

# Precision Flavor Theory

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The Art of Precision: Calculations & Measurements  
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# Outline of the Lectures

## 1 Introduction (today) Lecture 1

- The CKM matrix (parametric input for precision predictions)
- Wilson coefficients (perturbative physics)
- Hadronic matrix elements (non-perturbative physics)

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- $B \rightarrow D^{(*)} \ell \nu$  and  $R_{D^{(*)}}$  Lecture 2
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- $B \rightarrow K \nu \bar{\nu}$
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## 4 Kaon and Pion Decays (tomorrow)

- Rare kaon decays  $K \rightarrow \pi \nu \bar{\nu}$
- Lepton universality in pion decays  $\pi^+ \rightarrow e^+ \nu$  vs.  $\pi^+ \rightarrow \mu^+ \nu$
- Pion beta decay  $\pi^+ \rightarrow \pi^0 e^+ \nu$


# Introduction

# “Fishing Expeditions”



# Promising Indirect Probes of New Physics

Probe more generic new physics




- ▶ Test bedrock assumptions of particle physics

Lorentz invariance; CPT invariance; ...

( $\Lambda \gtrsim M_{\text{Planck}} \sim 10^{19} \text{ GeV}$ )

Reach to higher new physics scales





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► **Test (approximate) accidental symmetries of the SM**

Baryon Number: e.g. proton decay

( $\Lambda \sim \Lambda_{\text{GUT}} \sim 10^{16} \text{ GeV}$ )

Lepton Number: e.g. neutrinoless double beta decay

( $\Lambda \sim \Lambda_{\text{see-saw}} \sim 10^{12} \text{ GeV}$ )

Flavor: e.g. flavor changing neutral currents

( $\Lambda \sim 10^3 - 10^8 \text{ GeV}$ )

CP: e.g. electric dipole moments

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- ▶ **Test “ordinary” Standard Model processes**

Higgs precision program; Electroweak precision observables; muon anomalous magnetic moment; ...  
( $\Lambda \sim 10^3 \text{ GeV}$ )

Reach to higher new physics scales

# Flavor in the Standard Model and Beyond

CC problem

Hierarchy problem

Vacuum stability?

Strong CP problem

$$\mathcal{L}_{\text{SM}} \sim \Lambda^4 + \Lambda^2 H^2 + \lambda H^4$$
$$+ \bar{\Psi} \not{D} \Psi + (D_\mu H)^2 + (F_{\mu\nu})^2 + F_{\mu\nu} \tilde{F}^{\mu\nu}$$

+  $Y H \bar{\Psi} \Psi$

SM flavor puzzle

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$$+ Y H \bar{\Psi} \Psi + \frac{1}{\Lambda} (LH)^2 + \frac{1}{\Lambda^2} \sum_i \mathcal{O}_i^{\text{dim6}} + \dots$$

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SM flavor puzzle

Neutrino masses

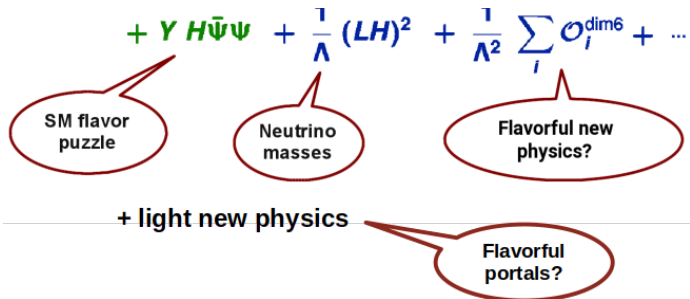
Flavorful new physics?

# Flavor in the Standard Model and Beyond

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 \end{aligned}$$

CC problem  
 Hierarchy problem  
 Vacuum stability?  
 Strong CP problem  
 SM flavor puzzle  
 Neutrino masses  
 Flavorful new physics?  
 + light new physics  
 Flavorful portals?

# Two Basic Flavor Questions

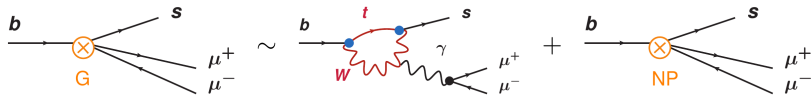


Q1: What is the origin of the hierarchical flavor structure of the SM?

Q2: Are there new sources of flavor violation beyond the SM?

# Searching for New Physics with Flavor

Example: heavy new physics in rare  $B$  decays



$$G \sim \frac{1}{16\pi^2} \frac{g^4}{m_W^2} \frac{m_t^2}{m_W^2} V_{tb} V_{ts}^* + \frac{C_{NP}}{\Lambda_{NP}^2}$$

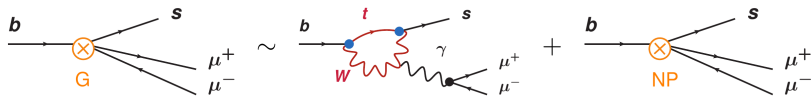
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precisely

calculate precisely  
the SM contribution

get information on  
NP coupling and scale

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measure  
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Mismatch between experiment and SM prediction  
indicates new physics and provides a scale!



# The Need for Precision

To maximize the sensitivity to new physics we need

- **precision measurements** of flavor observables  
→ lectures by Jim
- **precision theory prediction** of the observables  
→ these lectures

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precision theory predictions require

- high precision **parametric input (in particular CKM)**
- higher order **perturbative calculations**
- control over **non-perturbative QCD** uncertainties

# The Weak Effective Hamiltonian

see e.g. Buras hep-ph/9806471 [hep-ph] for a review

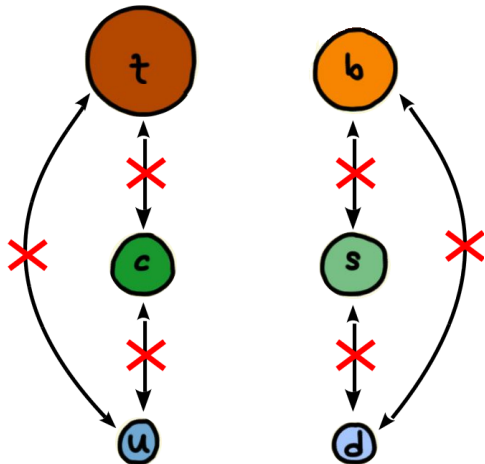
Starting point for many theory predictions is the  
“weak effective Hamiltonian”

$$\langle f | \mathcal{H}_{\text{eff}} | i \rangle = \frac{4G_F}{\sqrt{2}} \sum_k \lambda_{\text{CKM}}^{(k)} C_k(\mu) \langle f | O_k(\mu) | i \rangle$$

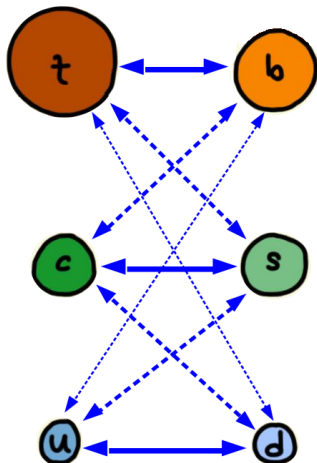
- $\lambda_{\text{CKM}}^{(k)}$  = combination of **CKM matrix** elements relevant for a given flavor changing process
- $C_k(\mu)$  = **Wilson coefficients** that encode the short distance physics (the weak interactions in the SM)
- $\langle f | O_k(\mu) | i \rangle$  = matrix elements of local **operators** made from light SM fields (light quarks, leptons, gluons, photon)
- Wilson coefficients and operator matrix elements depend on the renormalization scale  $\mu$

# The CKM Matrix

no FCNCs at tree level



# The CKM Matrix

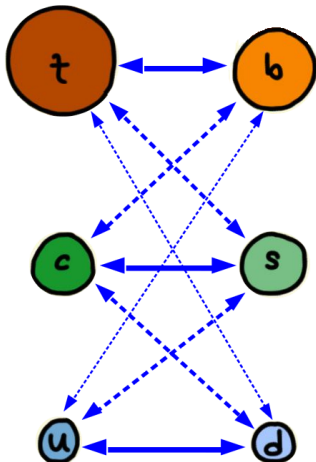


no FCNCs at tree level

transitions among the generations are mediated by the  $W^\pm$  bosons and their relative strength is parametrized by the CKM matrix

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

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CKM matrix is unitary and determined by 4 independent parameters

# Parametrization of the CKM Matrix

Standard Parametrization: product of 3 rotation matrices

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$
$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{ij} = \sin(\theta_{ij}), c_{ij} = \cos(\theta_{ij})$$

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$$s_{ij} = \sin(\theta_{ij}), c_{ij} = \cos(\theta_{ij})$$

(many equivalent parametrizations possible)

# Parametrization of the CKM Matrix

Wolfenstein Parametrization: introduce the parameters  $\lambda, A, \rho, \eta$

$$s_{12} = \lambda \quad , \quad s_{23} = A\lambda^2 \quad , \quad s_{13}e^{i\delta} = A\lambda^3(\rho + i\eta)$$

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$$s_{12} = \lambda, \quad s_{23} = A\lambda^2, \quad s_{13}e^{i\delta} = A\lambda^3(\rho + i\eta)$$

measurements show that  $\lambda \simeq 0.2 \ll 1$  is a good expansion parameter

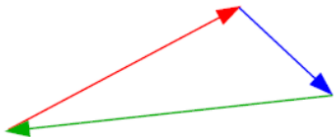
$$V = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

# Unitarity Triangles

The CKM matrix is unitary  $\rightarrow$  relations between CKM elements

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

three complex numbers adding up to 0

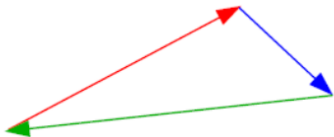


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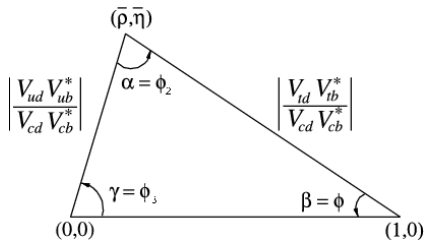
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It is convenient to normalize  
one side to 1



$$\bar{\rho} + i\bar{\eta} = -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*}$$

$$\bar{\rho} = \rho(1 + O(\lambda^2)), \quad \bar{\eta} = \eta(1 + O(\lambda^2))$$

# Experimental Status of the CKM Matrix

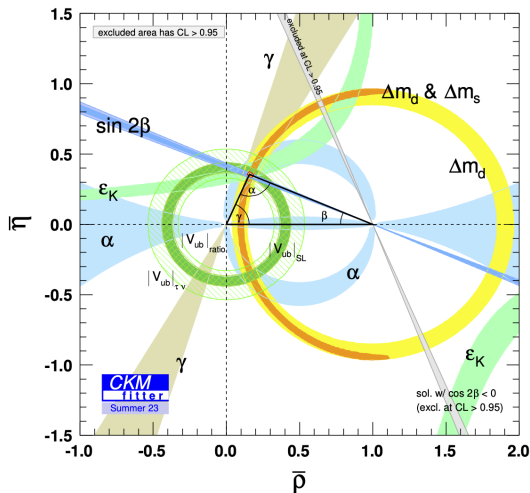
global fits  
of all data give  
overall consistent  
picture within  
 $O(10\%)$  uncertainties

$$\lambda = 0.22498^{+0.00023}_{-0.00021}$$

$$A = 0.8215^{+0.0047}_{-0.0082}$$

$$\bar{\rho} = 0.1562^{+0.0112}_{-0.0040}$$

$$\bar{\eta} = 0.3551^{+0.0051}_{-0.0057}$$



<http://ckmfitter.in2p3.fr/>  
<http://www.utfit.org/>

# Alternative Approach

global CKM fits include many loop observables which  
might be affected by new physics

to avoid potential new physics contamination as much as possible,  
use 4 measurements based on tree level decays that are  
unlikely affected by new physics

$$V_{us} = 0.22431 \pm 0.00085, \quad V_{cb} = (40.8 \pm 1.4) \times 10^{-3}$$

$$V_{ub} = (3.82 \pm 0.20) \times 10^{-3}, \quad \gamma = (65.9 \pm 3.5)^\circ$$

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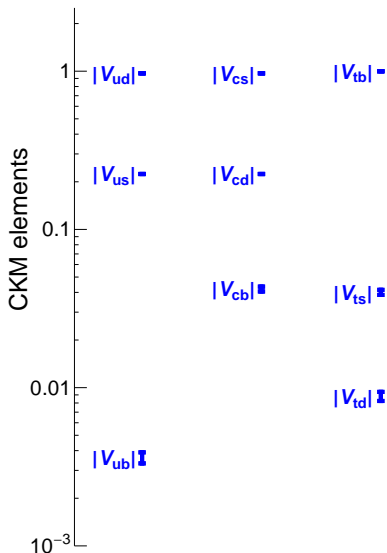
$$\begin{aligned} V_{ud} &\simeq 1 - \frac{\lambda^2}{2}, & V_{us} &\simeq \lambda, & V_{ub} &\simeq |V_{ub}|e^{-i\gamma}, \\ V_{cd} &\simeq -\lambda, & V_{cs} &\simeq 1 - \frac{\lambda^2}{2}, & V_{cb} &= |V_{cb}|, \\ V_{td} &\simeq |V_{cb}|\lambda - |V_{ub}|e^{i\gamma} \left(1 - \frac{\lambda^2}{2}\right), & V_{ts} &\simeq -|V_{cb}| \left(1 - \frac{\lambda^2}{2}\right) - |V_{ub}|\lambda e^{i\gamma}, & V_{tb} &\simeq 1, \end{aligned} \quad (9)$$

(see e.g. WA, Lewis 2112.03437)

[I prefer this approach; I think it is more “robust” und transparent]



# Quark Mixing Hierarchy



the measured CKM elements show a very **hierarchical pattern**

$$|V| \simeq \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}, \quad \lambda \simeq 0.2$$

# Large Logs and EFTs

- Flavor change comes from the weak scale  
 $\mu_{\text{weak}} \sim 100 \text{ GeV}$ .
- But we observe flavor changing processes of hadrons at a low scale  
 $\mu_{\text{had}} \sim 1 \text{ GeV}$

BSM	$\Lambda$	Dragons
SMEFT	100 GeV	$\gamma, g, W, Z, \nu_i, e, \mu, \tau + \mathbf{u, d, s, c, b, t} + \mathbf{h}$
WEFT	5 GeV	$\gamma, g, \nu_i, e, \mu, \tau + \mathbf{u, d, s, c, b}$
WEFT4	2 GeV	$\gamma, g, \nu_i, e, \mu, \tau + \mathbf{u, d, s, c}$
-----		
ChRT	500 MeV	$\gamma, \nu_i, e, \mu + \text{hadrons}$
ChPT	100 MeV	$\gamma, \nu_i, e, \mu, \pi$
QED	1 MeV	$\gamma, \nu_i, e$
EH		$\gamma, \nu_i$ $\gamma$

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(see lecture by Ilaria)

# Large Logs and EFTs

- Flavor change comes from the weak scale

$$\mu_{\text{weak}} \sim 100 \text{ GeV.}$$

- But we observe flavor changing processes of hadrons at a low scale

$$\mu_{\text{had}} \sim 1 \text{ GeV}$$

- Higher order loop corrections often come with **large logs**

$$\alpha_s \log \left( \frac{\mu_{\text{weak}}^2}{\mu_{\text{had}}^2} \right)$$

Can be  $O(1)$  corrections that need to be resummed.

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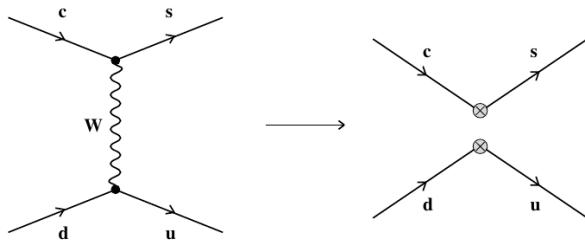
(see lecture by Ilaria)

# Matching at Tree-Level

Buras hep-ph/9806471 [hep-ph]

Let's consider the effective Hamiltonian relevant for the decay  $c \rightarrow s u \bar{d}$   
(a simple example that illustrates many important features)

Integrating out the  $W$  boson at tree level gives one dim-6 operator and  
the corresponding Wilson coefficient

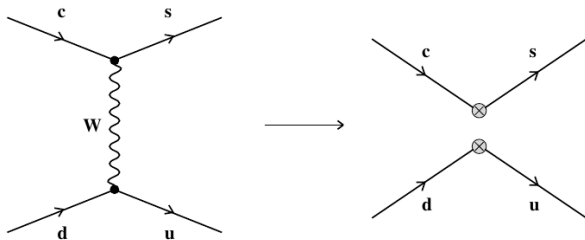


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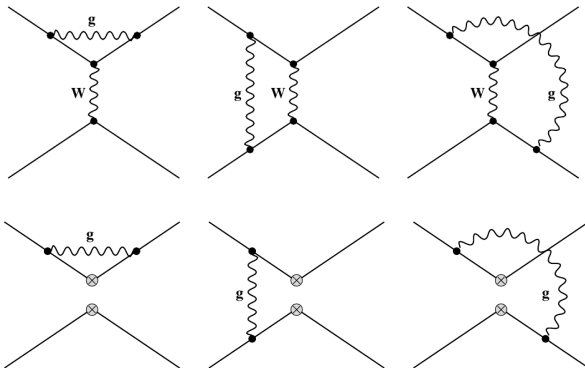


$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cs}^* V_{ud} (\bar{s} \gamma_\mu P_L c) (\bar{u} \gamma^\mu P_L d) + \text{dim} \geq 8$$

# Matching at 1-Loop

Buras hep-ph/9806471 [hep-ph]

What happens if we include 1-loop QCD corrections?



# Matching at 1-Loop

Buras hep-ph/9806471 [hep-ph]

We get two operators with different color structures

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cs}^* V_{ud} (C_1 O_1 + C_2 O_2)$$

$$O_2 = (\bar{s}_\alpha \gamma_\mu P_L c_\alpha) (\bar{u}_\beta \gamma^\mu P_L d_\beta), \quad C_2 = 1 + \frac{\alpha_s}{4\pi} \log \left( \frac{m_W^2}{\mu^2} \right)$$

$$O_1 = (\bar{s}_\alpha \gamma_\mu P_L c_\beta) (\bar{u}_\beta \gamma^\mu P_L d_\alpha), \quad C_1 = -\frac{3\alpha_s}{4\pi} \log \left( \frac{m_W^2}{\mu^2} \right)$$

( $\alpha$  and  $\beta$  are color indices that are summed over)

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- Including the higher order loops produces UV-divergencies that can be taken care of by renormalizing the Wilson coefficients

$$C_i^{\text{bare}} = Z_{ij}^C C_j$$

- Need to introduce a **matrix of renormalization constants**, because loops with a Wilson coefficient  $C_i$  might produce divergencies that can only be absorbed by  $C_j$



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- In the  $\overline{\text{MS}}$  scheme one finds in our example

$$Z_{ij}^C = 1 - \frac{\alpha_s}{4\pi} \frac{1}{\epsilon} \begin{pmatrix} 1 & -3 \\ -3 & 1 \end{pmatrix}$$

Buras hep-ph/9806471 [hep-ph]

- Determine the corresponding anomalous dimension matrix for the Wilson coefficients and determine their renormalization group running

$$\gamma = -2\alpha_s \frac{dZ^{(1)}}{d\alpha_s} = \frac{\alpha_s}{4\pi} \begin{pmatrix} -2 & 6 \\ 6 & -2 \end{pmatrix} = \frac{\alpha_s}{4\pi} \gamma_0$$

$$\vec{C}(\mu) = U(\mu, \mu_0) \vec{C}(\mu_0), \quad U(\mu, \mu_0) = \left( \frac{\alpha_s(\mu_0)}{\alpha_s(\mu)} \right)^{\frac{\gamma_0^T}{2\beta_0}}$$

- $\beta_0 = 23/3$  is the 1-loop coefficient of the QCD beta function with 5 active quark flavors

# Connecting the High and Low Scales

$$\vec{C}(\mu) \cdot \langle f | \vec{O}(\mu) | i \rangle = \vec{C}(\mu_{\text{weak}}) \cdot U(\mu_{\text{weak}}, \mu_{\text{had}}) \cdot \langle f | \vec{O}(\mu_{\text{had}}) | i \rangle$$

- Determine Wilson coefficients by matching at the **weak scale**.
- Run to the low scale using **RGEs**. This resums the large logs.
- Combine the Wilson coefficients with hadronic matrix elements evaluated at the **hadronic scale**.

# Dealing with Non-Perturbative QCD

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- 3) Parameterize the hadronic matrix elements and determine them e.g. with **lattice QCD** or **data driven methods**

→ see the discussion of hadronic contributions to  $(g - 2)_{\mu}$  by Martin and Aida

# Parameterization of Hadronic Matrix Elements

examples of local matrix elements  $\langle f|O(x)|i\rangle$

- decay constants

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- “Bag parameters” for meson mixing

$$\langle \bar{K}^0|(\bar{d}\gamma^\mu P_L s)(\bar{d}\gamma_\mu P_L s)|K^0\rangle = \frac{4}{3}B_K m_K f_K^2$$

Generic structure of a flavor changing amplitude:

$$\langle f | \mathcal{H}_{\text{eff}} | i \rangle = \frac{4G_F}{\sqrt{2}} \sum_k \lambda_{\text{CKM}}^{(k)} C_k(\mu) \langle f | O_k(\mu) | i \rangle$$

- **CKM matrix elements** (can be a limiting factor for precision)
- **Wilson coefficients** / short distance physics (in almost all cases under good perturbative control)
- **hadronic matrix elements** (can be a limiting factor for precision)

# Neutral Meson Mixing

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There are 4 neutral meson anti-meson systems

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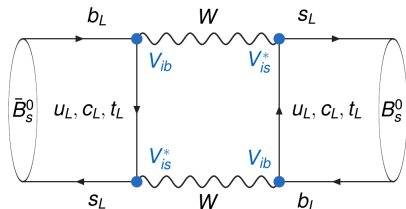
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Meson mixing arises in the SM through “box-diagrams”





# Time Evolution of Neutral Meson Systems

$$i\partial_t \begin{pmatrix} B(t) \\ \bar{B}(t) \end{pmatrix} = \left( \hat{M} + \frac{i}{2} \hat{\Gamma} \right) \begin{pmatrix} B(t) \\ \bar{B}(t) \end{pmatrix}$$

mass matrix  $\hat{M} = \hat{M}^\dagger = \begin{pmatrix} M & M_{12} \\ M_{12}^* & M \end{pmatrix}$ , decay matrix  $\hat{\Gamma} = \hat{\Gamma}^\dagger = \begin{pmatrix} \Gamma & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma \end{pmatrix}$

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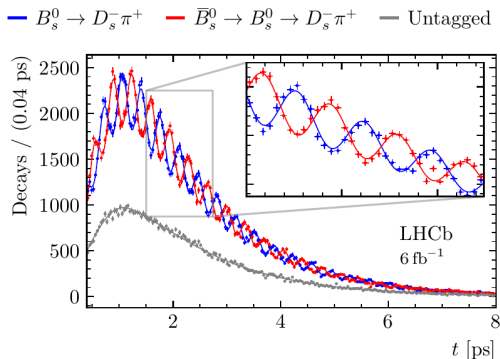
diagonalize the Hamiltonian

$$B_H = pB + q\bar{B}, \quad B_L = pB - q\bar{B}, \quad \left( \frac{q}{p} \right)^2 = \frac{2M_{12}^* - i\Gamma_{12}^*}{2M_{12} - i\Gamma_{12}}$$

$$\Delta M_s = M_s^H - M_s^L \simeq 2|M_{12}^s|$$

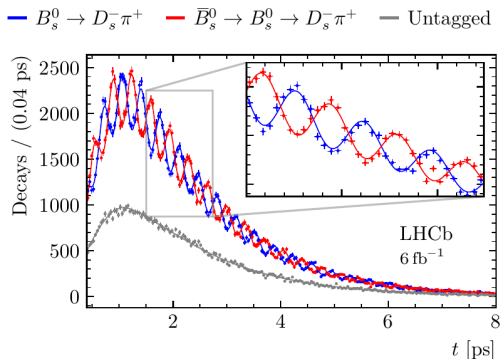
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# Mixing Frequencies



$$\Gamma(B_s(t) \rightarrow D_s^- \pi^+) \sim e^{-\Gamma_s t} \left( \cosh\left(\frac{\Delta\Gamma_s t}{2}\right) + \cos(\Delta M_s t) \right)$$

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$$\Delta M_s = (17.765 \pm 0.006)/ps, \quad \Delta M_d = (0.5069 \pm 0.0019)/ps$$

(Heavy Flavor Averaging Group [hflav.web.cern.ch](http://hflav.web.cern.ch))

# SM Predictions for B-Meson Mixing

$$\Delta M_d^{\text{SM}} = \frac{G_F^2 m_W^2}{6\pi^2} m_{B_d} |V_{td}^* V_{tb}|^2 S_0(m_t^2/m_W^2) \eta_B f_{B_d}^2 \hat{B}_{B_d} ,$$

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- $S_0$  is a loop function that depends on the top mass. It corresponds to the Wilson coefficient of a 4-fermion operator

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- hadronic matrix elements from lattice with  $\sim 5\%$  uncertainty

$$f_{B_d} \sqrt{\hat{B}_{B_d}} = 210.6(5.5) \text{ MeV} \quad f_{B_s} \sqrt{\hat{B}_{B_s}} = 256.1(5.7) \text{ MeV}$$

[see Flavour Lattice Averaging Group [flag.unibe.ch](http://flag.unibe.ch) for compilation of state-of-the-art lattice results relevant for flavor physics and the corresponding original lattice references.]



# Probing New Physics with Meson Mixing

4 fermion contact interactions  
leading to kaon mixing

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(analogous for other meson  
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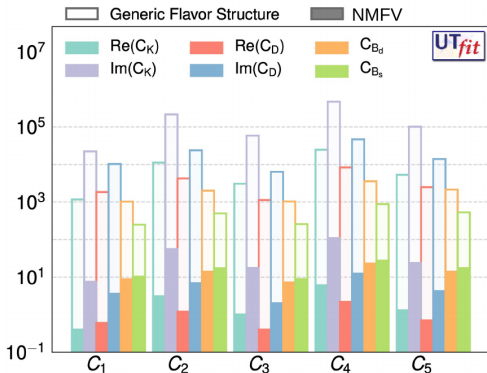
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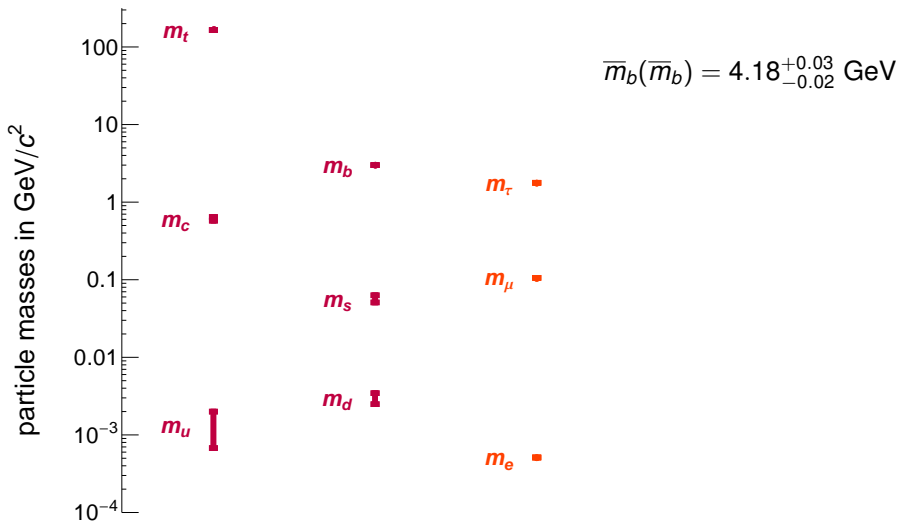


bounds on  $\Lambda$  in TeV assuming

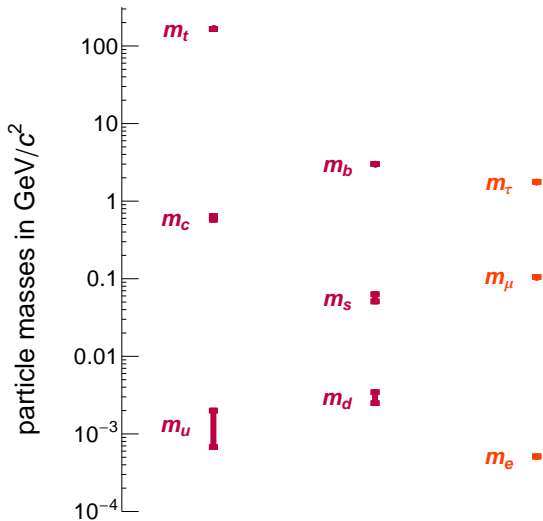
$$|C_i| = 1 \text{ or } |C_i| = \lambda_{\text{CKM}}^{\text{SM}}$$

# Decays of $B$ Hadrons

# The b Quark



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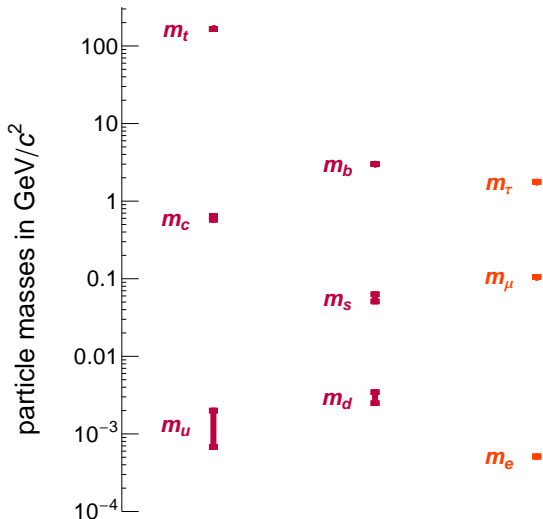


$$\bar{m}_b(\bar{m}_b) = 4.18^{+0.03}_{-0.02} \text{ GeV}$$

forms bound states

► bottomonia:  
 $\Upsilon(1s), \Upsilon(2s), \dots$

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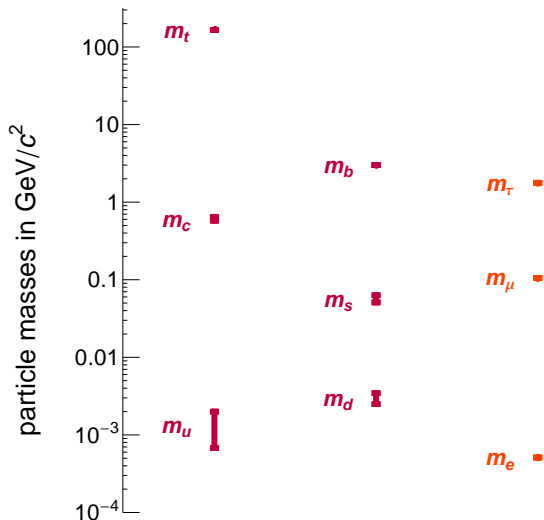


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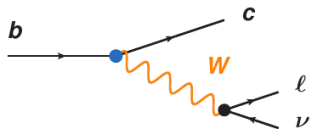
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- ▶ B baryons:  
 $\Lambda_b, \dots$
- ▶ exotics?

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- ▶ Exchange of a heavy **virtual W boson**
- ▶ Estimate the decay width

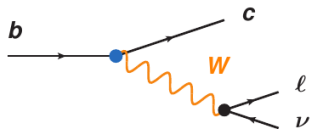
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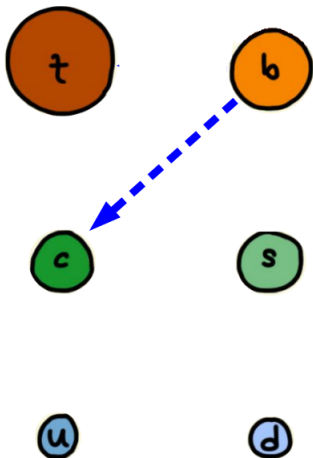
$$\Gamma(b \rightarrow c\ell\nu) \sim \frac{G_F^2}{192\pi^3} m_b^5 |V_{cb}|^2$$

$$\Rightarrow \tau = \frac{1}{\Gamma_{\text{tot}}} \sim \mathcal{O}(10^{-12}\text{s})$$



- ▶ small decay width  $\Rightarrow$  sizable lifetime
- ▶ high sensitivity to new physics effects

# Charged Current Decays

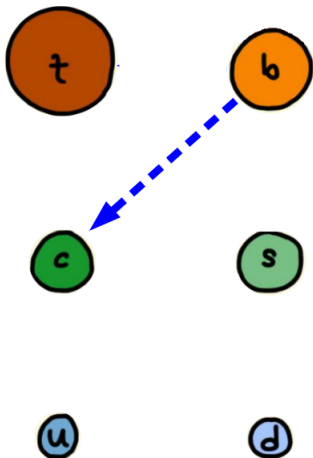


- ▶ arise at tree level through  $W$  exchange

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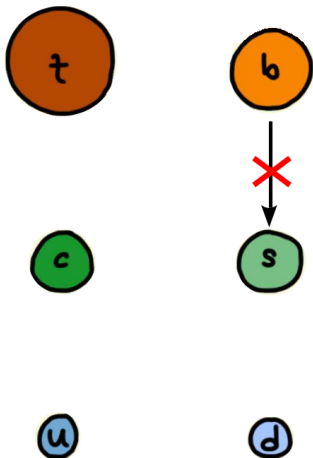
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$$A(b \rightarrow u) \sim V_{ub} \sim 4 \times 10^{-3}$$

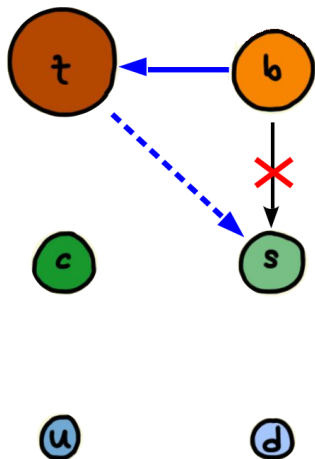
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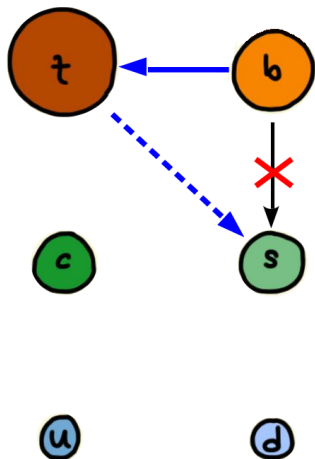


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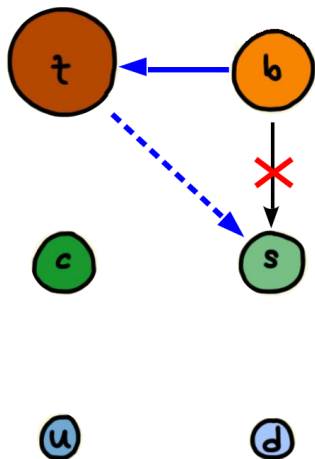
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“rare decays”



► **Semi-leptonic decay modes**

(both charged and neutral B mesons)

exclusive: e.g.  $B \rightarrow D_T \nu$ ,  $B \rightarrow D^*_{\mu\nu}$ ,  $B \rightarrow \pi e \nu \dots$

inclusive: e.g.  $B \rightarrow X_{cT} \nu$ ,  $B \rightarrow X_{c\mu\nu}$ ,  $B \rightarrow X_u e \nu \dots$

# Classification of Charged Current Decays

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hundreds of possible final states

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(both charged

exclusive: e.g.  
inclusive: e.g.

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e.g.  $B \rightarrow \tau \nu, E$

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hundreds of p

$\Gamma_{99}$	$[K^+ \pi^-]_D K^+ \pi^- \pi^+$	
$\Gamma_{100}$	$\bar{D}_{CP^{(+)}}^0 K^+ \pi^- \pi^+$	
$\Gamma_{101}$	$\bar{D}^0 K^+ \bar{K}^0$	$(5.5 \pm 1.6) \times 10^{-4}$
$\Gamma_{102}$	$\bar{D}^0 K^+ \bar{K}^0 (892)^0$	$(7.5 \pm 1.7) \times 10^{-4}$
$\Gamma_{103}$	$\bar{D}^0 \pi^+ \pi^+ \pi^-$	$(5.6 \pm 2.1) \times 10^{-3}$
$\Gamma_{104}$	$[K^+ \pi^+]_D \pi^+ \pi^- \pi^+$	
$\Gamma_{105}$	$\bar{D}^0 \pi^+ \pi^- \pi^-$ nonresonant	$(5 \pm 4) \times 10^{-3}$
$\Gamma_{106}$	$\bar{D}^0 \pi^+ \rho^0$	$(4.2 \pm 3.0) \times 10^{-5}$
$\Gamma_{107}$	$\bar{D}^0 a_1(1260)^+$	$(4 \pm 4) \times 10^{-3}$
$\Gamma_{108}$	$\bar{D}^0 \omega \pi^+$	$(4.1 \pm 0.9) \times 10^{-2}$
$\Gamma_{109}$	$D^+(2010)^- \pi^+ \pi^+$	$(1.35 \pm 0.22) \times 10^{-3}$
$\Gamma_{110}$	$D^+(2010)^- K^+ \pi^+$	$(8.2 \pm 1.4) \times 10^{-5}$
$\Gamma_{111}$	$\bar{D}_1(2420)^0 \pi^+, \bar{D}_1^0 \rightarrow D^+(2010)^- \pi^+$	$(5.2 \pm 2.2) \times 10^{-4}$
$\Gamma_{112}$	$D^+ \pi^+ \pi^+$	$(1.07 \pm 0.05) \times 10^{-3}$
$\Gamma_{113}$	$D^+ K^+ \pi^+$	$(7.7 \pm 0.5) \times 10^{-5}$
$\Gamma_{114}$	$D_1^0(2300)^0 K^+, D_1^0 \rightarrow D^+ \pi^+$	$(6.1 \pm 2.4) \times 10^{-6}$
$\Gamma_{115}$	$D_2^+(2460)^0 K^+, D_2^0 \rightarrow D^+ \pi^+$	$(2.32 \pm 0.23) \times 10^{-5}$
$\Gamma_{116}$	$D_1^+(2760)^0 K^+, D_1^0 \rightarrow D^+ \pi^+$	$(3.6 \pm 1.2) \times 10^{-6}$
$\Gamma_{117}$	$D^+ K^0$	$< 2.9 \times 10^{-6}$
$\Gamma_{118}$	$D^+ K^+ \pi^-$	$(5.6 \pm 1.1) \times 10^{-6}$
$\Gamma_{119}$	$D_2^+(2460)^0 K^+, D_2^0 \rightarrow D^+ \pi^-$	$< 6.3 \times 10^{-7}$
$\Gamma_{120}$	$D^+ K^0$	$< 4.9 \times 10^{-7}$
$\Gamma_{121}$	$D^+ \bar{K}^0$	$< 1.4 \times 10^{-6}$
$\Gamma_{122}$	$\bar{D}^0(2007)^0 \pi^+$	$(4.90 \pm 0.17) \times 10^{-3}$
$\Gamma_{123}$	$\bar{D}_{CP^{(+)}}^0 \pi^+$	[4] $(2.7 \pm 0.6) \times 10^{-3}$
$\Gamma_{124}$	$D_{CP^{(+)}}^0 \pi^+$	[4] $(2.4 \pm 0.9) \times 10^{-3}$
$\Gamma_{125}$	$\bar{D}^0(2007)^0 \omega \pi^+$	$(4.5 \pm 1.2) \times 10^{-3}$
$\Gamma_{126}$	$\bar{D}^0(2007)^0 \rho^+$	$(9.8 \pm 1.7) \times 10^{-3}$
$\Gamma_{127}$	$\bar{D}^0(2007)^0 K^+$	$(3.97^{+0.21}_{-0.28}) \times 10^{-4}$
$\Gamma_{128}$	$\bar{D}_{CP^{(+)}}^0 K^+$	[4] $(2.60 \pm 0.33) \times 10^{-4}$
$\Gamma_{129}$	$\bar{D}_{CP^{(+)}}^0 K^+$	[4] $(2.19 \pm 0.30) \times 10^{-4}$
$\Gamma_{130}$	$D^+(2007)^0 K^+$	$(7.8 \pm 2.2) \times 10^{-6}$
$\Gamma_{131}$	$\bar{D}^0(2007)^0 K^+(892)^+$	$(8.1 \pm 1.4) \times 10^{-4}$
$\Gamma_{132}$	$\bar{D}^0(2007)^0 K^+ \bar{K}^0$	$< 1.06 \times 10^{-3}$
$\Gamma_{133}$	$\bar{D}^0(2007)^0 K^+ \bar{K}^0(892)^0$	$(1.5 \pm 0.4) \times 10^{-3}$
$\Gamma_{134}$	$\bar{D}^0(2007)^0 \pi^+ \pi^- \pi^-$	$(1.03 \pm 0.12)\%$
$\Gamma_{135}$	$\bar{D}^0(2007)^0 a_1(1260)^+$	$(1.9 \pm 0.5)\%$
$\Gamma_{136}$	$\bar{D}^0(2007)^0 \pi^+ \pi^- \pi^0$	$(1.8 \pm 0.4)\%$
$\Gamma_{137}$	$\bar{D}^0 3 \pi^+ 2 \pi^-$	$(5.7 \pm 1.2) \times 10^{-3}$
$\Gamma_{138}$	$D^+(2010)^+ \pi^0$	$< 3.6 \times 10^{-6}$
$\Gamma_{139}$	$D^+(2010)^+ K^0$	$< 9.0 \times 10^{-6}$
$\Gamma_{140}$	$D^+(2010)^+ \pi^+ \pi^0$	$(1.5 \pm 0.7)\%$
$\Gamma_{141}$	$D^+(2010)^+ \pi^+ \pi^+ \pi^-$	$(2.6 \pm 0.4) \times 10^{-3}$

# Classification of FCNC Decays (Rare Decays)

- ▶ Radiative decay modes  
(both charged and neutral B mesons)

exclusive: e.g.  $B \rightarrow K^* \gamma$ ,  $B \rightarrow \rho \gamma$ , ...

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## ▶ Purely leptonic decay modes

(only neutral B mesons)

e.g.  $B_s \rightarrow \mu^+ \mu^-$ ,  $B_d \rightarrow \tau^+ \tau^-$ , ...

- ▶ **Charged Current Decays:**

determination of **CKM matrix** elements

- ▶ **Rare Decays:**

search for **new physics**



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determination of **CKM matrix** elements

(but can also be used to probe new physics, if the new physics is “strong” enough to compete with tree level  $W$  exchange)

- ▶ **Rare Decays:**

search for **new physics**

(but can also be used to determine CKM parameters, if one assumes that the decays are free of new physics)

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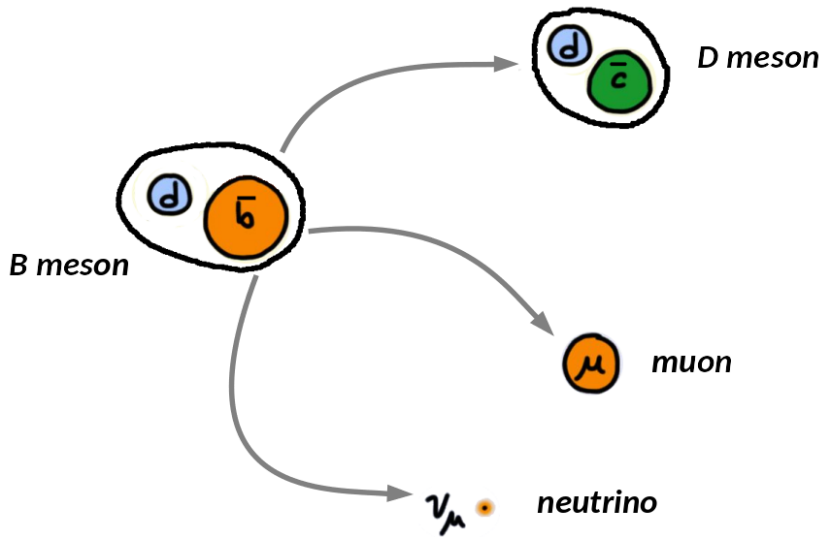
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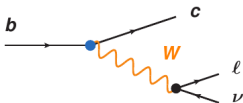
# The $B \rightarrow D^{(*)} l \nu$ Decays





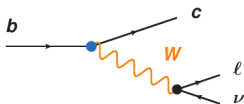
# Effective Hamiltonian for $B \rightarrow D^{(*)} \ell \nu$ in the SM

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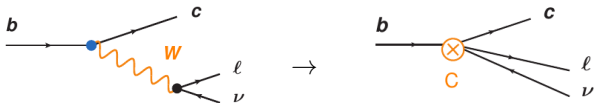
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- ▶ characteristic energy scale of B decays:  $\mathcal{O}(m_B)$
- ▶ characteristic energy scale of weak interactions:  $\mathcal{O}(m_W) \gg \mathcal{O}(m_B)$
- ▶ decays can be described by an effective Hamiltonian (“integrate out the W boson”)

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} C (\bar{c} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu P_L \nu_\ell)$$

Wilson coefficient

4-fermion contact interaction

$$\langle D^{(*)} \ell \nu | \mathcal{H}_{\text{eff}} | B \rangle =$$

$$\langle D^{(*)} l \nu | \mathcal{H}_{\text{eff}} | B \rangle = \frac{4G_F}{\sqrt{2}} V_{cb} C \langle l \bar{\nu} | (\bar{l} \gamma^\mu P_L \nu) | 0 \rangle \langle D^{(*)} | (\bar{c} \gamma_\mu P_L b) | B \rangle$$

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Parameterization in terms of **form factors**

$$\langle D | \bar{c} \gamma^\mu b | \bar{B} \rangle \equiv f_+(q^2) (p_B + p_D)^\mu + [f_0(q^2) - f_+(q^2)] \frac{m_B^2 - m_D^2}{q^2} q^\mu$$

$$\langle D^* | \bar{c} \gamma^\mu b | \bar{B} \rangle \equiv -ig(q^2) \epsilon^{\mu\nu\rho\sigma} \varepsilon_\nu^* (p_B + p_{D^*})_\rho q_\sigma,$$

$$\langle D^* | \bar{c} \gamma^\mu \gamma^5 b | \bar{B} \rangle \equiv \varepsilon^{*\mu} f(q^2) + a_+(q^2) \varepsilon^* \cdot p_B (p_B + p_{D^*})^\mu + a_-(q^2) \varepsilon^* \cdot p_B q^\mu$$

# Expressions for the Decay Rates

$$\begin{aligned}\frac{d\Gamma(\bar{B} \rightarrow D l \bar{\nu})}{dw} &= \frac{G_F^2 |V_{cb}|^2 \eta_{EW}^2 m_B^5}{48\pi^3} (w^2 - 1)^{3/2} r_D^3 (1 + r_D)^2 \mathcal{G}(w)^2, \\ \frac{d\Gamma(\bar{B} \rightarrow D^* l \bar{\nu})}{dw} &= \frac{G_F^2 |V_{cb}|^2 \eta_{EW}^2 m_B^5}{48\pi^3} (w^2 - 1)^{1/2} (w + 1)^2 r_{D^*}^3 (1 - r_{D^*})^2 \\ &\quad \times \left[ 1 + \frac{4w}{w + 1} \frac{1 - 2wr_{D^*} + r_{D^*}^2}{(1 - r_{D^*})^2} \right] \mathcal{F}(w)^2,\end{aligned}$$

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if  $\mathcal{G}, \mathcal{F}$  are known, can use experimental data on the decay rates to determine the CKM element  $V_{cb}$

# Parameterization of the Form Factors

Boyd, Grinstein, Lebed hep-ph/9412324; Caprini, Lellouch, Neubert hep-ph/9712417; ...  
... Flynn, Juttner, Tsang 2303.11285; Gubernari, Reboud, van Dyk, Virto 2305.06301

- One would like to work with a robust parameterization of the  $q^2$  dependence of the form factors
- Use a **conformal mapping** to the variable  $z$ , and use **analytic properties** of the form factors to express them in a power series in  $z$  with coefficients bounded by unitarity

$$z = \frac{\sqrt{1+\omega} - \sqrt{2}}{\sqrt{1+\omega} + \sqrt{2}}, \quad f(z) = \frac{1}{P(z)\phi(z)} \sum_n a_n z^n, \quad \sum_n |a_n|^2 \leq 1$$

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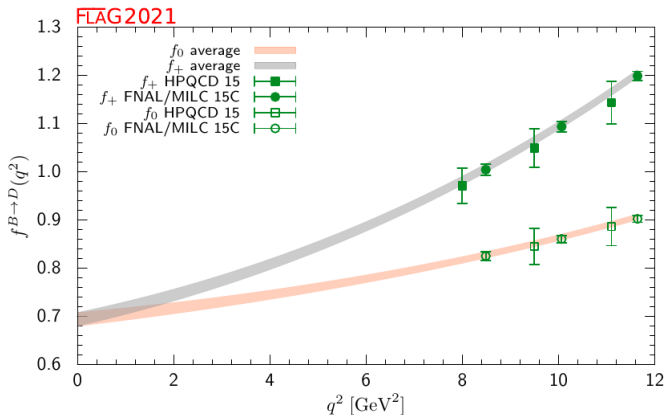
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- For  $B \rightarrow D$  the physical region corresponds to  $0 < z \lesssim 0.064$ .
- $P(z)$  = Blaschke factor that takes into account poles.
- $\phi(z)$  = outer function ensures unitarity bounds take a simple form.

(can also use HQET to constrain the form factor shapes)

# Lattice Determination of the Form Factors



percent level uncertainty from lattice form factors translates into percent level uncertainty on  $V_{cb}$

Take ratios of branching ratios with different leptons in the final state

$$R_{D^{(*)}} = \frac{BR(B \rightarrow D^{(*)} \tau \nu)}{BR(B \rightarrow D^{(*)} \ell \nu)}$$



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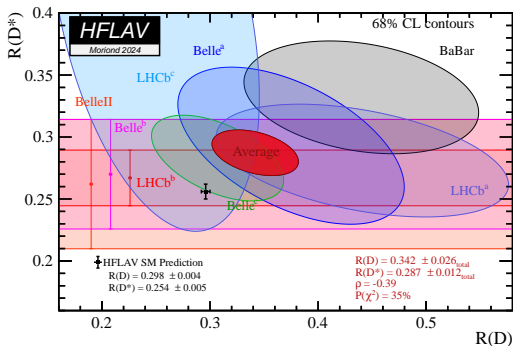
- ▶ LFU ratios do not depend on the CKM matrix elements
- ▶ Have reduced dependence on form factors
- ▶ can be predicted in the SM with **high precision**

$$R_D^{\text{SM}} = 0.298 \pm 0.004 \quad , \quad R_{D^*}^{\text{SM}} = 0.254 \pm 0.005$$

[values adopted by HFLAV, based on many theory papers ... ]

# The $R_{D^{(*)}}$ Anomalies

world average from the heavy flavor averaging group



$$R_{D^{(*)}} = \frac{BR(B \rightarrow D^{(*)} \tau \nu)}{BR(B \rightarrow D^{(*)} \ell \nu)}$$

$$\ell = \mu, e \quad (\text{BaBar/Belle})$$

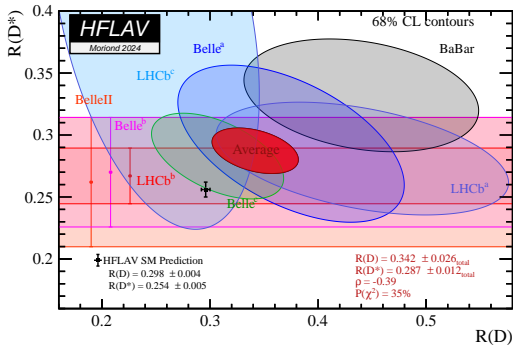
$$\ell = \mu \quad (\text{LHCb})$$

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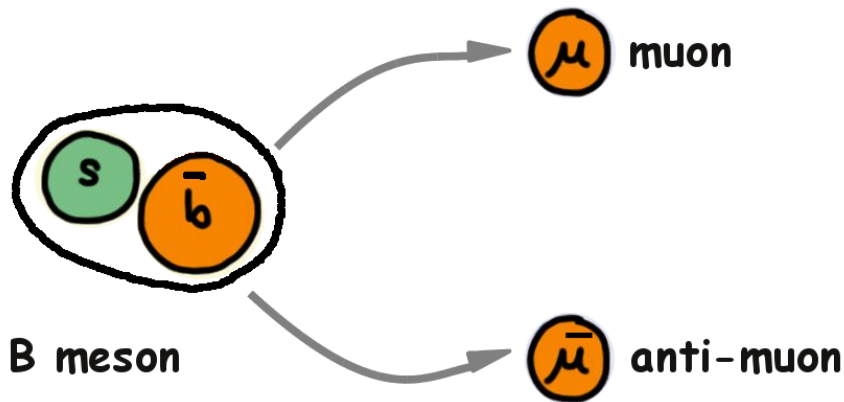
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Hint for new physics? Belle II will clear this up soon.

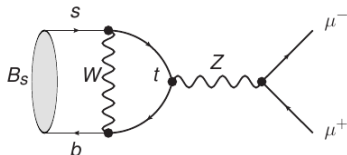
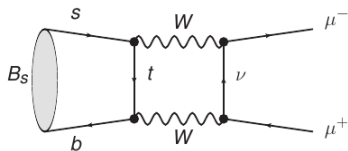
$$B_s \rightarrow \mu^+ \mu^-$$

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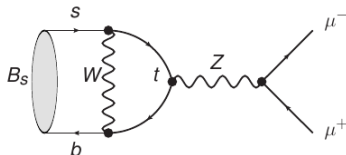
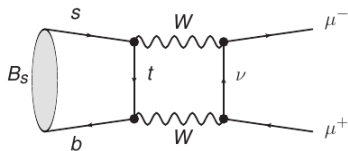
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- ▶ **helicity suppressed** decay (similar to pion decay):

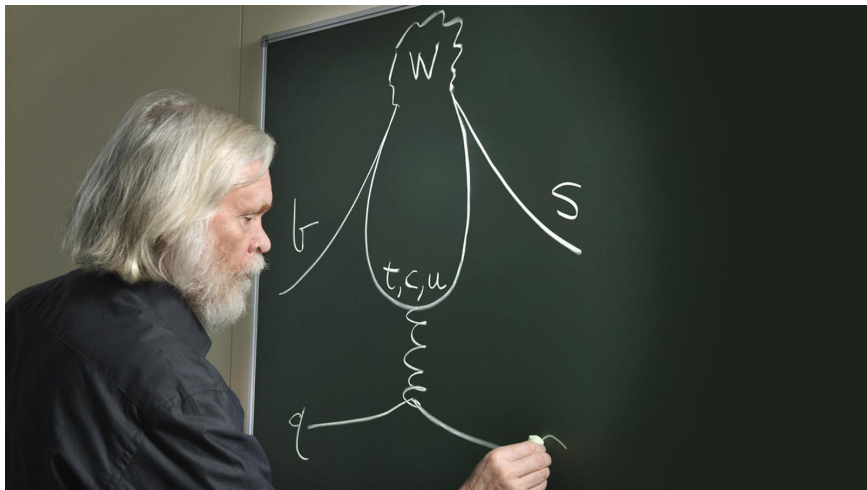
B meson is spin 0, muons spin 1/2

→ one muon has to be left-handed, other one right-handed

electroweak interactions only give muons of the same handedness

→ branching ratio is helicity suppressed by  $m_\mu^2/m_B^2$

# Penguin Diagrams



<https://www.symmetrymagazine.org/article/june-2013/the-march-of-the-penguin-diagrams>



# Effective Hamiltonian for $B_s \rightarrow \mu^+ \mu^-$ in the SM

- Integrate out the top, W, Z, ... to arrive at an effective Hamiltonian that describes the  $B_s \rightarrow \mu^+ \mu^-$  decay

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} C_{10} (\bar{s} \gamma_\alpha P_L b) (\bar{\mu} \gamma^\alpha \gamma_5 \mu)$$

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- ▶  $s_W$  is the sine of the weak mixing angle
- ▶  $Y_0$  and  $Y_1$  are **loop functions** that depend on  $x_t = m_t^2/m_W^2$
- ▶ known at NNLO in QCD and NLO in the electroweak interactions

# The Hadronic Matrix Element

$$\langle \mu^+ \mu^- | \mathcal{H}_{\text{eff}} | B_s \rangle = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} C_{10} \langle \mu^+ \mu^- | (\bar{\mu} \gamma^\alpha \gamma_5 \mu) | 0 \rangle \langle 0 | (\bar{s} \gamma_\alpha P_L b) | B_s \rangle$$

# The Hadronic Matrix Element

$$\langle \mu^+ \mu^- | \mathcal{H}_{\text{eff}} | B_s \rangle = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} C_{10} \langle \mu^+ \mu^- | (\bar{\mu} \gamma^\alpha \gamma_5 \mu) | 0 \rangle \langle 0 | (\bar{s} \gamma_\alpha P_L b) | B_s \rangle$$

- Hadronic matrix element is given by the  $B_s$  meson decay constant

$$\langle 0 | (\bar{s} \gamma^\alpha b) | B_s \rangle = 0$$

$$\langle 0 | (\bar{s} \gamma^\alpha \gamma_5 b) | B_s \rangle = i f_{B_s} p_{B_s}^\alpha$$

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$$\langle 0 | (\bar{s} \gamma^\alpha \gamma_5 b) | B_s \rangle = i f_{B_s} p_{B_s}^\alpha$$

- ▶ decay constants can be determined on the lattice

$$f_{B_s} = (230.3 \pm 1.3) \text{MeV} \quad , \quad f_{B_d} = (190.0 \pm 1.3) \text{MeV} \quad (\text{FLAG})$$

sub-percent precision!

# Branching Ratio Prediction

decay constant

Effect of lifetime difference of  $B_s$  and  $B_s$ -bar

$$BR(B_s \rightarrow \mu^+ \mu^-)_{\text{SM}} = \tau_{B_s} \frac{G_F^2}{\pi} m_{B_s} f_{B_s}^2 m_\mu^2 \frac{\alpha^2}{16\pi^2} |V_{ts}^* V_{tb}|^2 \frac{Y(x_t)^2}{s_W^4} \frac{1}{1 - y_s}$$

helicity suppression

loop suppression

CKM suppression

# Branching Ratio Prediction

$$BR(B_s \rightarrow \mu^+ \mu^-)_{SM} = \tau_{B_s} \frac{G_F^2}{\pi} m_{B_s} f_{B_s}^2 m_\mu^2 \frac{\alpha^2}{16\pi^2} |V_{ts}^* V_{tb}|^2 \frac{Y(x_t)^2}{s_W^4} \frac{1}{1-y_s}$$

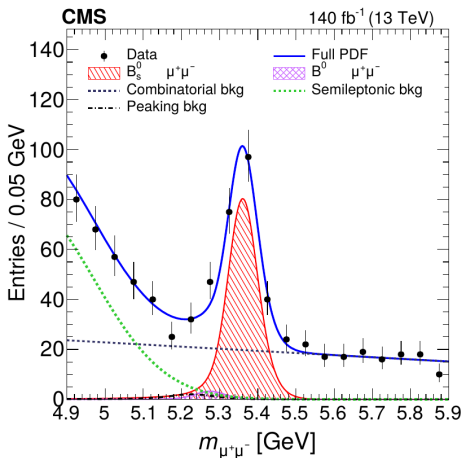
decay constant  $\rightarrow$   $\tau_{B_s}$   
 Effect of lifetime difference of  $B_s$  and  $B_s$ -bar  $\rightarrow$   $\frac{1}{1-y_s}$   
 helicity suppression  $\rightarrow$   $m_\mu^2$   
 loop suppression  $\rightarrow$   $\frac{\alpha^2}{16\pi^2}$   
 CKM suppression  $\rightarrow$   $|V_{ts}^* V_{tb}|^2$

$$BR(B_s \rightarrow \mu^+ \mu^-)_{SM} = (3.46 \pm 0.24) \times 10^{-9} \quad (\text{using my preferred CKM input})$$

a truly rare decay!



# Experimental status of $B_s \rightarrow \mu^+ \mu^-$



$$BR(B_s \rightarrow \mu^+ \mu^-)_{\text{exp}} = (3.34 \pm 0.27) \times 10^{-9} \quad (\text{PDG average of ATLAS, CMS, LHCb})$$

In good agreement with SM prediction.

$$b \rightarrow s\nu\bar{\nu}$$

# The $b \rightarrow s\nu\bar{\nu}$ Decays

- There are various hadronic versions of the decay

$$B \rightarrow K\nu\bar{\nu}, \quad B \rightarrow K^*\nu\bar{\nu}, \quad B_s \rightarrow \phi\nu\bar{\nu}, \quad \Lambda_b \rightarrow \Lambda\nu\bar{\nu}$$

- Similar story as we have seen before: integrate out  $W, Z, t$  and match onto an effective Hamiltonian. One finds a single operator in the Standard Model

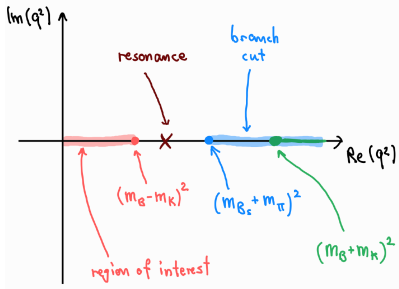
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} \frac{\alpha}{4\pi} V_{ts}^* V_{tb} C_L (\bar{s}\gamma^\mu P_L b) (\bar{\nu}\gamma_\mu (1 - \gamma_5)\nu)$$

- Wilson coefficient is known at NNLO in QCD and NLO electro-weak  
(Brod, Gorbahn, Stamou, 1009.0947, 2105.02868)

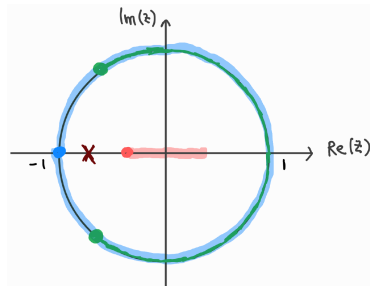
$$C_L^{\text{SM}} = -6.322 \pm 0.031 \Big|_{m_t} \pm 0.074 \Big|_{\text{QCD}} \pm 0.009 \Big|_{\text{EW}}$$

# $B \rightarrow K$ Form Factors

Form factors are parameterized similarly to  $B \rightarrow D$ :  
polynomials in  $z$  with coefficients bounded by unitarity



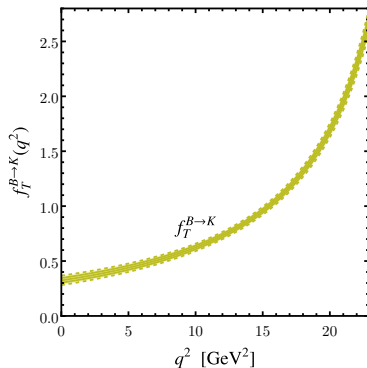
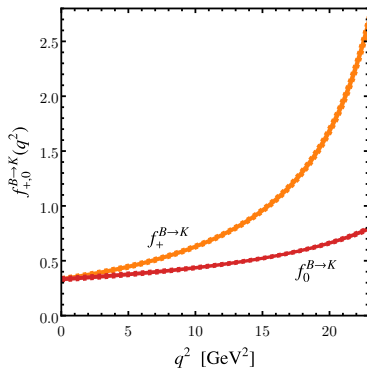
$\Rightarrow$



$$\mathcal{F}(q^2) = \frac{1}{B_{\mathcal{F}}(z)\phi_{\mathcal{F}}(z)} \sum_k \alpha_k^{\mathcal{F}} p_k^{\mathcal{F}}(z), \quad \sum_{\mathcal{F},k} |\alpha_k^{\mathcal{F}}|^2 < 1$$

# $B \rightarrow K$ Form Factors from the Lattice

- Astonishing precision is achieved on the lattice
- Plots show  $2\sigma$  error bands!



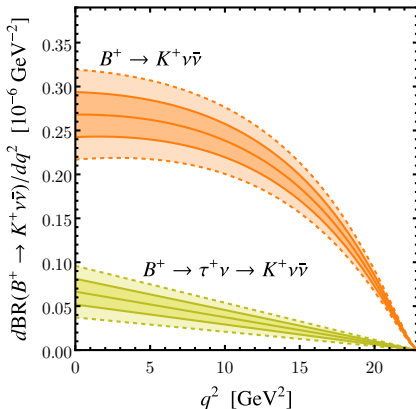
[plots based on HPQCD 2207.12468, Fermilab/MILC 1509.06235,  
Gubernari, Reboud, van Dyk, Virto 2305.06301]

# Standard Model Prediction for $B \rightarrow K\nu\bar{\nu}$

- SM branching ratio predicted with  $\sim 8\%$  precision

$$\begin{aligned}\text{BR}(B^+ \rightarrow K^+ \nu\bar{\nu}) &= \\ &= (4.46 \pm 0.36) \times 10^{-6}\end{aligned}$$

- For the charged  $B$  decays need also to take into account a “long-distance” contribution from  $B^+ \rightarrow \tau^+ \nu \rightarrow K^+ \nu\bar{\nu}$

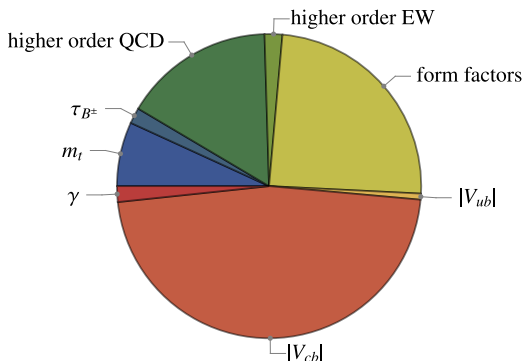


[work in progress with Gadam and Toner]

# Error Budget

$$\text{BR}(B^+ \rightarrow K^+ \nu \bar{\nu}) =$$
$$= (4.46 \pm 0.36) \times 10^{-6}$$

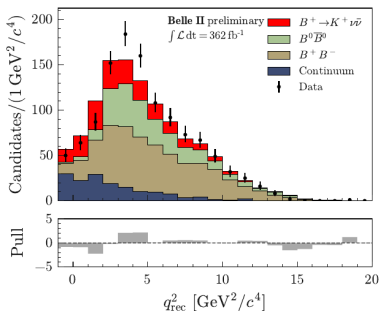
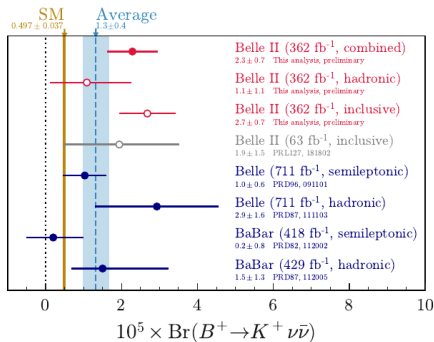
- Uncertainty is dominated by CKM input
- Uncertainties for  $B \rightarrow K^* \nu \bar{\nu}$  and  $B_s \rightarrow \phi \nu \bar{\nu}$  somewhat higher because of less precise form factors



[work in progress with Gadam and Toner]

# Evidence for $B \rightarrow K\nu\bar{\nu}$

Belle II 2311.14647



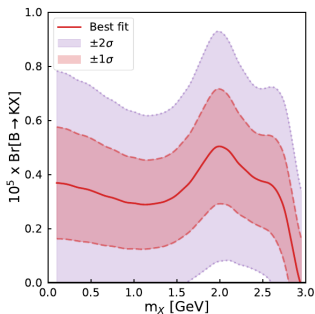
- ▶ Evidence for  $B \rightarrow K\nu\bar{\nu}$  at  $3.5\sigma$  above background and  $2.7\sigma$  above the SM prediction.
- ▶ Excess of events is particularly pronounced around  $q^2 \simeq 4 \text{ GeV}^2$ .



# A Hint for Light New Physics?

- Instead of fitting the excess with a continuous 3-body spectrum from  $B \rightarrow K\nu\bar{\nu}$  one gets a better fit with a new resonance  $B \rightarrow KX$

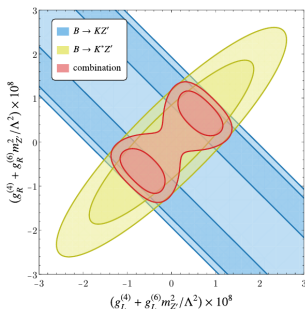
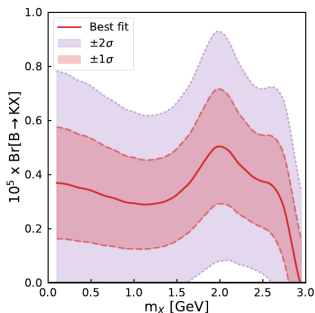
WA, Crivellin, Haigh, Inguglia, Martin Camalich 2311.14629



# A Hint for Light New Physics?

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WA, Crivellin, Haigh, Inguglia, Martin Camalich 2311.14629



- ▶ Could be for example a  $Z'$  or ALP with mass around 2 GeV
- ▶ Constraints from  $B \rightarrow K^* \nu\bar{\nu}$  narrow down couplings

see also Bause et al. 2309.00075; Allwicher et al. 2309.02246; Felkl et al. 2309.02940;  
McKeen et al. 2312.00982; Fridell et al. 2312.12507; Ho et al. 2401.10112; Gabrielli et al. 2402.05901;  
Hou et al 2402.19208; Bolton et al. 2403.13887; He et al 2403.12485; Marzocca et al 2404.06533;  
Eguren et al 2405.00108; Buras et al. 2405.06742; ...

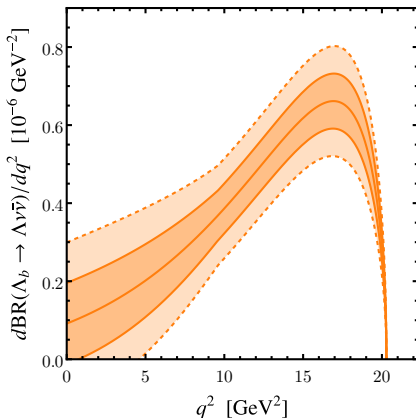
# SM Prediction for $\Lambda_b \rightarrow \Lambda \nu \bar{\nu}$

- SM branching ratio predicted with  $\sim 15\%$  precision

$$\begin{aligned} \text{BR}(\Lambda_b \rightarrow \Lambda \nu \bar{\nu}) &= \\ &= (7.71 \pm 1.06) \times 10^{-6} \end{aligned}$$

- Need FCC-ee/CEPC in Z-factory mode to access this decay experimentally

Amhis et al. 2309.11353



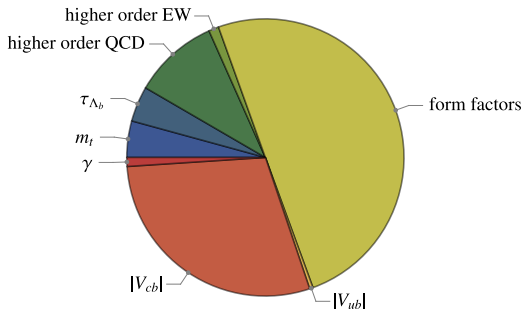
[work in progress with Gadam and Toner]

$$\text{BR}(\Lambda_b \rightarrow \Lambda \nu \bar{\nu}) =$$
$$= (7.71 \pm 1.06) \times 10^{-6}$$

- Lattice calculations of  $\Lambda_b \rightarrow \Lambda$  form factors are less established and currently have larger uncertainties

Detmold, Meinel 1602.01399;

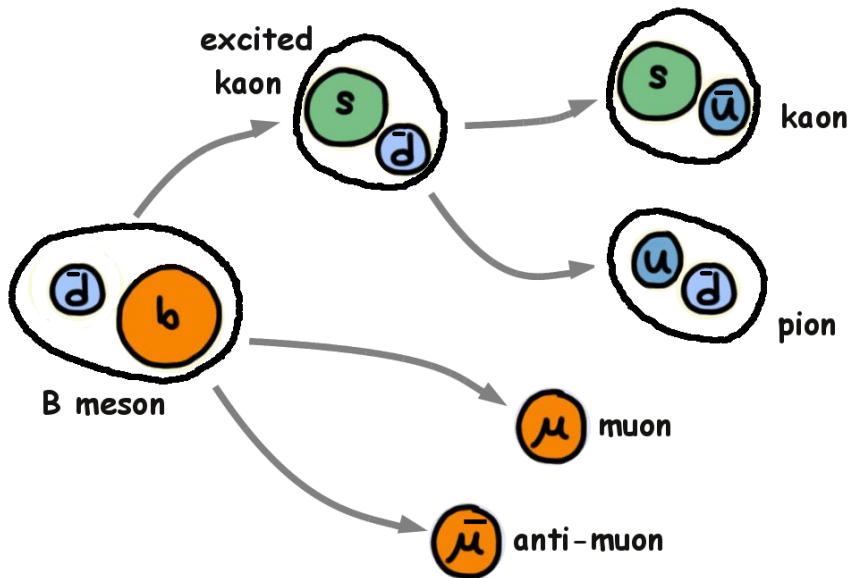
Blake et al. 2205.06041



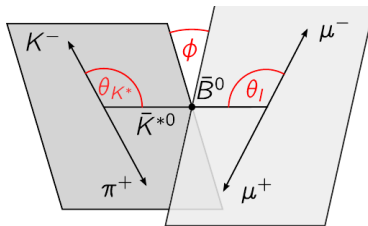
[work in progress with Gadam and Toner]

$$B \rightarrow K^* l^+ l^- \text{ and } R_{K^{(*)}}$$

# The $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ Decay



# The $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ Decay



- kinematics described by 4 variables

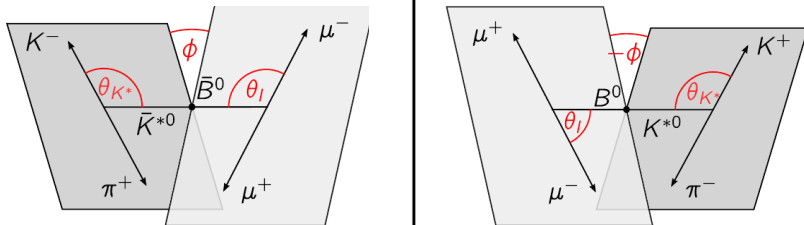
invariant mass squared of the two muons:  $q^2$

three angles:  $0 < \theta_{K^*} < \pi$ ,  $0 < \theta_\ell < \pi$ ,  $-\pi < \phi < \pi$

→ many observables accessible from the **angular distribution**

# The $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ Decay

CF



► self tagging:

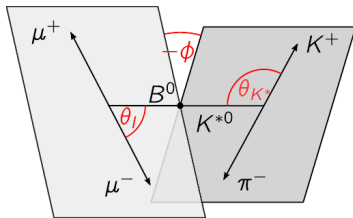
$K^+\pi^-$  final state for  $B^0$

$K^-\pi^+$  final state for  $\bar{B}^0$

→ in principle easy access to CP asymmetries

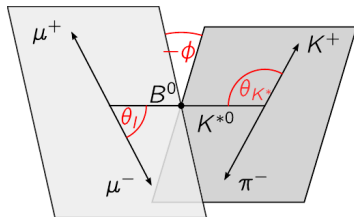


# The $B \rightarrow K^* \mu^+ \mu^-$ Angular Decay Distribution



$$\frac{d^4 \bar{\Gamma}}{dq^2 d \cos \theta_\ell d \cos \theta_{K^*} d \phi} =$$
$$= \frac{9}{32\pi} \bar{I}(q^2, \theta_\ell, \theta_{K^*}, \phi)$$

# The $B \rightarrow K^* \mu^+ \mu^-$ Angular Decay Distribution



$$\frac{d^4 \bar{\Gamma}}{dq^2 d \cos \theta_\ell d \cos \theta_{K^*} d \phi} =$$

$$= \frac{9}{32\pi} \bar{I}(q^2, \theta_\ell, \theta_{K^*}, \phi)$$

$$\begin{aligned} \bar{I}(q^2, \theta_\ell, \theta_{K^*}, \phi) = & \\ & \bar{I}_1^S \sin^2 \theta_{K^*} + \bar{I}_1^C \cos^2 \theta_{K^*} + (\bar{I}_2^S \sin^2 \theta_{K^*} + \bar{I}_2^C \cos^2 \theta_{K^*}) \cos 2\theta_\ell \\ & + \bar{I}_3 \sin^2 \theta_{K^*} \sin^2 \theta_\ell \cos 2\phi + \bar{I}_4 \sin 2\theta_{K^*} \sin 2\theta_\ell \cos \phi \\ & - \bar{I}_5 \sin 2\theta_{K^*} \sin \theta_\ell \cos \phi \\ & - (\bar{I}_6^S \sin^2 \theta_{K^*} + \bar{I}_6^C \cos^2 \theta_{K^*}) \cos \theta_\ell + \bar{I}_7 \sin 2\theta_{K^*} \sin \theta_\ell \sin \phi \\ & - \bar{I}_8 \sin 2\theta_{K^*} \sin 2\theta_\ell \sin \phi - \bar{I}_9 \sin^2 \theta_{K^*} \sin^2 \theta_\ell \sin 2\phi \end{aligned}$$

The  $I$ 's are moments of the angular distribution.

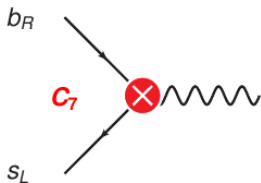
# Effective Hamiltonian for $B \rightarrow K^* \ell^+ \ell^-$ in the SM

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_{i=7,9,10} C_i \mathcal{O}_i + \dots$$

# Effective Hamiltonian for $B \rightarrow K^* \ell^+ \ell^-$ in the SM

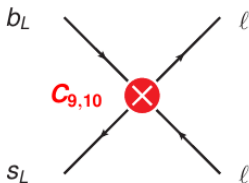
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_{i=7,9,10} C_i \mathcal{O}_i + \dots$$

magnetic dipole operators



$$C_7 (\bar{s} \sigma_{\mu\nu} P_R b) F^{\mu\nu}$$

semileptonic operators



$$C_9 (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \ell)$$

$$C_{10} (\bar{s} \gamma_\mu P_L b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

Hadronic matrix elements are parameterized in terms of form factors

$$\begin{aligned} \langle \bar{K}^*(k) | \bar{s} \gamma_\mu (1 - \gamma_5) b | \bar{B}(p) \rangle &= -i \epsilon_\mu^* (m_B + m_{K^*}) A_1(q^2) + i(2p - q)_\mu (\epsilon^* \cdot q) \frac{A_2(q^2)}{m_B + m_{K^*}} \\ &+ i q_\mu (\epsilon^* \cdot q) \frac{2m_{K^*}}{q^2} [A_3(q^2) - A_0(q^2)] + \epsilon_{\mu\nu\rho\sigma} \epsilon^{*\nu} p^\rho k^\sigma \frac{2V(q^2)}{m_B + m_{K^*}} \end{aligned}$$

$$\langle \bar{K}^*(k) | \bar{s} \sigma_{\mu\nu} q^\nu (1 + \gamma_5) b | \bar{B}(p) \rangle = i \epsilon_{\mu\nu\rho\sigma} \epsilon^{*\nu} p^\rho k^\sigma 2T_1(q^2)$$

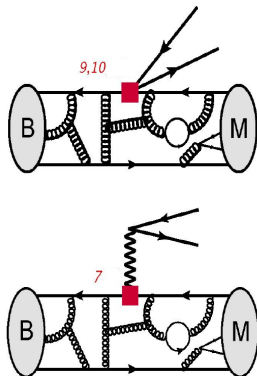
$$+ T_2(q^2) [\epsilon_\mu^* (m_B^2 - m_{K^*}^2) - (\epsilon^* \cdot q) (2p - q)_\mu] + T_3(q^2) (\epsilon^* \cdot q) \left[ q_\mu - \frac{q^2}{m_B^2 - m_{K^*}^2} (2p - q)_\mu \right]$$

Predictions exist from lattice QCD and  
other non-perturbative methods (light cone sum rules)

most recent fit to a z-parameterization by Gubernari, Reboud, van Dyk, Virto 2305.06301

# Non-Local Effects

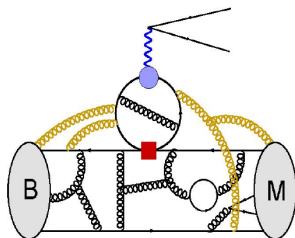
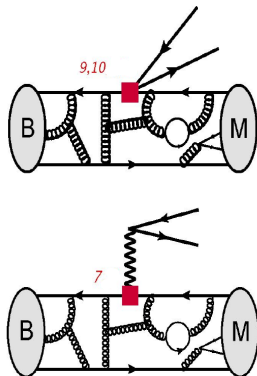
So far we discussed the local contributions



(illustrations by Danny van Dyk)

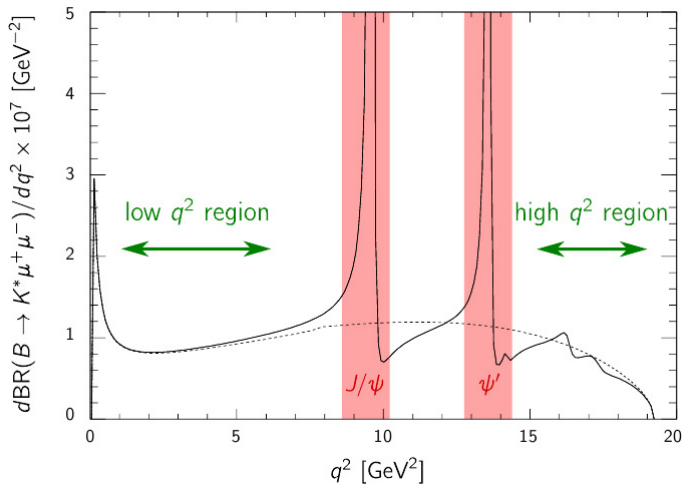
# Non-Local Effects

So far we discussed the local contributions  
there are also **non-local effects** coming from 4-quark operators; often  
referred to a “charm loop” effects.



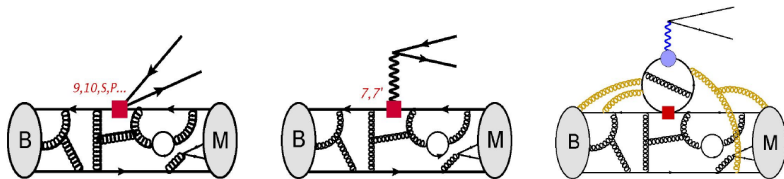
(illustrations by Danny van Dyk)

# The $q^2$ Spectrum





# $b \rightarrow sll$ Amplitudes

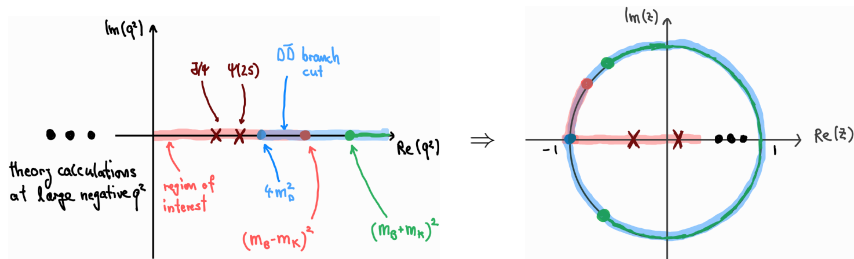


$$\mathcal{A}_\lambda^{L,R} = \mathcal{N}_\lambda \left\{ (C_9 \mp C_{10}) \mathcal{F}_\lambda(q^2) + \frac{2m_b M_B}{q^2} \left[ C_7 \mathcal{F}_\lambda^T(q^2) - 16\pi^2 \frac{M_B}{m_b} \mathcal{H}_\lambda(q^2) \right] \right\} + \mathcal{O}(\alpha^2)$$

- ▶ Local (Form Factors):  $\mathcal{F}_\lambda^{(\Gamma)}(q^2) = \langle \bar{M}_\lambda(k) | \bar{s} \Gamma_\lambda^{(\Gamma)} b | \bar{B}(k+q) \rangle$
- ▶ Non-Local:  $\mathcal{H}_\lambda(q^2) = i \mathcal{P}_\mu^\lambda \int d^4x e^{iq \cdot x} \langle \bar{M}_\lambda(k) | T \{ j_{em}^\mu(x), C_i \mathcal{O}_i(0) \} | \bar{B}(q+k) \rangle$

(talk by Javier Virto at Flavour@TH workshop, CERN May 11, 2023)

# Parameterization of the Charm Loop



- ▶ Proposed parameterization analogous to the local form factors.
- ▶ Works for  $q^2$  below the  $D\bar{D}$  branch cut.

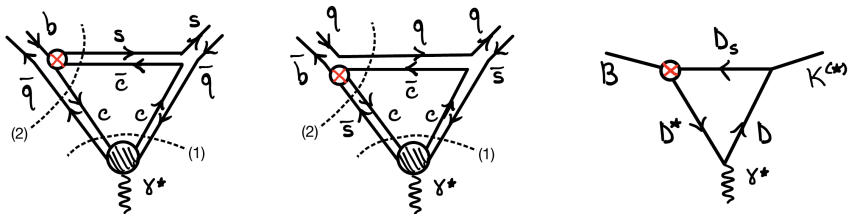
Bobeth, Chrzaszcz, van Dyk, Virto 1707.07305; Gubernari, van Dyk, Virto 2011.09813;  
Gubernari, Reboud, van Dyk, Virto 2206.03797

$$\mathcal{H}(q^2) = \frac{1}{\mathcal{B}_{\mathcal{H}}(z)\phi_{\mathcal{H}}(z)} \sum_k \beta_k^{\mathcal{H}} p_k^{\mathcal{H}}(z) , \quad \sum_{\mathcal{H},k} |\beta_k^{\mathcal{H}}|^2 < 1$$

# Additional Charm Loop Effects?

- ▶ The charm loop also gives “triangle diagrams” involving e.g. intermediate  $D_s \bar{D}$  states

Ciuchini, Fedele, Franco, Paul, Silvestrini, Valli 2212.10516



- ▶ E.g. decay  $B \rightarrow D_s D^*$  followed by rescattering  $D_s D^* \rightarrow K^{(*)} \gamma^*$
- ▶ This gives anomalous thresholds that distort the analytic structure  
(Mutke, Hoferichter, Kubis 2406.14608)
- ▶ How disruptive is this to the proposed parameterization?

- ▶ Hadronic uncertainties drop out almost entirely in lepton flavor universality ratios

$$R_{K^*} = \frac{BR(B \rightarrow K^* \mu^+ \mu^-)}{BR(B \rightarrow K^* e^+ e^-)}$$

# Lepton Flavor Universality Ratios

- ▶ Hadronic uncertainties drop out almost entirely in lepton flavor universality ratios

$$R_{K^*} = \frac{BR(B \rightarrow K^* \mu^+ \mu^-)}{BR(B \rightarrow K^* e^+ e^-)}$$

- ▶ Analogously for the  $B \rightarrow K \ell^+ \ell^-$  decays

$$R_K = \frac{BR(B \rightarrow K \mu^+ \mu^-)}{BR(B \rightarrow K e^+ e^-)}$$

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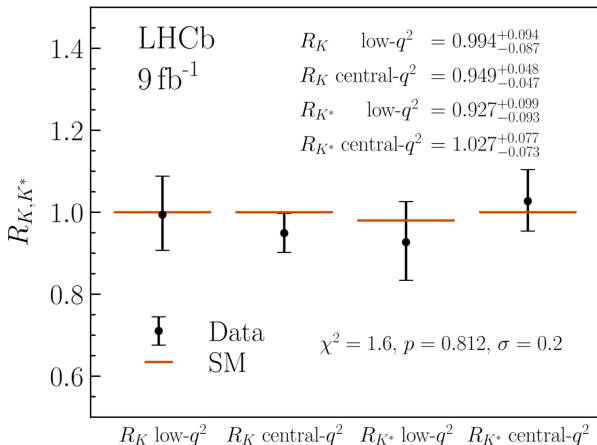
- ▶ Standard Model Predictions Bordone, Isidori, Pattori 1605.07633

$$R_K^{[1,6]} = 1.00 \pm 0.01, \quad R_{K^*}^{[1.1,6]} = 1.00 \pm 0.01, \quad R_{K^*}^{[0.045,1.1]} = 0.91 \pm 0.03$$

(The numbers in square brackets indicate the  $q^2$  region)

# Lepton Flavor Universality Tests in $b \rightarrow s\ell\ell$

LHCb 2212.09152, 2212.09153



$R_K$  and  $R_{K^*}$  are consistent with SM expectations at the  $\sim 5\%$  level

# Kaon and Pion Decays



# Probing New Physics with Rare Kaon Decays

Standard Model

generic New Physics

$$s \rightarrow d \quad \sim \frac{1}{16\pi^2} \frac{G_F}{\sqrt{2}} V_{ts} V_{td}^* \simeq \frac{1}{(250 \text{ TeV})^2}$$

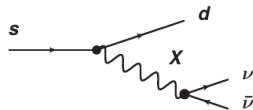
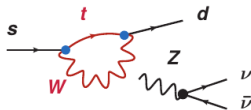
$$\sim \frac{1}{M_X^2}$$

$$b \rightarrow d \quad \sim \frac{1}{16\pi^2} \frac{G_F}{\sqrt{2}} V_{tb} V_{td}^* \simeq \frac{1}{(50 \text{ TeV})^2}$$

$$\sim \frac{1}{M_X^2}$$

$$b \rightarrow s \quad \sim \frac{1}{16\pi^2} \frac{G_F}{\sqrt{2}} V_{tb} V_{ts}^* \simeq \frac{1}{(20 \text{ TeV})^2}$$

$$\sim \frac{1}{M_X^2}$$



“the rarer the better”

$$K \rightarrow \pi \nu \bar{\nu}$$

- The  $K \rightarrow \pi \nu \bar{\nu}$  decays are among the theoretically cleanest flavor changing neutral current processes.

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- Relevant hadronic matrix element can be extracted from data.

$$\text{BR}(K \rightarrow \pi \nu \bar{\nu}) = \frac{\text{BR}(K \rightarrow \pi \nu \bar{\nu})}{\text{BR}(K \rightarrow \pi \ell \nu)} \times \text{BR}(K \rightarrow \pi \ell \nu)$$

Want to predict this

Can be calculated  
with high precisions

Can be measured  
with high precisions

- Hadronic matrix element drops out in the ratio, up to iso-spin and QED corrections which are under good control. (Mescia, Smith 0705.2025)

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ in the SM

Brod, Gorbahn, Stamou 2105.02868

$$\text{Br}(K^+ \rightarrow \pi^+ \nu \bar{\nu}(\gamma)) = \kappa_+(1 + \Delta_{\text{EM}}) \left[ \left( \frac{\text{Im}\lambda_t}{\lambda^5} X_t \right)^2 + \left( \frac{\text{Re}\lambda_c}{\lambda} (P_c + \delta P_{c,u}) + \frac{\text{Re}\lambda_t}{\lambda^5} X_t \right)^2 \right].$$

- $\kappa_+$ : prefactor that includes the hadronic matrix element extracted from  $K \rightarrow \pi \ell \nu$  decays.

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- $\Delta_{EM}$ : known NLO QED corrections



Brod, Gorbahn, Stamou 2105.02868

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 7.73(16)(25)(54) \times 10^{-11} .$$

- first uncertainty from perturbative physics, second from non-perturbative physics, third from input parameters.

Brod, Gorbahn, Stamou 2105.02868

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$$10^{11} \times \text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = 7.73 \pm 0.12_{X_t^{\text{QCD}}} \pm 0.01_{X_t^{\text{EW}}} \pm 0.11_{P_c} \pm 0.24_{\delta P_{cu}} \pm 0.04_{\kappa_+} \\ \pm 0.13_{\lambda} \pm 0.46_A \pm 0.18_{\bar{\rho}} \pm 0.03_{\bar{\eta}} \pm 0.05_{m_t} \pm 0.15_{m_c} \pm 0.05_{\alpha_s} .$$

- uncertainty is dominated by CKM; **“intrinsic” theory uncertainty is only a few percent.**

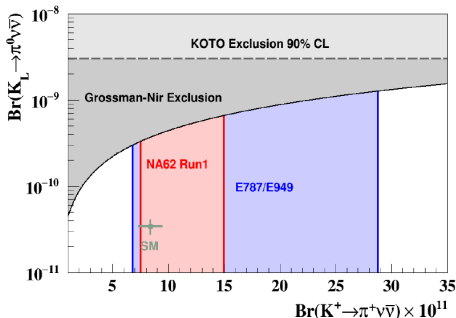
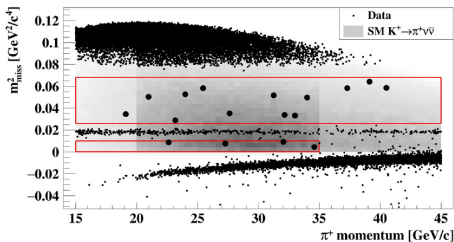
NA62 experiment has evidence for the decay

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) =$$

$$= (10.6_{-3.4}^{+4.0} \pm 0.9) \times 10^{-11}$$

Expect 15% uncertainty with the full data set.

(Unfortunately no prospects for further improvement because of cancellation of the HIKE proposal)



# $K_L \rightarrow \pi^0 \nu \bar{\nu}$ in the SM

Brod, Gorbahn, Stamou 2105.02868

$$\text{Br} \left( K_L \rightarrow \pi^0 \nu \bar{\nu} \right) = \kappa_L r \epsilon_K \left( \frac{\text{Im} \lambda_t}{\lambda^5} X_t \right)^2$$

- Decay is CP violating and depends to an excellent approximation only on the top contribution

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- Decay is CP violating and depends to an excellent approximation only on the top contribution
- As in the case of the charged kaon decay, hadronic matrix elements can be obtained from data (with small isospin and QED corrections)

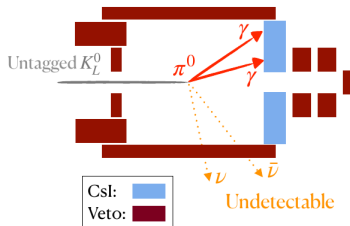
$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = 2.59(6)(2)(28) \times 10^{-11} .$$

$$10^{11} \times \text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = 2.59 \pm 0.06_{X_t^{\text{QCD}}} \pm 0.01_{X_t^{\text{EW}}} \pm 0.02_{\kappa_L} \\ \pm 0.16_{\bar{\eta}} \pm 0.22_A \pm 0.04_{\lambda} \pm 0.02_{m_t} .$$

- Intrinsic theory uncertainty only few percent; uncertainty from CKM input  $\sim 10\%$

# Experimental Situation

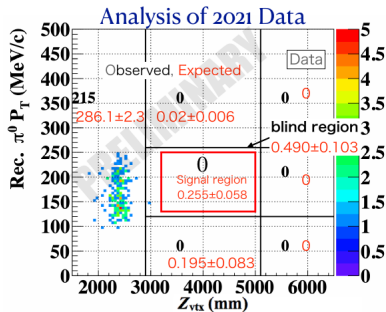
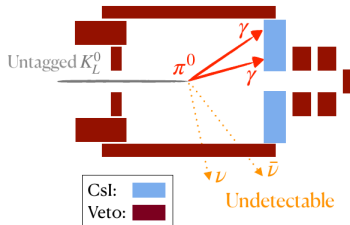
- The KOTO experiment at J-PARC is searching for the  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  decay
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- Current best limit

$$BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) \lesssim 2.0 \times 10^{-9}$$

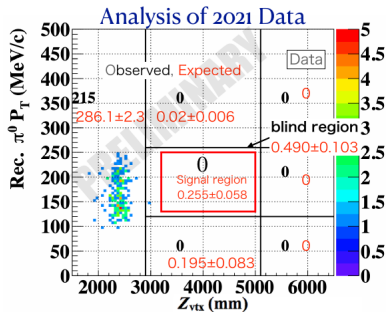
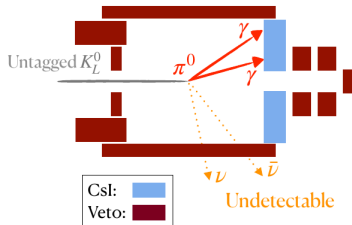


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- KOTO can still improve by 1 order of magnitude
- KOTO II proposal to observe the decay at the SM rate





# Pion Decays

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(+ additional photons or  $e^+ e^-$  pairs)

- $\pi^+ \rightarrow \ell^+ \nu$  is the textbook example of a **helicity suppressed decay**

$$\Gamma(\pi^+ \rightarrow \ell^+ \nu) \simeq \frac{G_F^2}{8\pi} |V_{ud}|^2 f_\pi^2 m_\pi m_\ell^2 \left(1 - \frac{m_\ell^2}{m_\pi^2}\right)^2$$

# Lepton Universality in Pion Decays

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- Take electron to muon ratio to get rid of CKM factors and the pion decay constant

$$R_\pi = \frac{\text{BR}(\pi^+ \rightarrow e^+ \nu)}{\text{BR}(\pi^+ \rightarrow \mu^+ \nu)} = \frac{m_e^2}{m_\mu^2} \frac{(m_\pi^2 - m_e^2)^2}{(m_\pi^2 - m_\mu^2)^2} (1 + \Delta_{\text{rad}})$$

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- The by far largest uncertainty comes from **higher order QED**
- Leading effect for point like pions:  $\Delta_{\text{rad}} = -\frac{3\alpha}{2\pi} \log\left(\frac{m_\mu^2}{m_e^2}\right) \simeq -3.7\%$   
(Kinoshita '59)



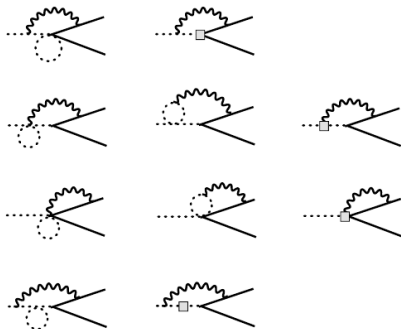
# SM Prediction of $R_\pi$

Resum the logs, and include structure dependent QED corrections  
using chiral perturbation theory at 2-loops

Marciano, Sirlin '93; Cirigliano, Rosell '07

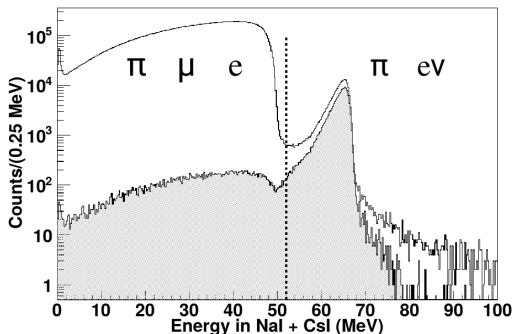
$$R_\pi^{\text{SM}} = 1.23524(15) \times 10^{-4}$$

Probably the most precisely known  
hadronic observable!



# Existing Measurement from PIENU

- look for mono-energetic positrons from the decay of stopped charged pions
- Compatible with the SM prediction, but 1 order of magnitude larger uncertainty

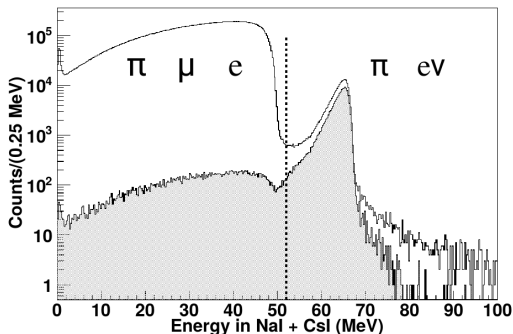


PIENU 1506.05845

$$R_{\pi} = (1.2344 \pm 0.0023 \pm 0.0019) \times 10^{-4}$$

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PIENU result corresponds to a test of  $\mu - e$  universality of the weak interactions at the  $10^{-3}$  level

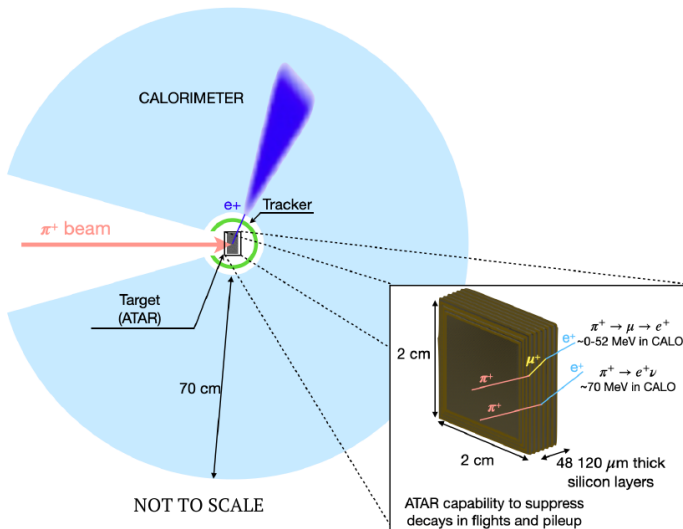
Goal is to match the theory uncertainty and thus test lepton universality of the weak interactions with an order of magnitude better precision

2203.01981

## PSI Ring Cyclotron Proposal R-22-01.1 PIONEER: Studies of Rare Pion Decays

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S. Chen,<sup>7</sup> V. Cirigliano,<sup>8</sup> S. Corrodi,<sup>9</sup> A. Crivellin,<sup>6,10,11</sup> S. Cuen-Rochin,<sup>12</sup>  
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M. Escobar Godoy,<sup>1</sup> D. Göldi,<sup>18</sup> S. Gori,<sup>1</sup> T. Gorringer,<sup>19</sup> D. Hertzog,<sup>2</sup> Z. Hodge,<sup>2</sup>  
M. Hoferichter,<sup>20</sup> S. Ito,<sup>21</sup> T. Iwamoto,<sup>22</sup> P. Kammel,<sup>2</sup> B. Kiburg,<sup>15</sup> K. Labe,<sup>16</sup>  
J. LaBounty,<sup>2</sup> U. Langenegger,<sup>6</sup> C. Malbrunot,<sup>5</sup> S.M. Mazza,<sup>1</sup> S. Mihara,<sup>21</sup> R. Mischke,<sup>5</sup>  
T. Mori,<sup>22</sup> J. Mott,<sup>15</sup> T. Numao,<sup>5</sup> W. Ootani,<sup>22</sup> J. Ott,<sup>1</sup> K. Pachal,<sup>5</sup> C. Polly,<sup>15</sup>  
D. Počanić,<sup>17</sup> X. Qian,<sup>13</sup> D. Ries,<sup>23</sup> R. Roehnel,<sup>2</sup> B. Schumm,<sup>1</sup> P. Schwendimann,<sup>2</sup>  
A. Seiden,<sup>1</sup> A. Sher,<sup>5</sup> R. Shrock,<sup>24</sup> A. Soter,<sup>18</sup> T. Sullivan,<sup>25</sup> M. Tarka,<sup>1</sup> V. Tischenko,<sup>13</sup>  
A. Tricoli,<sup>13</sup> B. Velghe,<sup>5</sup> V. Wong,<sup>5</sup> E. Worcester,<sup>13</sup> M. Worcester,<sup>26</sup> and C. Zhang<sup>13</sup>

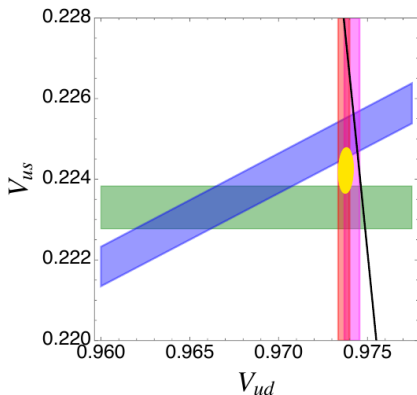
# The PIONEER Experiment



# Precision Test of First Row CKM Unitarity

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

- $|V_{ub}|^2 \sim 10^{-5}$  and can be neglected
- current best determination of  $V_{ud}$  from **nuclear beta decays** and neutron decay
- $V_{us}/V_{ud}$  from leptonic kaon and pion decays  
 $K \rightarrow \mu\nu$  vs.  $\pi \rightarrow \mu\nu$
- $V_{us}$  from  $K \rightarrow \pi\ell\nu$  decays
- combination gives a 2 – 3 sigma deficit from unitarity



Cirigliano, Crivellin, MH, Moulson 2022

Pion beta decay could give the theoretically cleanest determination of  $V_{ud}$

- Master formula [Cirigliano, Knecht, Neufeld, Pichl 2003](#), [Czarnecki, Marciano, Sirlin 2020](#), [Feng et al. 2020](#)

$$\Gamma(\pi^+ \rightarrow \pi^0 e^+ \nu_e(\gamma)) = \frac{G_F^2 |V_{ud}|^2 M_{\pi^\pm}^5 |f_+^\pi(0)|^2}{64\pi^3} (1 + \Delta_{\text{RC}}^{\pi\ell}) I_{\pi\ell}$$

↪ need branching fraction and pion life time from experiment

- (Theory) inputs

- Phase space  $I_{\pi\ell} = 7.3766(43) \times 10^{-8}$ , uncertainty from  $\Delta_\pi = M_{\pi^+} - M_{\pi^0}$
- Form factor  $f_+^\pi(0) = 1 - 7 \times 10^{-6}$ 
  - ↪ protected by  $SU(2)$  Ademollo–Gatto theorem (Behrends–Sirlin)
- Radiative corrections  $\Delta_{\text{RC}}^{\pi\ell} = 0.0334(10)$  [ChPT](#), [Cirigliano et al.](#),  $\Delta_{\text{RC}}^{\pi\ell} = 0.0332(3)$  [lattice QCD](#), [Feng et al.](#)

- Resulting  $V_{ud}$  extracted from [PIBETA 2004](#)

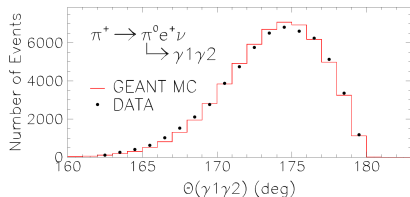
$$V_{ud}^{\pi, \text{ChPT}} = 0.97376(281)_{\text{BR}}(9)_{\tau_\pi}(47)_{\Delta_{\text{RC}}^{\pi\ell}}(28)_{I_{\pi\ell}} [287]_{\text{total}}$$

$$V_{ud}^{\pi, \text{lattice}} = 0.97386(281)_{\text{BR}}(9)_{\tau_\pi}(14)_{\Delta_{\text{RC}}^{\pi\ell}}(28)_{I_{\pi\ell}} [283]_{\text{total}}$$

Martin Hoferichter, seminar at UC Santa Cruz 8/9/24

Experimental signature of beta decay of a stopped pion:

two (almost) **back to back photons** from the  $\pi^0$  plus a very soft positron

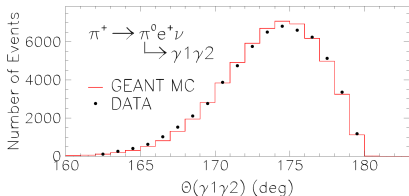


PiBeta hep-ex/0312030



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PiBeta hep-ex/0312030

- PiBeta experiment made a measurement with  $10^{-3}$  precision

$$\text{BR}(\pi^+ \rightarrow \pi^0 e^+ \nu) = 1.036(4)(5) \times 10^{-8}$$

- In phase II and III, PIONEER aims at measuring  $\pi^+ \rightarrow \pi^0 e^+ \nu$  1 order of magnitude more precisely than PiBeta and thus get a  $V_{ud}$  that rivals the determination from nuclear decays.

# Tight Lines!

