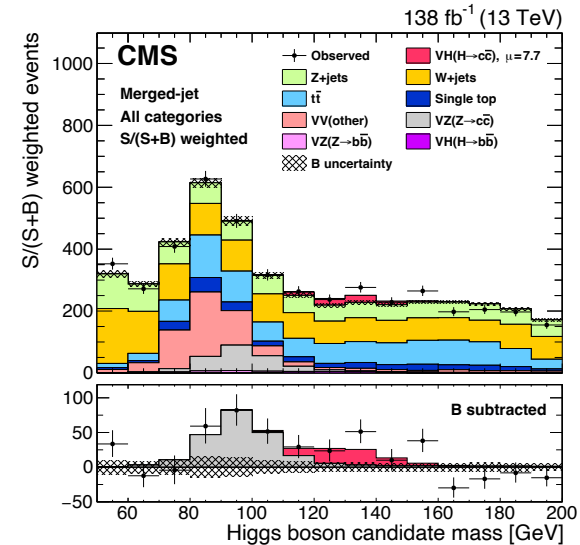
CMS $VH, H \rightarrow c\bar{c}$ Method

- y_c can be probed in $H \rightarrow c\bar{c}$ decays
- VH production is used for triggering and background rejection, where $V \rightarrow \ell\ell, \ell\nu, \nu\nu$
- 138 fb⁻¹ of pp data
- Physics objects are reconstructed using a global particle-flow algorithm
- Resolved and merged $H \rightarrow c\bar{c}$ decays targeted
 - Merged decays categorised using *ParticleNet*
- Boosted decision trees (BDTs) used for merged event selection



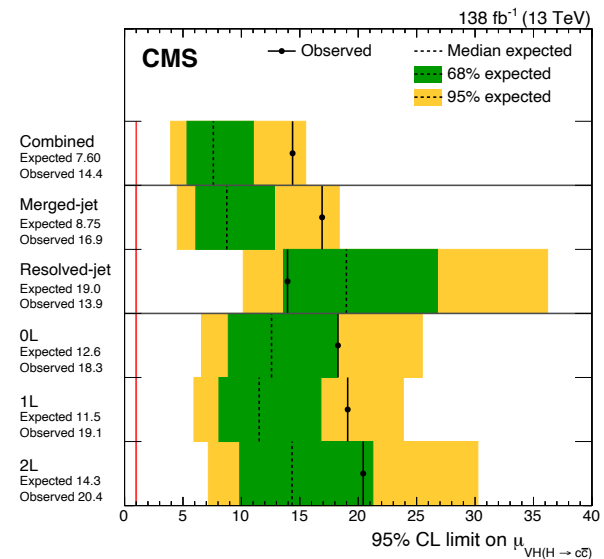
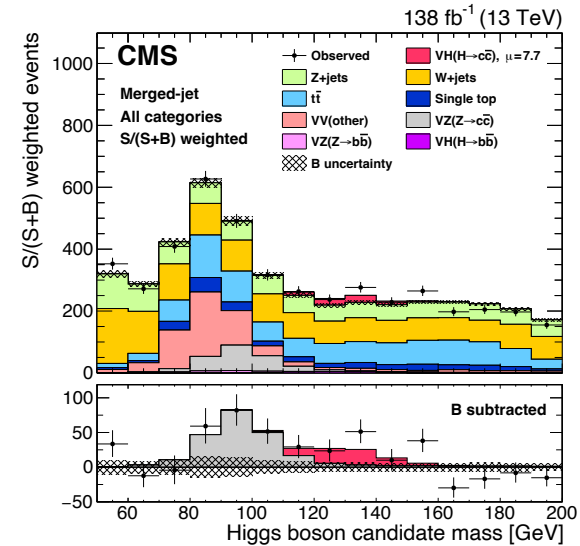
CMS $VH, H \rightarrow c\bar{c}$ Results

- Binned likelihood fit to $m(H_{\text{cand}})$ (BDT output) in the merged (resolved) categories used for interpretation
- $V + \text{jets}$ and $t\bar{t}$ yields estimated in control regions
- Analysis is statistically limited



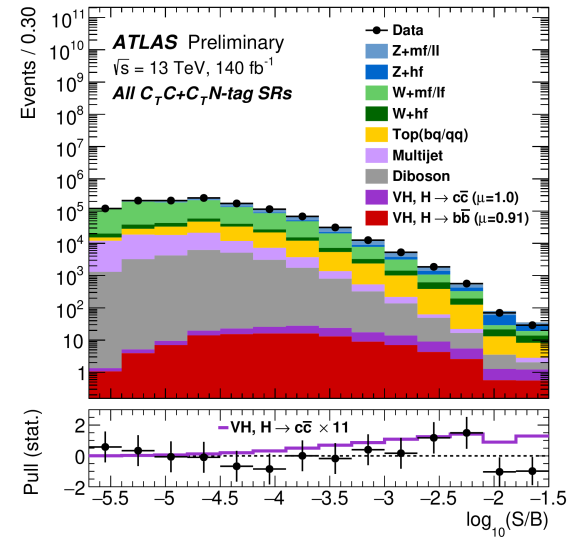
CMS $VH, H \rightarrow c\bar{c}$ Results

- Binned likelihood fit to $m(H_{\text{cand}})$ (BDT output) in the merged (resolved) categories used for interpretation
- $V + \text{jets}$ and $t\bar{t}$ yields estimated in control regions
- Analysis is statistically limited
- Obs (exp) 95% CL upper limit on $\sigma(VH)\mathcal{B}(H \rightarrow c\bar{c})$: **$14 \times \text{SM}$** ($7.6 \times \text{SM}$)
- Obs (exp) 95% CL interval on y_c/y_c^{SM} : **$1.1\text{--}5.5$** (<3.4)



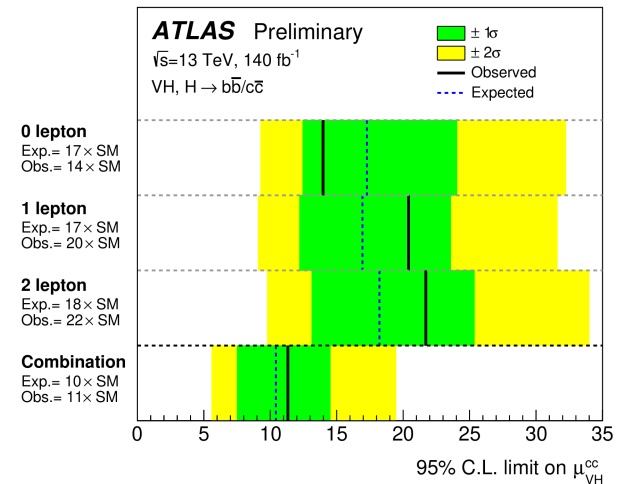
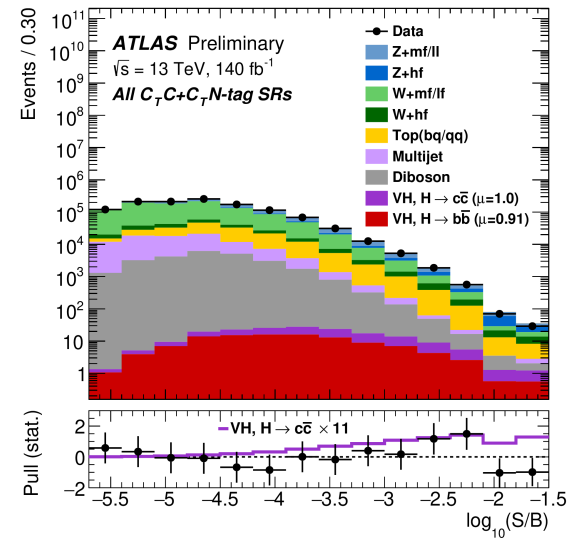
ATLAS $VH, H \rightarrow c\bar{c}$

- ATLAS has analysed $H \rightarrow c\bar{c}$ and $H \rightarrow b\bar{b}$ decays, using VH production
- 139 fb⁻¹ of pp data
- Only resolved events are used for $H \rightarrow c\bar{c}$ here



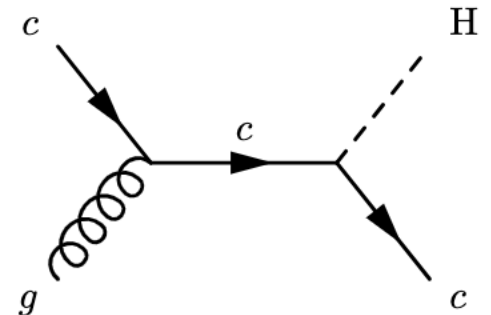
ATLAS VH, H → c \bar{c}

- ATLAS has analysed $H \rightarrow c\bar{c}$ and $H \rightarrow b\bar{b}$ decays, using VH production
- 139 fb⁻¹ of pp data
- Only resolved events are used for $H \rightarrow c\bar{c}$ here
- Obs (exp) $VH, H \rightarrow c\bar{c}$ 95% CL_s upper limit: **11.3 × SM** (10.4 × SM)
- Corresponding to obs (exp) upper limit on $|y_c/y_c^{\text{SM}}|$: **4.2** (4.1)



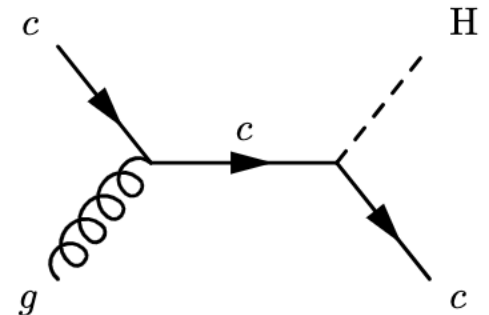
Higgs+charm production

- Probing y_c is challenging, and multiple approaches will likely be required
- Higgs+charm production was [proposed](#) to **probe y_c** , but also **tests a new Higgs boson production mode**



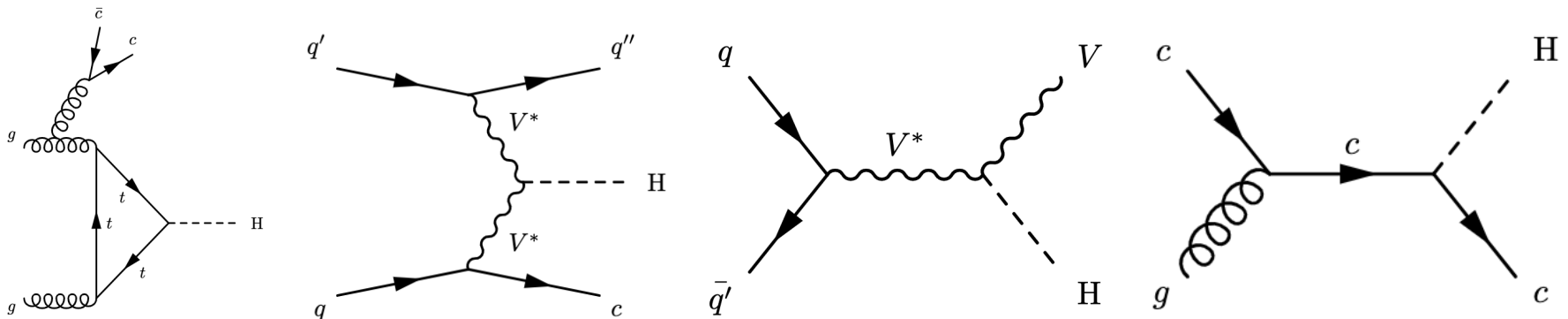
Higgs+charm production

- Probing y_c is challenging, and multiple approaches will likely be required
- Higgs+charm production was [proposed](#) to **probe y_c** , but also **tests a new Higgs boson production mode**
- Only have to c-tag 1 jet ✓
- Can use most sensitive Higgs decay modes (e.g. $H \rightarrow \gamma\gamma$ and $H \rightarrow ZZ$) ✓



Higgs+charm production

- Probing y_c is challenging, and multiple approaches will likely be required
- Higgs+charm production was proposed to **probe y_c** , but also **tests a new Higgs boson production mode**
- Only have to c-tag 1 jet ✓
- Can use most sensitive Higgs decay modes (e.g. $H \rightarrow \gamma\gamma$ and $H \rightarrow ZZ$) ✓
- Only $\sim 1\%$ of signal sensitive to y_c ✗

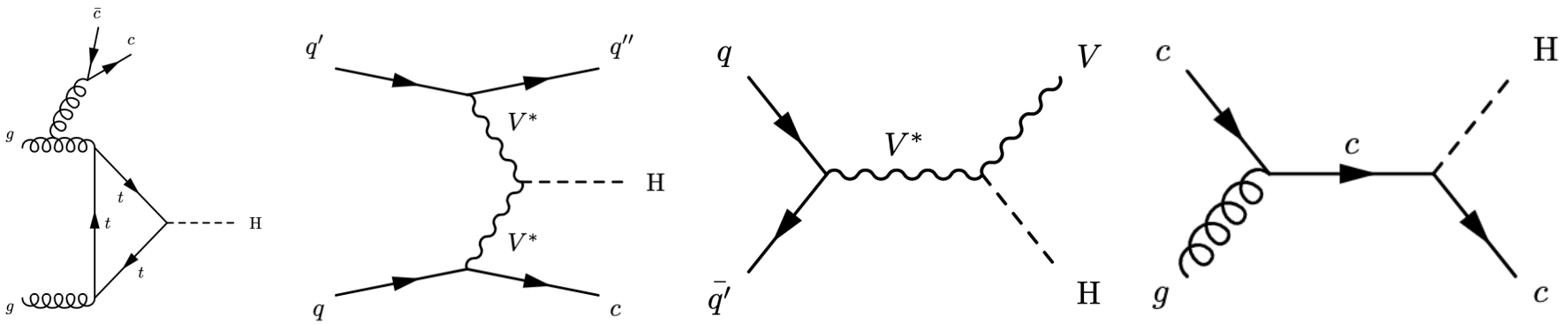


ATLAS Higgs+charm analysis

- Higgs+charm events were analysed in $H \rightarrow \gamma\gamma$ decays
- Run 2 dataset (140 fb⁻¹) used, with (di-)photon triggers

ATLAS Higgs+charm analysis

- Higgs+charm events were analysed in $H \rightarrow \gamma\gamma$ decays
- Run 2 dataset (140 fb⁻¹) used, with (di-)photon triggers
- **Inclusive H+c signal:** truth-level events must contain a Higgs boson and a charm-jet
 - Cross-section: **2.9 pb**
- **Backgrounds:** non-resonant (NR) $\gamma\gamma + n_{\text{partons}}$; any resonant $H \rightarrow \gamma\gamma$ event that is not signal



Event Selection

- **Photons:** ≥ 2 isolated and *tight* identified photons with $p_{\text{T}} > 25$ GeV and $|\eta| < 2.37$, but not $1.37 < |\eta| < 1.52$
- **Jets:** 1–2 jets with $p_{\text{T}} > 25$ GeV and $|\eta| < 2.5$

Event Selection

- **Photons:** ≥ 2 isolated and *tight* identified photons with $p_T > 25$ GeV and $|\eta| < 2.37$, but not $1.37 < |\eta| < 1.52$
- **Jets:** 1–2 jets with $p_T > 25$ GeV and $|\eta| < 2.5$
- **Event-level selection:**
 - $E_T^\gamma / m_{\gamma\gamma} > 0.35$ (0.25) for (sub-)leading photon
 - $105 \text{ GeV} < m_{\gamma\gamma} < 160 \text{ GeV}$
 - $\Delta R(j, \gamma_{1,2}) > 1$

Event Selection

- **Photons:** ≥ 2 isolated and *tight* identified photons with $p_{\text{T}} > 25$ GeV and $|\eta| < 2.37$, but not $1.37 < |\eta| < 1.52$
- **Jets:** 1–2 jets with $p_{\text{T}} > 25$ GeV and $|\eta| < 2.5$
- **Event-level selection:**
 - $E_{\text{T}}^{\gamma} / m_{\gamma\gamma} > 0.35$ (0.25) for (sub-)leading photon
 - $105 \text{ GeV} < m_{\gamma\gamma} < 160 \text{ GeV}$
 - $\Delta R(j, \gamma_{1,2}) > 1$
- **Categorisation:** c-tag and non-c-tag signal regions (SRs) defined based on presence of c-tagged jet
- **Discriminant variable:** $m_{\gamma\gamma}$, in region 120–130 GeV

Simulation

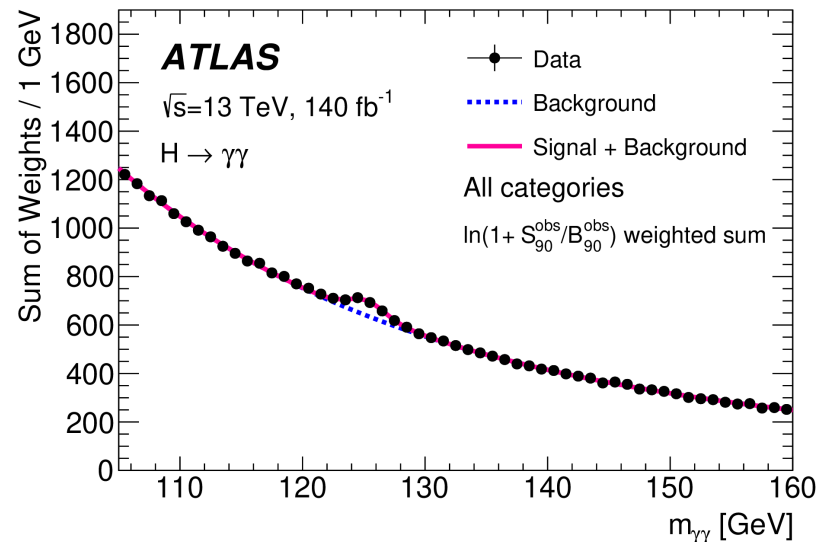
- Monte Carlo (MC) simulation is used to model the signal and resonant backgrounds
- *Geant4* ATLAS simulation used for most MC samples
- y_c -sensitive part of $H + c$ is modelled at leading order using *MadGraph5*, with *Higgs Effective Couplings* [UFO](#)

Process	Generator ME + PS	PDF set	PDF tune	σ [fb]
ggF $H, H \rightarrow \gamma\gamma$	POWHEG NNLOPS+PYTHIA 8	PDF4LHC15	AZNLOCTEQ6	110.1
VBF $H, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	AZNLOCTEQ6	8.578
$W^- H, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	AZNLOCTEQ6	1.206
$W^+ H, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	AZNLOCTEQ6	1.902
$gg \rightarrow ZH, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	AZNLOCTEQ6	0.278
$qq \rightarrow ZH, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	AZNLOCTEQ6	1.725
$t\bar{t}H, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	A14NNPDF23	1.150
$b\bar{b}H, H \rightarrow \gamma\gamma$	POWHEG BOX +PYTHIA 8	PDF4LHC15	A14NNPDF23	1.104
y_c -sensitive $H + c, H \rightarrow \gamma\gamma$	MADGRAPH +PYTHIA 8	NNPDF3.0NNLO	A14NNPDF23	0.064
Non-resonant $\gamma\gamma + n$ parton	SHERPA (ME@NLO+PS)	NNPDF3.0NNLO	SHERPA	51823

NR background modelling

- Dominant background is from smoothly-falling NR $\gamma\gamma + n$ partons events
 - Typically modelled using analytical functions

Representative example (not used here)



[PLB 847 \(2023\) 138315](#)

[arXiv:2407.15550 \[hep-ex\]](#)

NR background modelling

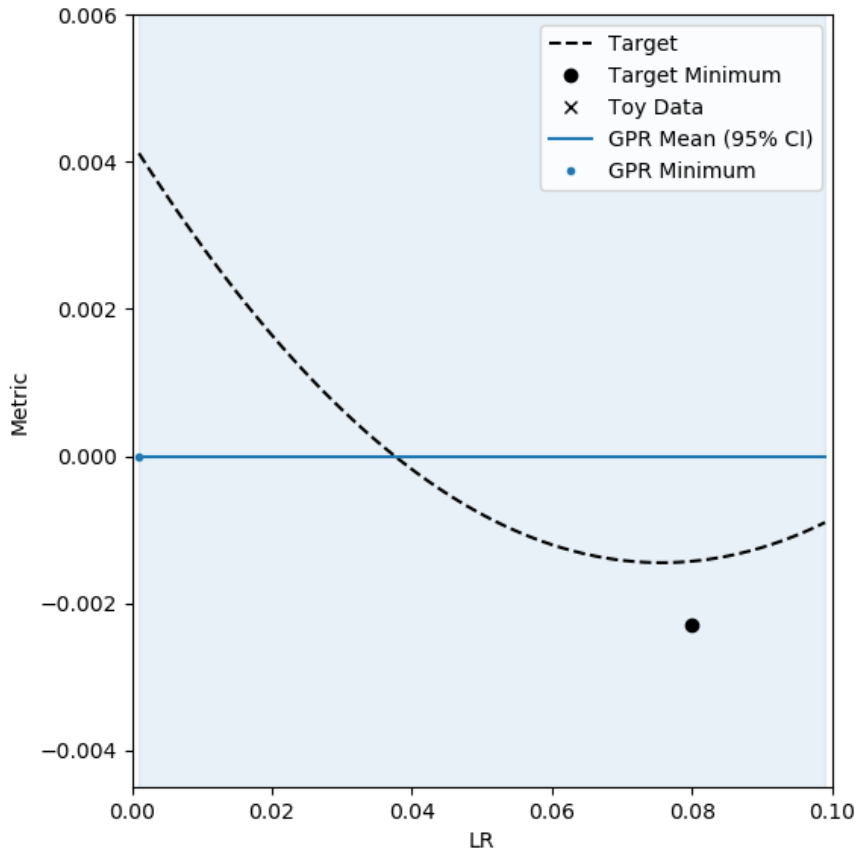
- Dominant background is from smoothly-falling NR $\gamma\gamma + n$ partons events
 - Typically modelled using analytical functions
- Here, we perform the first usage of [Gaussian process regression](#) (GPR) for direct background estimation in a particle physics data analysis
 - There are [pre-existing ideas](#) for using GPR in HEP
- GPR is a machine learning algorithm that provides a **Bayesian posterior distribution** over functions
 - **Explores complete space of functions**, so is always able to model true function

NR background modelling

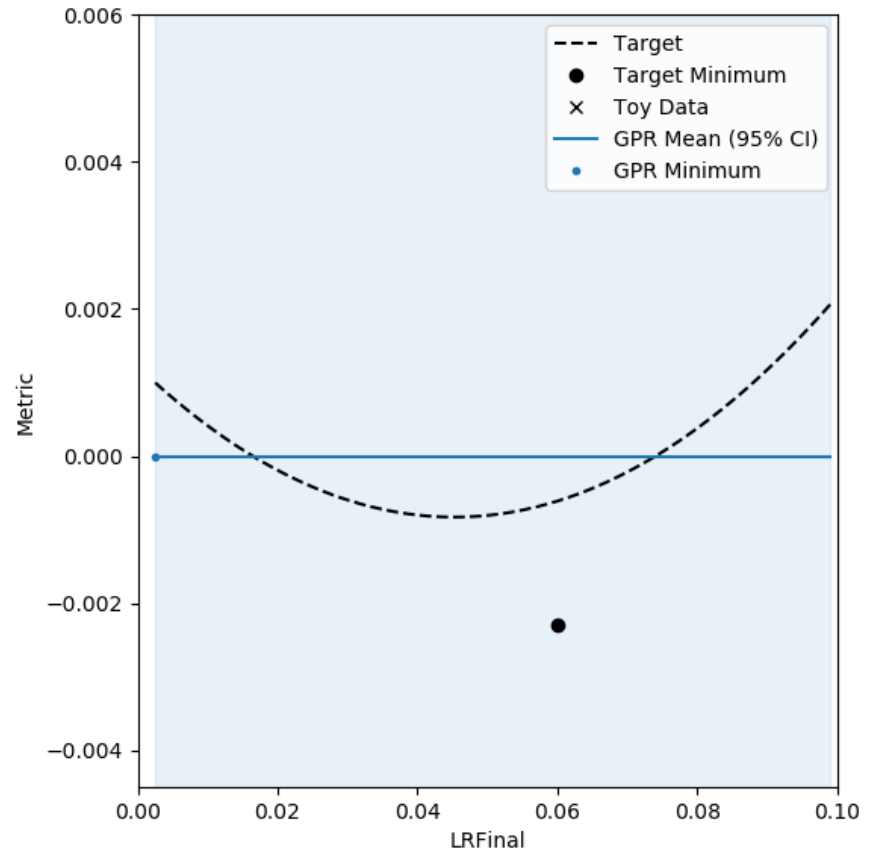
- Dominant background is from smoothly-falling NR $\gamma\gamma + n$ partons events
 - Typically modelled using analytical functions
- Here, we perform the first usage of [Gaussian process regression](#) (GPR) for direct background estimation in a particle physics data analysis
 - There are [pre-existing ideas](#) for using GPR in HEP
- GPR is a machine learning algorithm that provides a **Bayesian posterior distribution** over functions
 - **Explores complete space of functions**, so is always able to model true function
- Here, GPR (with [RBF kernel](#)) is used to **interpolate from data in sidebands into SRs**, providing distribution over possible background PDFs

Toy GPR Demonstration

0 training events



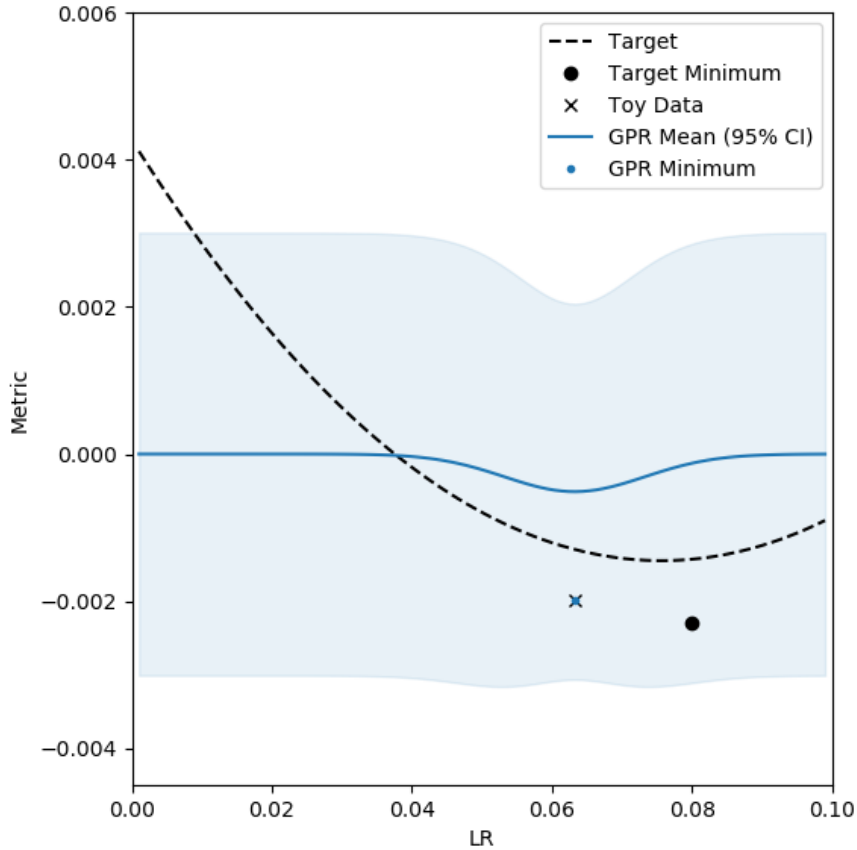
N/A error



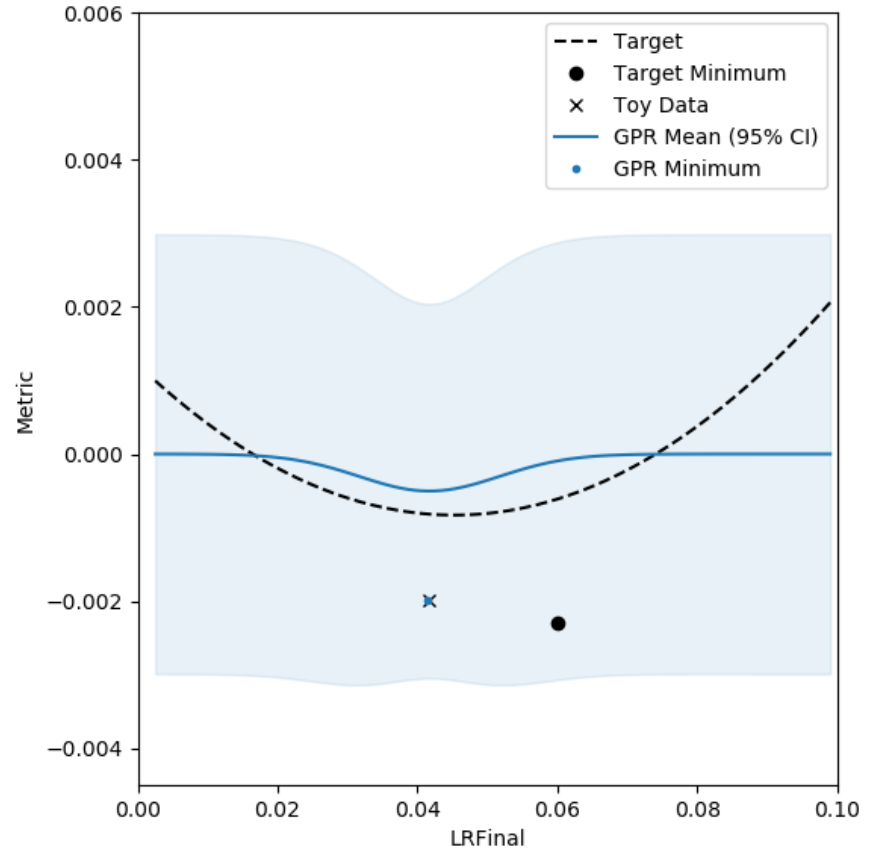
N/A error

Toy GPR Demonstration

1 training event



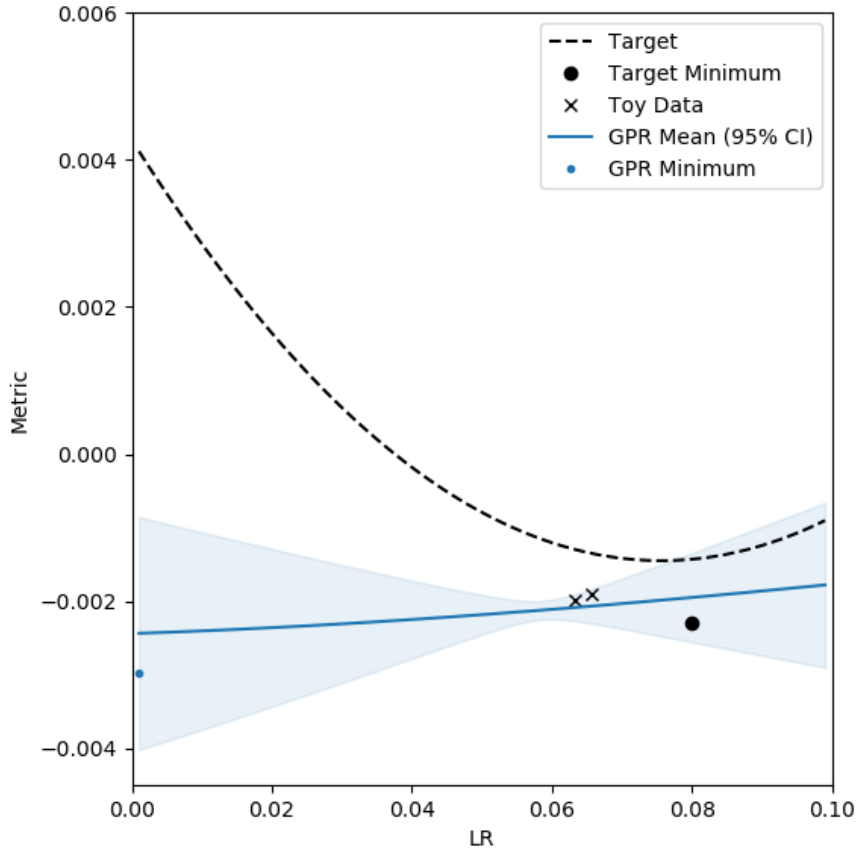
21% error



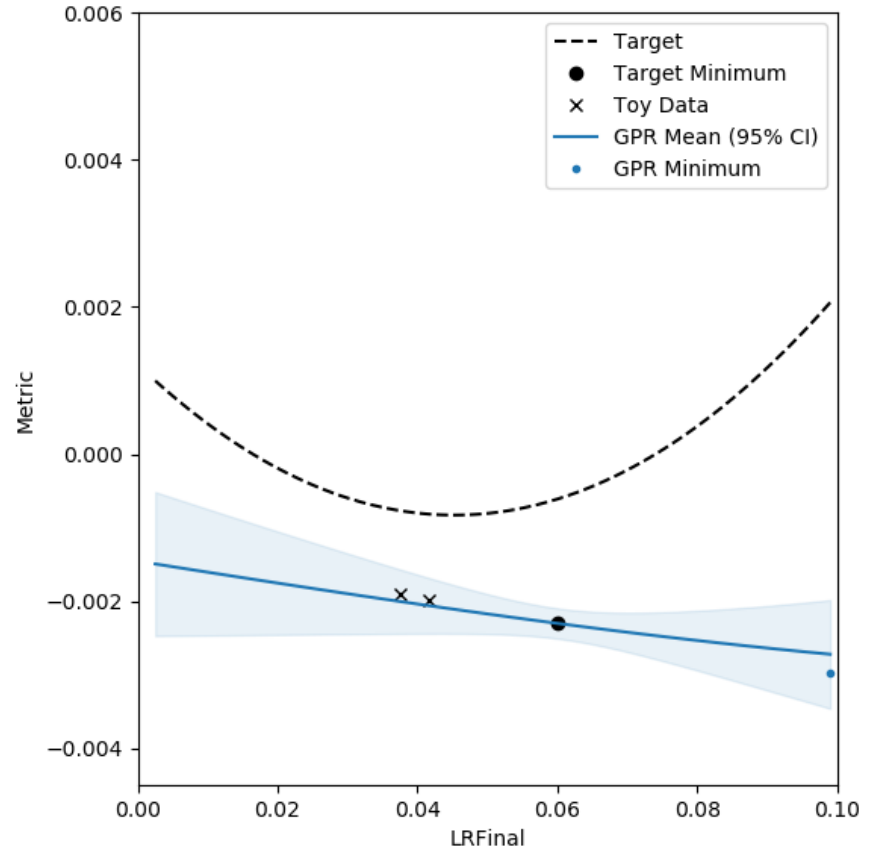
31% error

Toy GPR Demonstration

2 training events



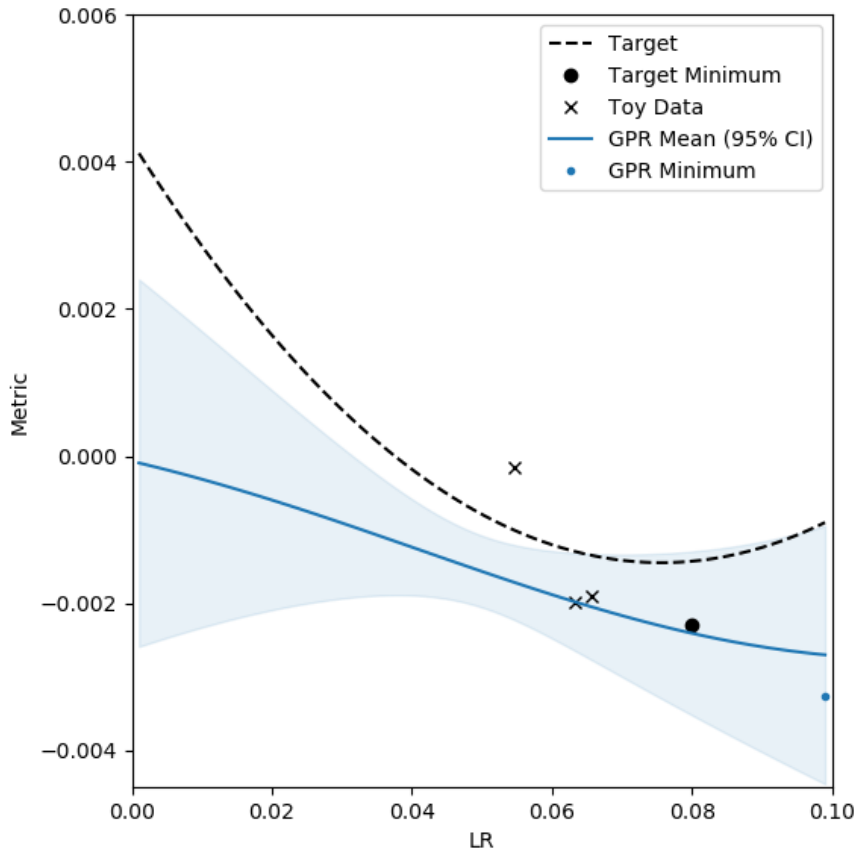
99% error



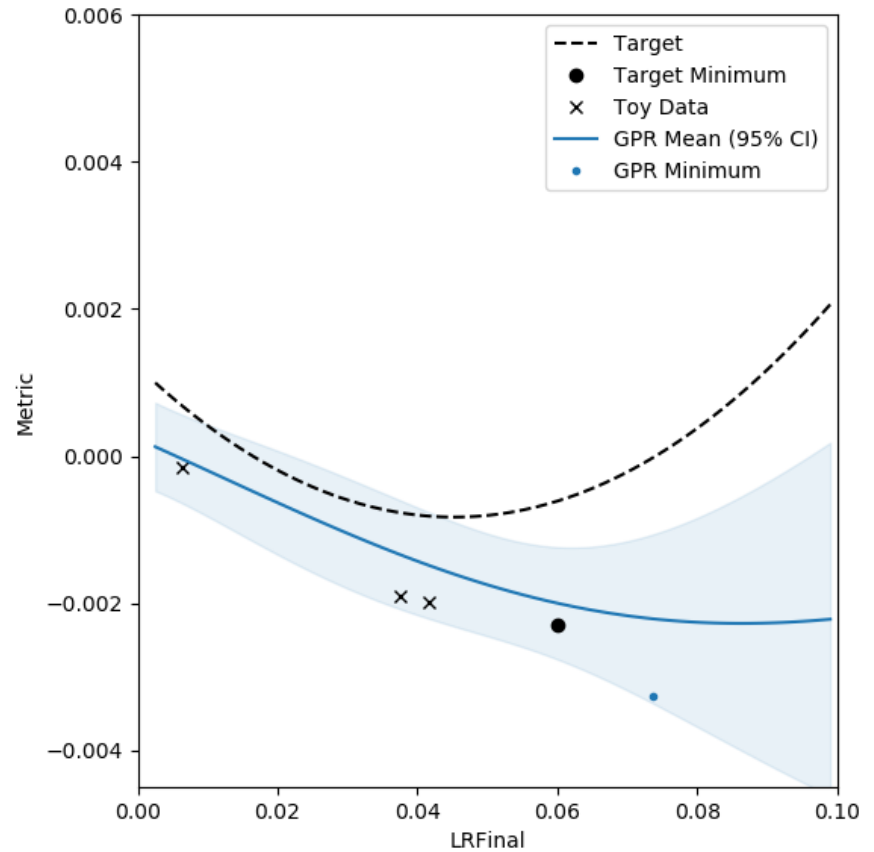
65% error

Toy GPR Demonstration

3 training events



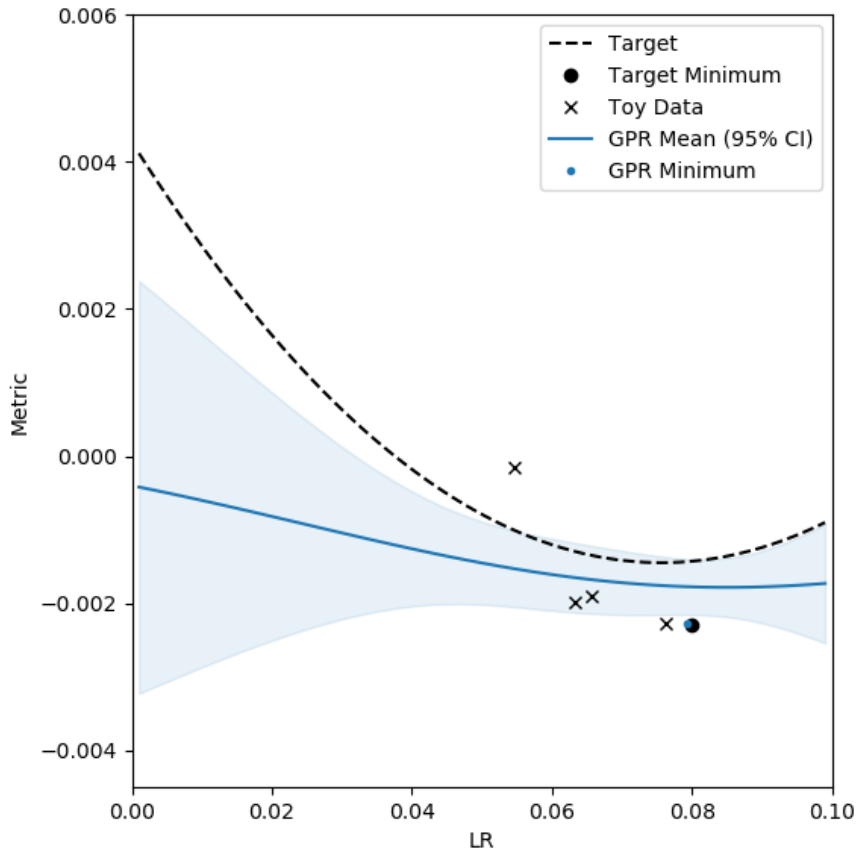
24% error



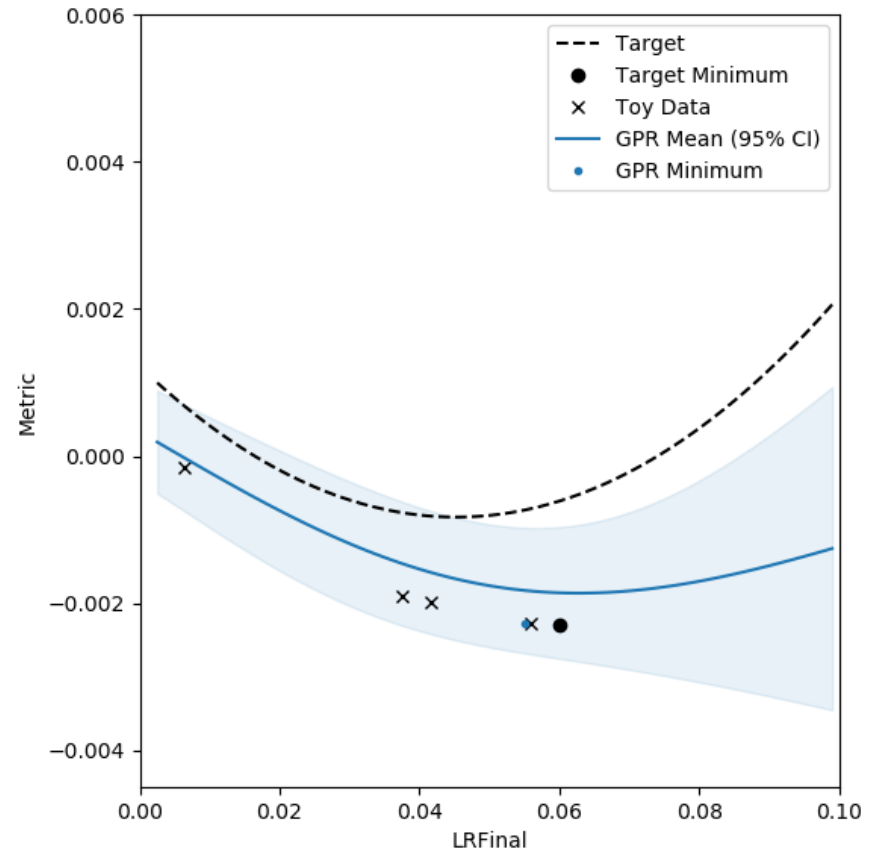
23% error

Toy GPR Demonstration

4 training events



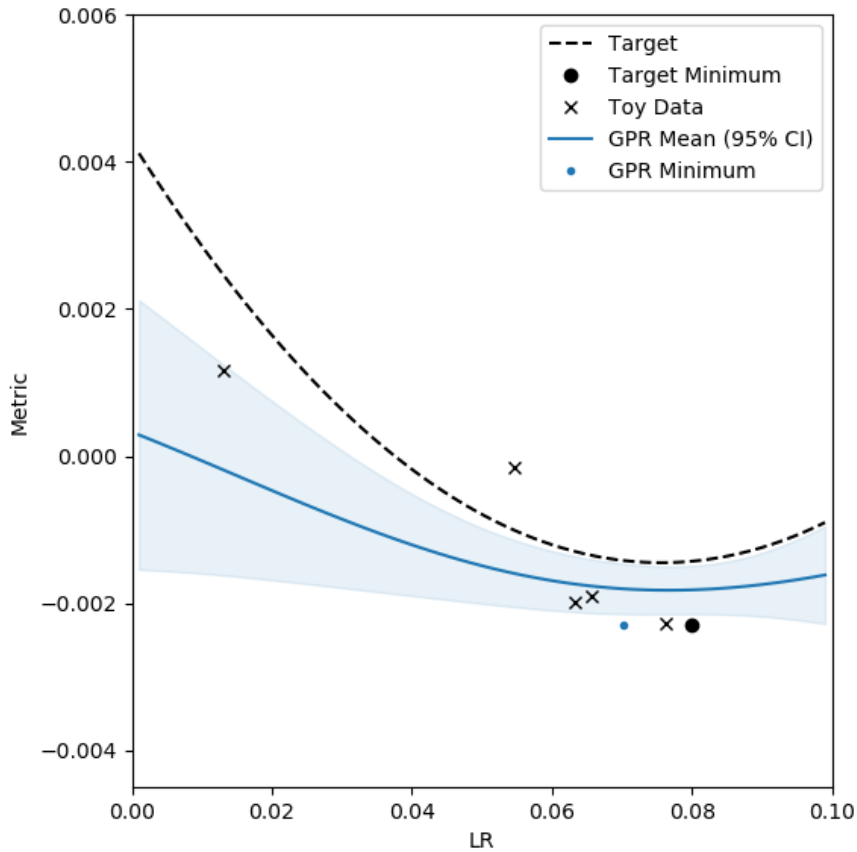
0.99% error



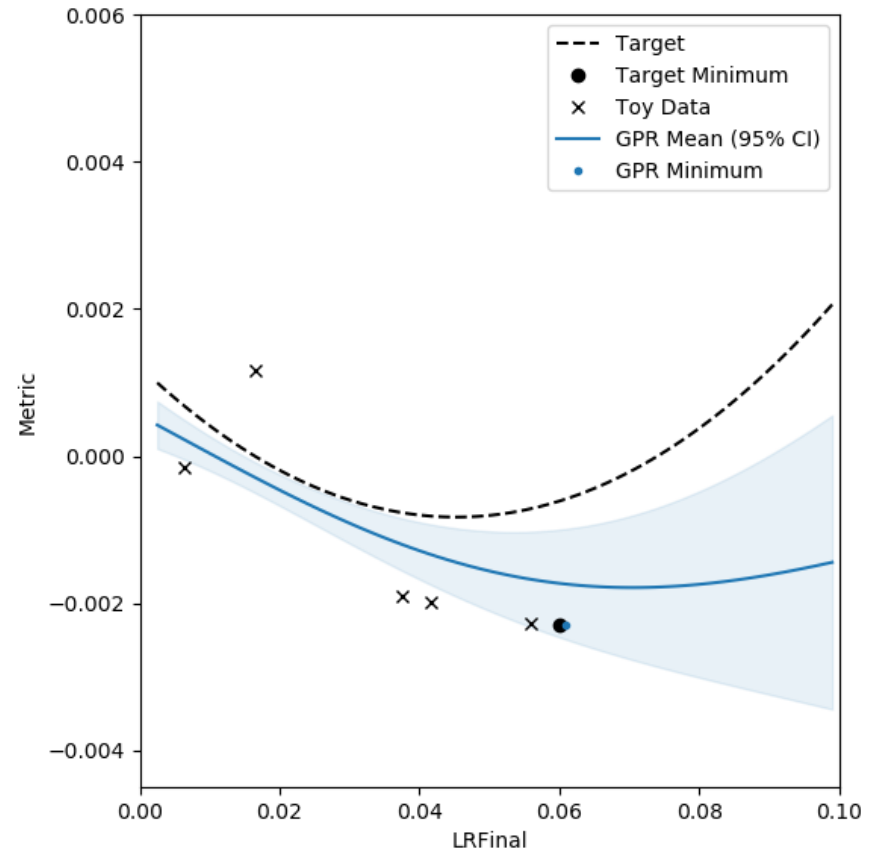
8.1% error

Toy GPR Demonstration

5 training events



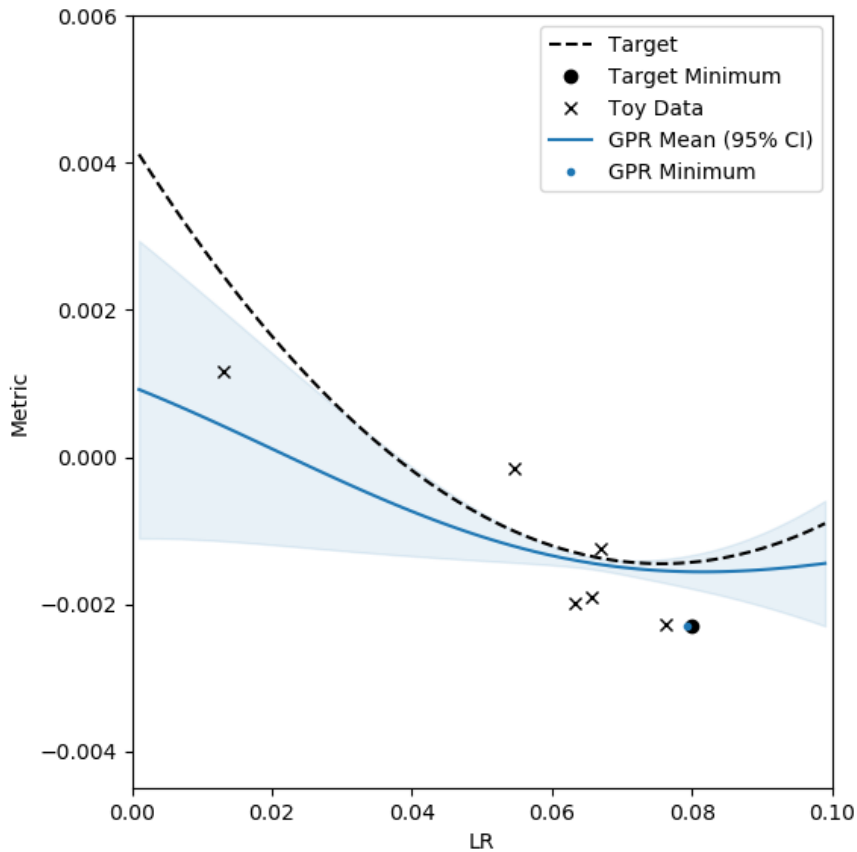
12% error



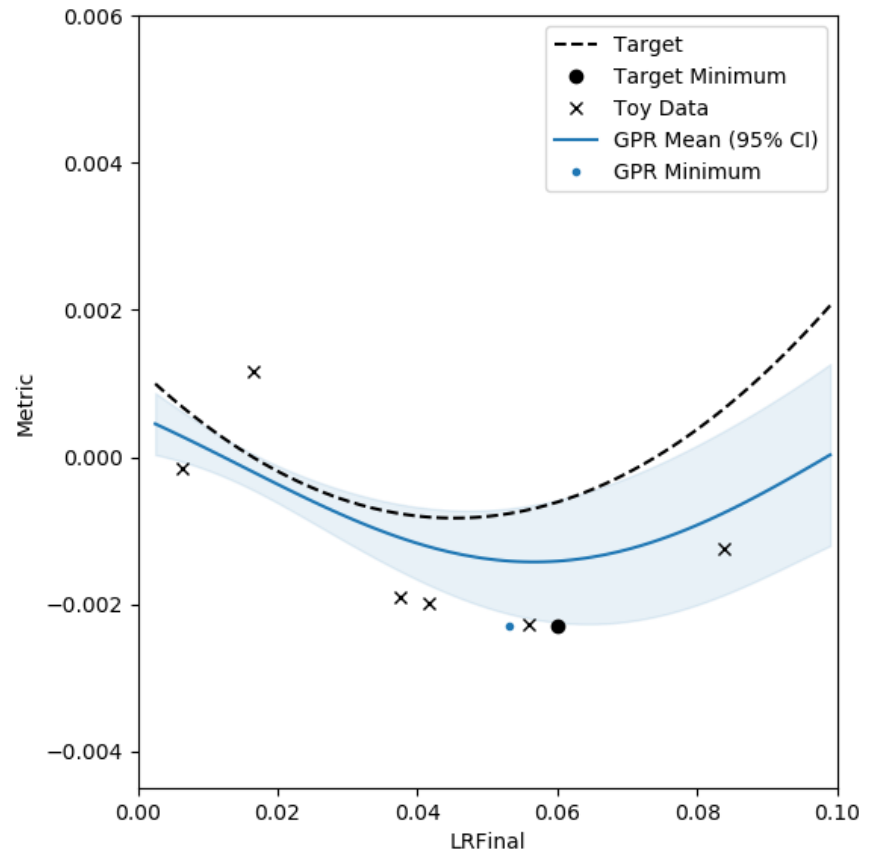
1.7% error

Toy GPR Demonstration

6 training events



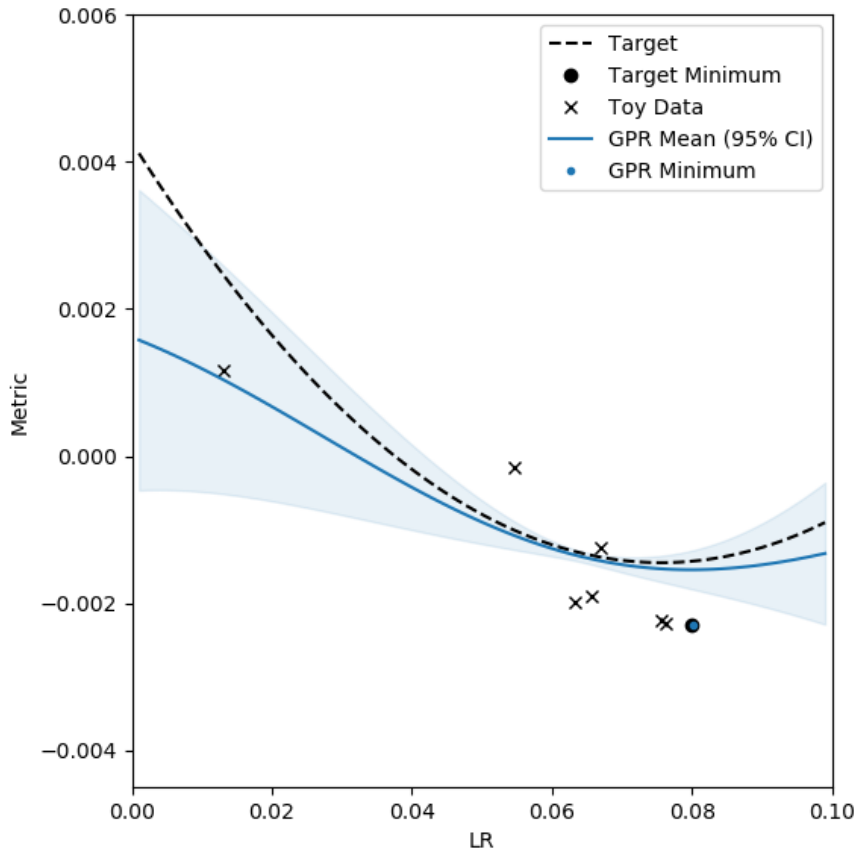
0.99% error



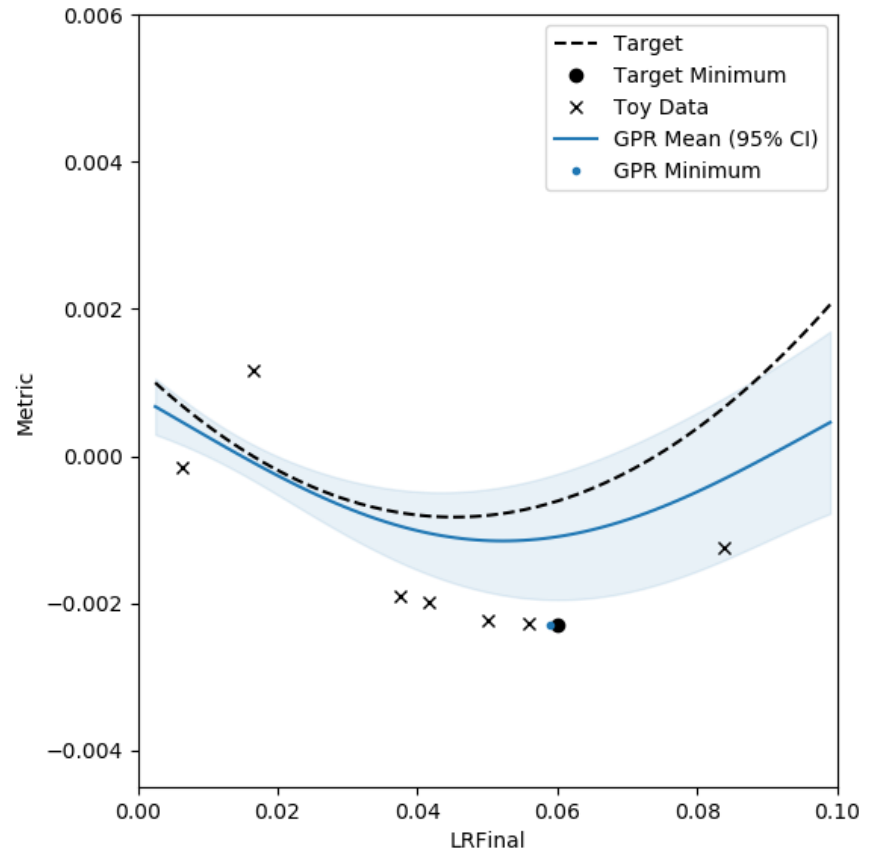
11% error

Toy GPR Demonstration

7 training events



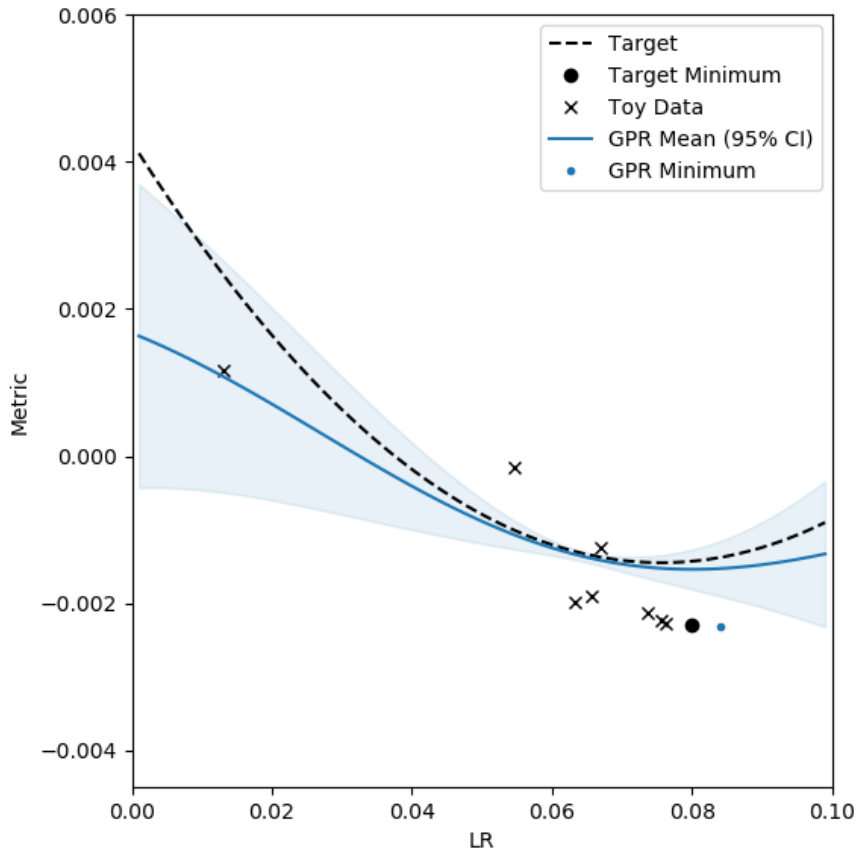
<0.25% error



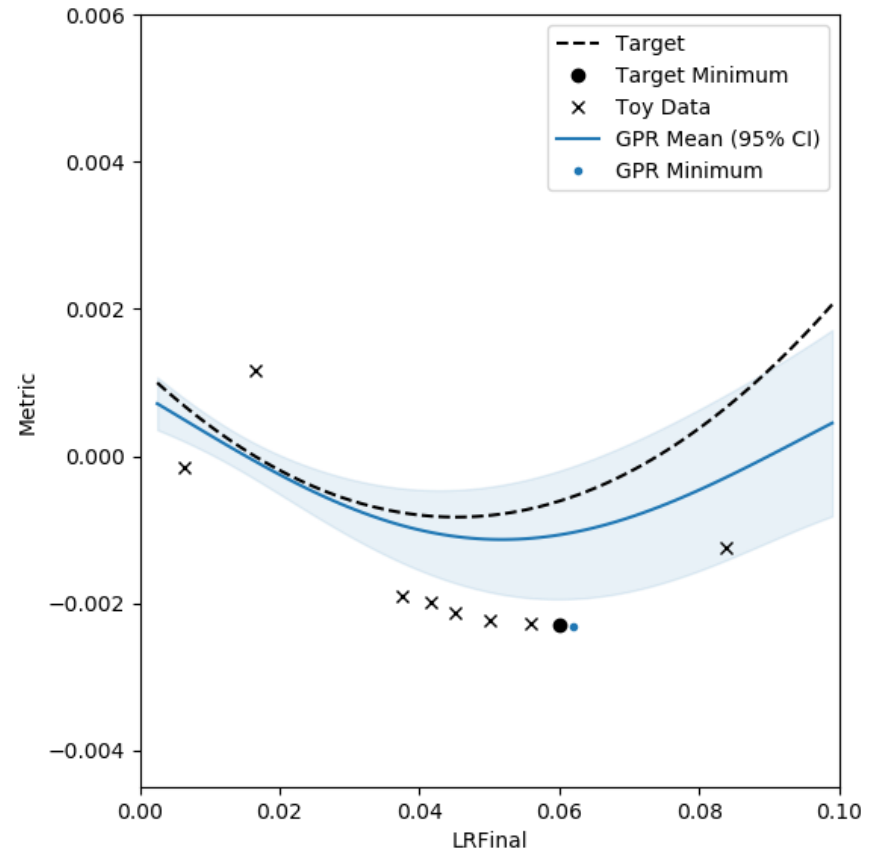
1.6% error

Toy GPR Demonstration

8 training events



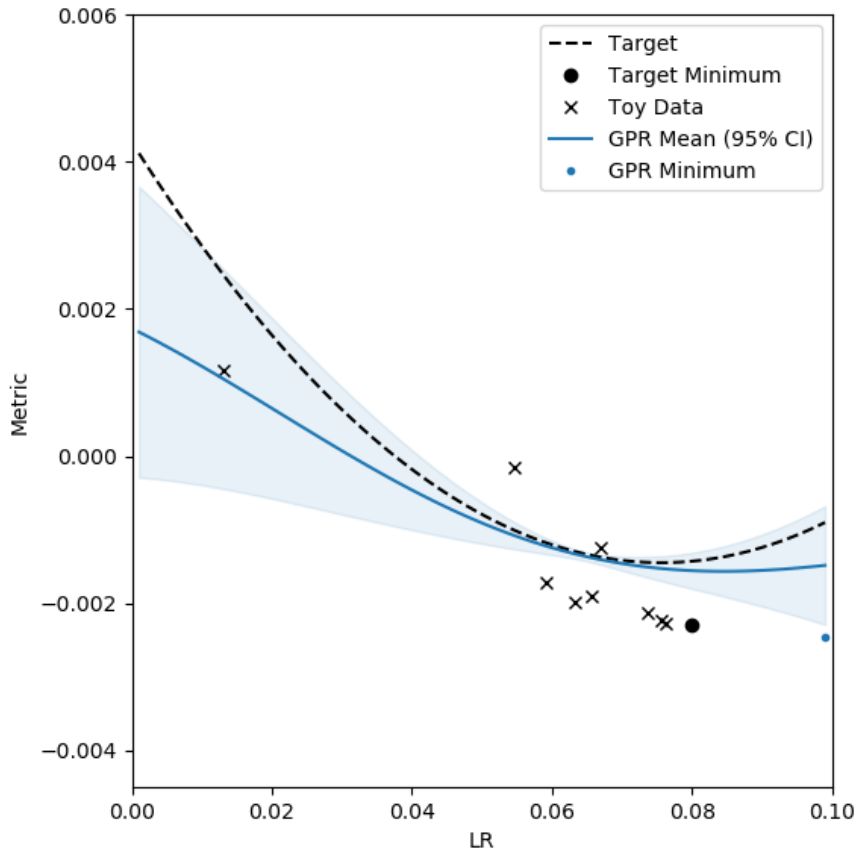
5.2% error



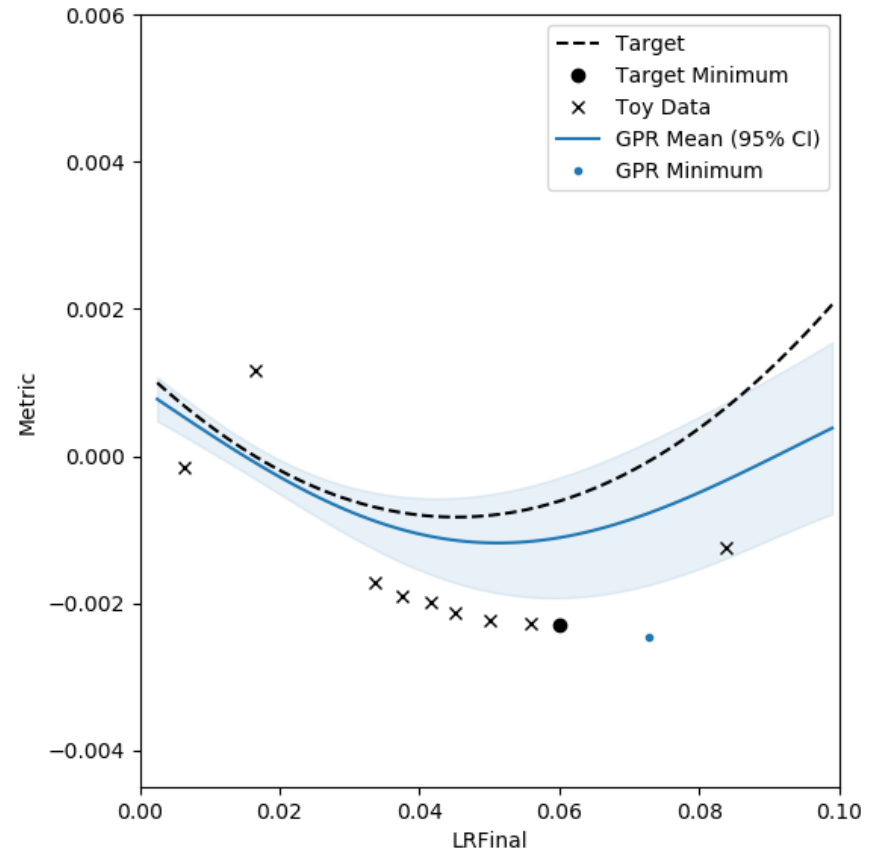
3.3% error

Toy GPR Demonstration

9 training events



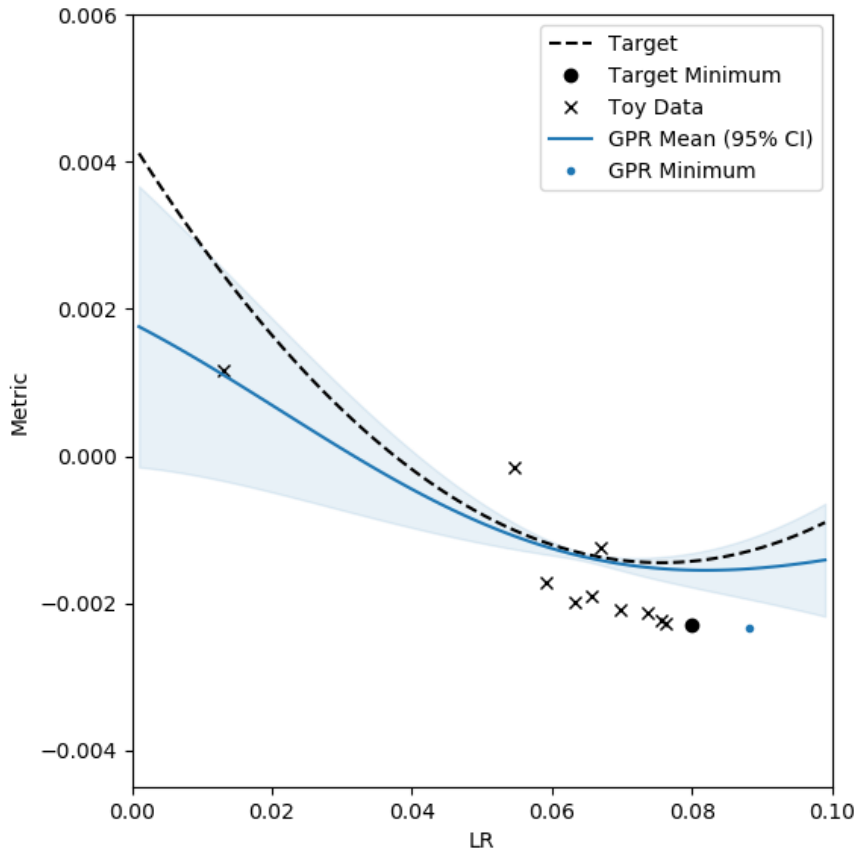
24% error



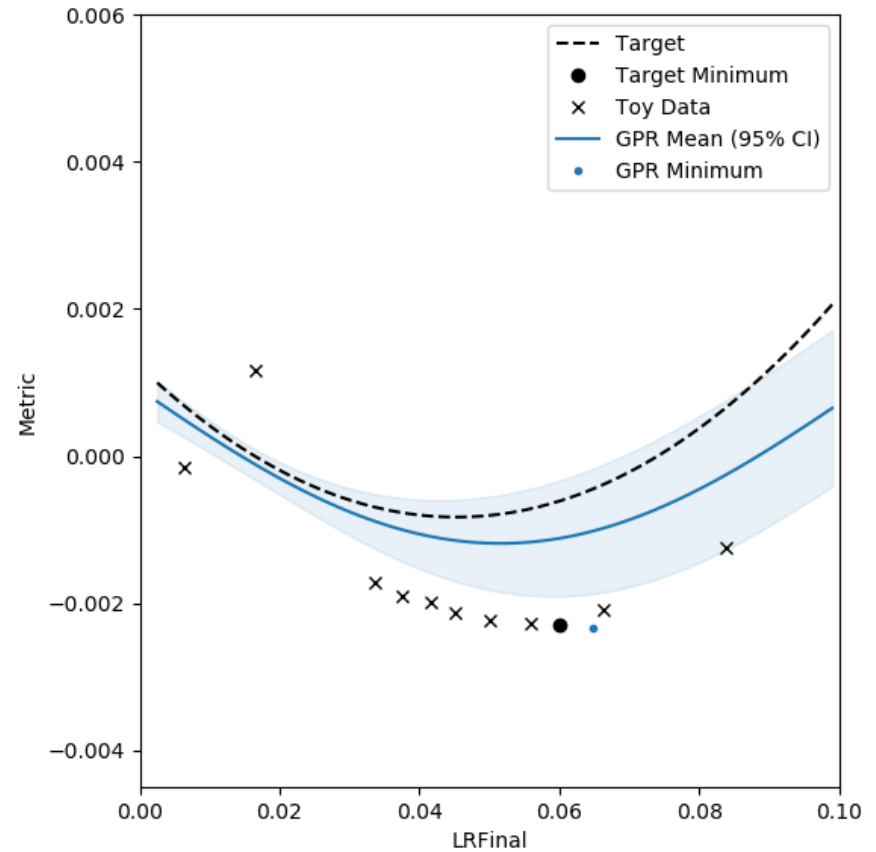
21% error

Toy GPR Demonstration

10 training events



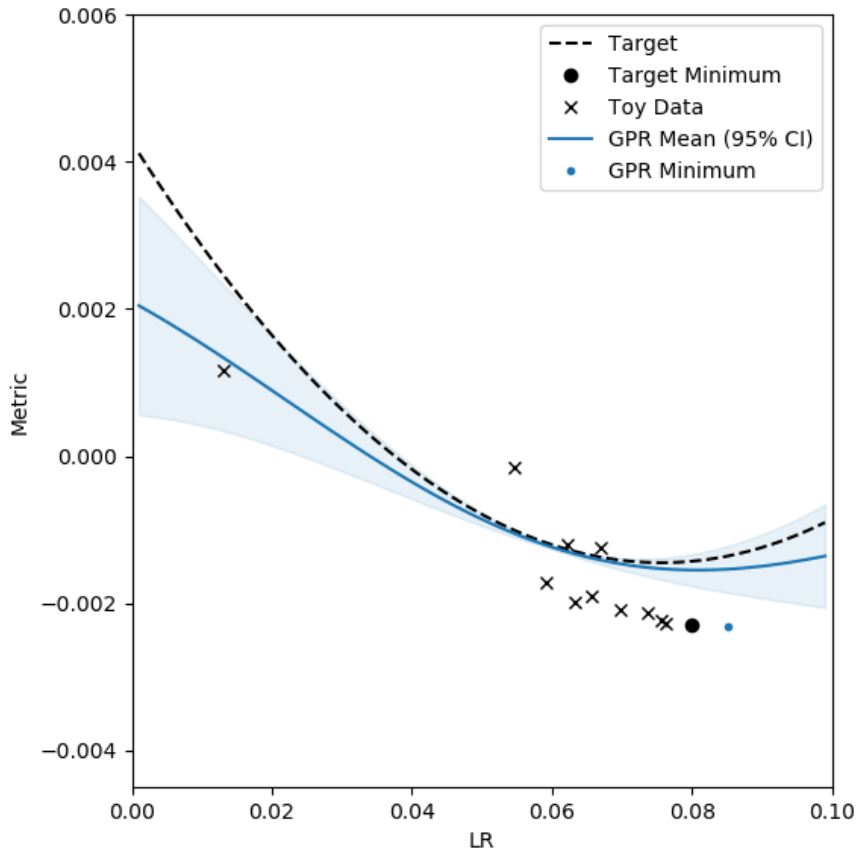
10% error



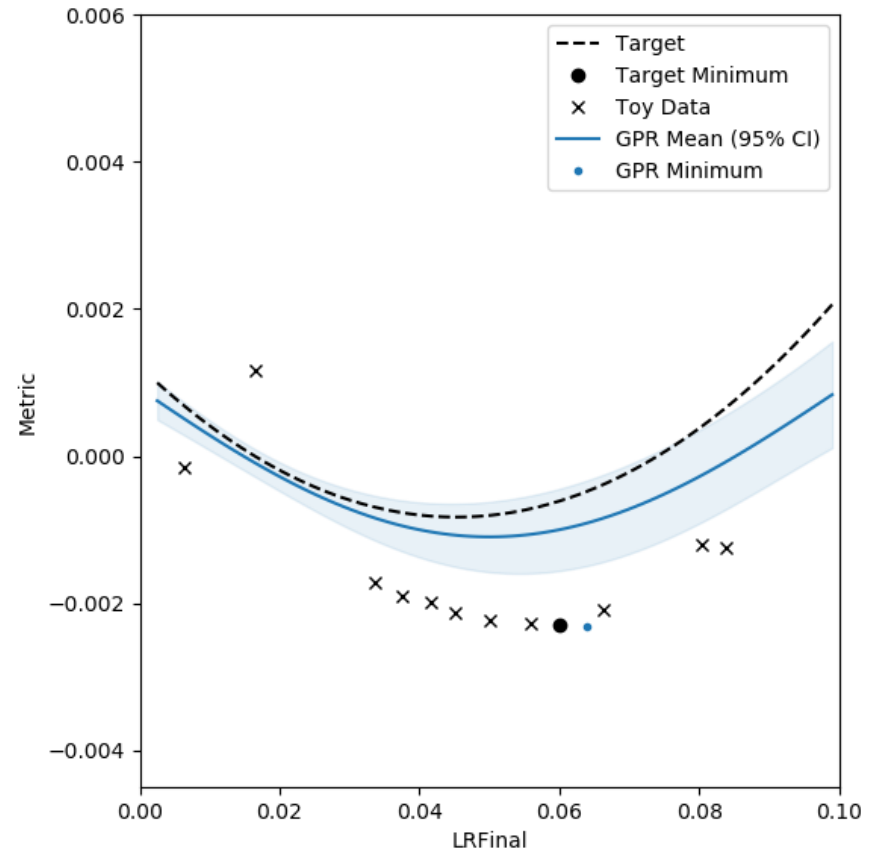
8.2% error

Toy GPR Demonstration

11 training events



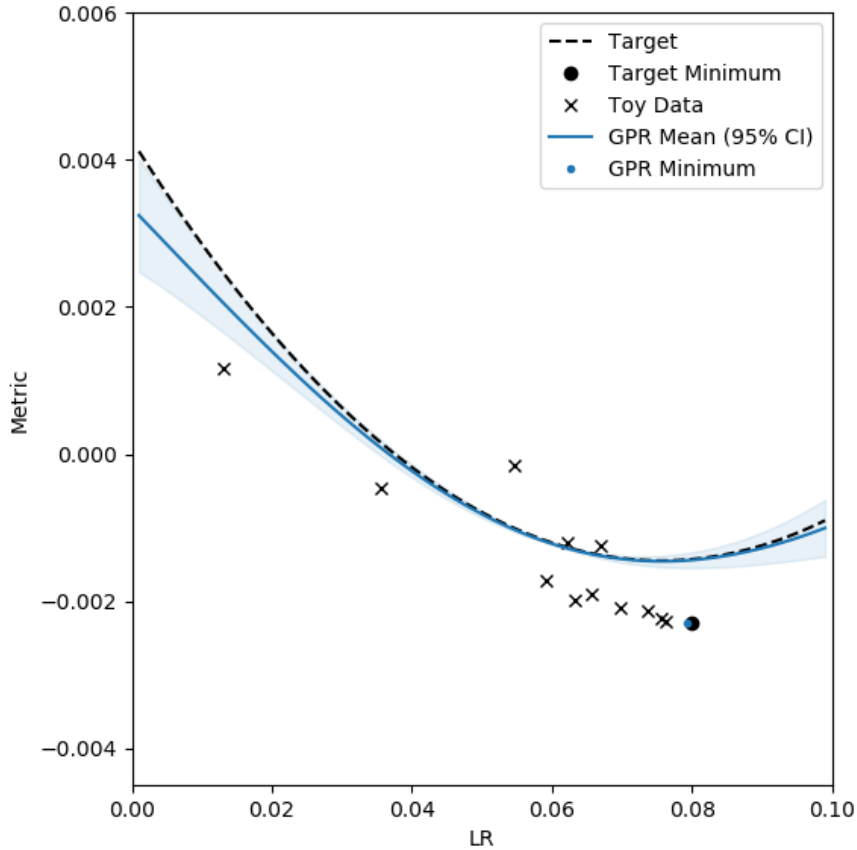
6.4% error



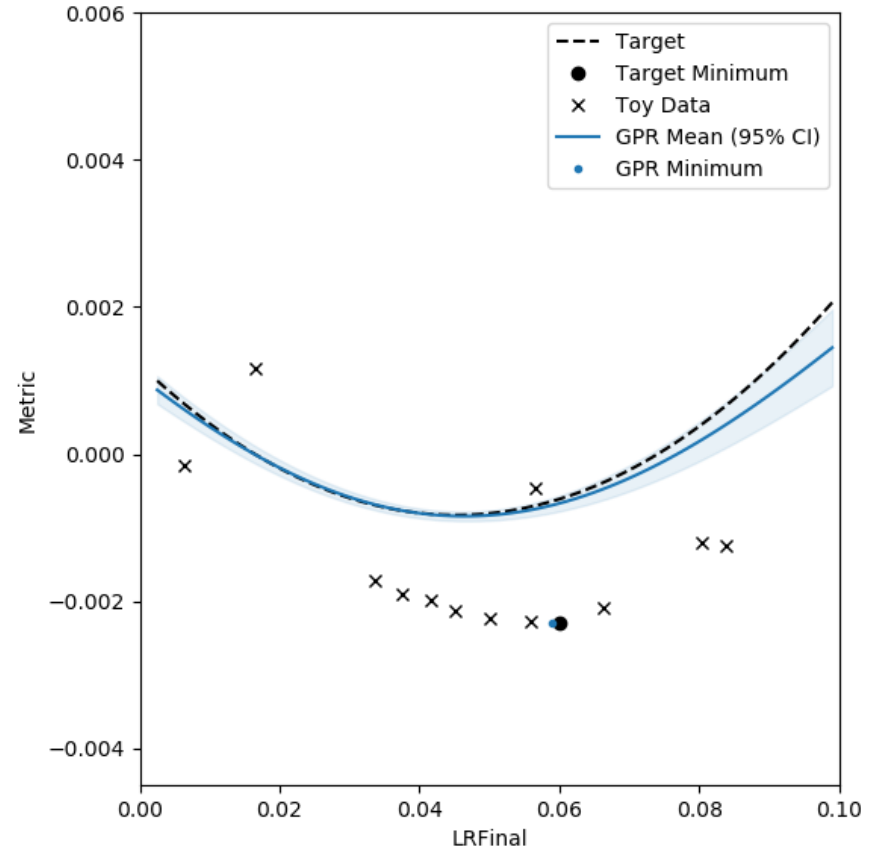
6.5% error

Toy GPR Demonstration

12 training events



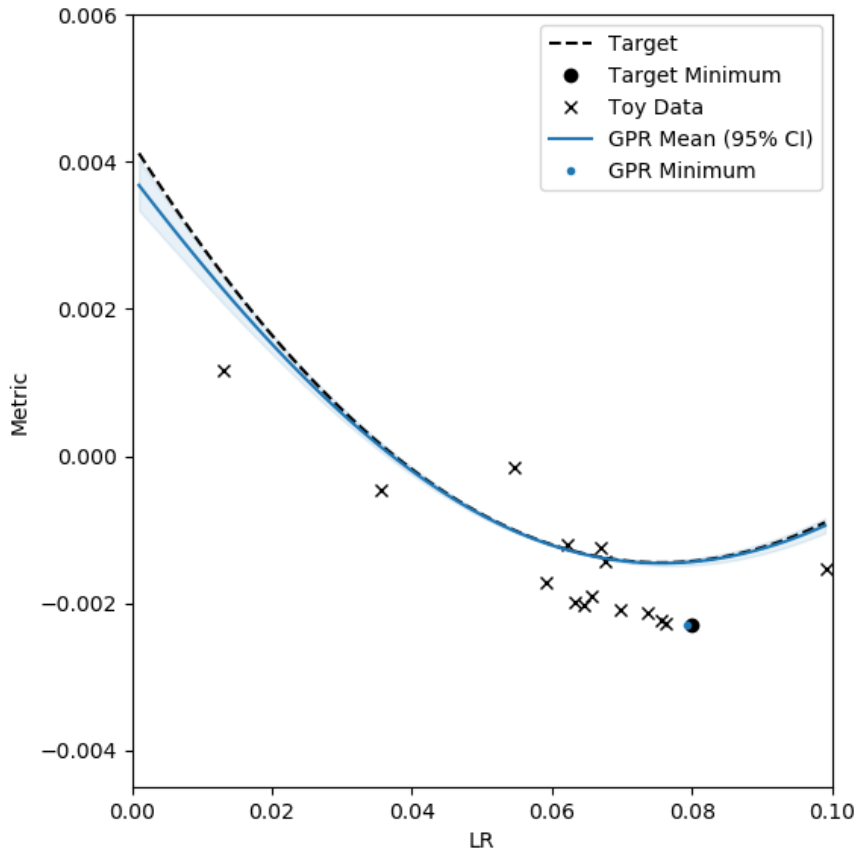
0.99% error



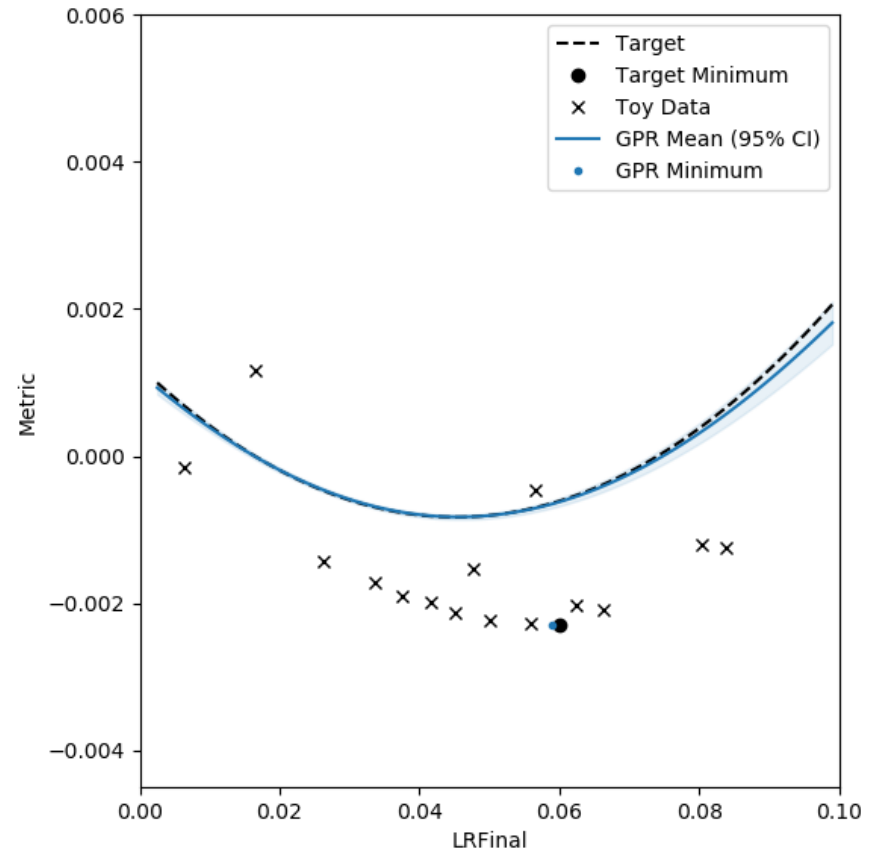
1.6% error

Toy GPR Demonstration

15 training events



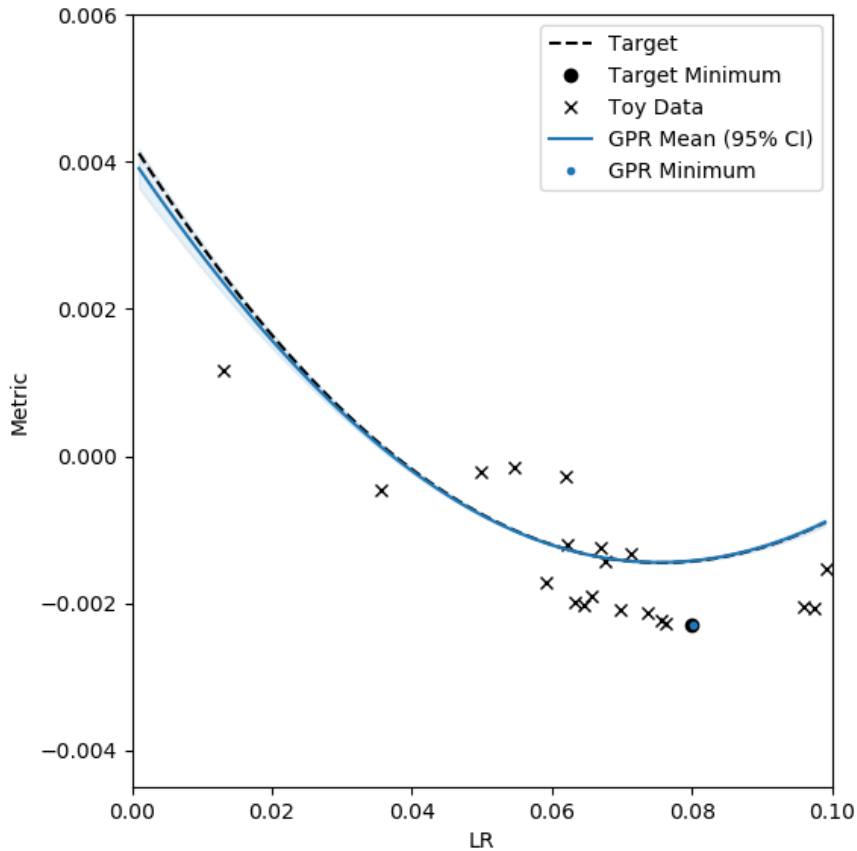
0.99% error



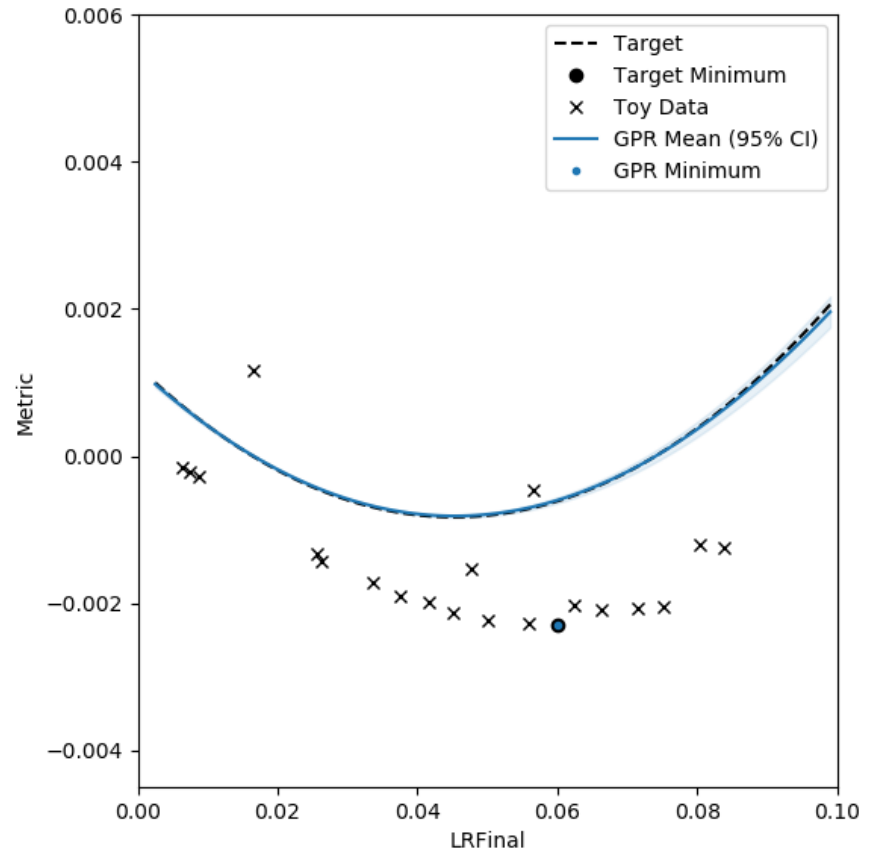
1.6% error

Toy GPR Demonstration

20 training events



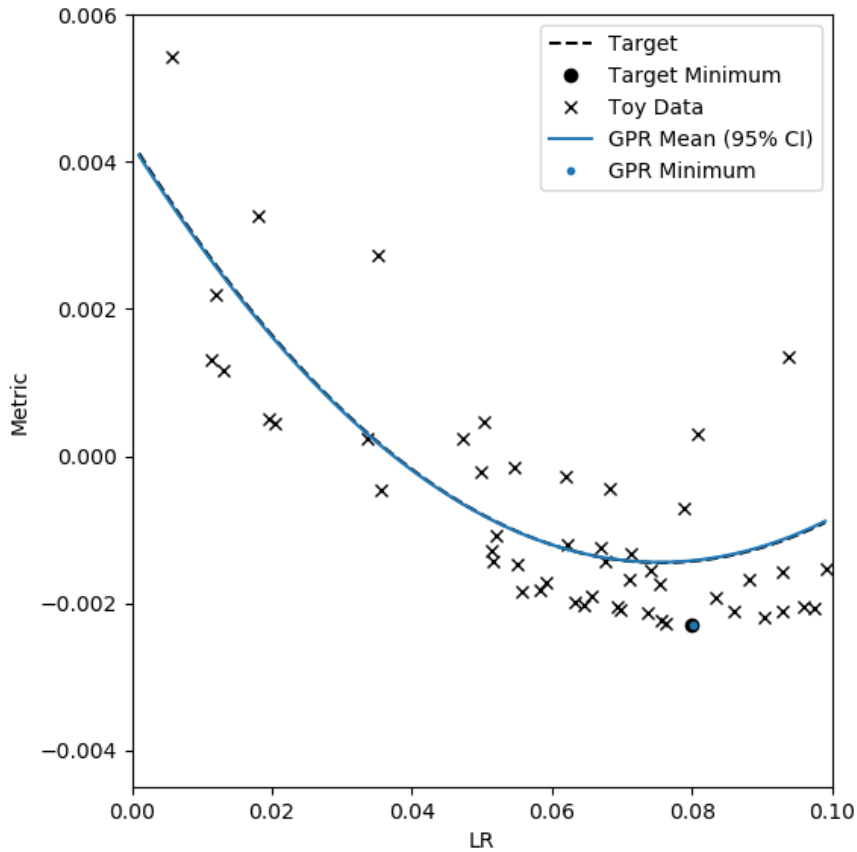
<0.25% error



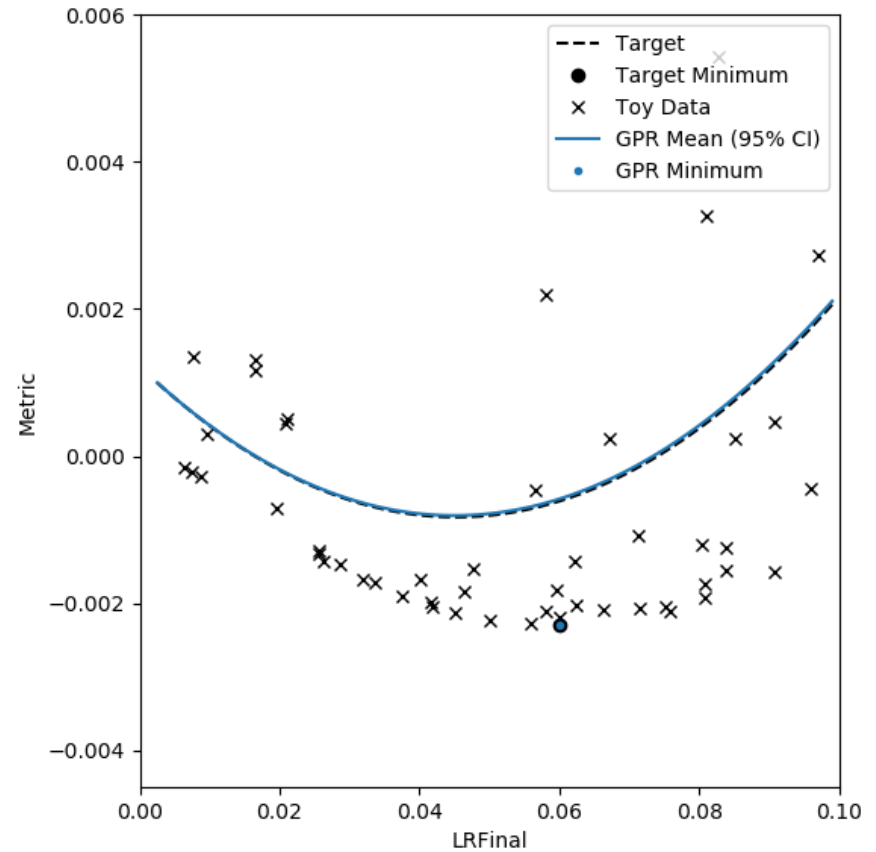
<0.042% error

Toy GPR Demonstration

50 training events



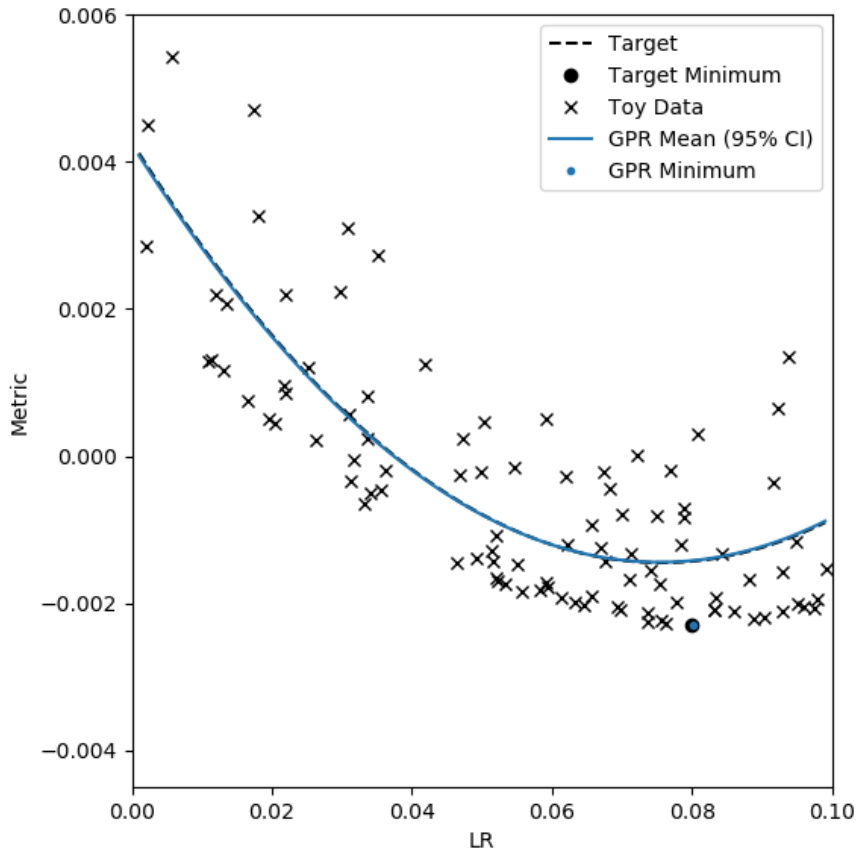
<0.25% error



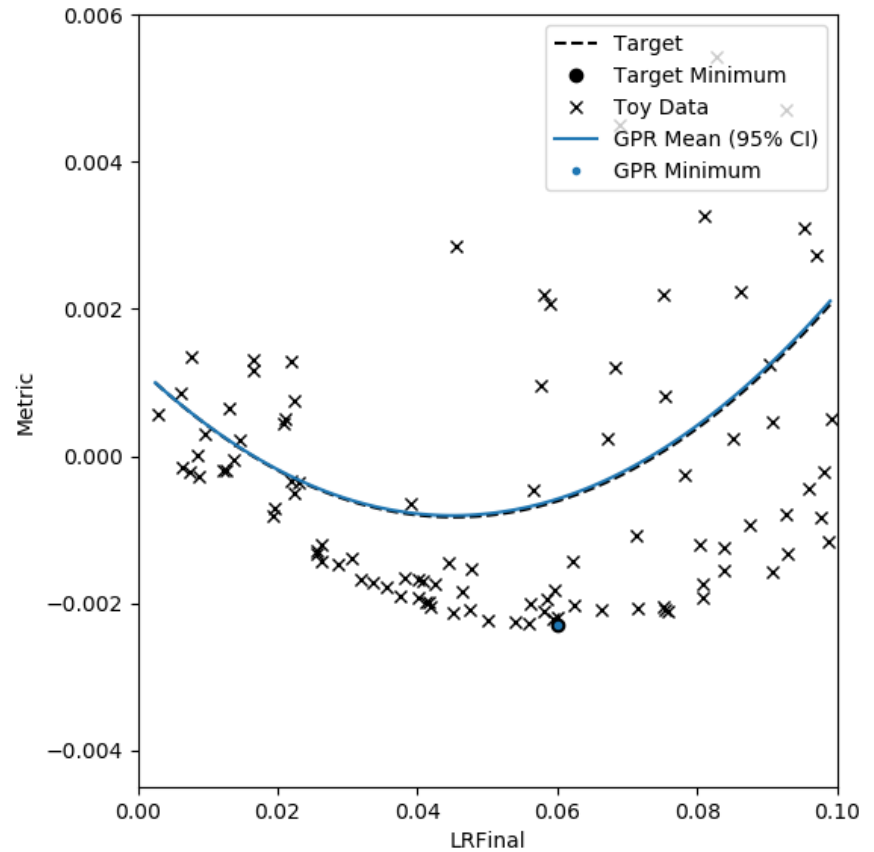
<0.042% error

Toy GPR Demonstration

100 training events



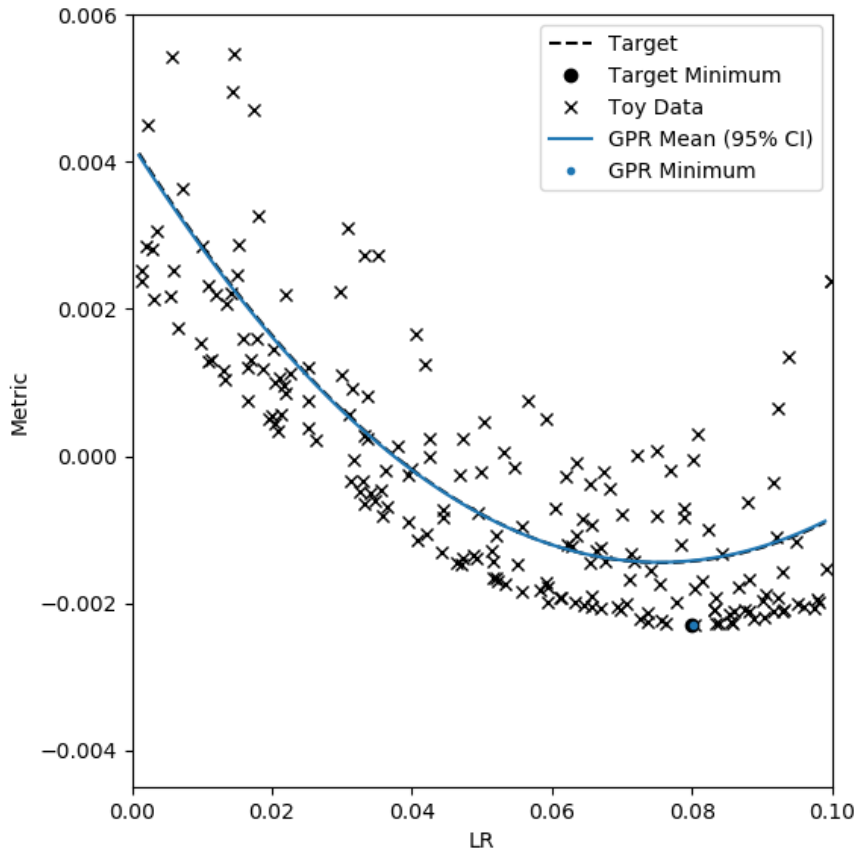
<0.25% error



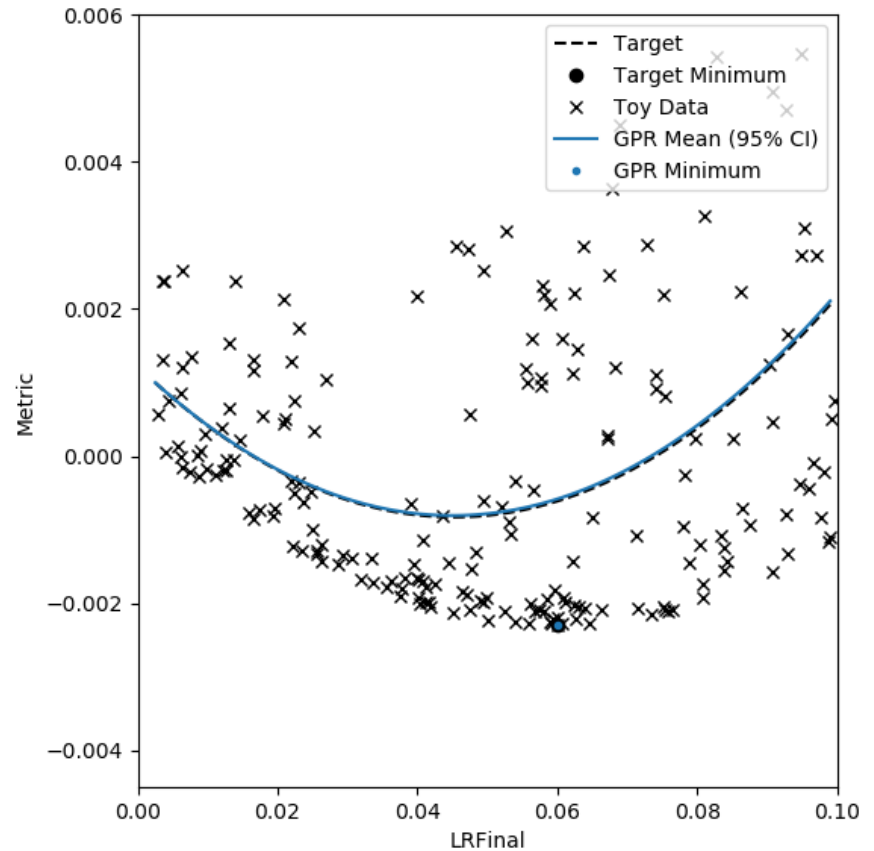
<0.042% error

Toy GPR Demonstration

200 training events

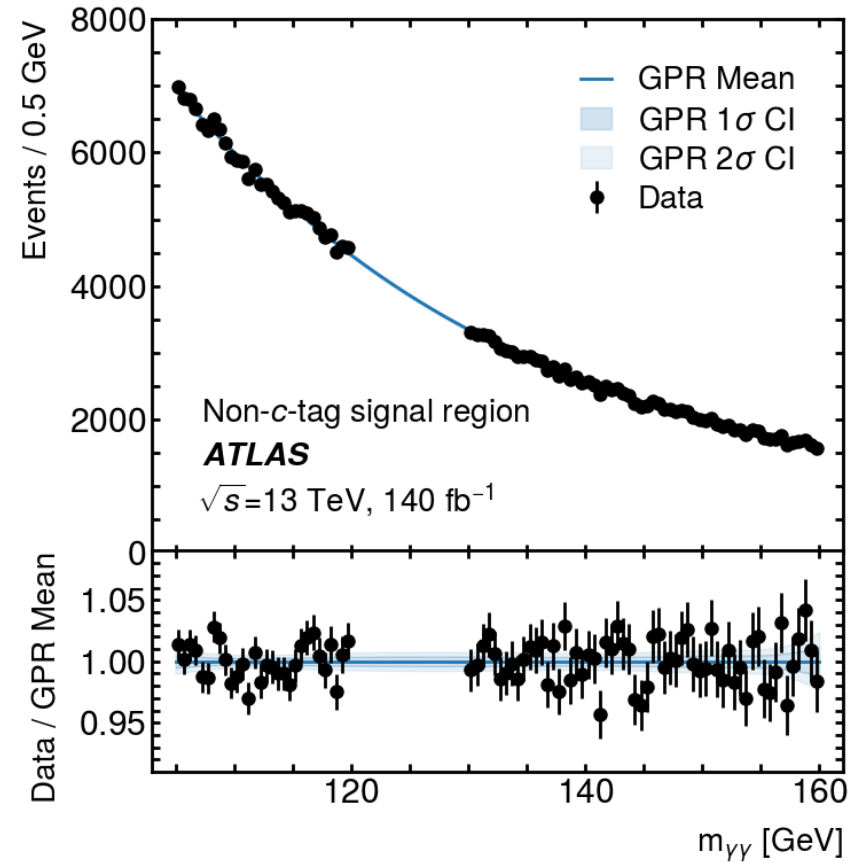
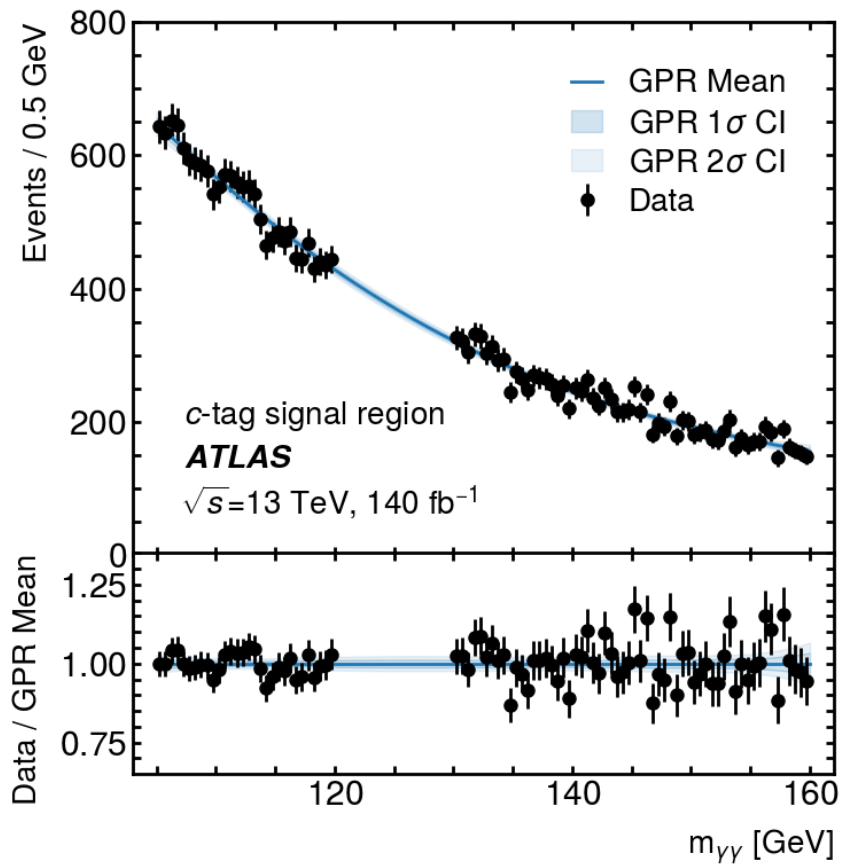


7.7×10^{-9} error



3.0×10^{-9} error

NR background GPR means

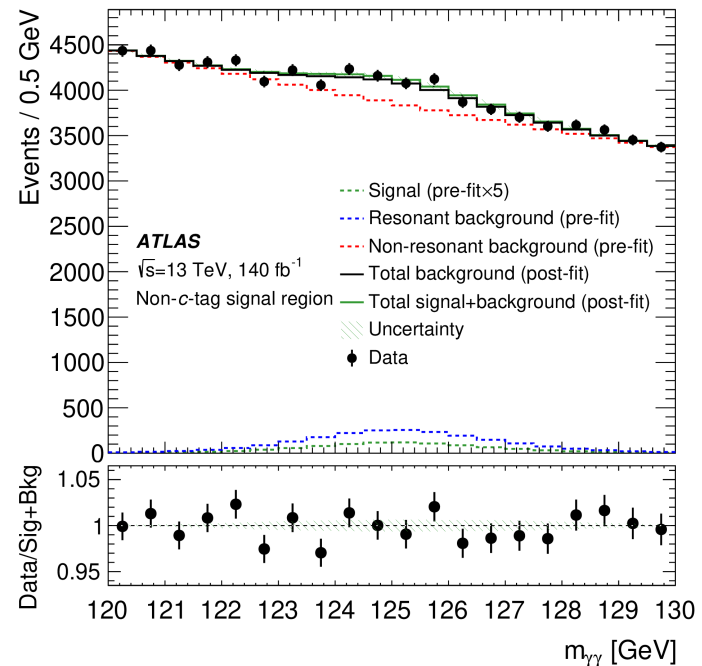
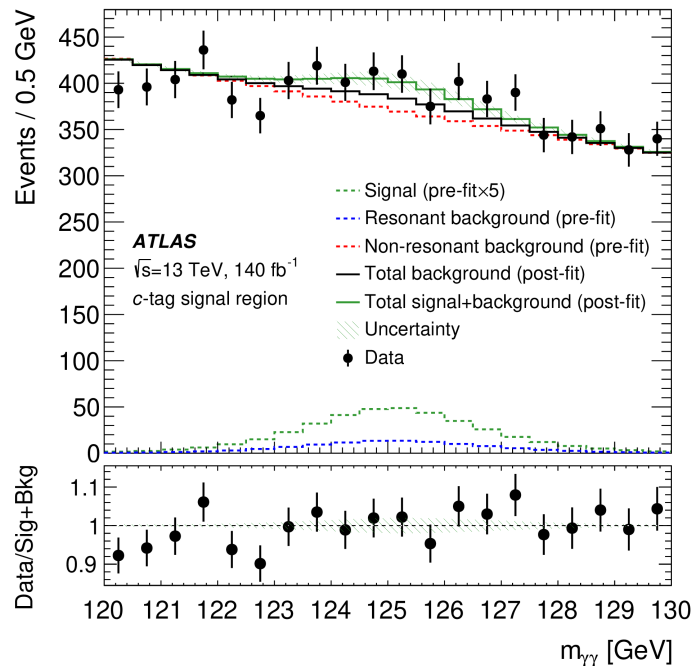


Systematic Uncertainties

Uncertainty	$H + c$ uncertainty impact
Statistical (incl. GPR)	79%
GPR posterior	47%
Systematic (excl. GPR)	61%
Theory	40%
Photons	29%
c -tagging	29%
Jets	22%
Spurious signal	12%
Pile-up	5%

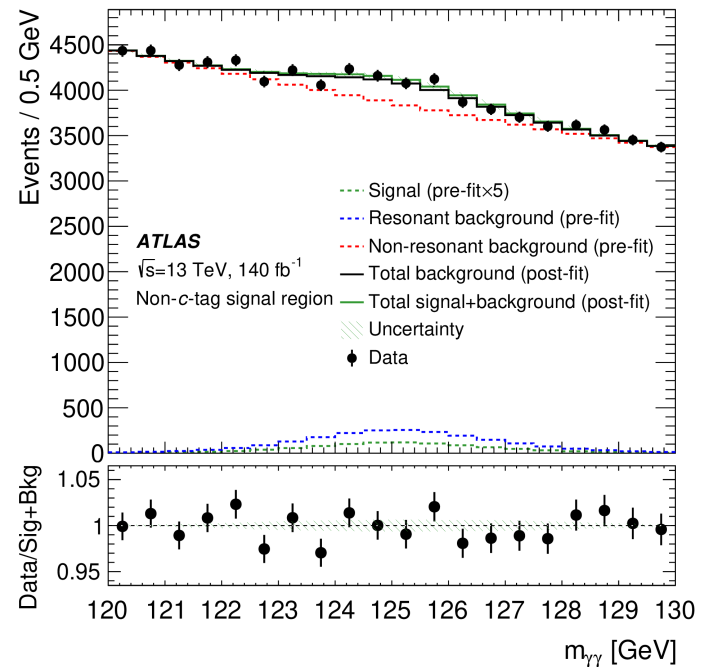
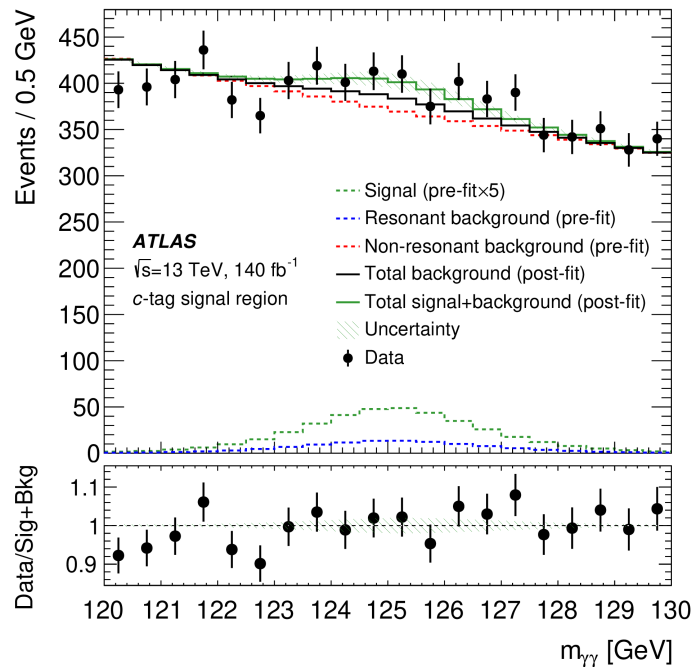
Putting everything together

- Binned likelihood fit to $m_{\gamma\gamma}$ distributions in both SRs
- $H + c$ signal shape, and resonant background shape and normalisation, from simulation
- NR background shape and normalisation from GPR



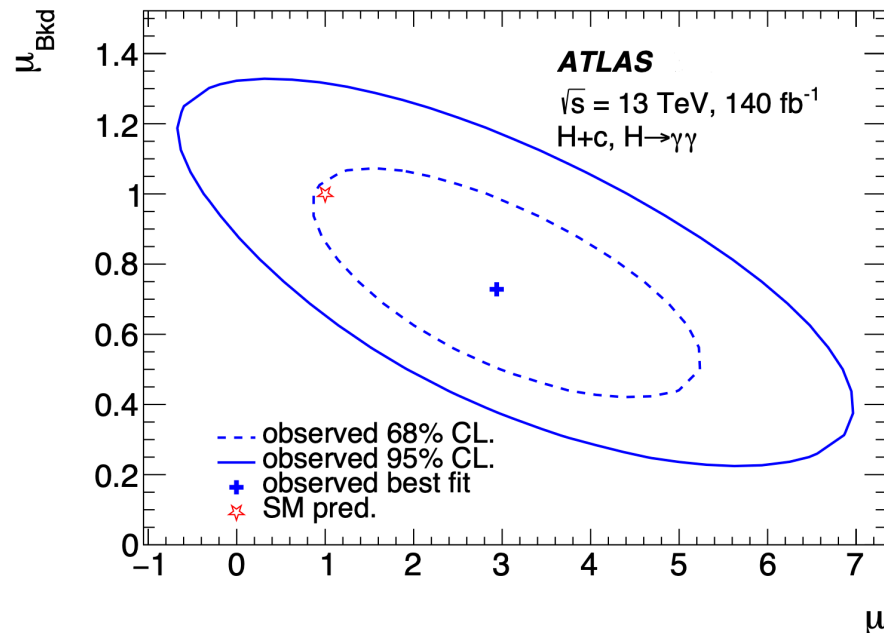
Results

- Obs (exp) $H + c$ cross-section: **5.2 ± 3.0 pb** (2.9 ± 2.8 pb)
- Obs significance: **1.7σ**
- Obs (exp) 95% CL_s upper limit: **10.4 pb** (8.6 pb)



2D results

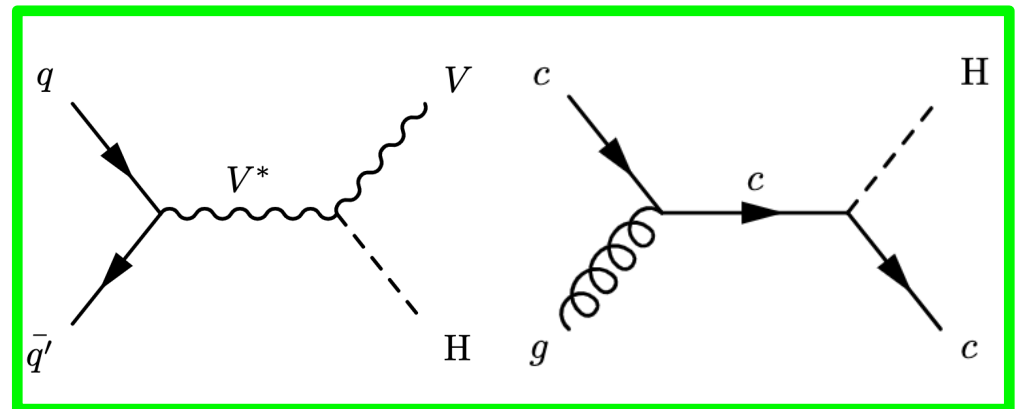
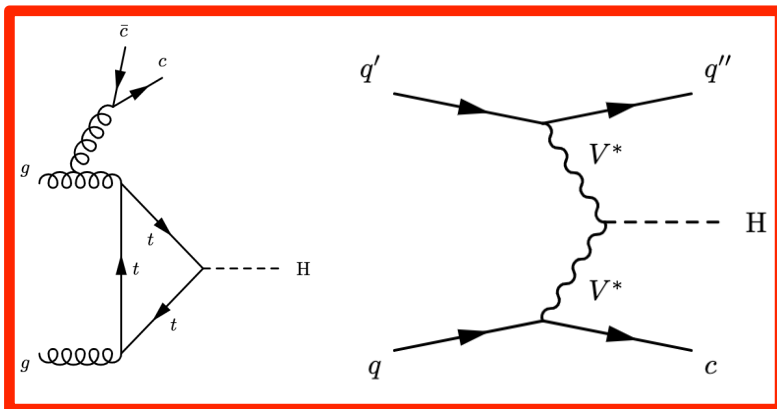
- 2D likelihood scan over μ and μ_{Bkd} also performed
 - μ_{Bkd} is a free resonant background normalisation
- Limit deteriorates by 22% with free μ_{Bkd}
- Correlation between μ and μ_{Bkd} is -66%



Approximate y_c interpretation

“Back-of-the-envelope” non-ATLAS interpretation

- No y_c result from ATLAS for this analysis due to **lack of accepted modelling** of parts of inclusive $H + c$ signal



Approximate y_c interpretation

“Back-of-the-envelope” non-ATLAS interpretation

- No y_c result from ATLAS for this analysis due to **lack of accepted modelling** of parts of inclusive $H + c$ signal
- An approximate y_c result can be calculated from [public numbers](#), with some caveats/approximations:
 - Result derived largely from cross-section result
 - Current signal and resonant background theory and **modelling uncertainties not modified**
 - $m_{\gamma\gamma}$ distributions not adjusted
 - $\mathcal{B}(H \rightarrow \gamma\gamma)$ is assumed to take its SM value

Approximate y_c interpretation

“Back-of-the-envelope” non-ATLAS interpretation

- No y_c result from ATLAS for this analysis due to **lack of accepted modelling** of parts of inclusive $H + c$ signal
- An approximate y_c result can be calculated from [public numbers](#), with some caveats/approximations:
 - Result derived largely from cross-section result
 - Current signal and resonant background theory and **modelling uncertainties not modified**
 - $m_{\gamma\gamma}$ distributions not adjusted
 - $\mathcal{B}(H \rightarrow \gamma\gamma)$ is assumed to take its SM value
- Obs (exp) upper limit on y_c/y_c^{SM} : **~26 (~24)**
- See backup for more details

H + c outlook

- Major progress has been made in probing y_c , but no plan in place for observation, [even for at the HL-LHC](#)

H + c outlook

- Major progress has been made in probing y_c , but no plan in place for observation, [even for at the HL-LHC](#)
- $H + c$ production might provide a useful input to constraining y_c

H + c outlook

- Major progress has been made in probing y_c , but no plan in place for observation, [even for at the HL-LHC](#)
- $H + c$ production might provide a useful input to constraining y_c
- **Significant gains possible** here:
 - Largest uncertainty is statistical, so larger/HL-LHC datasets significant
 - Introduction of additional decay modes (e.g. $H \rightarrow ZZ$)
 - Possible analysis improvements (e.g. from ML), beyond this first iteration

CMS $H + c$ Result

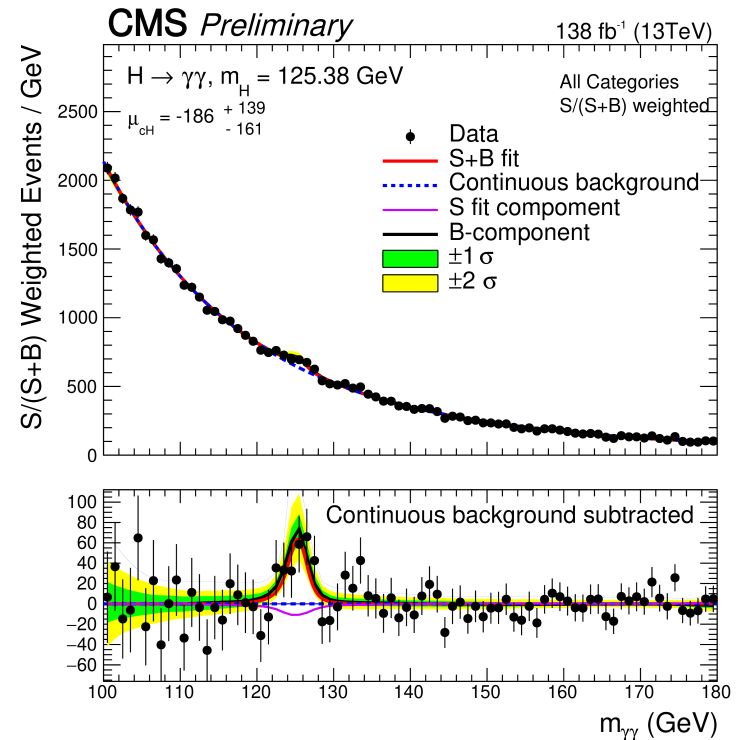
- CMS also interested in $H + c$, and have a [PAS](#)
- 138 fb⁻¹ of pp collision data
- $H \rightarrow \gamma\gamma$ decays were used

CMS $H + c$ Result

- CMS also interested in $H + c$, and have a [PAS](#)
- 138 fb⁻¹ of pp collision data
- $H \rightarrow \gamma\gamma$ decays were used
- Only a subset of the inclusive $H + c$ signal was targeted

CMS $H + c$ Result

- CMS also interested in $H + c$, and have a [PAS](#)
- 138 fb^{-1} of pp collision data
- $H \rightarrow \gamma\gamma$ decays were used
- Only a subset of the inclusive $H + c$ signal was targeted
- Obs (exp) 95% CL upper limits on $|y_c/y_c^{\text{SM}}|$:
38.1 (72.5)



Conclusions

- Asking *why* the SM is as it is leads naturally to an inspection of the fermion masses
- Major progress has been made in probing y_c , but no plan in place for observation, even for at HL-LHC

Conclusions

- Asking *why* the SM is as it is leads naturally to an inspection of the fermion masses
- Major progress has been made in probing y_c , but no plan in place for observation, even for at HL-LHC
- **First inclusive $H + c$ measurement!**
- Measured $H + c$ cross-section: **5.2 ± 3.0 pb**
 - Compatible with SM expectation: **2.9 pb**

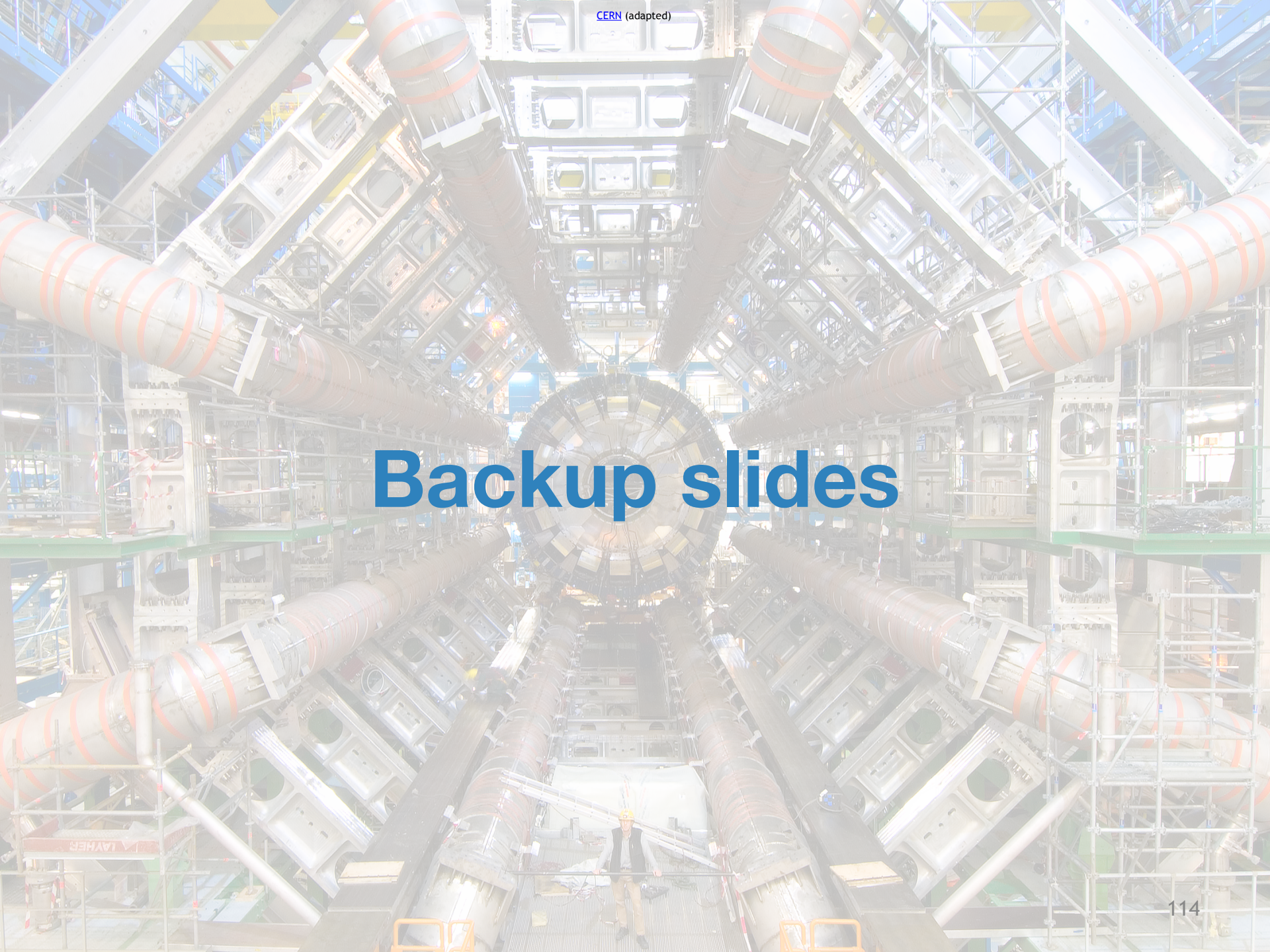
Conclusions

- Asking *why* the SM is as it is leads naturally to an inspection of the fermion masses
- Major progress has been made in probing y_c , but no plan in place for observation, even for at HL-LHC
- **First inclusive $H + c$ measurement!**
- Measured $H + c$ cross-section: **5.2 ± 3.0 pb**
 - Compatible with SM expectation: **2.9 pb**
- Substantial improvements possible in future analyses
- $H + c$ may play a key role in constraining y_c down the road, but theory inputs will be needed

Thanks for listening!

Any questions?

Backup slides

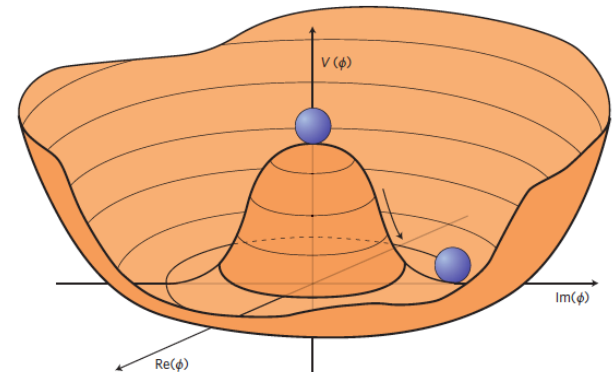


The Higgs boson

- A **newly discovered and unique** fundamental particle and interaction

The Higgs boson

- A **newly discovered and unique** fundamental particle and interaction
- Only particle with a non-zero vacuum expectation value
- Causes electroweak symmetry breaking
 - Fundamental to the electromagnetic and weak nuclear forces
- The source of mass of all fundamental particles



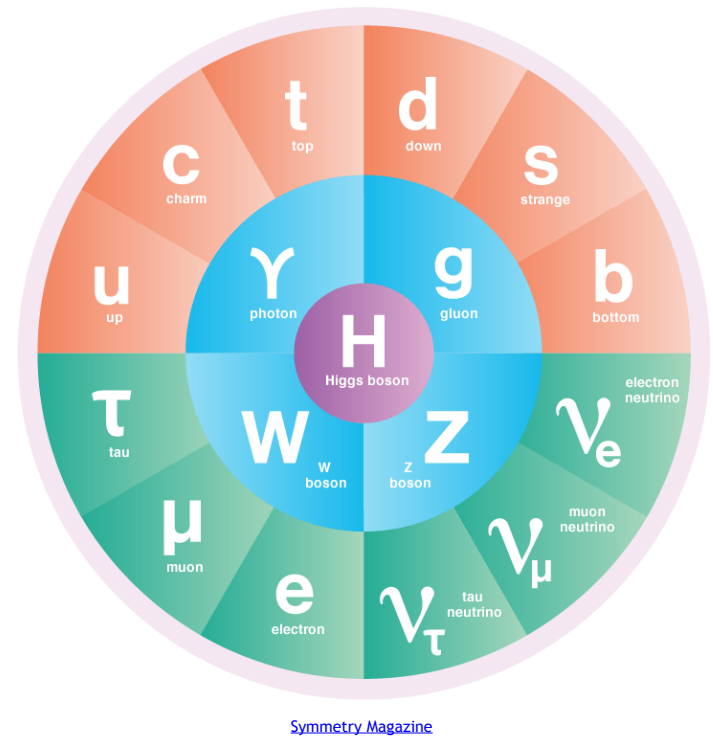
[Nat. Rev. Phys. 3 \(2021\) 608](#)

The Higgs boson

- A **newly discovered and unique** fundamental particle and interaction
- Only particle with a non-zero vacuum expectation value
- Causes electroweak symmetry breaking
 - Fundamental to the electromagnetic and weak nuclear forces
- The source of mass of all fundamental particles
- Only spin-0 fundamental particle

The Higgs boson

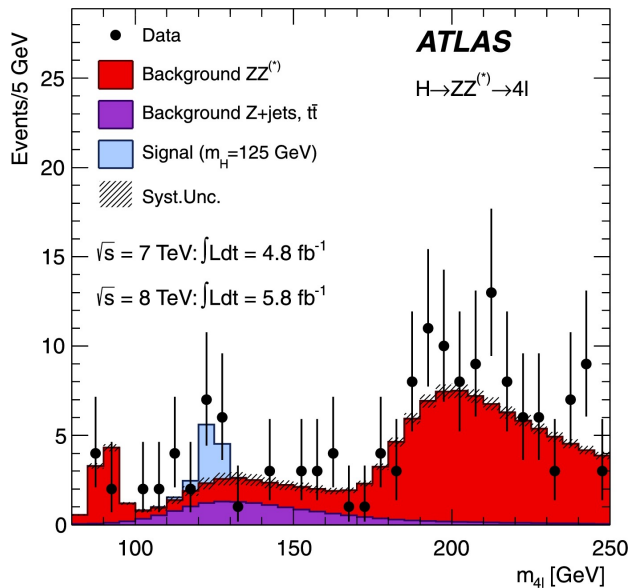
- A **newly discovered and unique** fundamental particle and interaction
- Only particle with a non-zero vacuum expectation value
- Causes electroweak symmetry breaking
 - Fundamental to the electromagnetic and weak nuclear forces
- The source of mass of all fundamental particles
- Only spin-0 fundamental particle
- Completes the Standard Model



Higgs boson discovery

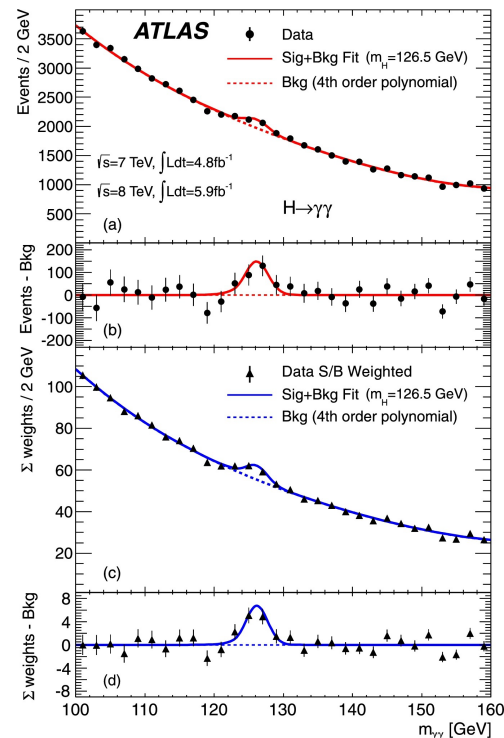
Single neutral Higgs boson (**H**) with a mass of 125 GeV discovered in 2012 by ATLAS and CMS

$$H \rightarrow ZZ^* \rightarrow 4\ell, \ell = e^\pm \text{ or } \mu^\pm$$



[PLB 716 \(2012\) 1](#)

$$H \rightarrow \gamma\gamma$$



[PLB 716 \(2012\) 1](#)

CMS $VH, H \rightarrow c\bar{c}$ Simulation

- Signal modelled at NLO in QCD using Powheg v2
 - Exception: gluon-induced ZH production is LO
- V + jets modelled at NLO using MG5
- $t\bar{t}$ and single top modelled at NLO using Powheg v2
 - NNLO+NNLL corrections applied to cross-section
- WW modelled at NLO with Powheg v2, and WZ and ZZ modelled at NLO with Powheg v2
- p_T -dependent NNLO QCD + NLO EW corrections applied to signal, $t\bar{t}$ and diboson simulation samples
- NNPDF3.0(3.1) PDF sets used for 2016 (2017 & 2018)
- Pythia 8 used for modelling $H \rightarrow c\bar{c}$ decays, parton showers, hadronisations and pileup
- Detector response modelled using Geant4

CMS VH, H \rightarrow c \bar{c} Reconstruction

- Jets are formed from particle-flow candidates, and must be within tracker acceptance
 - Anti- k_{T} (R=0.4) jets are used to reconstruct individual c-jets, which must have $p_{\text{T}} > 25$ GeV
 - Anti- k_{T} (R=1.5) jets are used to reconstruct boosted $H \rightarrow c\bar{c}$ systems, which must have $p_{\text{T}} > 200$ GeV
- Small-R jets c-tagged using *DeepJet*, and have neutrino-based p_{T} corrections
- Data corrections applied for tagging in both categories

CMS VH, H \rightarrow c \bar{c} Resolved Selection

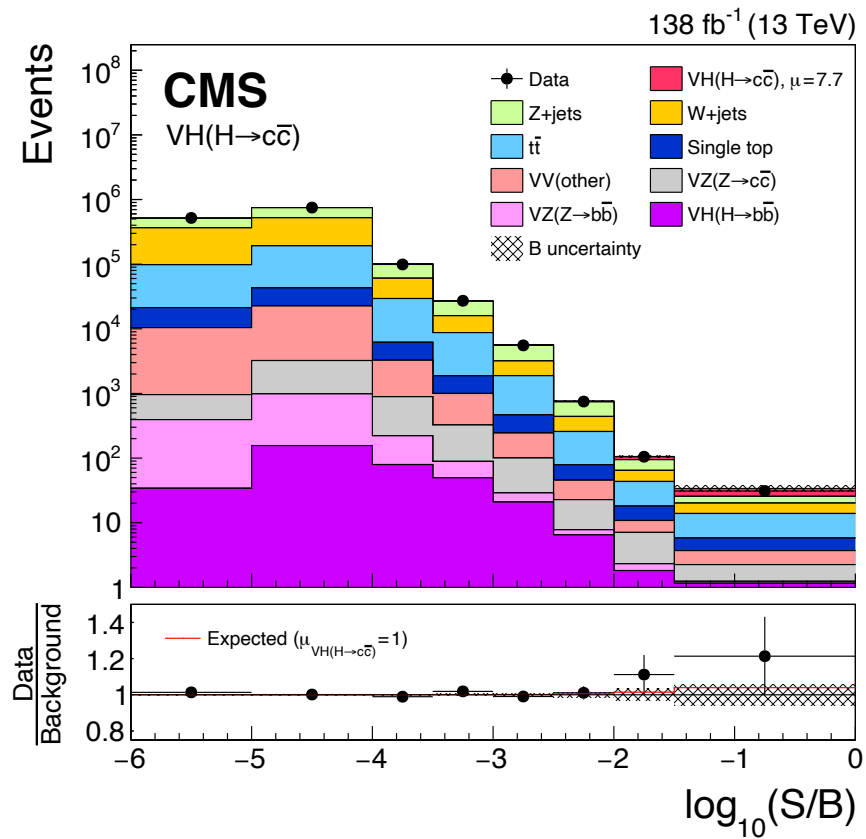
- Merged/resolved events defined as events with $p_{\text{T}}(H)$ above/below 300 GeV
 - Resolved channel contains about 95% of events, but merged channel drives analysis sensitivity
- FSR jets included in Higgs boson reconstruction
- Kinematic fit improves Higgs boson mass resolution
- BDTs used for selection in resolved category

CMS $VH, H \rightarrow c\bar{c}$ Systematics

TABLE I. The relative contributions to the total uncertainty in the signal strength modifier μ for the $VH(H \rightarrow c\bar{c})$ process, where the best fit is $\mu_{VH(H \rightarrow c\bar{c})} = 7.7_{-3.5}^{+3.8}$.

Uncertainty source	$\Delta\mu/(\Delta\mu)_{\text{tot}}$ (%)
Statistical	85
Background normalizations	37
Experimental	48
Sizes of the simulated samples	37
c jet identification efficiencies	23
Jet energy scale and resolution	15
Simulation modeling	11
Integrated luminosity	6
Lepton identification efficiencies	4
Theory	22
Backgrounds	17
Signal	15

CMS VH, H \rightarrow c \bar{c} S/B



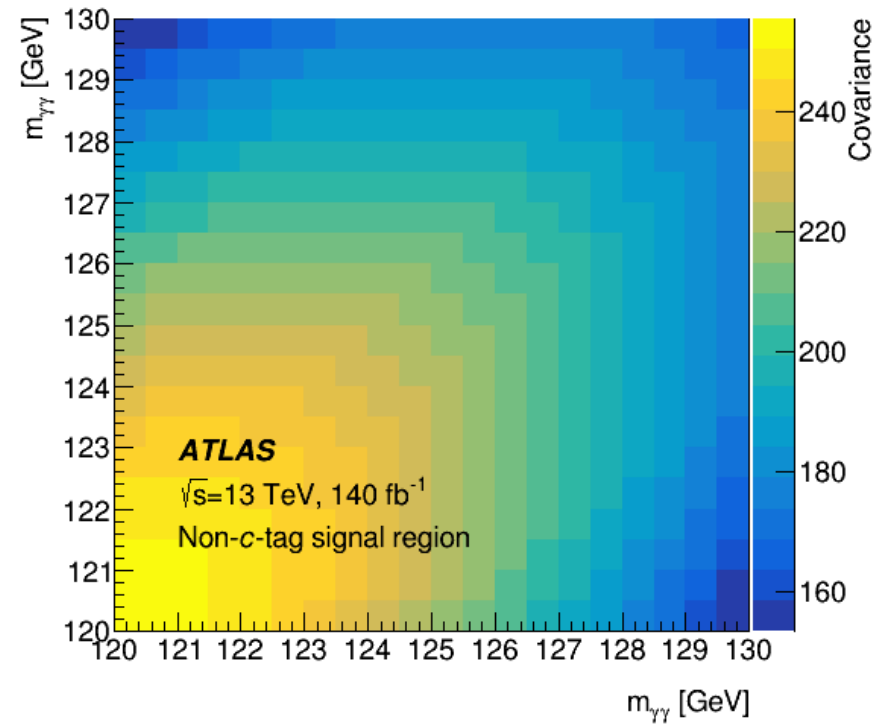
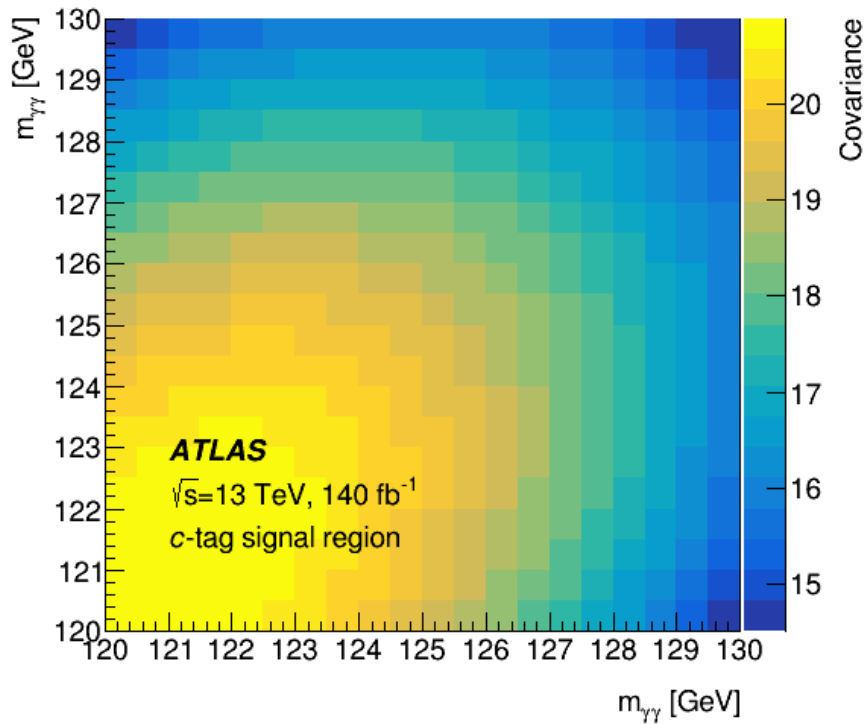
ATLAS H+c signal definition

- An inclusive H+c signal definition was used, where truth-level events must contain:
 - A Higgs boson
 - At least one anti- k_t ($R=0.4$) c-jet with $p_T > 25$ GeV and $|\eta| < 2.5$
 - Must be within $\Delta R < 0.3$ of a charm hadron with $p_T > 5$ GeV
 - The charm hadron must not originate from the cascade decay of a b-hadron

Signal and resonant background compositions

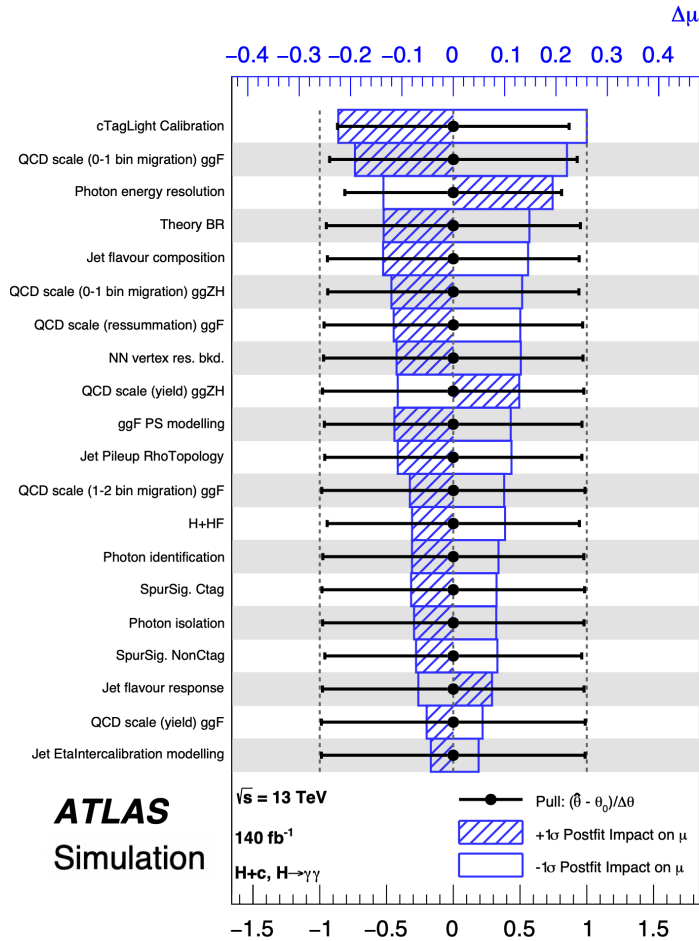
Process	<i>c</i> -tag signal region		Non- <i>c</i> -tag signal region	
	Signal	Resonant background	Signal	Resonant background
<i>ggF H</i>	39	82	110	1800
<i>VBF H</i>	17	13	34	220
<i>WH</i>	9.5	4.7	23	59
<i>ZH</i>	4.5	5.1	7.8	50
<i>t\bar{t}H</i>	7.0	4.6	20	24
<i>b\bar{b}H</i>	0.11	1.9	0.35	16
<i>y_c-sensitive H + c</i>	0.37	0.046	0.78	0.48

GPR covariances

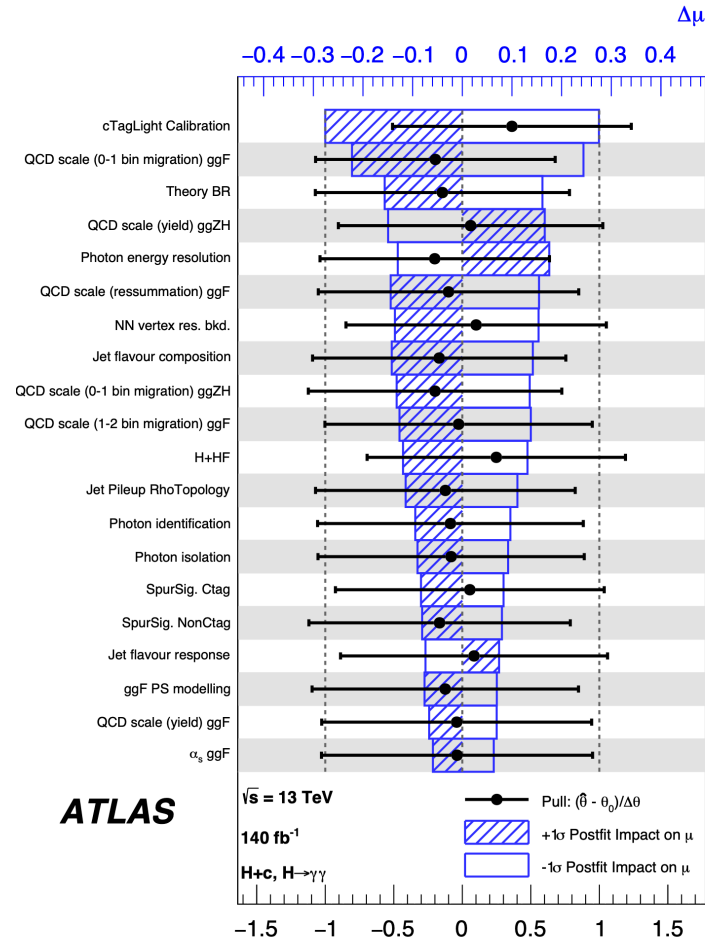


Main Nuisance Parameters

Expected/Asimov



Observed/Data



Approximate κ_c interpretation

“Back-of-the-envelope” non-ATLAS interpretation

- A. Our obs (exp) limit is ~ 3.6 (~ 3.0) times the SM expected cross-section (ignoring cross-section uncertainties)
- B. In the (dominant) c-tag category, the κ_c -sensitive events are 0.5% the size of the signal
- C. From A & B: the obs (exp) upper limit is ~ 670 (~ 550) times the number of κ_c -sensitive events (assuming current $m_{\gamma\gamma}$ shapes and modelling uncertainties)
- D. Assuming $\mathcal{B}(H \rightarrow \gamma\gamma) = \mathcal{B}_{\text{SM}}(H \rightarrow \gamma\gamma)$
 - A. Could approximately result from simultaneous fit
- E. From C & E: the obs (exp) upper limit on κ_c is **~ 26** (**~ 24**)