

Precision Neutrino Physics: Experiments

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Disclaimers

Thank you to the organizers for the support to be here!

I often get excited and speak too fast...

1. Feel free to ask me to repeat or slow down
2. I'll be here for the rest of the week - *feel free to ask questions anytime*
3. It's OK to raise your hand or to interrupt me for either of these

Feedback and comments welcome: mahn@msu.edu

Outline - *and goals for learning*

What do we know about
neutrinos experimentally?

What do we want to learn next?

How do we do precision
neutrino experiments?

Outline - *and goals for learning*

What do we know about
neutrinos experimentally?

What do we want to learn next?

~~How do we do precision
neutrino experiments?~~

Behind all of this awesome physics are some really incredible detectors, and I found I didn't have time to talk about them in any detail at all...

Outline - *and goals for learning*

What do we know about
neutrinos experimentally?

Broadly, three topics:

Neutrino oscillation - *implies neutrino mass and mass ordering, may imply flavor*

Mass mechanism - Dirac vs. Majorana

(absolute) neutrino mass scale

Outline - *and goals for learning*

What do we know about
neutrinos experimentally?

Broadly, three topics:

Neutrino oscillation - *implies neutrino mass and mass ordering, may imply flavor*

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(absolute) neutrino mass scale

What do we know about neutrino oscillation?

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

Flavor states

Mass states

Pontecorvo-Maki-Nakagawa-Sakata matrix (PMNS)

What do we know about neutrino **flavor**?

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}$$

Flavor states

How do we know there are 3 flavors of neutrino?

Aside: helpful resource is Particle Data Group “Particle Listings”, reviews

The screenshot shows the Particle Listings page from the Particle Data Group. The page title is "Particle Listings" and it includes a subtitle "R.L. Workman *et al.* (Particle Data Group), Prog. Theor. Exp. Phys. 2022, 083C01 (2022) and 2023 update". Below the title, there is a note about the cut-off date for listings and a link to download PDFs. A green button labeled "Expand/Collapse All" is visible. The main content is organized into sections: "Gauge & Higgs Bosons", "Leptons (e, mu, tau, neutrinos, heavy leptons ...)", and a list of specific topics. The "Number of Neutrino Types" entry is highlighted with a red box. Each entry in the list has a "PDF" icon and a "pdg Live" link.

Category	PDF	pdg Live
electron	<input type="checkbox"/>	<input type="checkbox"/>
muon	<input type="checkbox"/>	<input type="checkbox"/>
tau	<input type="checkbox"/>	<input type="checkbox"/>
Heavy Charged Lepton Searches	<input type="checkbox"/>	<input type="checkbox"/>
Neutrino Properties	<input type="checkbox"/>	<input type="checkbox"/>
Number of Neutrino Types	<input type="checkbox"/>	<input type="checkbox"/>
Double-beta Decay	<input type="checkbox"/>	<input type="checkbox"/>
Neutrino Mixing	<input type="checkbox"/>	<input type="checkbox"/>
Heavy Neutral Leptons, Searches for	<input type="checkbox"/>	<input type="checkbox"/>

<https://pdg.lbl.gov/>

What do we know about neutrino **flavor**?

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}$$

Flavor states

How do we know there are 3 flavors of neutrino?

“Invisible width of the Z” - the cross section changes based on number of NC coupling to Z boson

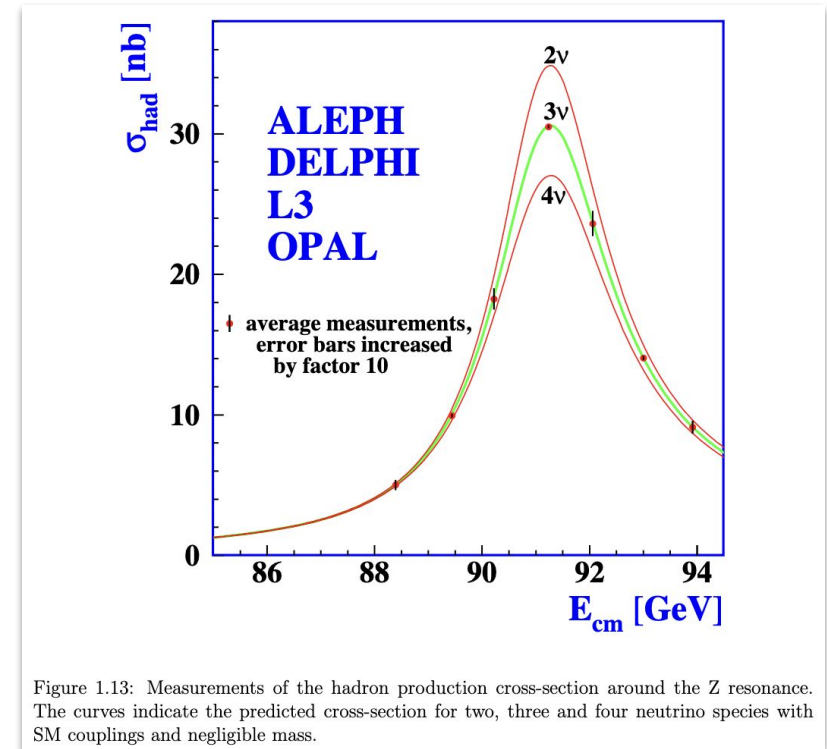


Figure 1.13: Measurements of the hadron production cross-section around the Z resonance. The curves indicate the predicted cross-section for two, three and four neutrino species with SM couplings and negligible mass.

ALEPH, DELPHI, L3, OPAL, and SLD Collaborations, and LEP Electroweak Working Group, and SLD Group, and SLD Heavy Flavour Group, Phys. Reports 427, 257 (2006)

What do we know about neutrino flavor and interactions?

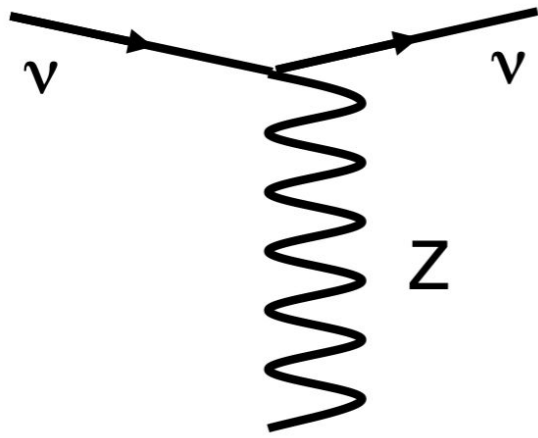
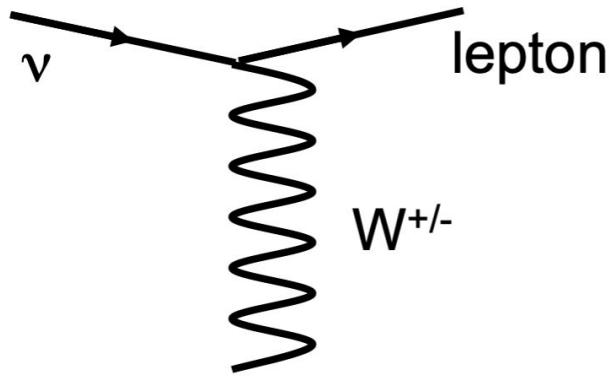
$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}$$

Flavor states

Charged Current (CC)

$$\begin{bmatrix} \nu_e \rightarrow e \\ \nu_\mu \rightarrow \mu \\ \nu_\tau \rightarrow \tau \end{bmatrix}$$

Neutral Current (NC)



Neutrinos interact in two ways

What do we know about neutrino flavor and interactions?

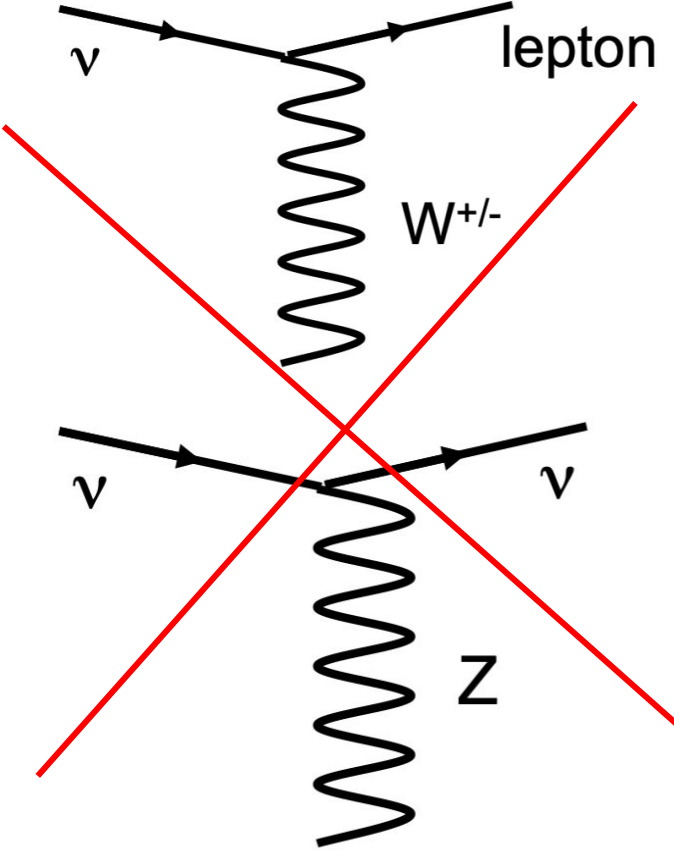
$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix}$$

ν_s

Charged Current (CC)

$\nu_e \rightarrow e$
$\nu_\mu \rightarrow \mu$
$\nu_\tau \rightarrow \tau$

Neutral Current (NC)



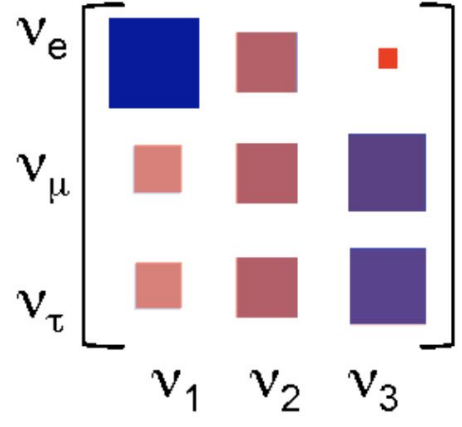
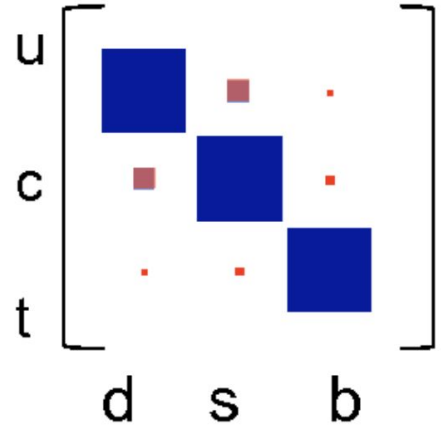
What about a sterile flavor?
It won't interact via W or Z

What do we know about neutrino oscillation? **Mixing matrix**

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

Quarks
CKM

Leptons
PMNS



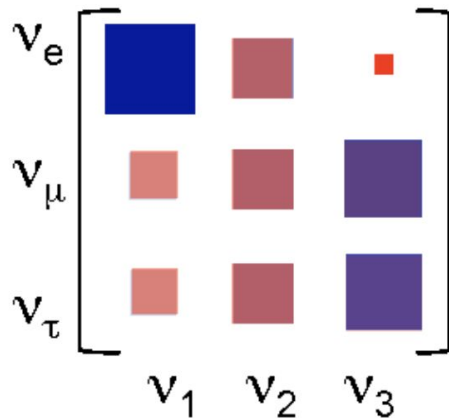
Graphic:
J.Phys. G45
(2018) no.1,
013001

What do we know about neutrino oscillation? **Mixing matrix**

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If U is unitary, 3 mixing angles
(θ_{12} θ_{23} θ_{13}) and one phase* (δ_{CP})

Leptons
PMNS



What do we know about neutrino oscillation? **Mixing matrix**

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

If U is unitary, 3 mixing angles
(θ_{12} θ_{23} θ_{13})

Mixing angles are large. From PDG2023:

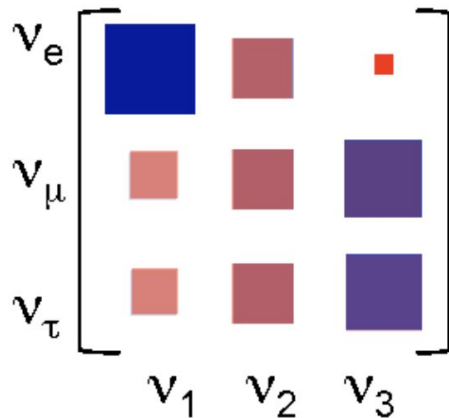
$$\sin^2(\theta_{12}) \quad 0.307 \pm 0.013$$

$$\sin^2(\theta_{23}) \quad 0.558^{+0.015}_{-0.021}$$

$$\sin^2(\theta_{13}) \quad 0.0219 \pm 0.0007$$

Leptons

PMNS



What do we know about neutrino oscillation? **Probability**

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re} \left[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} \right] \sin^2 \left(\frac{1.27 \Delta m_{ij}^2 L}{E} \right) + 2 \sum_{i>j} \text{Im} \left[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} \right] \sin \left(\frac{2.54 \Delta m_{ij}^2 L}{E} \right)$$

Probability to oscillate from flavor ν_α to ν_β and depends on:

- **U elements** (and therefore θ_{12} , θ_{23} , θ_{13} , δ_{CP})

What do we know about neutrino oscillation? **L** and **E**

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

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Probability to oscillate from flavor ν_α to ν_β and depends on:

- U elements
- **L** - 'baseline' - *in meters or kilometers*
- **E** - neutrino energy - *in MeV or GeV*

What do we know about neutrino oscillation? **Mass splitting**

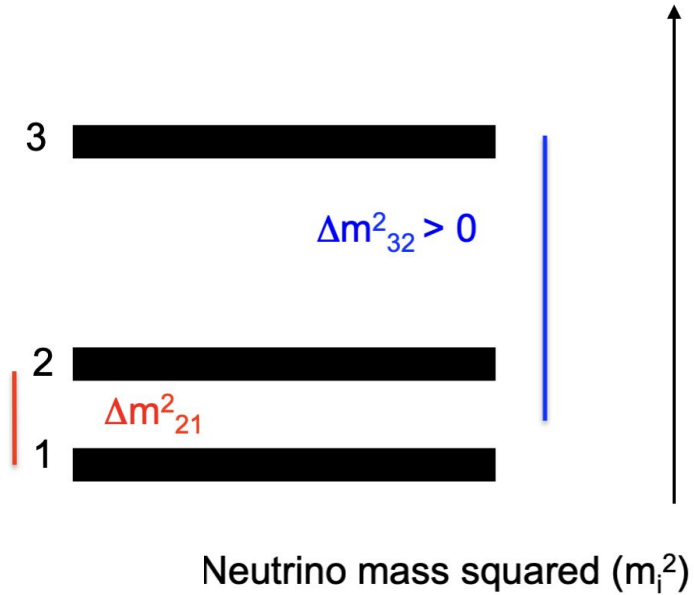
$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

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Probability to oscillate from flavor ν_α to ν_β and depends on:

- U elements
- L - 'baseline'
- E - neutrino energy
- mass splitting $\Delta m_{ij}^2 = m_i^2 - m_j^2$

Mass splitting and mass ordering



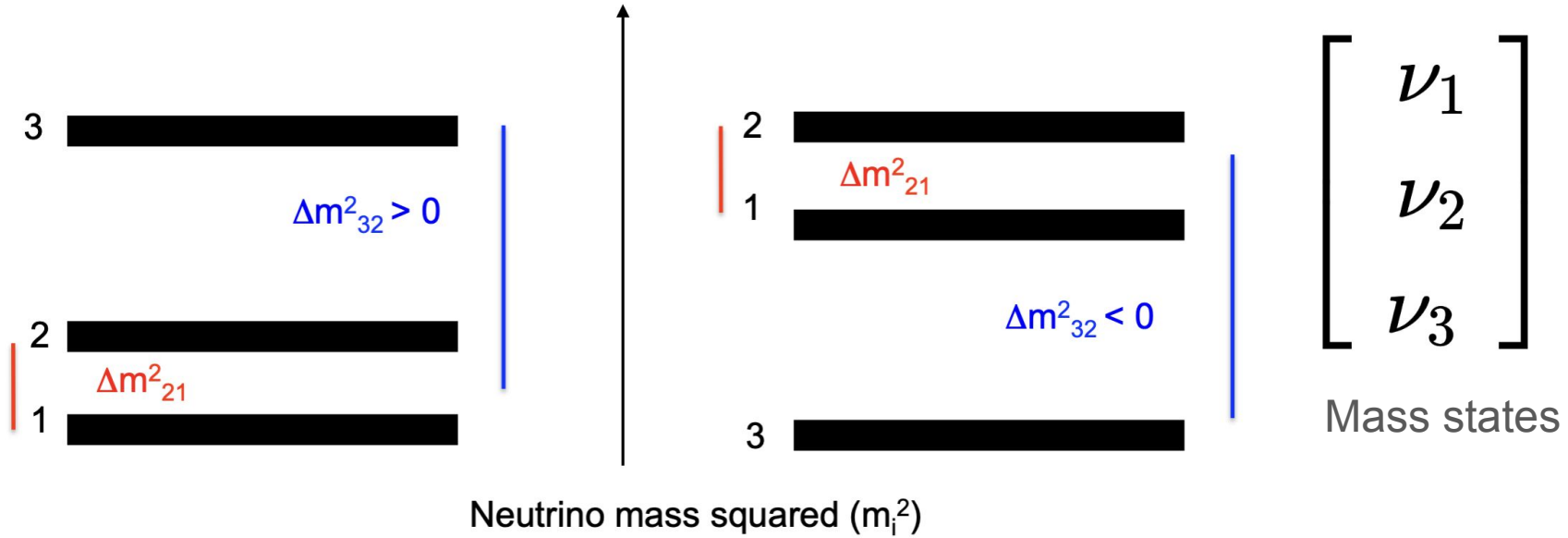
$$\begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

Mass states

Three masses means two independent mass splittings ($\Delta m_{ij}^2 = m_i^2 - m_j^2$)

- $\Delta m_{21}^2 \sim 10^{-5} \text{ eV}^2$ - *known to be positive from solar neutrino experiments*
- $\Delta m_{32}^2 \sim 10^{-3} \text{ eV}^2$

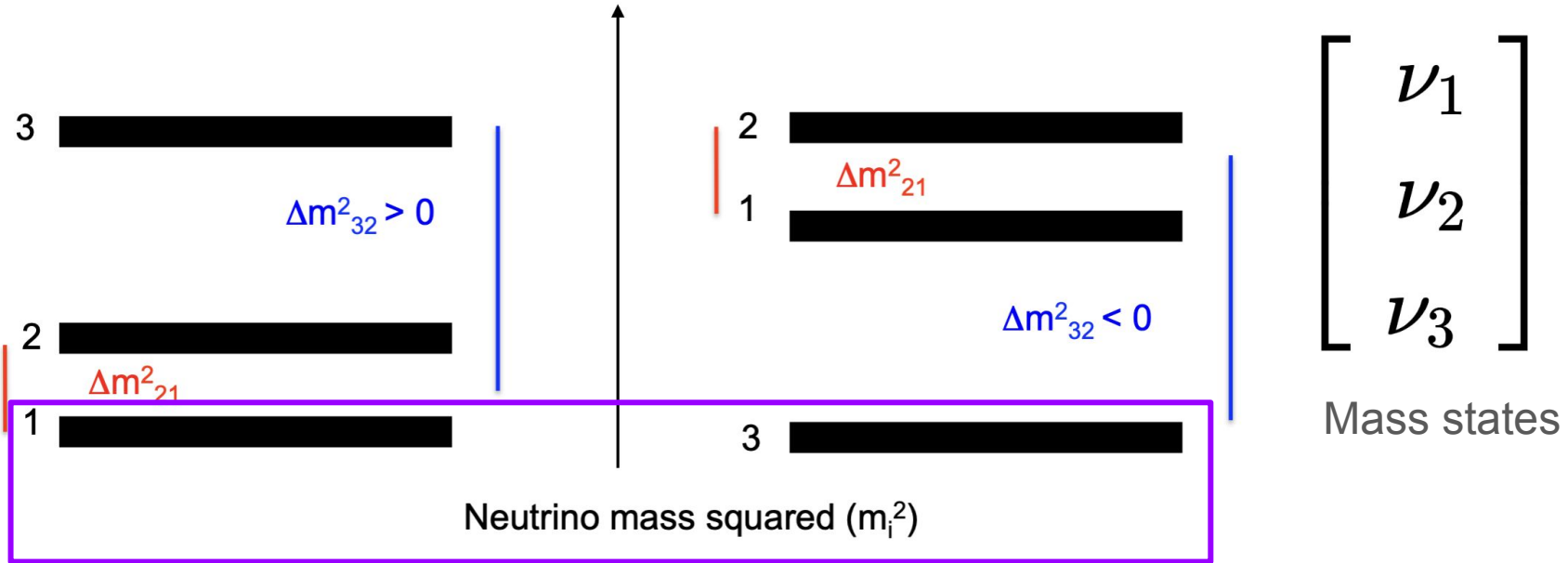
Mass splitting and mass ordering



Three masses means two independent mass splittings ($\Delta m_{ij}^2 = m_i^2 - m_j^2$)

- $\Delta m_{21}^2 \sim 10^{-5} \text{ eV}^2$
- $\Delta m_{32}^2 \sim 10^{-3} \text{ eV}^2$ - **open question!** Is $\Delta m_{32}^2 > 0$ (normal mass ordering) or < 0 (inverted MO, or 'hierarchy')

Mass splitting and mass ordering



- Three masses means two independent mass splittings ($\Delta m^2_{ij} = m_i^2 - m_j^2$)
- $\Delta m^2_{21} \sim 10^{-5} \text{ eV}^2$ - *known to be positive from solar neutrino experiments*
 - $\Delta m^2_{32} \sim 10^{-3} \text{ eV}^2$ - *normal or inverted?*
 - **Open question:** What's the absolute mass scale?

Measuring absolute neutrino mass - KATRIN example

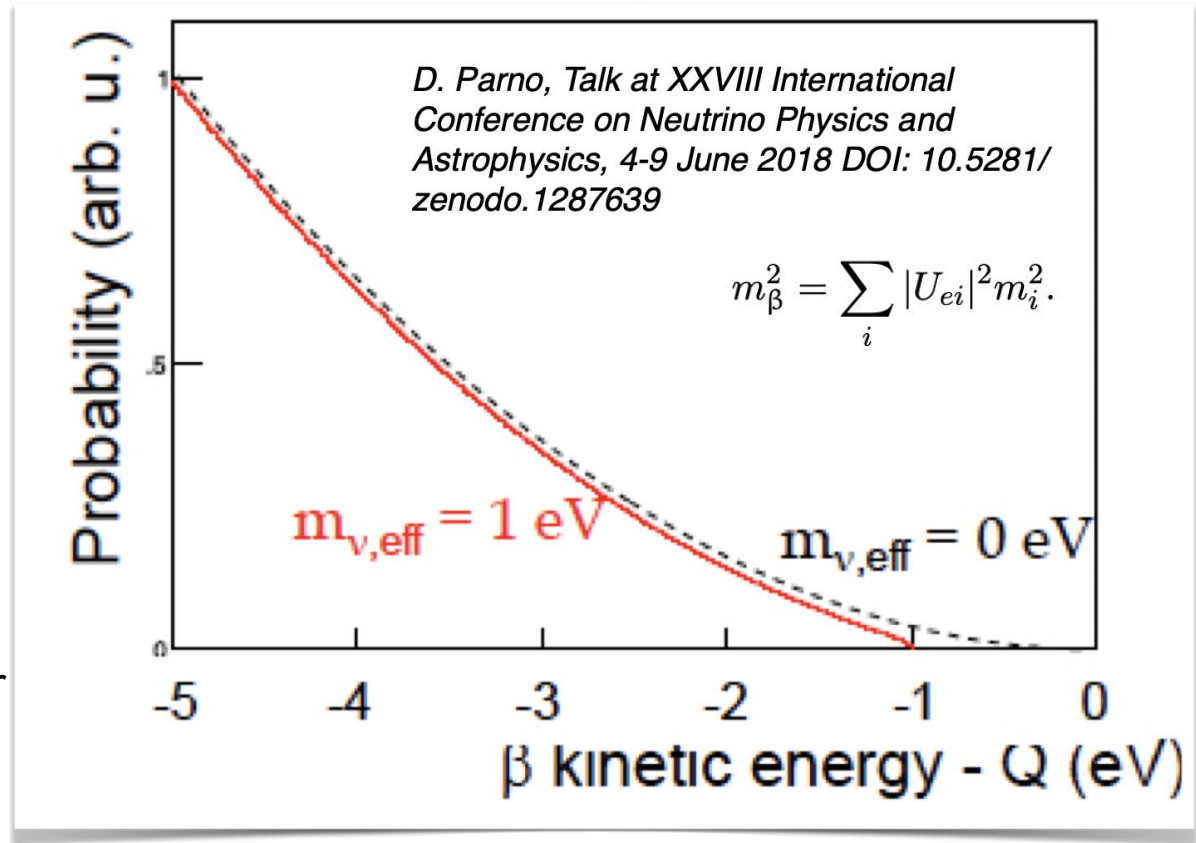


1. Tritium source decays
2. Electrons are channeled to a high resolution spectrometer
3. Measure endpoint energy for neutrino mass



Measuring absolute neutrino mass - KATRIN example

1. Tritium source decays
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Measuring absolute neutrino mass - *now and future*

[Latest KATRIN result](#) - $m_\nu < 0.45$ eV (90% C.L.)

Other techniques - [see Snowmass topical group on neutrino properties](#), [NSAC report](#)

- Cyclotron radiation tritium spectrometer - [Project 8](#) - R&D, toward 40meV scale
- Holmium experiments - [ECHO](#) and [HOLMES](#) - R&D toward sub eV scale
 - Decay of ^{163}Ho , ν mass inferred from de-excitation energy (temperature or calorimetry)

Measuring absolute neutrino mass - *now and future*

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Complementarity with astrophysics:

- Neutrino mass inferred from time of flight of supernova bursts
- Sum of neutrino mass probed with CMB, dark energy experiments:
 - [DESI results](#) - sum of neutrino masses < 0.072
 - Normal ordering implies sum of neutrino masses ≥ 0.059 eV
 - Some tension with inverted ordering (sum of ν mass ≥ 0.10 eV)
- Search for Cosmic Neutrino Background neutrinos - [PTOLEMY](#)

Back to oscillation

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$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} e^{i\eta_1} & 0 & 0 \\ 0 & e^{i\eta_2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

Back to oscillation

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Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

If Majorana, there are two extra phases

Back to oscillation

But, phases cancel in oscillation probabilities - *alternate probe of Dirac/Majorana?*

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re} \left[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} \right] \sin^2 \left(\frac{1.27 \Delta m_{ij}^2 L}{E} \right) + 2 \sum_{i>j} \operatorname{Im} \left[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} \right] \sin \left(\frac{2.54 \Delta m_{ij}^2 L}{E} \right)$$

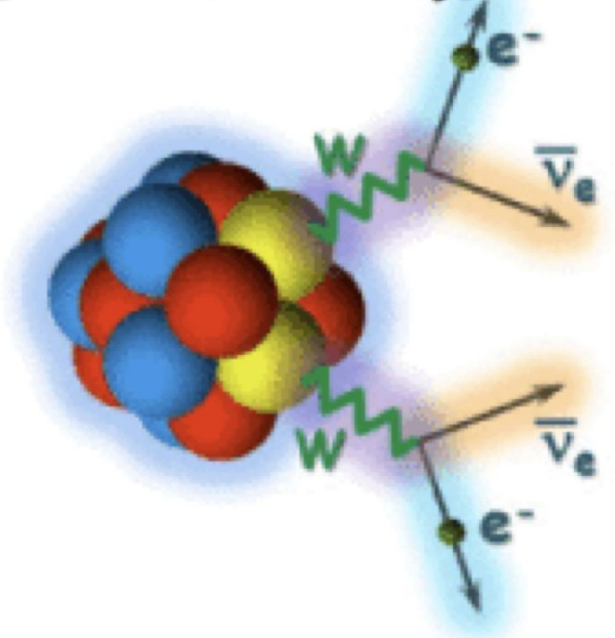
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Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

If Majorana, there are two extra phases 27

Neutrinoless double beta decay and Majorana particles

[Double beta decay]



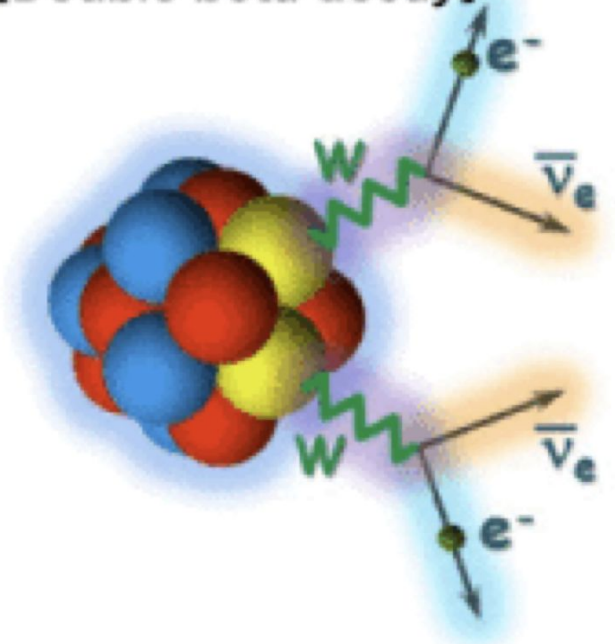
Double beta decay
which emits anti-neutrinos

Beta decay: two neutrinos, two electrons emitted

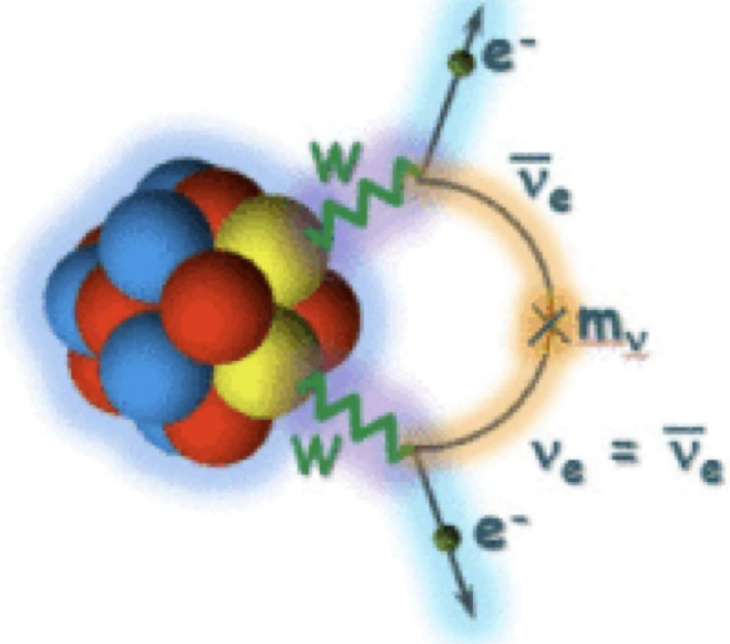
credit: CANDLES experiment

Neutrinoless double beta decay and Majorana particles

[Double beta decay]



Double beta decay
which emits anti-neutrinos



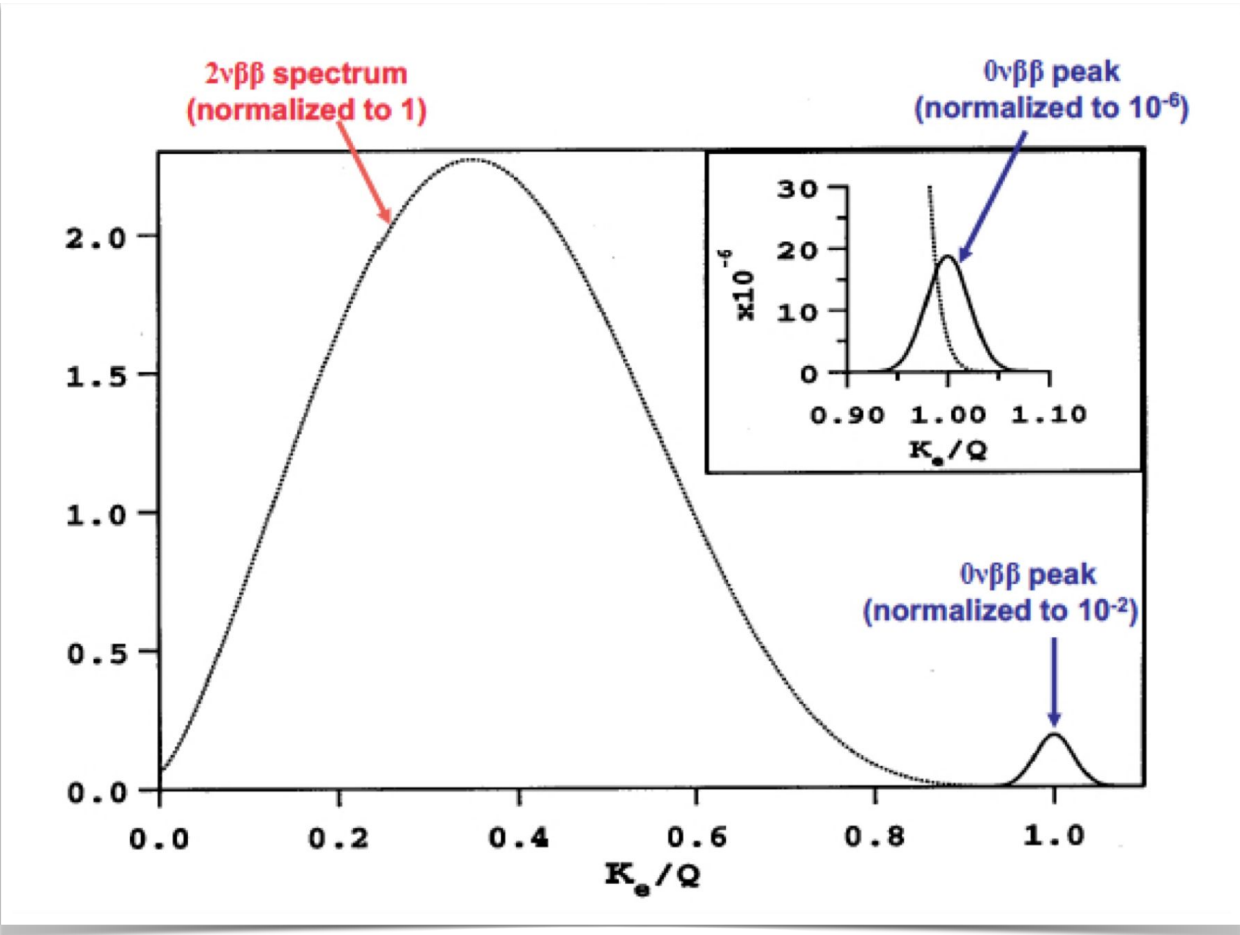
Neutrinoless
double beta decay

credit: CANDLES experiment

Neutrinoless double beta decay - *only possible if neutrinos are Majorana particles*

How do you measure $0\nu\beta\beta$ - *neutrinoless double beta decay*

Look past the endpoint of $2\nu\beta\beta$ for the bump of $0\nu\beta\beta$



How do you measure $0\nu\beta\beta$ - *neutrinoless double beta decay*

If you observe $0\nu\beta\beta$, you measure its half life, $T_{1/2}$

Experiment	Isotope	Half-life limit (10^{26} years)	$m\beta\beta$ limit (meV)
MAJORANA	Germanium-76	0.83	113–269
GERDA	Germanium-76	1.8	79–180
EXO-200	Xenon-136	0.35	93–286
KamLAND-Zen	Xenon-136	2.3	36–156
CUORE	Tellurium-130	0.22	90–305

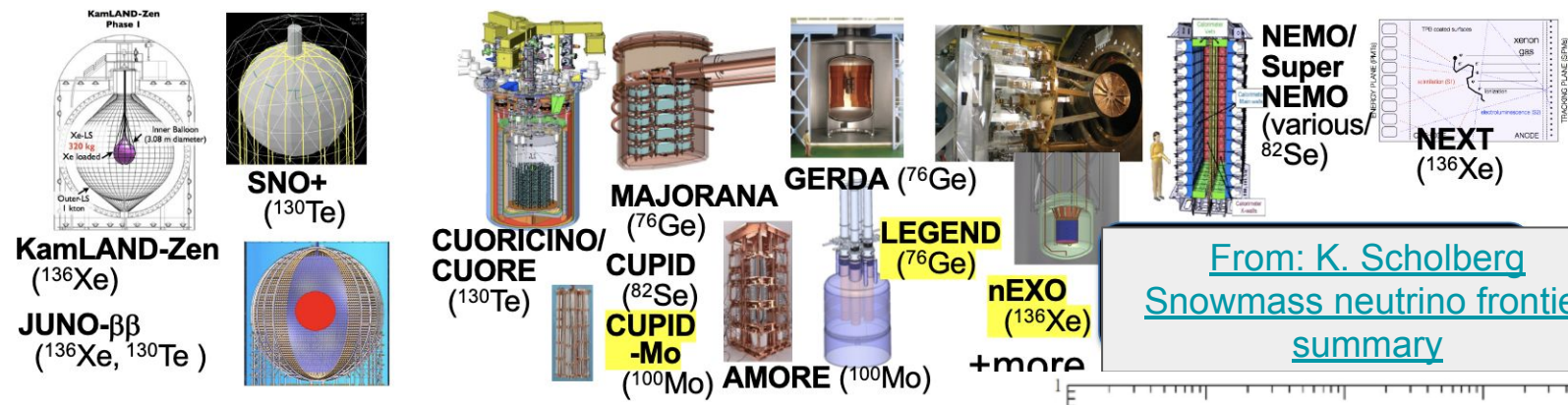
NSAC report

Half life infers effective neutrino mass, $m\beta\beta$: $\langle m_{\beta\beta} \rangle^2 = |\sum_i U_{ei}^2 m_{\nu i}|^2$

Interpretation requires:

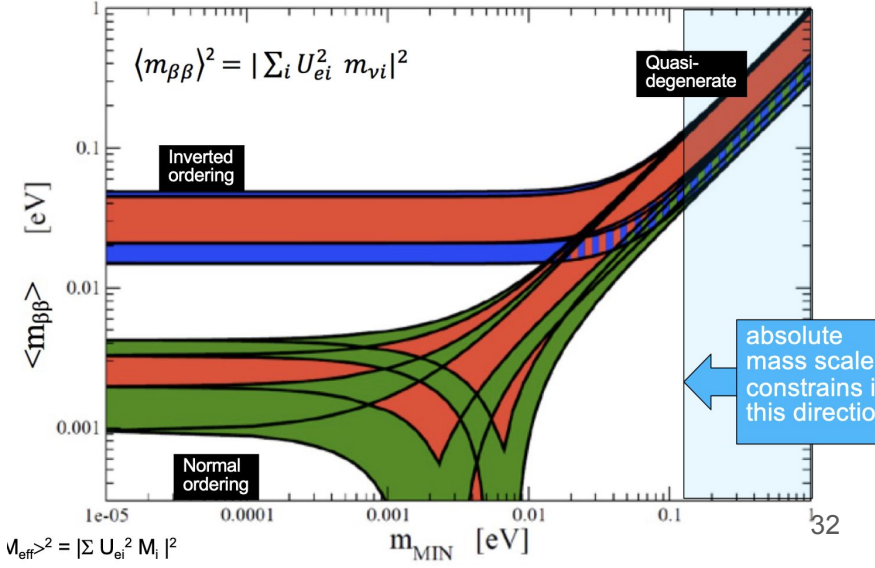
- Nuclear Theory: determine relevant nuclear matrix elements
- Oscillation experiments: U elements
- Experimental precision: large scale x exquisite control of backgrounds, radiopurity, and noise

Current and future programs of $0\nu\beta\beta$



A complete picture of neutrinos can emerge with a combination of direct mass measurements, oscillation and $0\nu\beta\beta$ experiments:

- **Future experiments** plan to cover inverted ordering
- Allowed regions correspond to Majorana phases + oscillation matrix uncertainties



Back to oscillation: three 'sectors'

'Atmospheric'

$\sim 100 \text{ km/GeV}$

'Reactor'

$\sim 1 \text{ km/MeV}$

Solar

10^{10} m / MeV

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

Back to oscillation: three 'sectors'

'Atmospheric'
~100 km/GeV

'Reactor'
~1 km/MeV

Solar
10¹⁰ m / MeV

Accelerator - predominantly atm, but sensitive to δ_{CP}

And reactor experiments have been used to probe solar oscillation as well

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

Back to oscillation: three 'sectors' leads to simpler life

Reminder: probability to oscillate from flavor ν_α to ν_β

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin^2\left(\frac{1.27 \Delta m_{ij}^2 L}{E}\right) + 2 \sum_{i>j} \text{Im}[U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin\left(\frac{2.54 \Delta m_{ij}^2 L}{E}\right)$$

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Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

Back to oscillation: three 'sectors' leads to simpler life

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{1.27 \Delta m_{32}^2 L}{E} \right) + \dots$$

$$\Delta m_{32}^2 \gg \Delta m_{21}^2$$

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re} [U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin^2 \left(\frac{1.27 \Delta m_{ij}^2 L}{E} \right) + 2 \sum_{i>j} \text{Im} [U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin \left(\frac{2.54 \Delta m_{ij}^2 L}{E} \right)$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Alternative way to show U uses $\cos \theta_{ij} = c$ and $\sin \theta_{ij} = s$

Back to oscillation: three 'sectors' leads to simpler life

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{1.27 \Delta m_{32}^2 L}{E} \right) + \dots$$

“ ν_μ disappearance” now is “just”^{*} a function of Δm_{32}^2 and θ_{23} parameters - *only one sector*

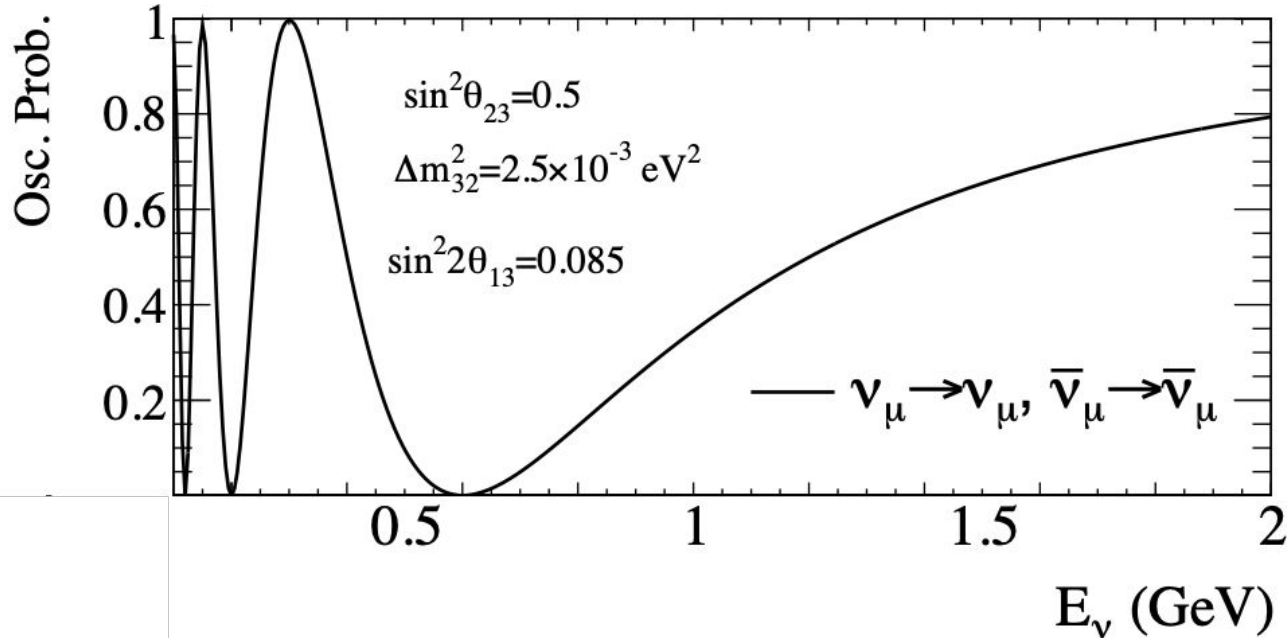
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}.$$

**subleading terms, experiments consider the full oscillation probability*

Back to oscillation: muon neutrino disappearance

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{1.27 \Delta m_{32}^2 L}{E} \right) + \dots$$

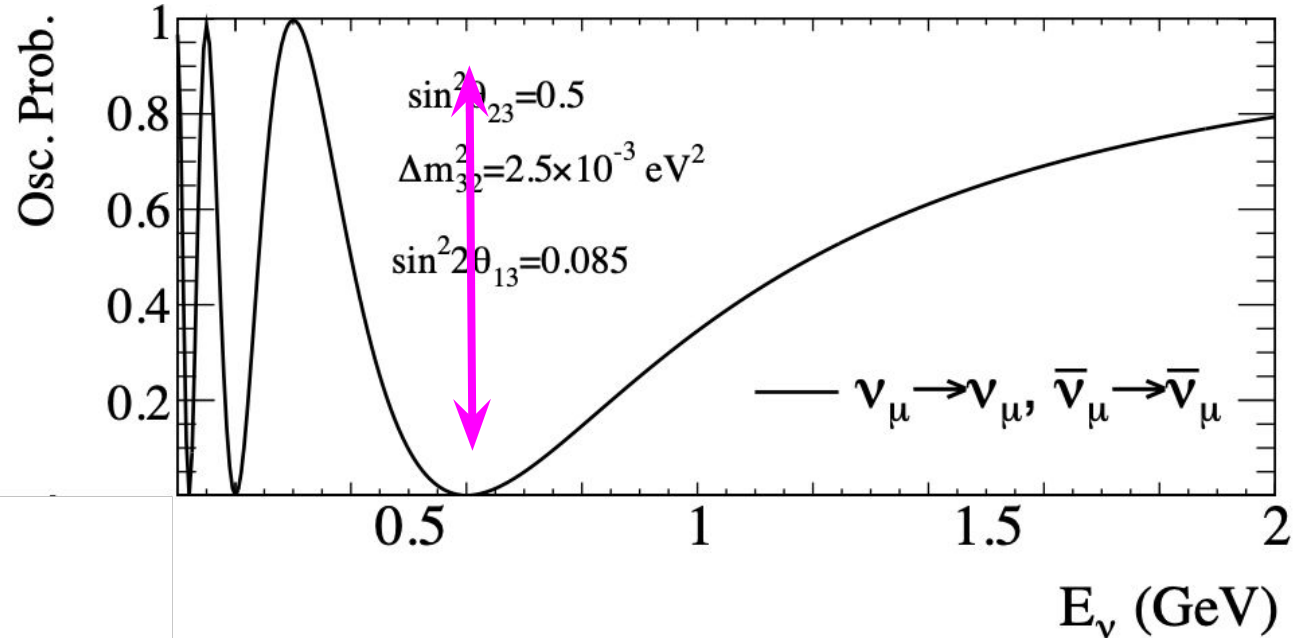
“ ν_μ disappearance”, for fixed $L=295\text{km}$ vs. E :



Back to oscillation: muon neutrino disappearance

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left(\frac{1.27 \Delta m_{32}^2 L}{E} \right) + \dots$$

“ ν_μ disappearance”, for fixed $L=295\text{km}$ vs. E :

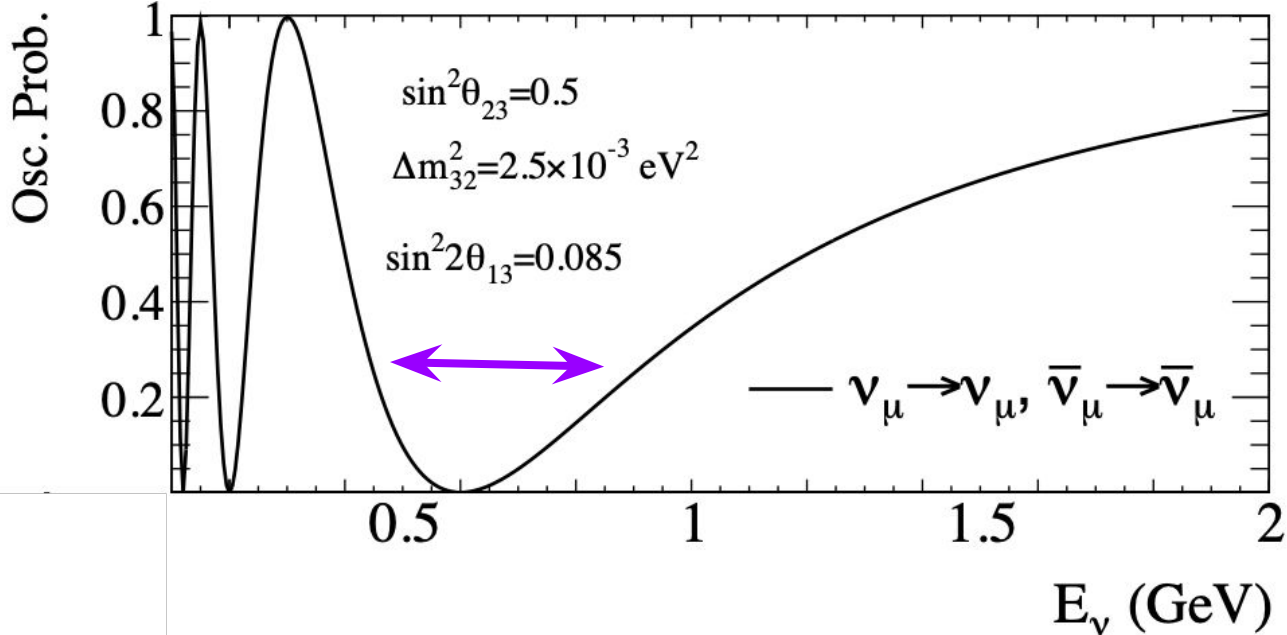


Amplitude given by $\sin^2(2\theta_{23})$

Back to oscillation: muon neutrino disappearance

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2\left(\frac{1.27 \Delta m_{32}^2 L}{E}\right) + \dots$$

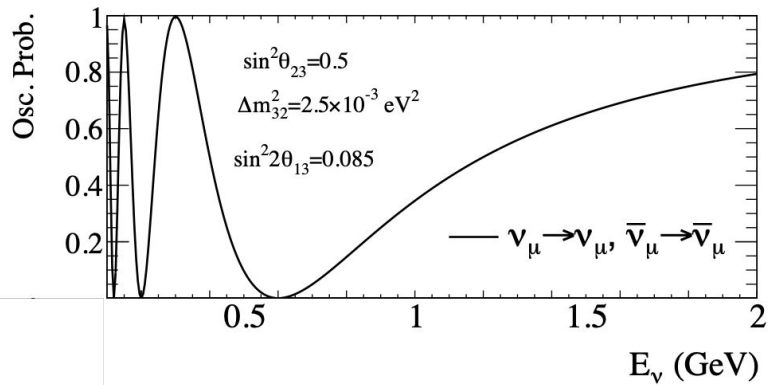
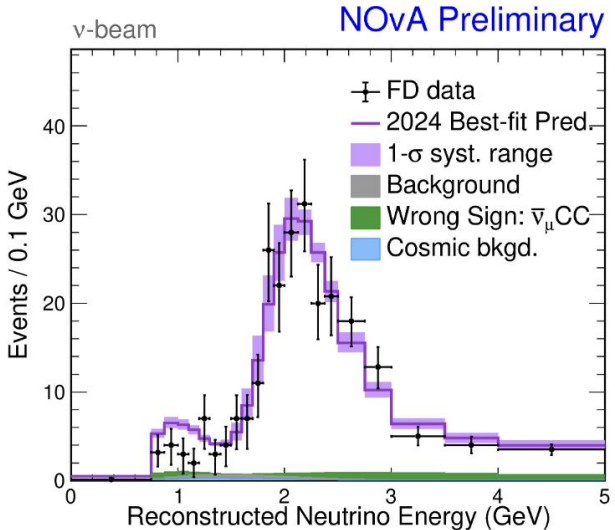
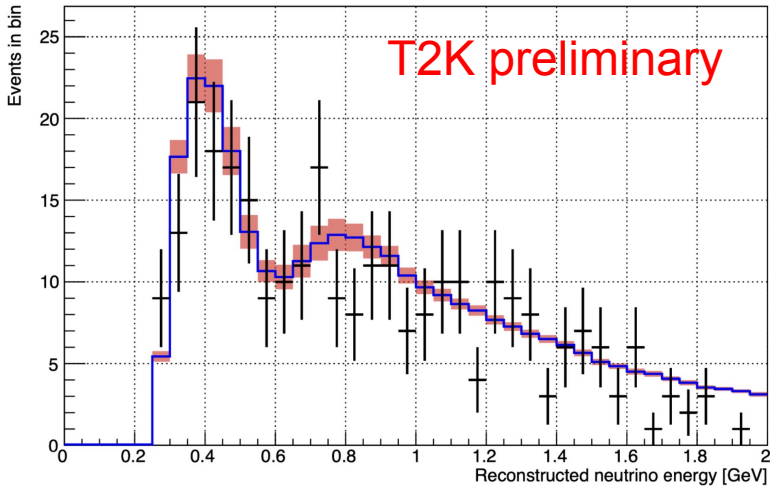
“ ν_μ disappearance”, for fixed $L=295\text{km}$ vs. E :



Amplitude given by $\sin^2(2\theta_{23})$

Frequency given by Δm_{32}^2

Back to oscillation: muon neutrino disappearance

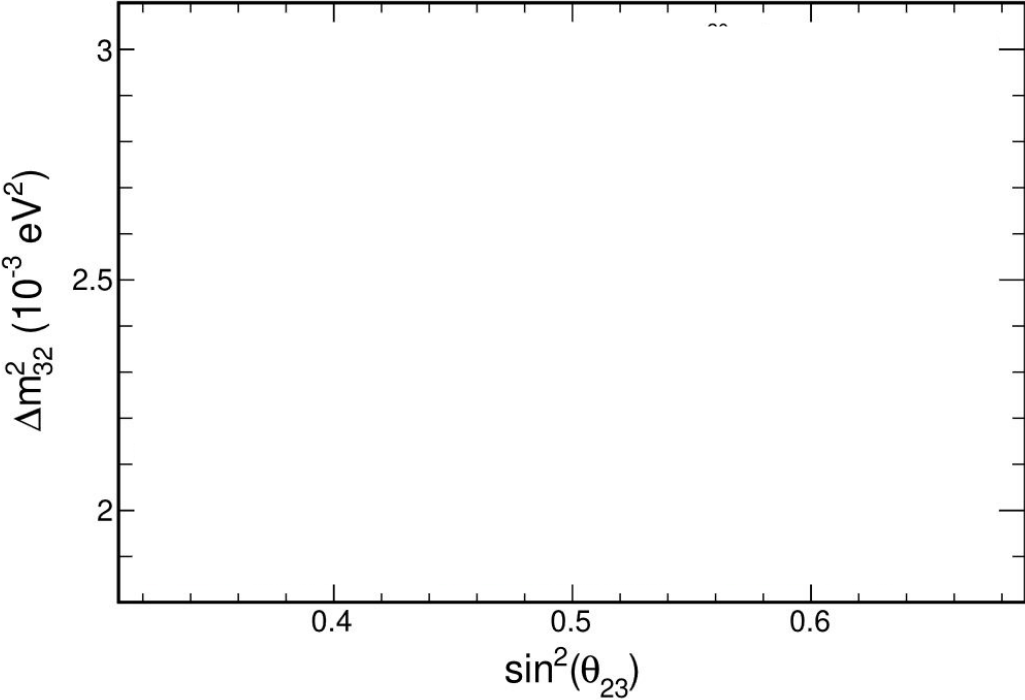
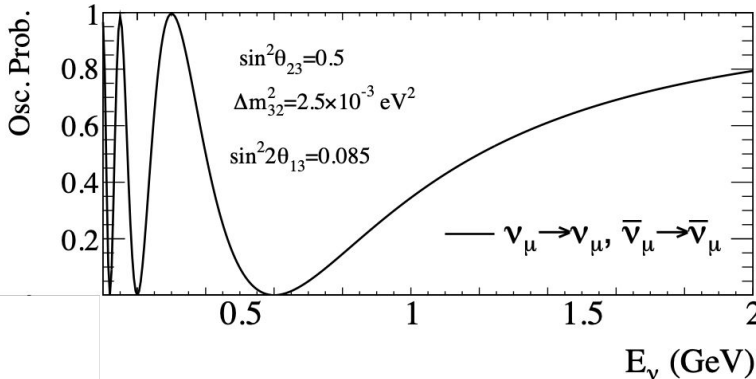
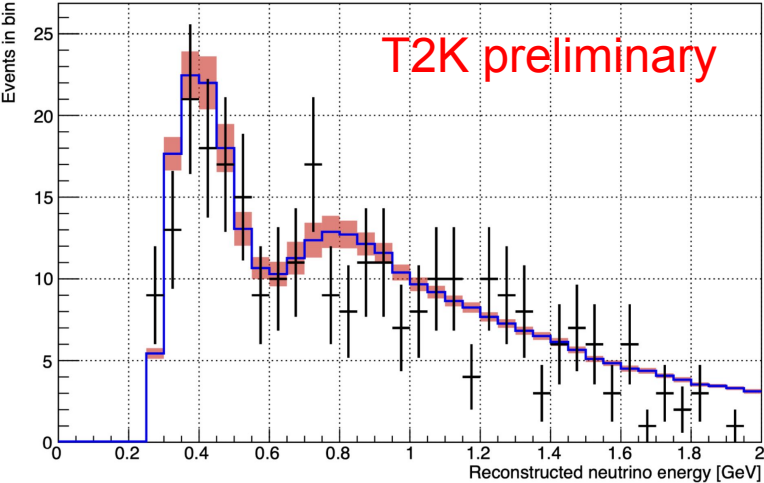


Now, adding realistic backgrounds, energy estimator, flux and cross section

Examples from [Tokai-to-Kamioka](#) and [NOvA](#) - current accelerator based experiments from *Neutrino2024*

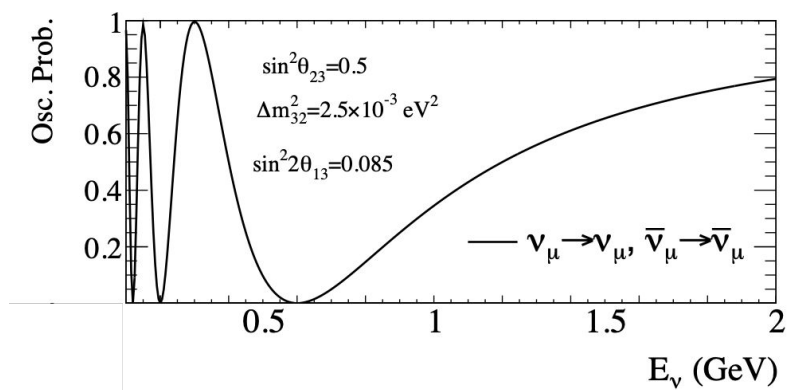
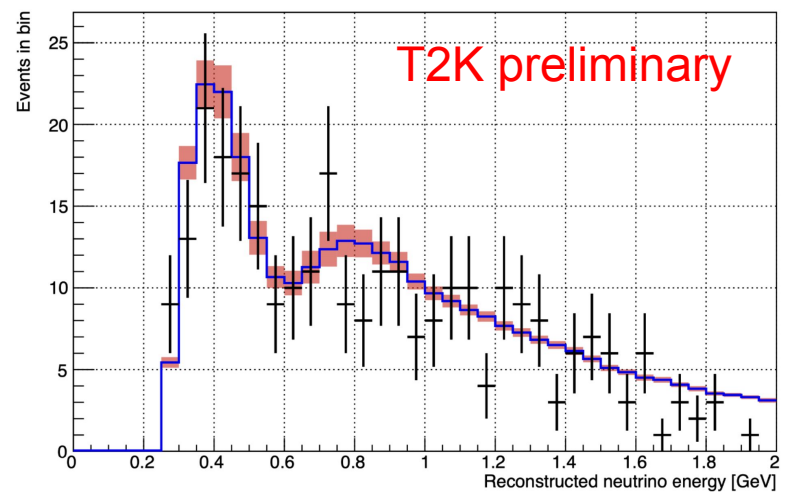
Back to oscillation: muon neutrino disappearance

NOvA Preliminary

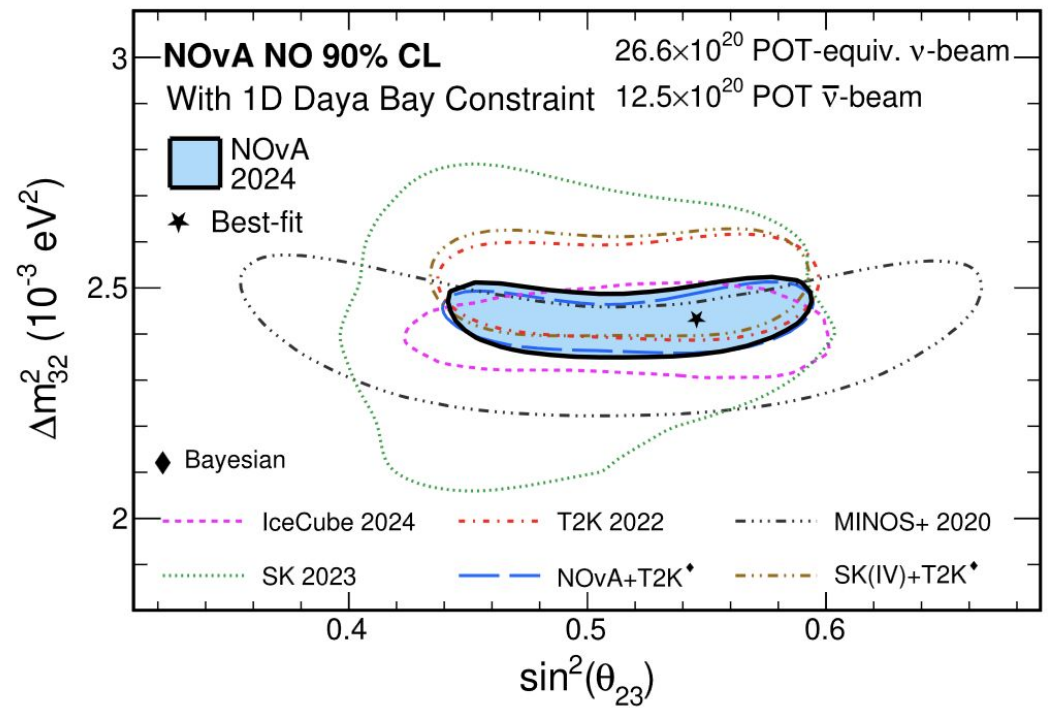


Data provides closed contour constraints on Δm_{32}^2 and $\sin^2(\theta_{23})$

Back to oscillation: muon neutrino disappearance



NOvA Preliminary



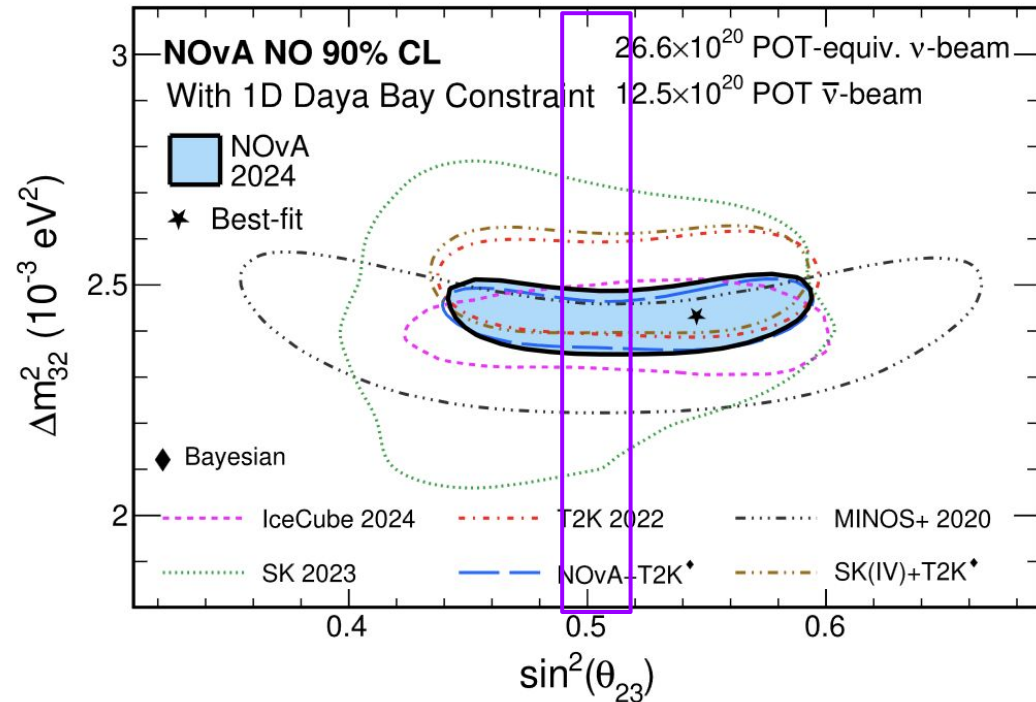
Data provides closed contour constraints on Δm_{32}^2 and $\sin^2(\theta_{23})$

Why is muon neutrino disappearance interesting?

Open question: Is ν_3 mostly ν_μ or ν_τ ?

- Balance set by θ_{23}
- $\theta_{23} > \pi/4$, $\theta_{23} < \pi/4$, or $\theta_{23} = \pi/4$?
 - "octant"
- If maximal, then implies an underlying symmetry in U
- Can also test CPT by comparing neutrino to antineutrino disappearance

NOvA Preliminary



Back to oscillation: muon neutrino disappearance

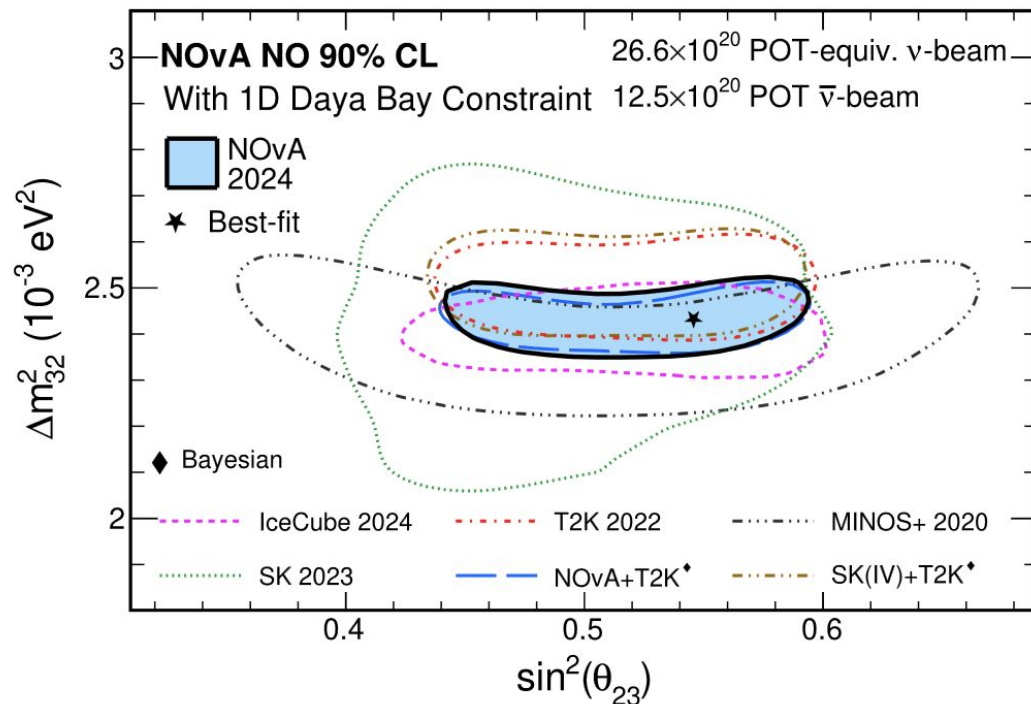
NOvA Preliminary

Open question: Is ν_3 mostly ν_μ or ν_τ ?

- Balance set by θ_{23}
- $\theta_{23} > \pi/4$, $\theta_{23} < \pi/4$, or $\theta_{23} = \pi/4$?
 - “octant”
- If maximal, then implies an underlying symmetry in U

Current data: mild preference for upper octant, still consistent with maximal mixing

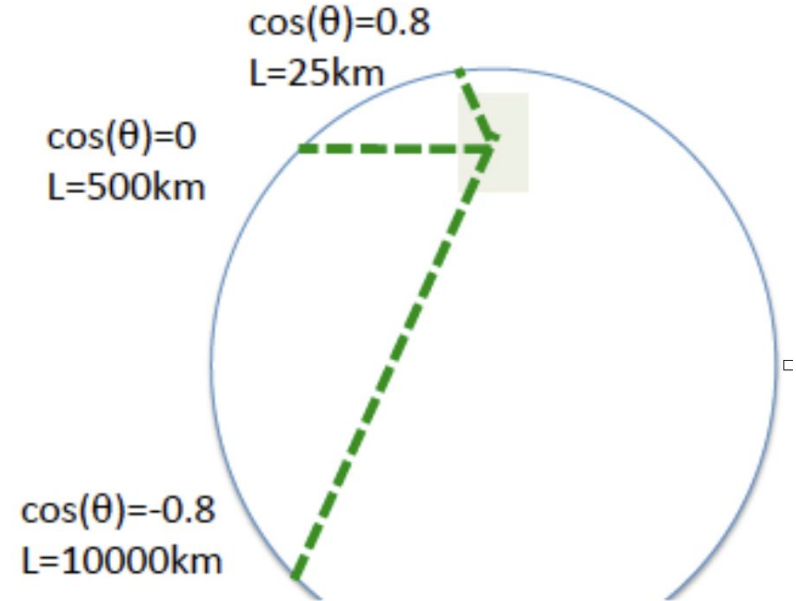
Future program ([DUNE](#), [Hyper-Kamiokande](#)) programs can determine octant



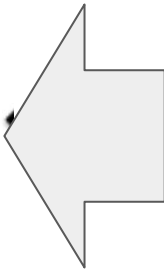
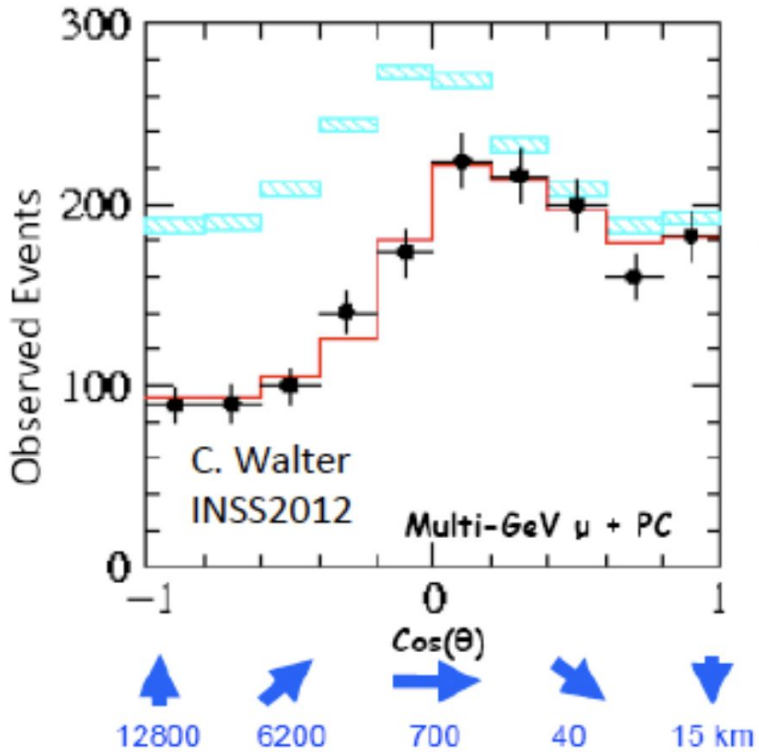
Muon neutrino disappearance: atmospheric

Global data picture also includes atmospheric experiments ([IceCube](#), [Super-Kamiokande](#)) and MINOS (previous era accelerator based experiment)

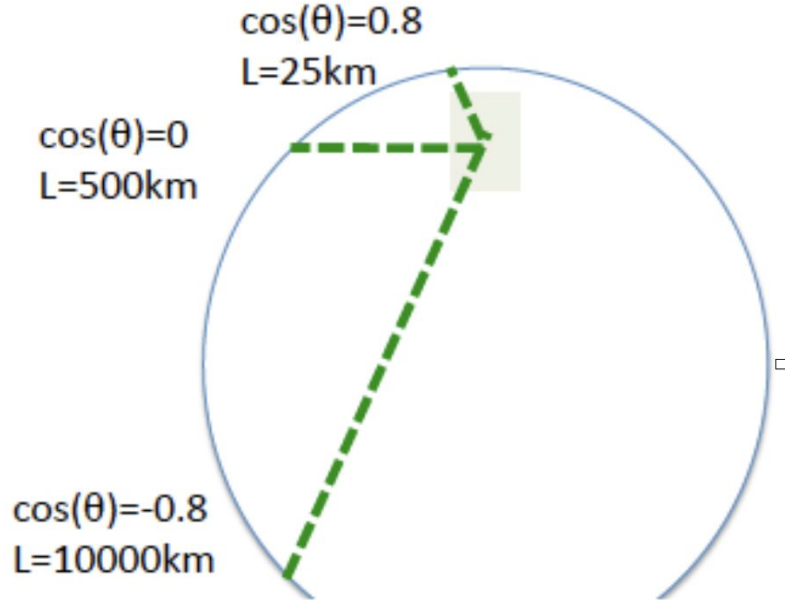
Atmospheric experiments use **changing L**, fixed E



Muon neutrino disappearance: atmospheric



Atmospheric experiments use **changing L**, fixed E



What about appearance?

Most of the ν_μ disappear into ν_τ , but a small fraction transition to ν_e :

$$\alpha = \frac{\Delta m_{21}^2}{\Delta m_{32}^2} \ll 1$$

$$\Delta = \frac{\Delta m_{32}^2 L}{4E_\nu}$$

$$A = 2\sqrt{2}G_F N_e \frac{E_\nu}{\Delta m_{32}^2}$$

$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_e} = & \frac{1}{(A-1)^2} \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 [(A-1)\Delta] \\ & - (+) \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\ & \sin \delta_{CP} \sin \Delta \sin A\Delta \sin [(1-A)\Delta] \\ & + \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\ & \cos \delta_{CP} \cos \Delta \sin A\Delta \sin [(1-A)\Delta] \\ & + \frac{\alpha^2}{A^2} \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 A\Delta \end{aligned}$$

Approximation from [M. Freund, PRD 64, 053003](#) -
if you use my formula, doublecheck the subscripts...

Key features of appearance channels

Sign of $\sin(\bar{\delta}_{CP})$ term switches
for neutrinos and antineutrinos

*Comparison of neutrino to
antineutrino oscillation tests
CP violation in neutrino sector*

$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_e} = & \frac{1}{(A-1)^2} \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 [(A-1)\Delta] \\ & - (+) \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\ & \sin \delta_{CP} \sin \Delta \sin A\Delta \sin [(1-A)\Delta] \\ & + \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\ & \cos \delta_{CP} \cos \Delta \sin A\Delta \sin [(1-A)\Delta] \\ & + \frac{\alpha^2}{A^2} \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 A\Delta \end{aligned}$$

Approximation from [M. Freund, PRD 64, 053003](#) -
if you use my formula, doublecheck the subscripts...

Key features of appearance channels

Sensitive to all oscillation parameters at once, and sensitive to the octant directly ($\sin^2(\theta_{23})$ terms)

$$\begin{aligned} P_{\nu_\mu \rightarrow \nu_e} = & \frac{1}{(A-1)^2} \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 [(A-1)\Delta] \\ & - (+) \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\ & \sin \delta_{CP} \sin \Delta \sin A\Delta \sin [(1-A)\Delta] \\ & + \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\ & \cos \delta_{CP} \cos \Delta \sin A\Delta \sin [(1-A)\Delta] \\ & + \frac{\alpha^2}{A^2} \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 A\Delta \end{aligned}$$

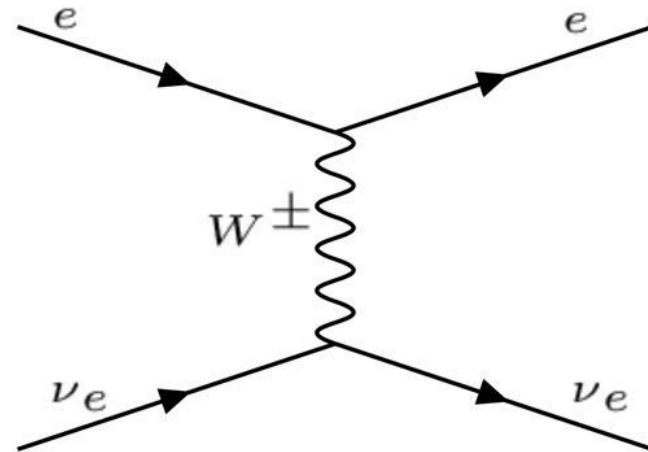
Approximation from [M. Freund, PRD 64, 053003](#) -
if you use my formula, doublecheck the subscripts...

Key features of appearance channels - matter effects

Sensitive to sign of Δm_{32}^2
- mass ordering through
“matter effects”, A terms:

$$A = 2\sqrt{2}G_F N_e \frac{E_\nu}{\Delta m_{32}^2}$$

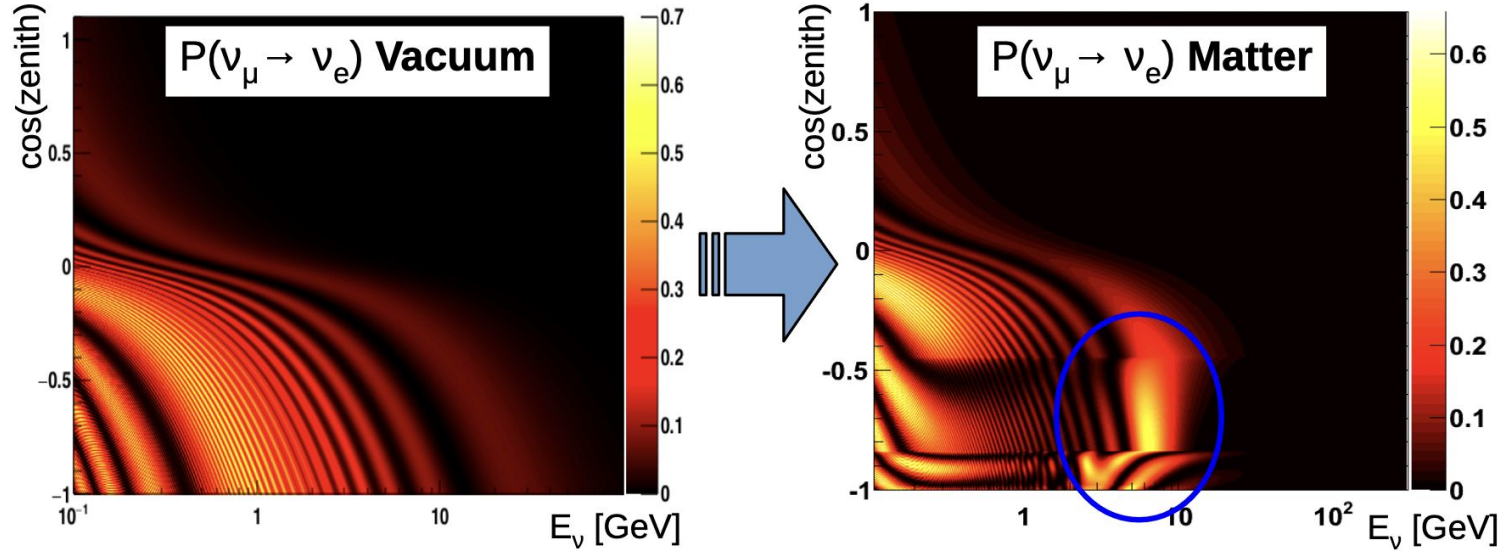
ν_e interact in matter with an extra CC interaction (ν_e - e scattering) - doesn't happen for ν_μ, ν_τ



Key features of appearance channels - matter effects

Matter effects manifest differently for atmospheric neutrinos

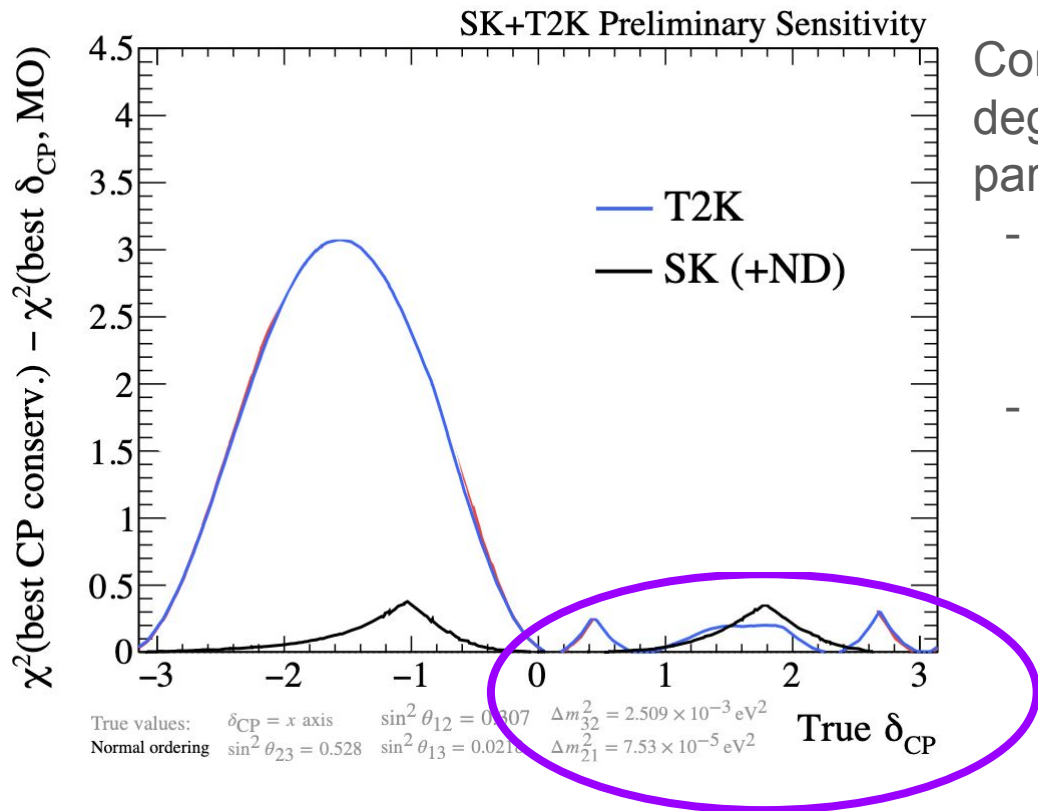
[C. Bronner.](#)
[PANE2018](#)



Presence of a resonance driven by θ_{13} induced matter effects between 2 and 10 GeV

- Only for ν in NH and $\bar{\nu}$ in IH \rightarrow sensitivity to the mass hierarchy
- Size of the effect depends on $\sin^2(\theta_{23}) \rightarrow$ sensitive to θ_{23} octant

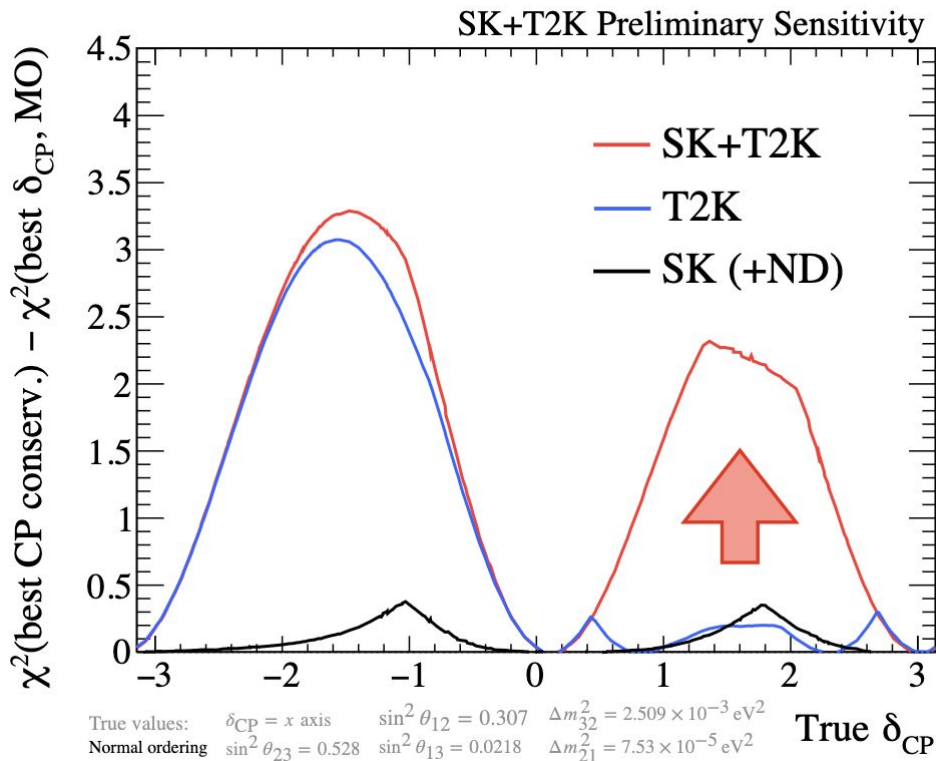
Disentangling neutrino oscillation through combinations



Combinations of experiments resolve degeneracies between unknown parameters

- Experiments have different L, E different oscillation probabilities break degeneracies
- Example: **degeneracy with mass ordering** results in poor sensitivity to δ_{CP} for T2K and SK alone

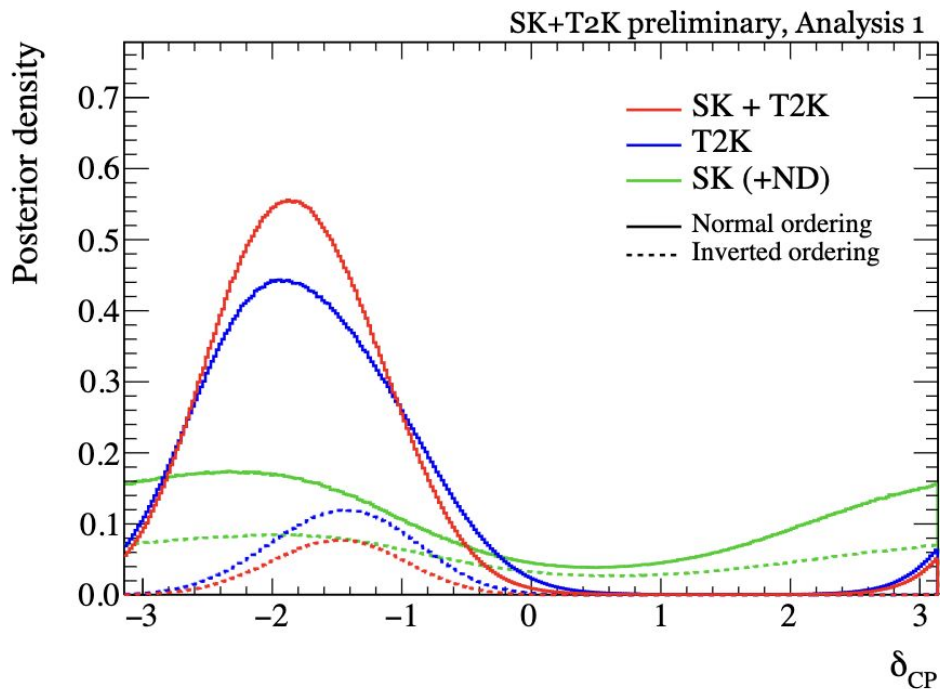
Disentangling neutrino oscillation through combinations



Combinations of experiments resolve degeneracies between unknown parameters

- Experiments have different L, E different oscillation probabilities break degeneracies
- Example: degeneracy with mass ordering results in poor sensitivity to δ_{CP} for T2K and SK alone
- **Together, sensitivity to δ_{CP}** through information about mass ordering

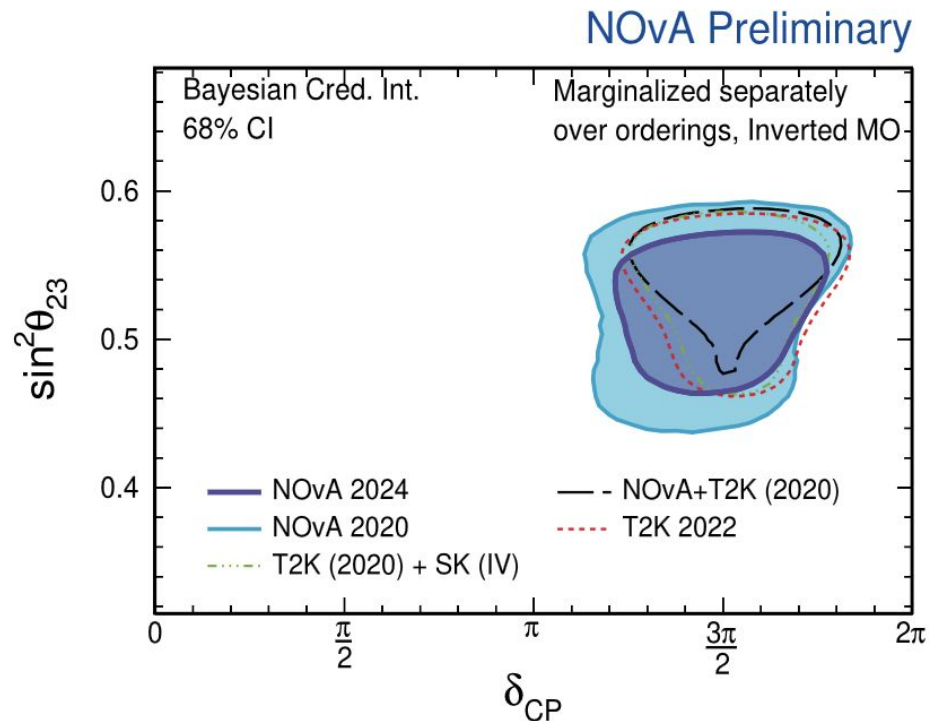
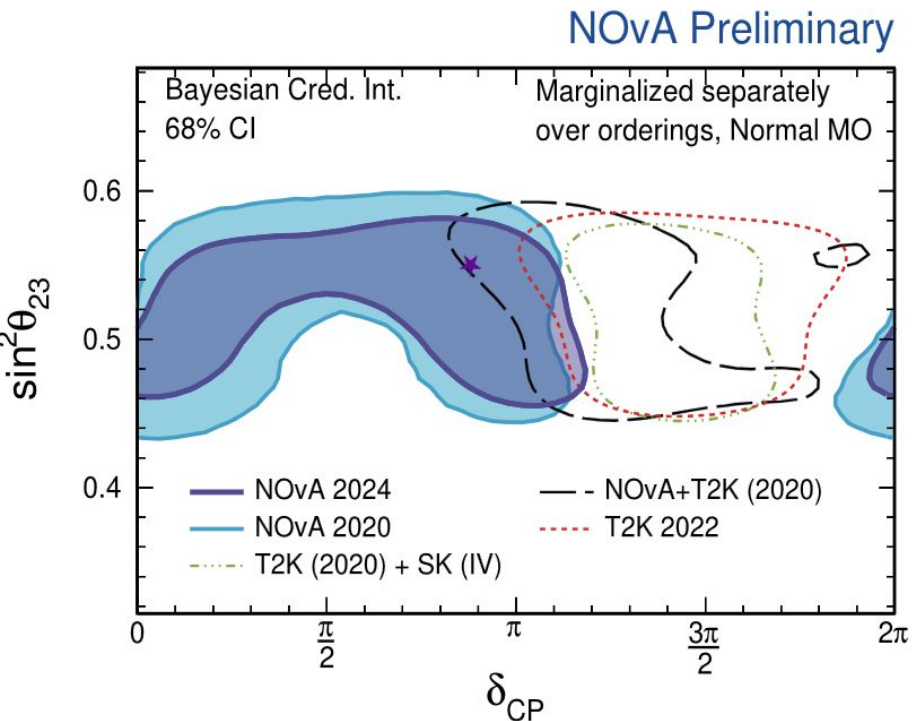
Current status of CPV, mass ordering



[Combined SK+T2K results](#) favor maximum CPV, consistent with T2K

- Weak preference for normal mass ordering MO (Bayes factor is ~ 9.0 , 1.6σ)

Current status of CPV, mass ordering



However, [NOvA favors different parameter space](#) assuming normal ordering

- Also, weak preference for normal mass ordering MO (frequentist, also 1.6σ , 87% when Daya Bay reactor constraint is used)

A word on CPV

Precision Meas. of Oscillation Parameters. Why and How Much?

A word from flavor models:

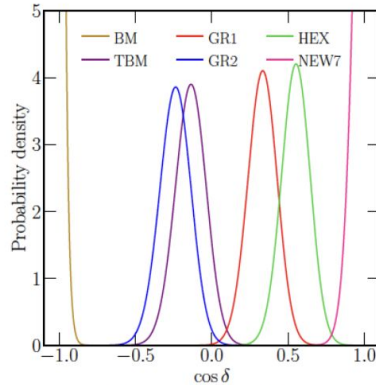


Figure 2: $P_{\cos\delta}$ as a function of $\cos\delta$ for various mixing patterns. Here we have assumed that $P_z(z)$ is a Gaussian centered at the experimental best-fit value of z , with width of 1σ .

[Everett *et al.*, arXiv:1912.10139]

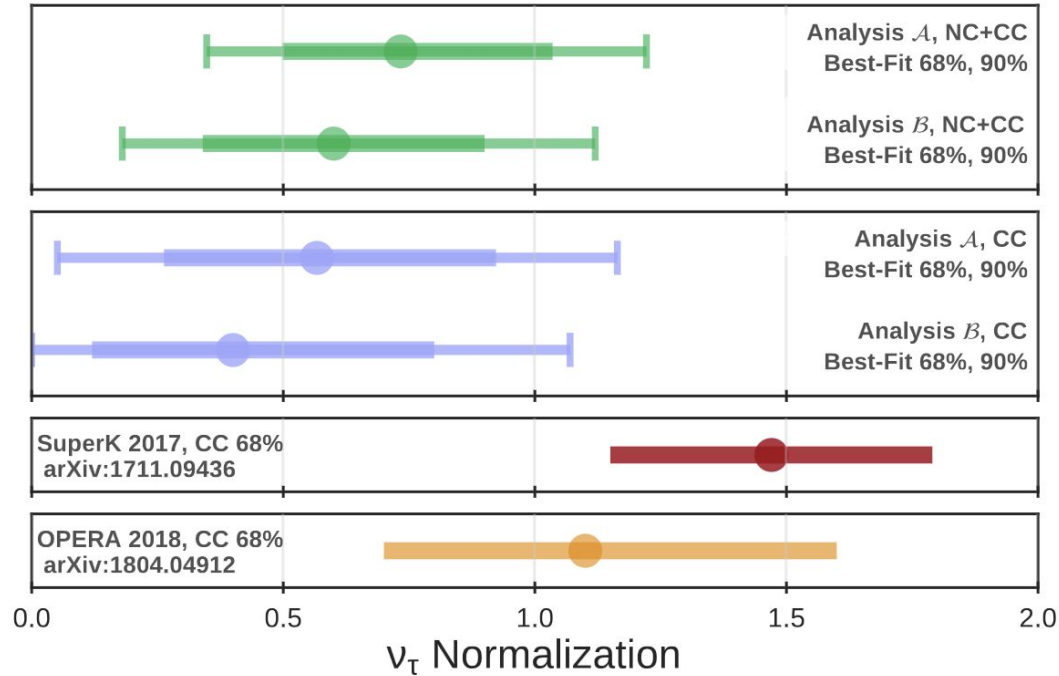
Precision depends on:

1. Sufficient ability to resolve a param of interest ($\delta_{CP} = 0?$) and distinguish models
2. André de Gouvêa - “*Ultimate Goal: Not Measure Parameters but Test the Formalism (Over-Constrain Parameter Space)*”

[Snowmass Neutrino Colloquium](#)

Important feature of future (DUNE and HK) program - *diversity and redundancy to get at the same physics robustly*

More appearance: taus!



[IceCube](#), SK search for ν_τ - consistent with standard model oscillation

- Complementary to a dedicated beam experiment (OPERA), DUNE will have tau appearance capability from the beam as well
- Recent workshop write up on all things tau ([NuTau2021](#))

Back to oscillation: now to reactor results

'Atmospheric'

~100 km/GeV

'Reactor'

~1 km/MeV

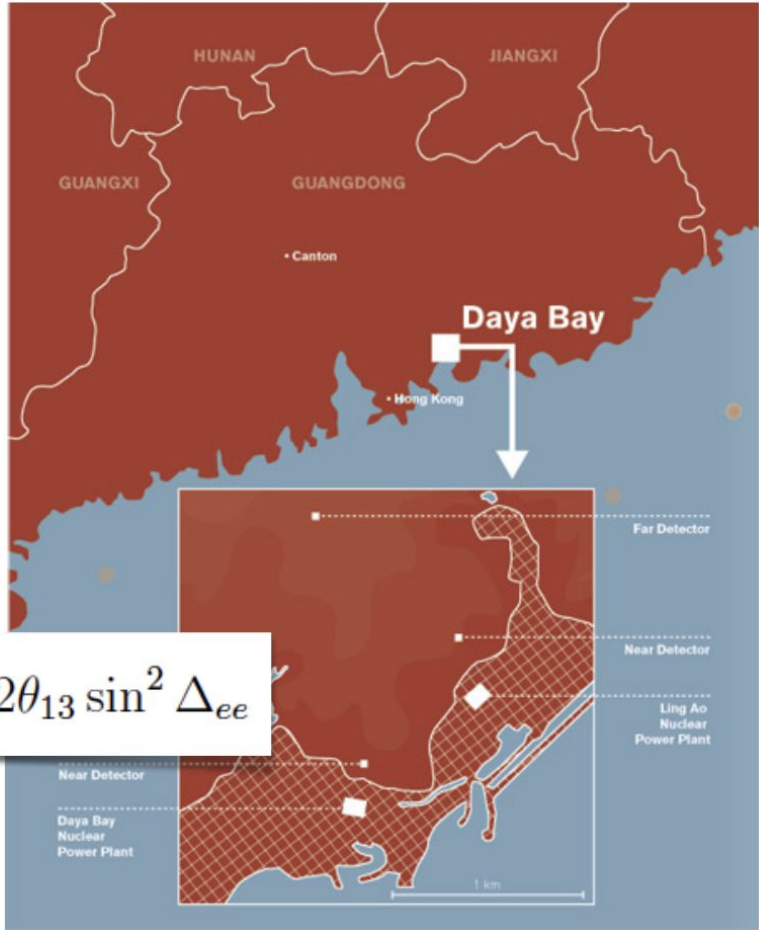
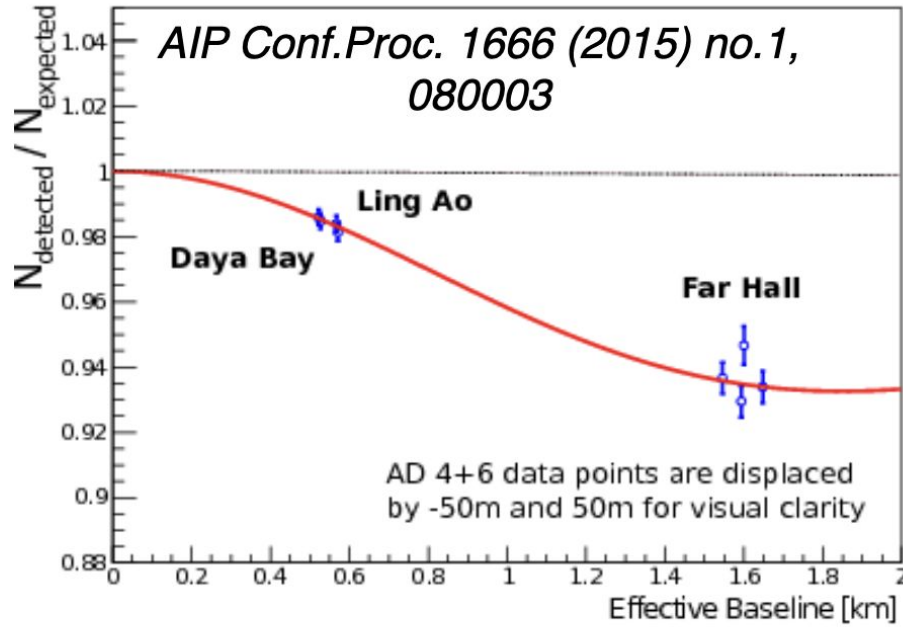
Solar

10^{10} m / MeV

Reactors have provided key measurements of θ_{13} , which were included in the previous results shown - *degeneracy breaking precision*

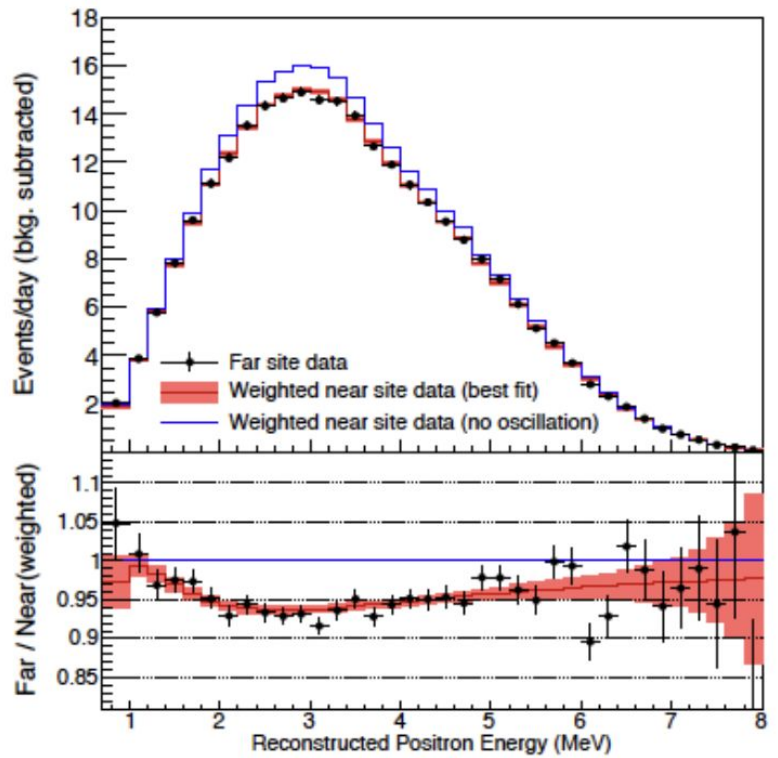
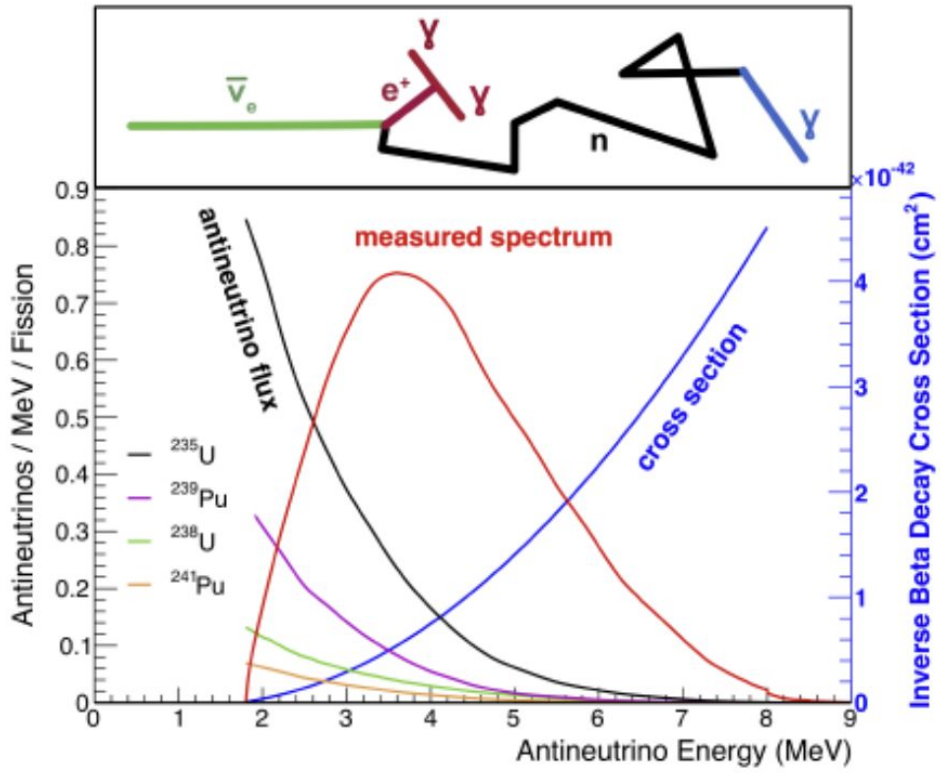
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot$$

Example: Daya Bay experiment

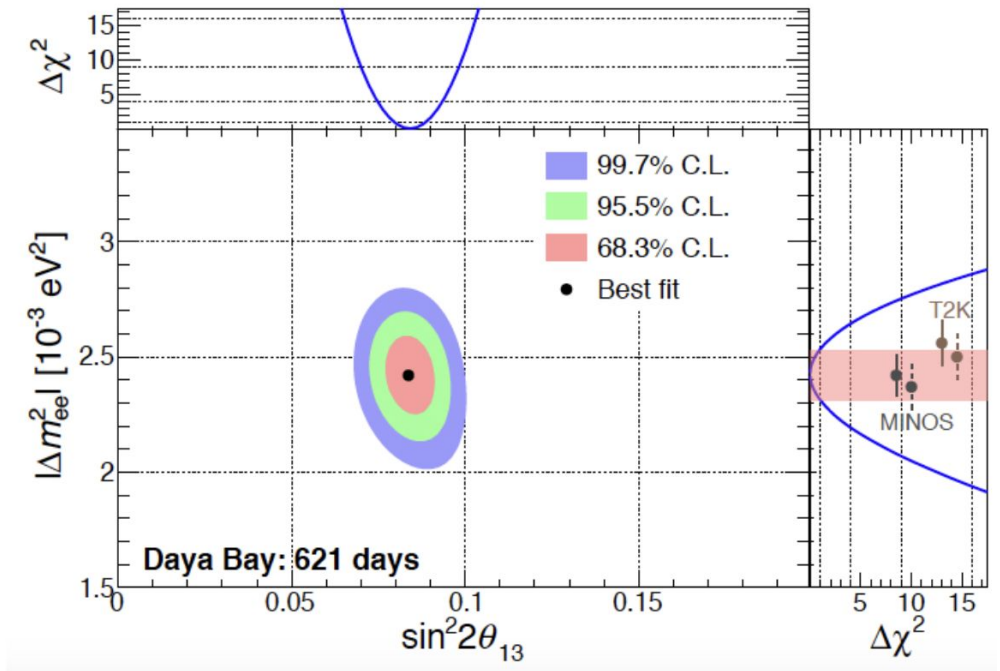


$$P = 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} - \sin^2 2\theta_{13} \sin^2 \Delta_{ee}$$

Example: Daya Bay experiment



Example: Daya Bay experiment



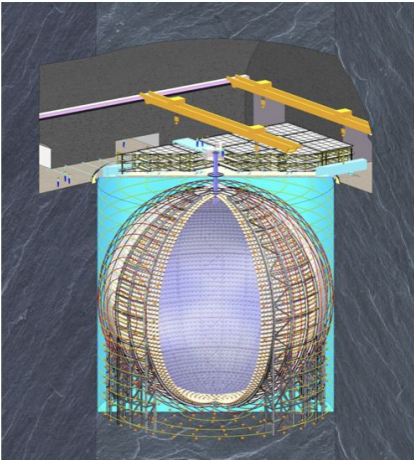
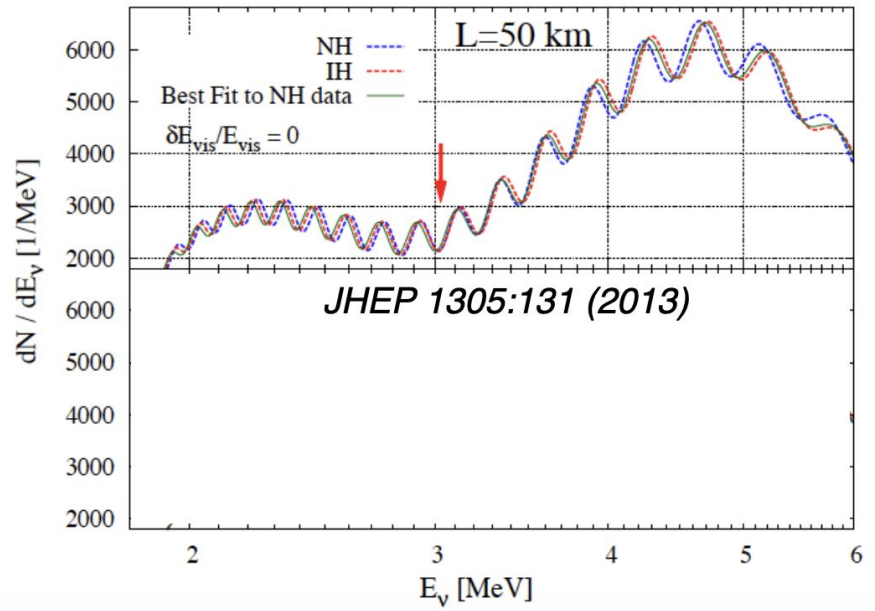
Daya Bay, Double Chooz and RENO provided precision measurements of θ_{13}

Comparisons between Δm_{eff}^2 measured at Daya Bay and Δm_{32}^2 at accelerators may also provide indications of mass ordering

$$\begin{aligned}
 \Delta m_{\text{eff}}^2|_e &= \cos^2 \theta_{12} |\Delta m_{31}^2| + \sin^2 \theta_{12} |\Delta m_{32}^2| \\
 &= |\Delta m_{32}^2| \pm \cos^2 \theta_{12} \Delta m_{21}^2.
 \end{aligned}$$

Phys. Rev. Lett. 115, 111802 (2015)

Future of reactors: JUNO

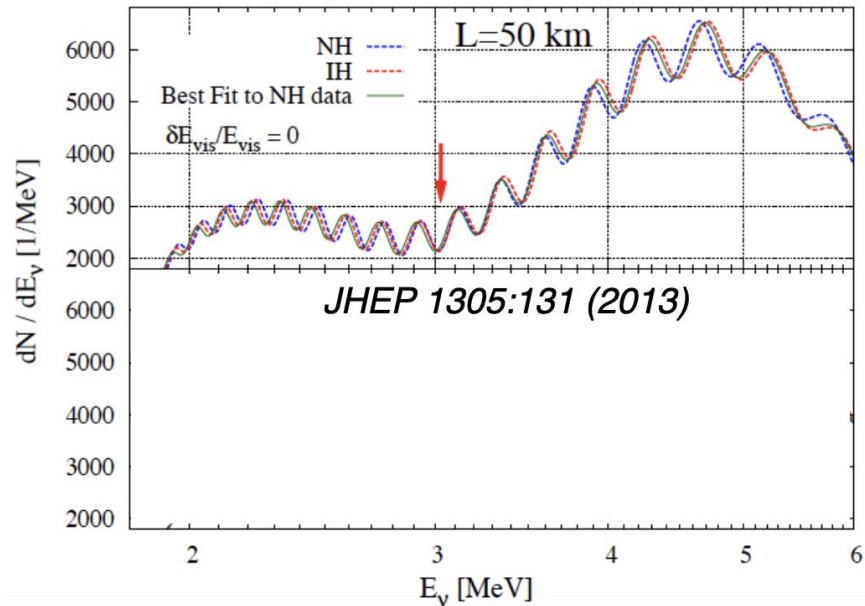


At baselines of 50km for reactor neutrino energies, novel sensitivity to hierarchy choice

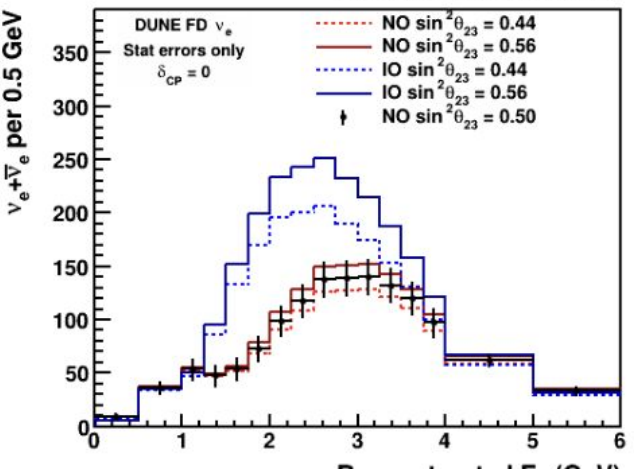
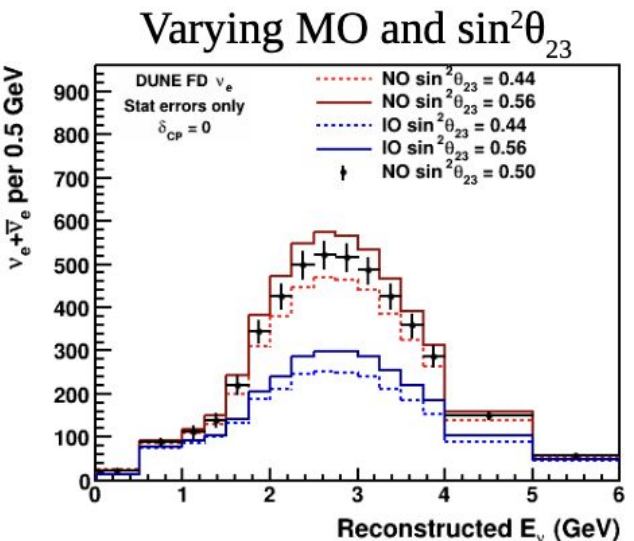


Concept design report: [arXiv:1508.07166](https://arxiv.org/abs/1508.07166)

Complementarity of JUNO and DUNE



DUNE is sensitive to mass ordering through matter effects, but JUNO measures it in vacuum - comparison between the two is important to understand Non Standard Interactions (NSI)



Back to oscillation: last but not least, solar

'Atmospheric'

~100 km/GeV

'Reactor'

~1 km/MeV

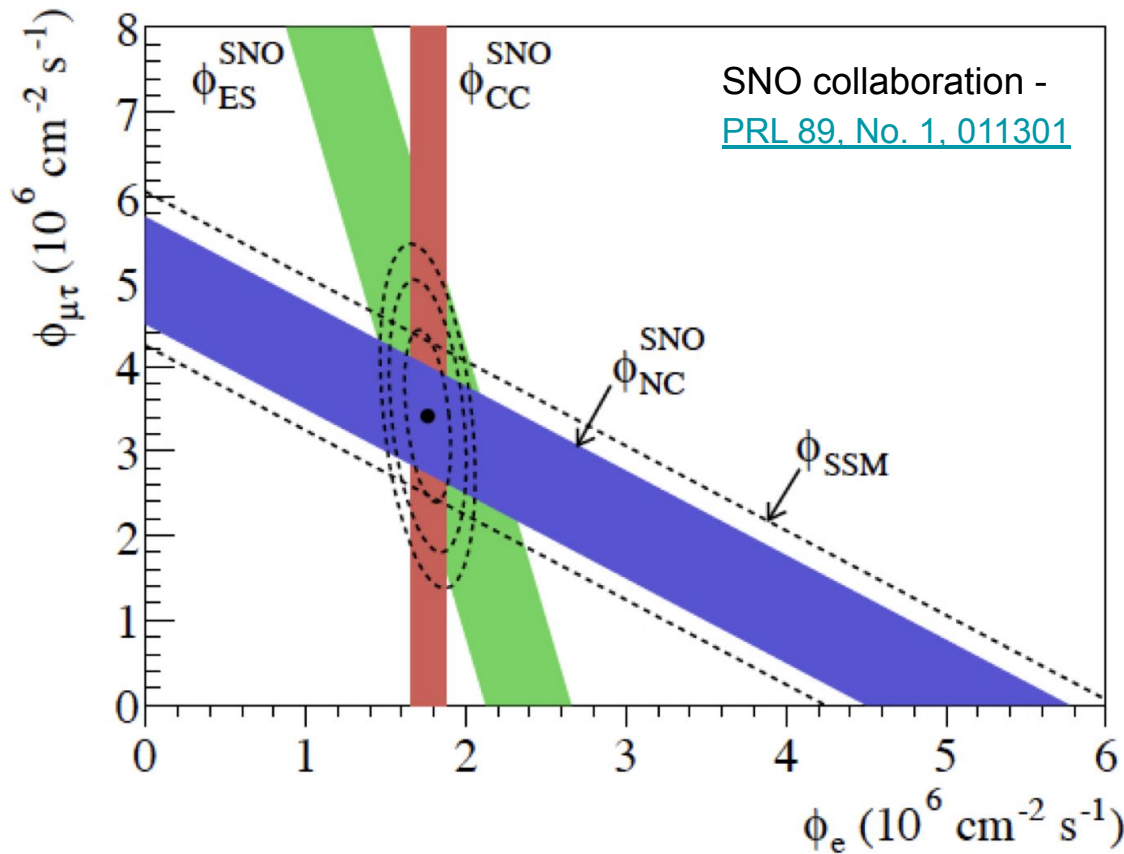
Solar

10^{10} m / MeV

Solar (and reactor) measurements - *original precision trendsetters in neutrinos*
Not covered today: work by Borexino to measure MSW, new solar ν channels

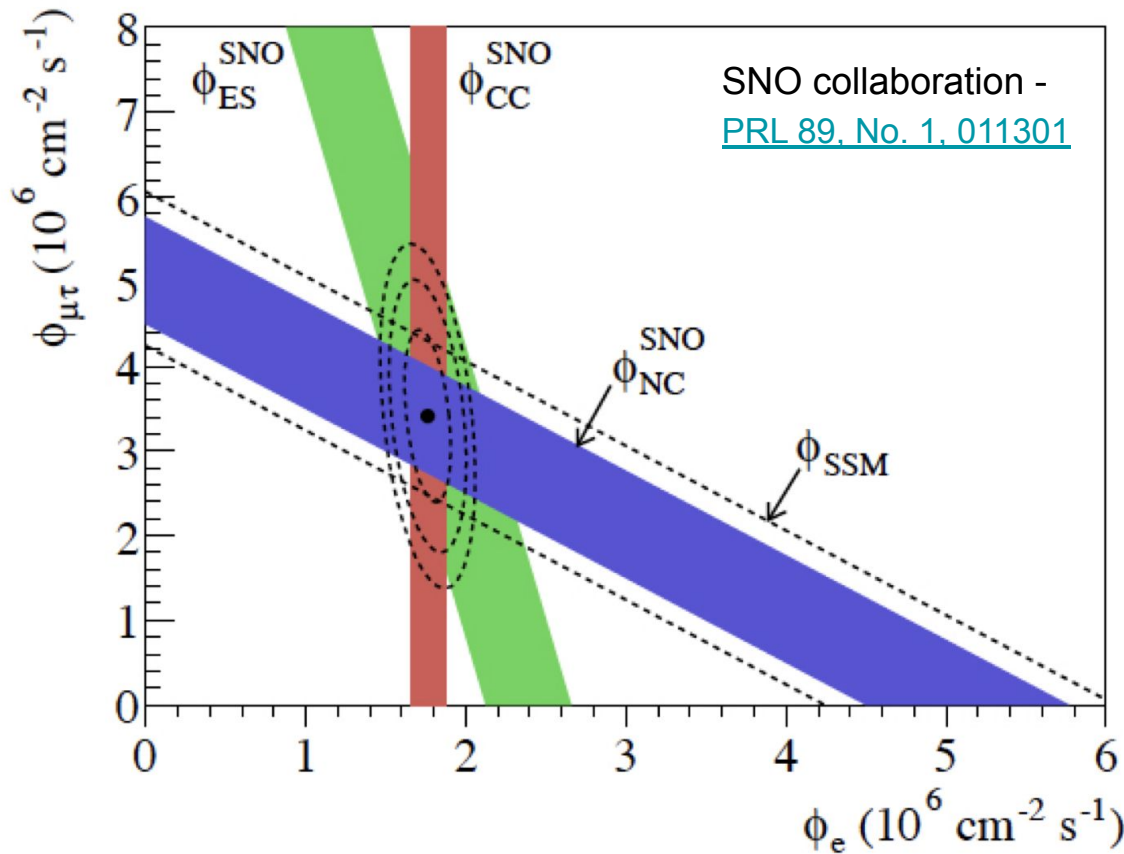
$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{\text{CP}}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{\text{CP}}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Back to oscillation: last but not least, solar



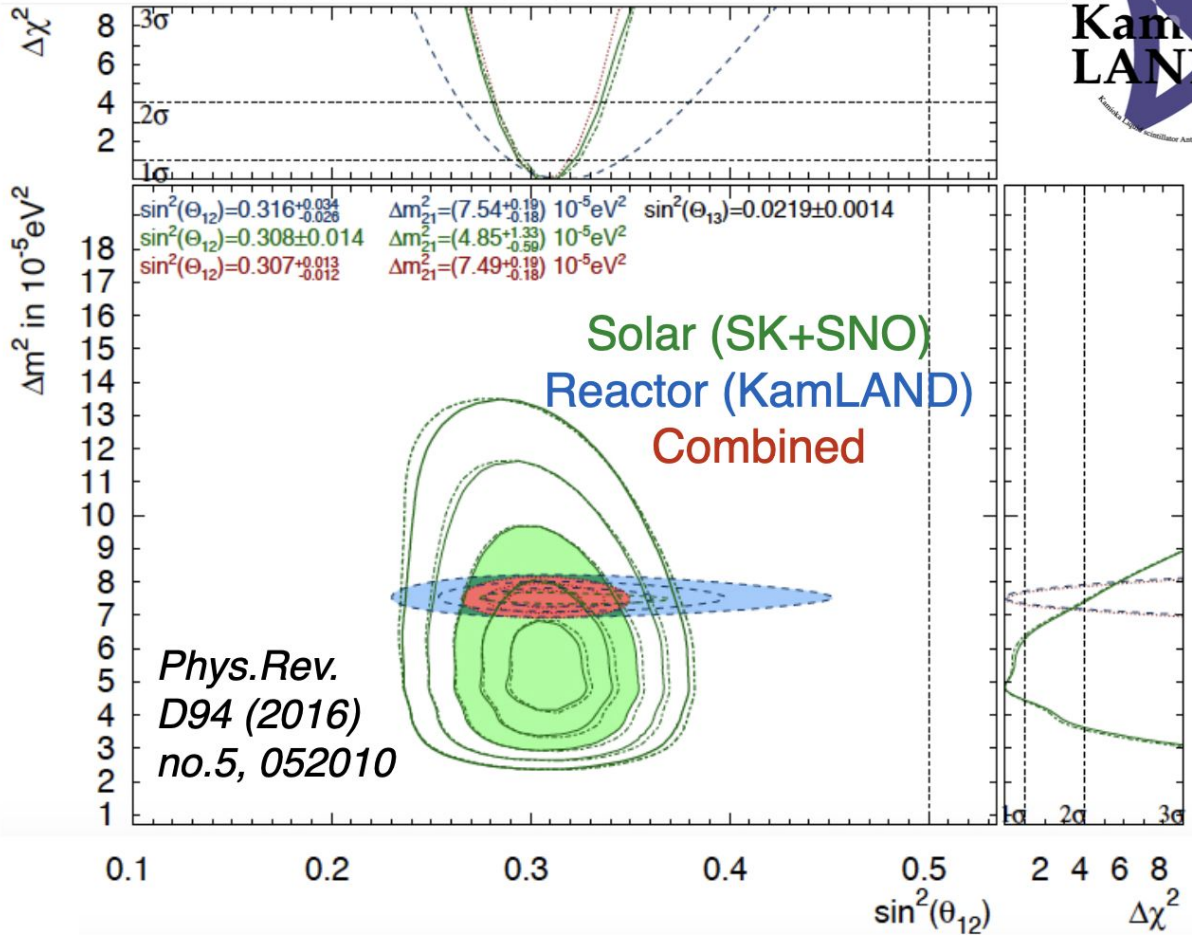
Consistency between Standard Solar Model - *oscillation wasn't a mismodelling of sun*

Back to oscillation: last but not least, solar



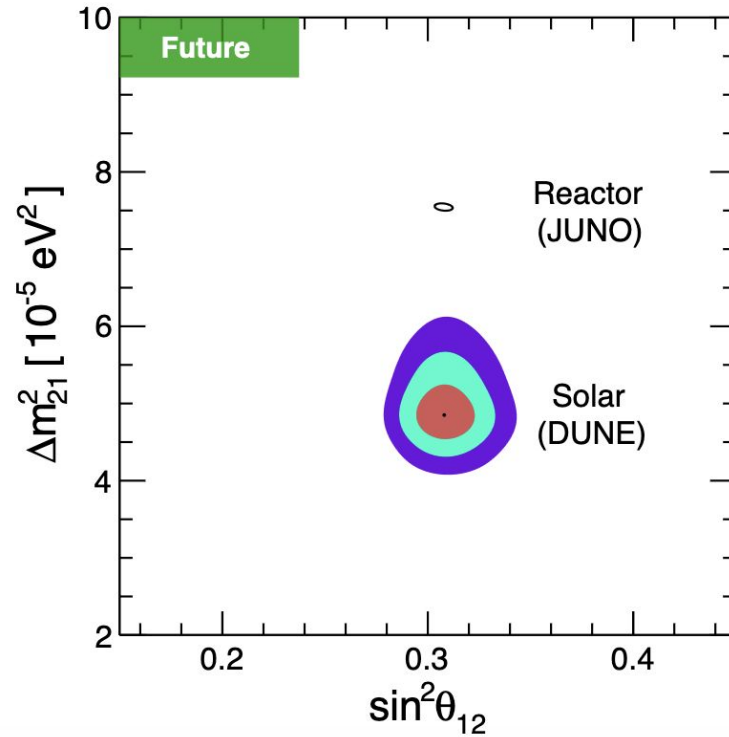
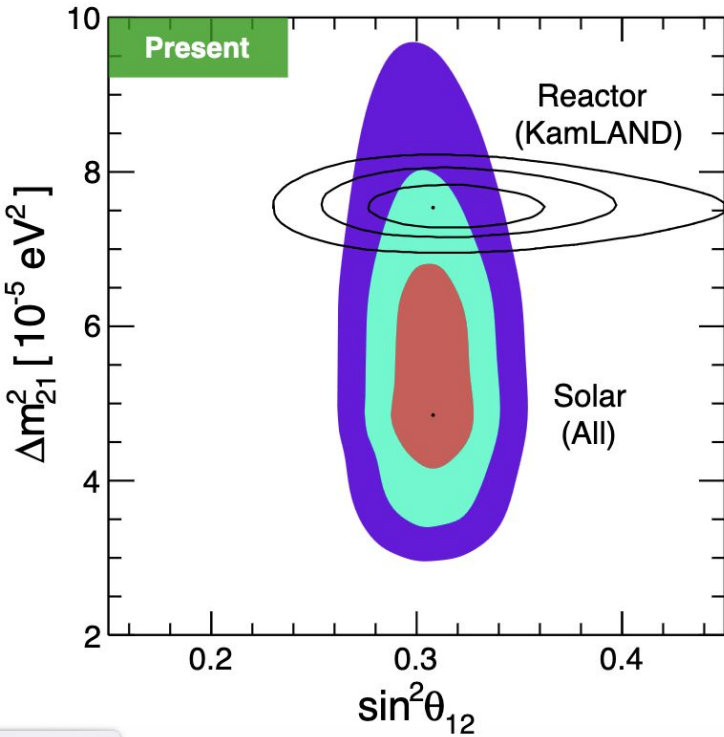
Consistency between NC and electron neutrino CC, and electron elastic scattering (ES) channels - *conserved total number of all neutrino flavors, but transitioned out of electron flavor*

Solar and reactor comparison



A host of reactors at solar L/E provided complementary information (KamLAND experiment)

The future of solar



DUNE and JUNO again may provide precision solar parameter information

Precision requires a lot of detail - *example from T2K*

$$N_{FD} \sim \Phi(E_\nu) \sigma(E_\nu) \epsilon_{FD} P(\nu_\mu \rightarrow \nu_e)$$

We determine oscillation parameters from event rates (at our ‘far’ detector)

- Uses neutrino source (flux, Φ), cross section (σ), and detector (efficiency) models

Precision requires a lot of detail - *example from T2K*

Refine,
revisit
and test
models

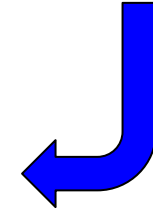


Flux model
E.g. beam monitors,
external data

Cross section model
E.g. theory, external
data

Inputs from
theory, external
and in-situ data

$$N_{ND} \sim \Phi(E_\nu) \sigma(E_\nu) \epsilon_{ND}$$



$$N_{FD} \sim \Phi(E_\nu) \sigma(E_\nu) \epsilon_{FD} P(\nu_\mu \rightarrow \nu_e)$$



Improved
prediction,
reduced
uncertainties

We determine oscillation parameters from event rates (at our 'far' detector, SK)

- Uses neutrino source (flux, Φ), cross section (σ), and detector (efficiency) models
- Model tested against near detector data to enable cancellation
- Models built from theory, beam monitors and key external measurements for flux parameters (e.g. NA61) and cross section theory and experiment (e.g. MINERvA)

Precision experiments enable more physics

Oscillation programs are able to do plenty of physics - *searches for exotic particles, beyond standard model, rare processes etc*

Far detectors may contribute to astrophysics, or searches for proton decay

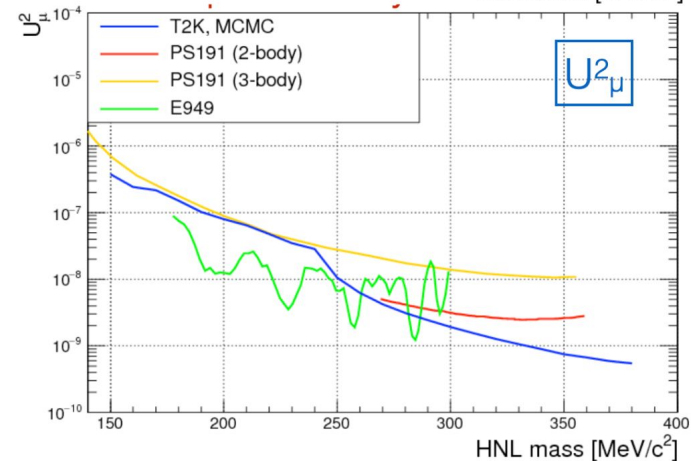
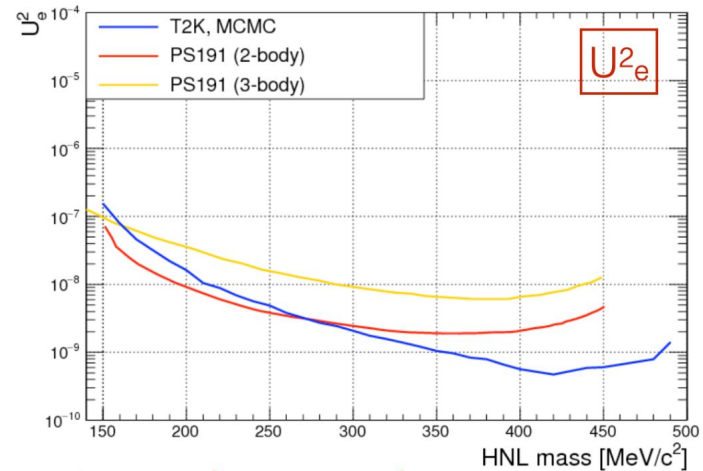
Precision experiments enable more physics - *example T2K*

$$K^+ \rightarrow l^+ N$$

$$N \rightarrow l^\pm \pi^\mp, l^\pm l^\mp \nu$$

Production of heavy neutral leptons (N) from kaon decay

- Uses large volume, low mass TPCs for signal selection
- Best high-mass limits on coupling to N to μ , e
- Phys.Rev.D 100 (2019) 5, 052006

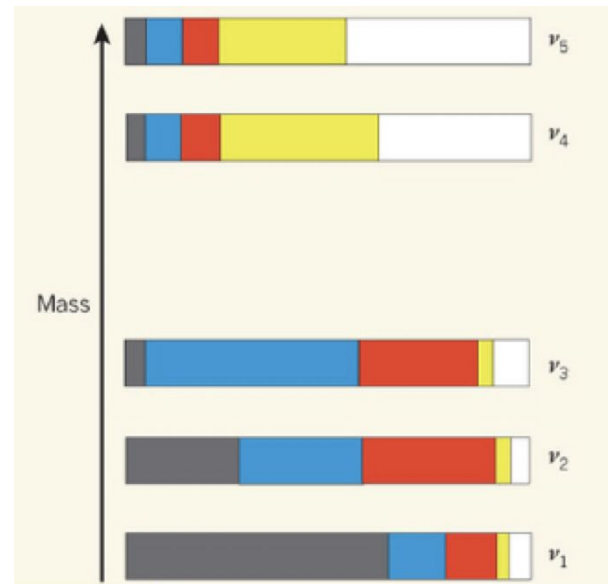


Precision experiments enable more physics: sterile neutrinos

Daya Bay, MINOS, and other programs have searched for anomalous oscillation consistent with a light sterile* neutrino:

- Mass splitting $\sim 1-10\text{eV}^2$

$$U_{\alpha i} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \vdots \\ \nu_s \end{pmatrix} \begin{pmatrix} U_{e1} & U_{e2} & \dots & U_{eN} \\ U_{\mu 1} & U_{\mu 2} & \dots & U_{\mu N} \\ U_{\tau 1} & U_{\tau 1} & \dots & U_{\mu N} \\ \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \vdots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \vdots \\ \nu_N \end{pmatrix}$$



W.C. Louis,
Nature, Volume: 478,
Pages: 328–329

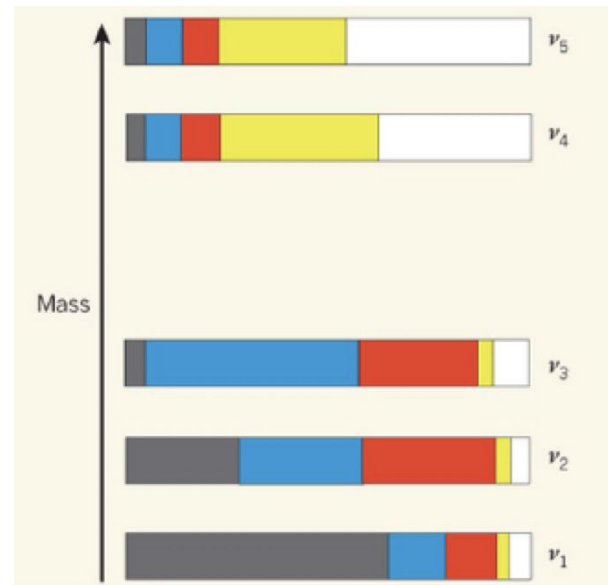
Precision experiments enable more physics: sterile neutrinos

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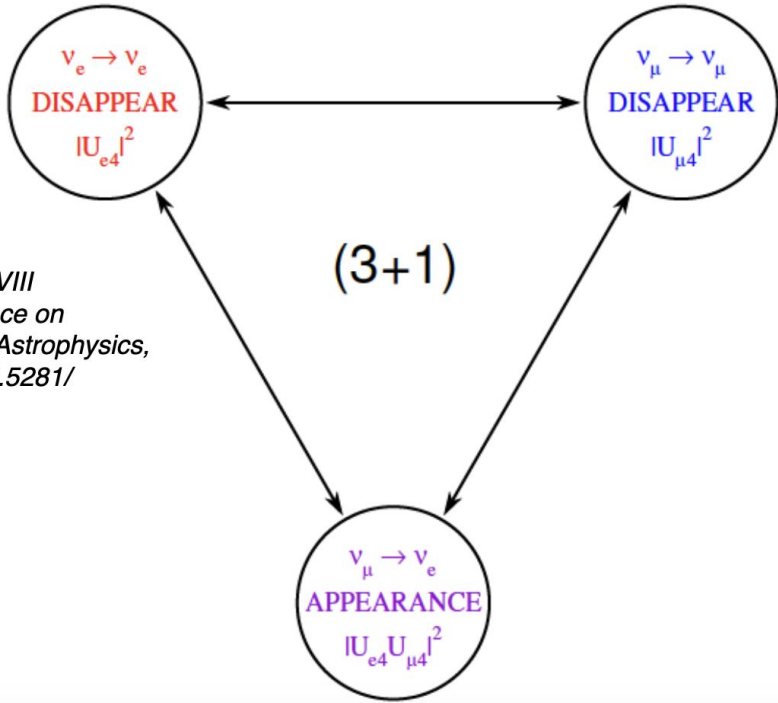
**sterile neutrinos can be very heavy, or keV scale, and can be tested by a variety of programs, including direct mass measurements and cosmology*



W.C. Louis,
Nature, Volume: 478,
Pages: 328–329

Unclear picture around sterile neutrinos

Reactor ν_e disappearance results, from [Daya Bay, neutral current results from MINOS, MINOS+](#) and T2K, and short baseline ν_μ disappearance results are at odds with a sterile ν_e appearance signal



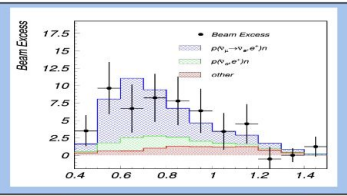
Tension doesn't abate with adding extra steriles (3+2, etc)

M. Maltoni, Talk at XXVIII International Conference on Neutrino Physics and Astrophysics, 4-9 June 2018 DOI:10.5281/zenodo.1287014

Sterile neutrino status and... PROSPECT(s) ;)

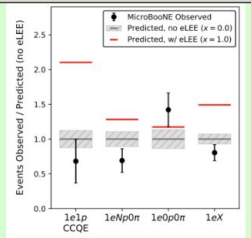
LSND @ LANL (~30 MeV, 30 m)

Unresolved... JSNS² will test



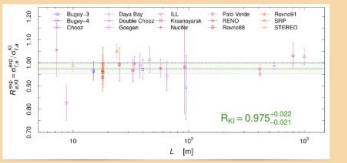
MiniBooNE @ FNAL ($\nu, \bar{\nu} \sim 1$ GeV, 0.5 km)

Unresolved... Results from MicroBooNE rule out specific electron/gamma final state explanations for LEE so far
...more data from FNAL SBN program soon

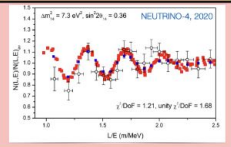


"Reactor flux anomaly"
Resolved (probably?) with new input β -decay spectra from 235-U fission

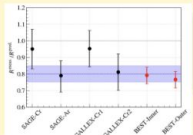
J. Kopp, Nu2022



"Reactor spectral anomaly"
~Unresolved... new data disfavor.. more data coming...
PROSPECT, SoLid, STEREO, NEOS, DANSS, CHANDLER, Neutrino-4,....



"Gallium anomaly"
Unresolved... new BEST results (5 σ) confirm...no baseline dependence

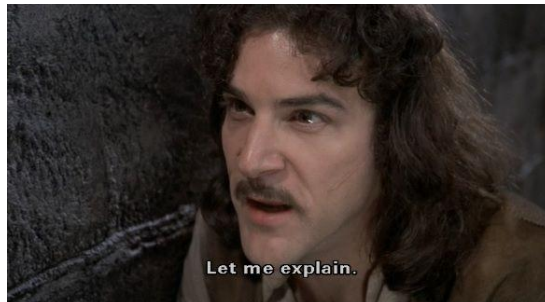


From: [K. Scholberg](#)
[Snowmass neutrino frontier](#)
[summary](#)

Anomalous indications so far to be addressed with current generation program

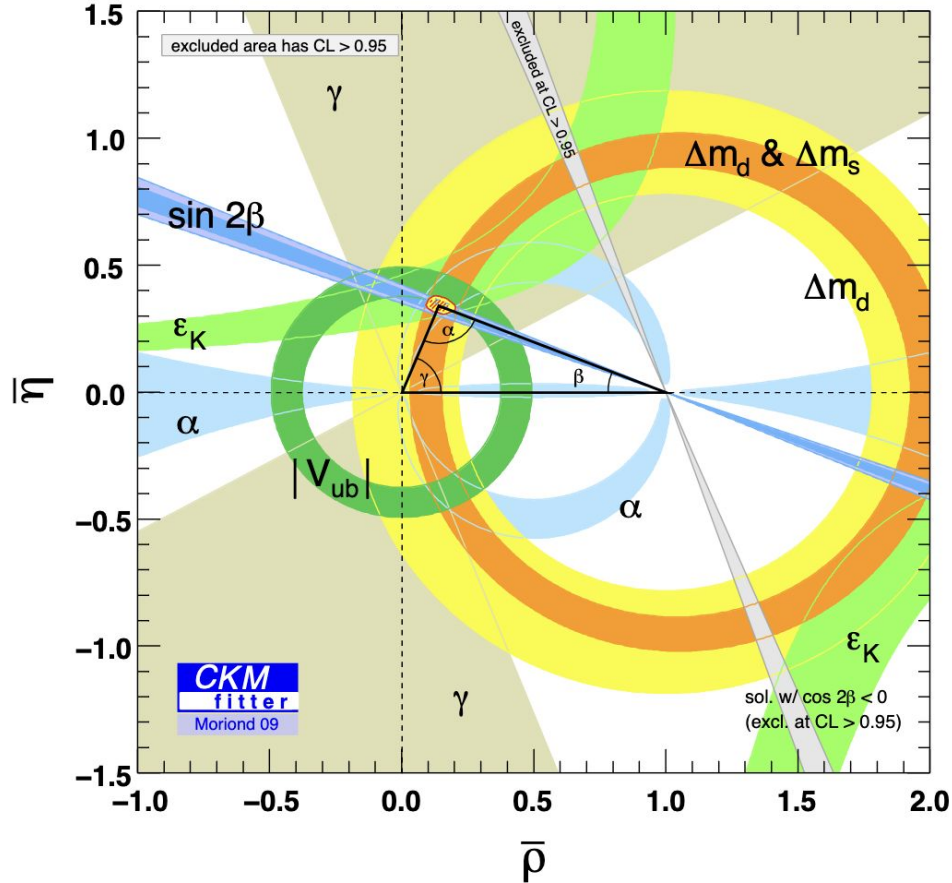
Future program also has unique capabilities and constraints to add

Closing thoughts on precision



Credit: The Princess Bride

Closing thoughts on precision neutrino physics

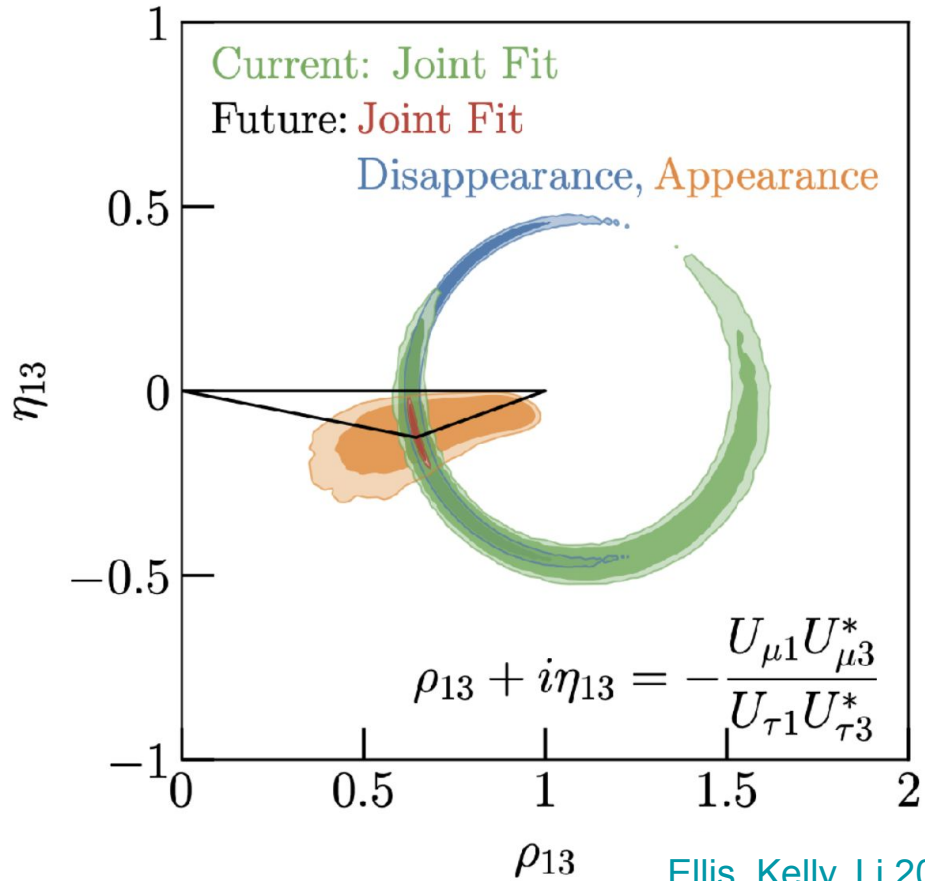


André de Gouvêa - “we need this
in the lepton sector”

[Snowmass Neutrino Colloquium](#)

Unitarity ‘triangle’ built from
elements of quark mixing matrix

Closing thoughts on precision neutrino physics



Pedro Machado [at the P5 town hall at Fermilab](#)

“DUNE, HK, JUNO and IceCube will enable a bona fide precision physics program in the neutrino sector”

Closing thoughts on precision neutrino physics

Key features of precision:

1. Ability for multiple experiments to get at the same physics, in different ways
2. Each experiment is pushing the physics as far as it can:
 - a. Well built, exquisite detectors
 - b. Careful attention to detail and fundamentals - *checking, rechecking, sometimes back to basics*
 - c. Creative new approaches in existing or with new technologies

Precision doesn't happen overnight - *it takes decades long patience*

Precision happens because of you - *we are so lucky, as a field, to have such wonderful students as you!*

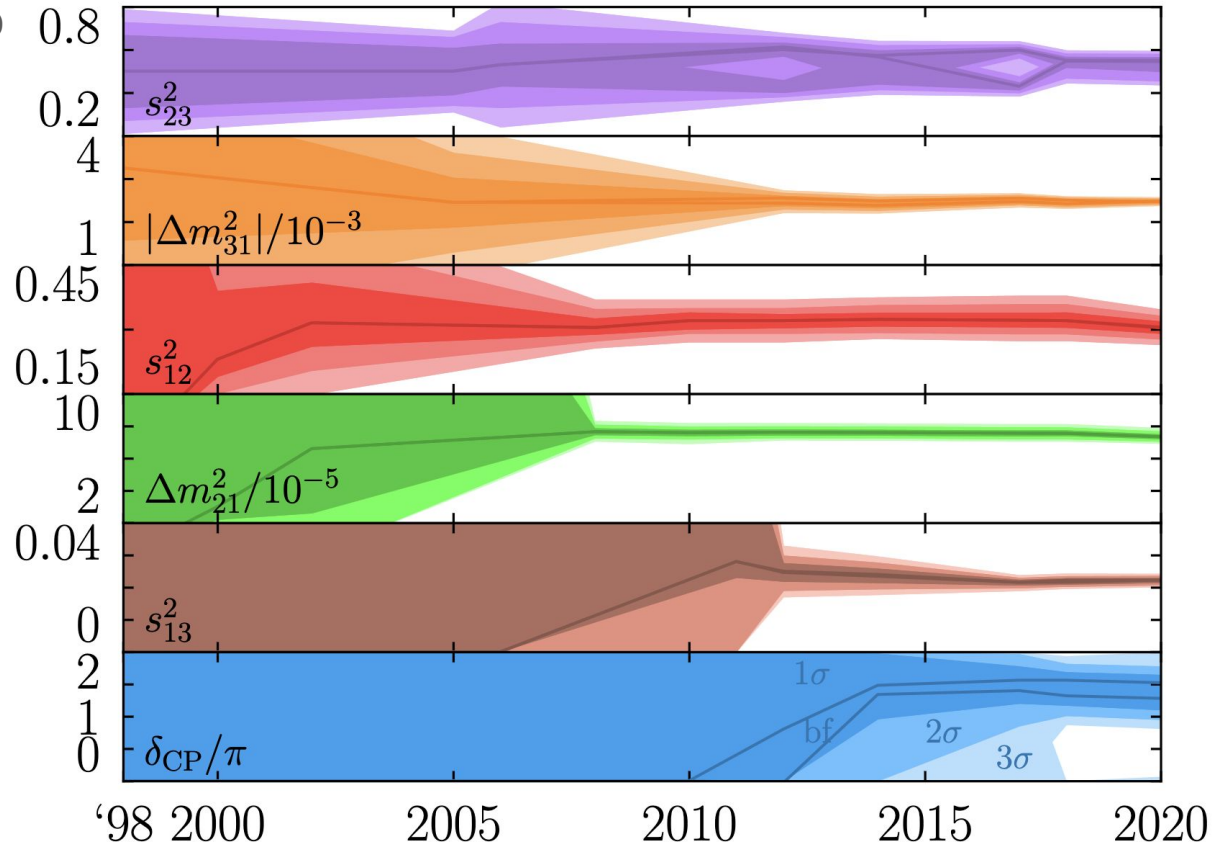
Closing thoughts on precision neutrino physics

We have learned so much, so recently, about neutrinos

Next up: δ_{CP} and the mass ordering, new direct mass measurements, and neutrinoless double beta decay searches

Precision experiments also afford new measurements on NSI, exotica

Complementary to major advances from CMB, dark energy efforts



Backups

Neutrino Theory 101 - *thanks to Michael Peskin!*

How to write a Lagrangian for neutrino mass:

Begin with

$$\mathcal{L} = \sum_j \bar{\psi}_j (i \not{\partial}) \psi_j - \quad ?$$

What terms without derivatives are allowed ?

Neutrino Theory 101 - *thanks to Michael Peskin!*

Recall that the 4-component Dirac fermion is a reducible representation of the Lorentz group,

$$\Psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \quad S^{\mu\nu} = \frac{i}{4}[\gamma^\mu, \gamma^\nu] = \begin{pmatrix} \sigma^{\mu\nu} & 0 \\ 0 & \bar{\sigma}^{\mu\nu} \end{pmatrix}$$

so ψ_L, ψ_R are independent fields. The kinetic term splits

$$\mathcal{L} = \psi_L^\dagger i\bar{\sigma}^\mu \partial_\mu \psi_L + \psi_R^\dagger \sigma^\mu \partial_\mu \psi_R$$

The two representations are complex conjugates of one another, that is

$$\psi'_L = i\sigma^2 \psi_R^* \text{ transforms like } \psi_L$$

In this language, the usual Dirac mass term is

$$\bar{\Psi}\Psi = \psi_R^\dagger \psi_L + h.c. = \epsilon_{ab} \psi'_a \psi_b + h.c.$$

Neutrino Theory 101 - *thanks to Michael Peskin!*

If Ψ has lepton number $L = 1$, ψ_L has $L = 1$, but ψ'_L has $L = -1$. Then the usual Dirac mass term conserves L .

The most general fermion mass term is

$$M_{jk} \epsilon_{ab} \psi_a^j \psi_b^k + h.c.$$

where M_{ij} is a symmetric matrix. If M_{ij} pairs $L = 1$ fields with $L = -1$ fields, this is a “Dirac mass” and L is conserved. However, there is another possibility. The most striking case is with one chiral fermion

$$m \epsilon_{ab} \psi_a \psi_b + h.c.$$

This is the “Majorana mass”. The physical fermion has 2 states, with $+1/2$ and $-1/2$ helicity. The $-1/2$ state is (mostly) a fermion, but the $+1/2$ state is mostly its antiparticle, an antifermion. L is not conserved.

Neutrino Theory 101 - *thanks to Michael Peskin!*

In the Standard Model, ν_R has zero quantum numbers and can be omitted from the theory.

If neutrinos are massive, there are 3 possibilities:

1. ν_R does not exist; then all neutrino masses are Majorana. Also L is not conserved. The neutrino mass term needs 2 Higgs fields.
2. Neutrino masses are Dirac and L is conserved. The mass term can come, as for quarks, from the Yukawa coupling to the Higgs.
3. ν_R has both Majorana M and Dirac mass terms. The Majorana mass violates L but does not violate the SM gauge symmetries, so it can be very large: $M \gg m$. Then the masses are effectively Majorana, with
$$m(\nu_L) \sim m^2 / M$$

This is the **seesaw mechanism** of Yanagida and Gell-Mann, Ramond, and Slansky.

Neutrino Theory 101 - *thanks to Michael Peskin!*

The “Majorana phases” in the neutrino mass matrix appear only in chirality-violating processes. In long-baseline experiments, the production and detection of neutrinos both occur by weak current, which are chirality conserving. Thus, these phases must cancel out. To detect these phases, it is essential to have a chirality-violating process, such as neutrino-neutrino annihilation through the Majorana mass.

How well will NOvA do at the mass ordering?

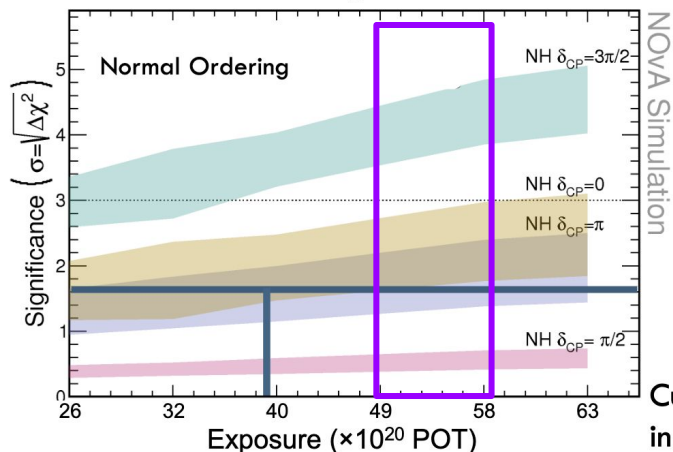
Expected Mass Ordering Sensitivity

18



NOvA, PAC 2024

- Asimov, a priori sensitivity depends strongly on the mass ordering and the true value of δ_{CP}
- Max CPV with normal ordering, gives well above 3σ sensitivity
- Data lie in the degenerate region, multiple combinations of δ_{CP} and mass ordering are allowed.



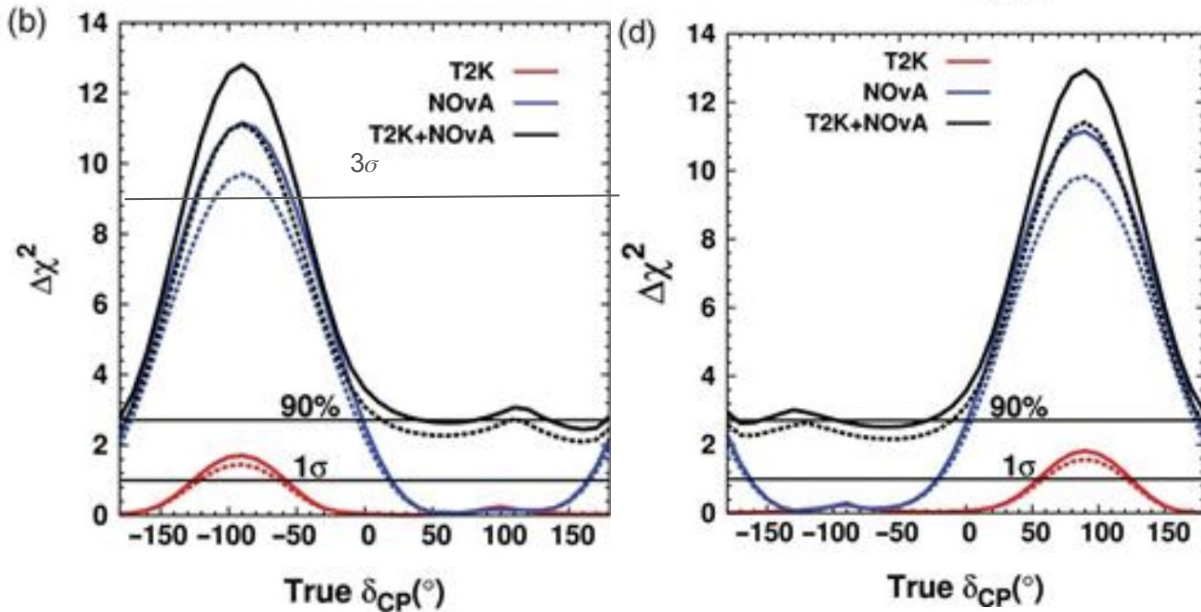
Current mass ordering resolution 1.6σ , in line with expectation at best fit

Option	Extra RHC POT	Total POT
1	2e20	41.1e20
2	8.7e20	47.8e20
3	11.1e20	50.2e20
3b	13.0e20	52.1e20
4	15.8e20	54.9e20

Sensitivity varies based on underlying parameters; statistics limited experiment

- Assuming T2K values, then favorable resolution of MO (3σ)
- Current NOvA MO sensitive “in line with expectation at best fit”

How well will NOvA and T2K do at the mass ordering?

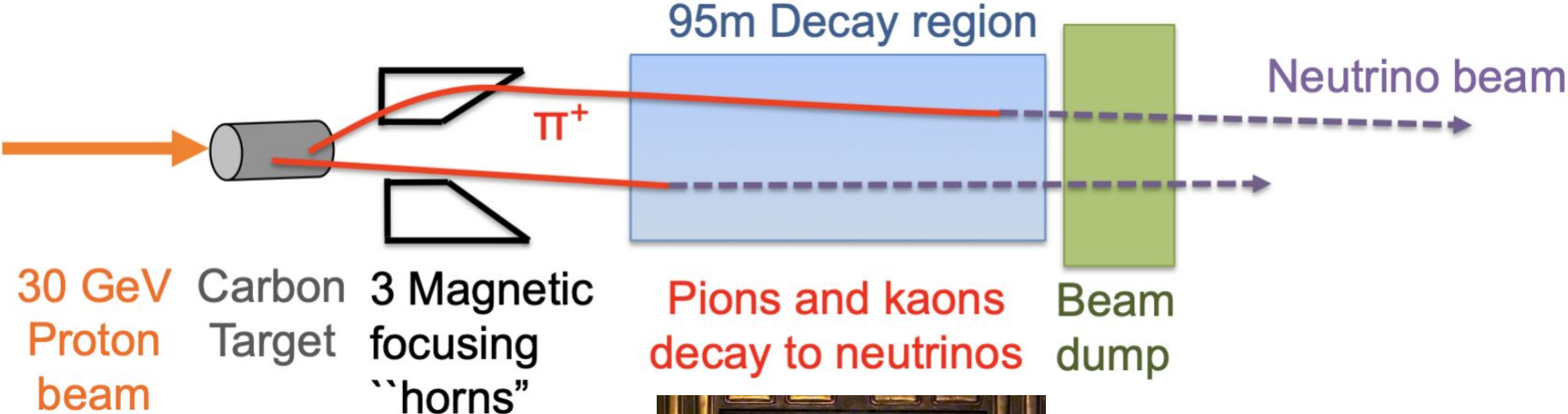


[PTEP Volume 2015, Issue 4, April 2015, 043C01](#)

Sensitivity varies based on underlying params; assumes equal nu/nubar running

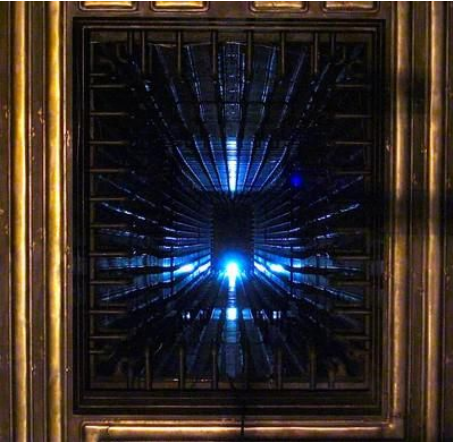
- Note: see how there's three different conventions in this talk? T2K's, NOvA, and this T2K paper? Sorry...
- Sensitivity boosted by combination in 'weak' region, so expect NOvA sensitivity boosted when we include T2K

Accelerator-based neutrino beams vs. dedicated K beam?



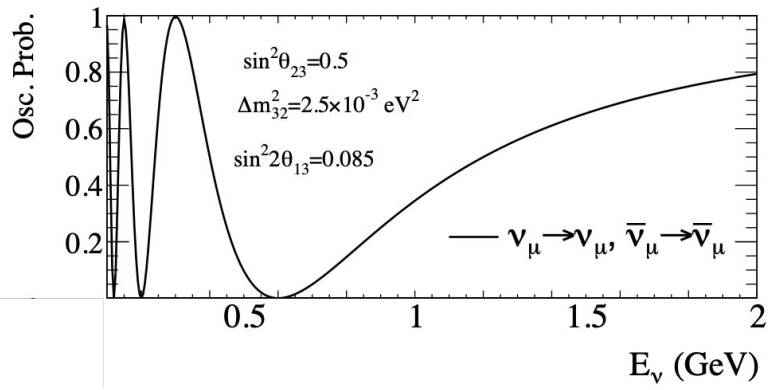
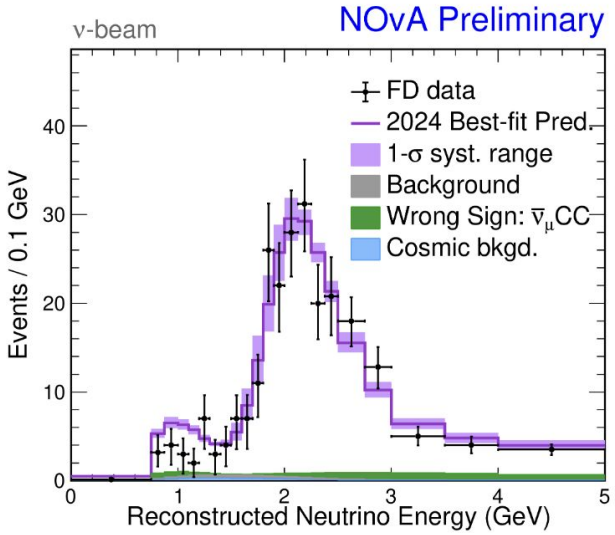
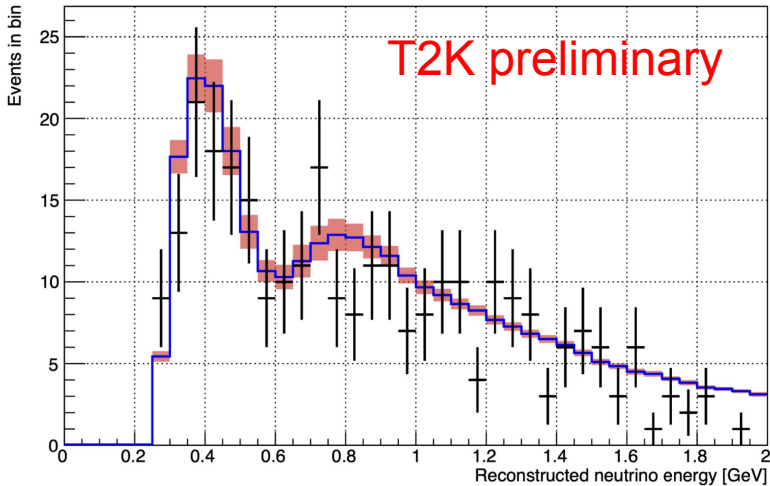
Our beam is one of the most intense in the world - *not necessarily true that dedicated experiments are more intense*

Decay volume is water cooled



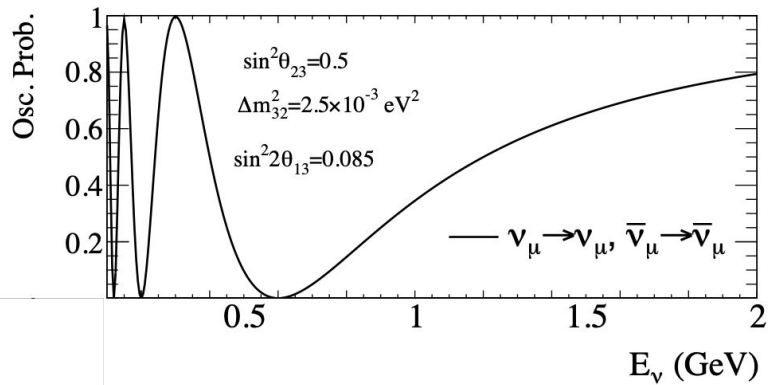
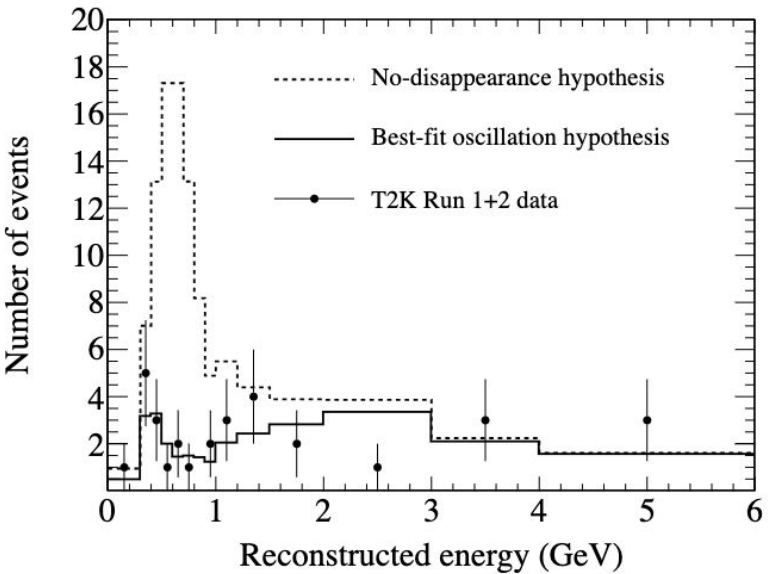
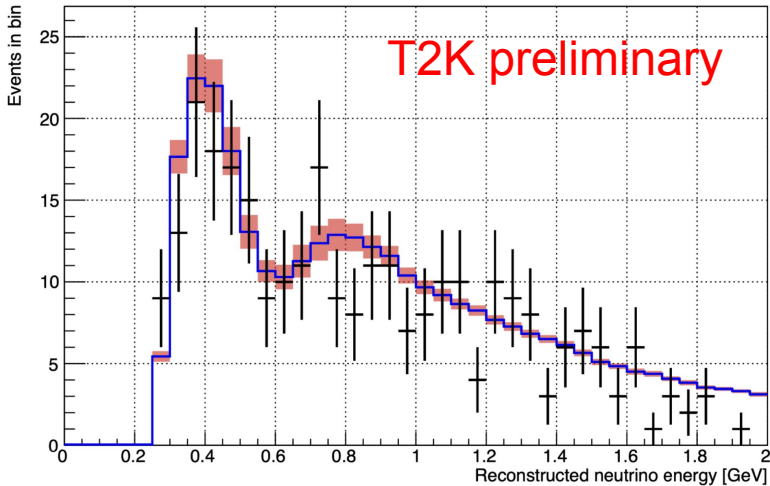
We also have a low density detector in a magnetic field - *excellent sign selection + particle separation helps for competitive limits*

Should these two things be the same? *Nope*



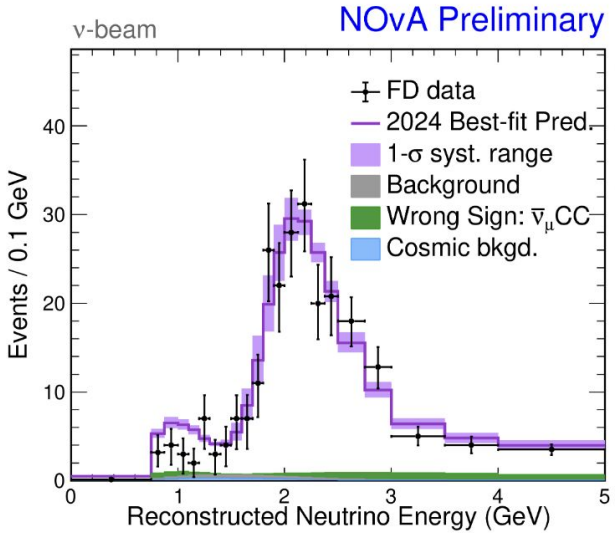
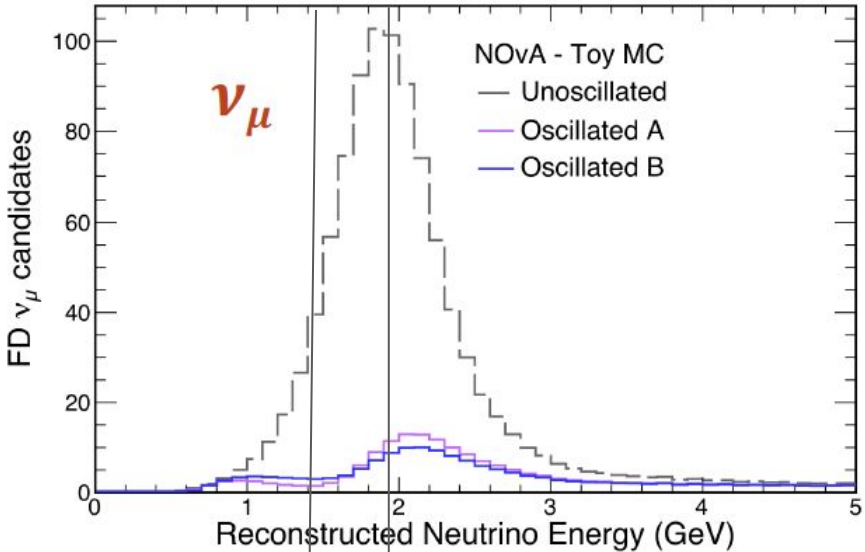
Different backgrounds, flux and cross section

Should these two things be the same? *Nope*



T2K peak flux is riiight on top of the oscillation peak - *didn't have to be that way*

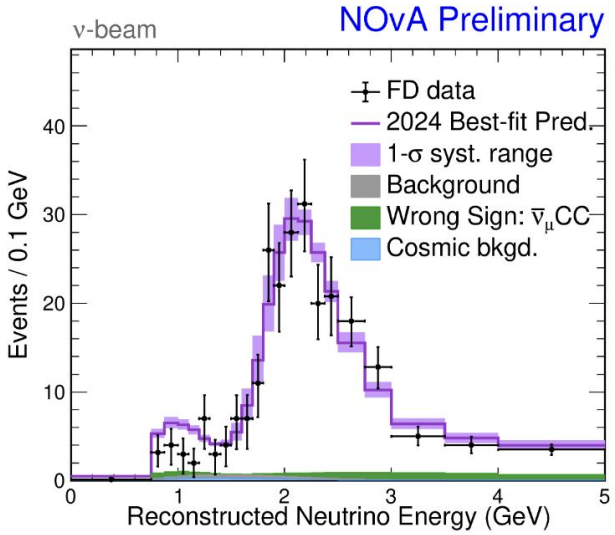
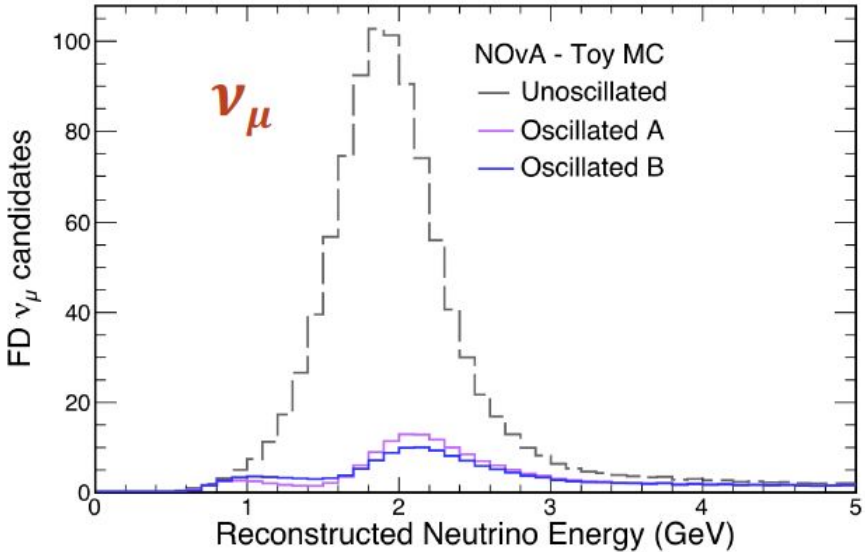
Should these two things be the same? *Nope*



Peak of flux for NOvA is different

Than the max disappearance value

Should these two things be the same? *Nope*



Cross section rises with energy, too, so enhanced rate at higher energies

The two experiments also have different energy estimators

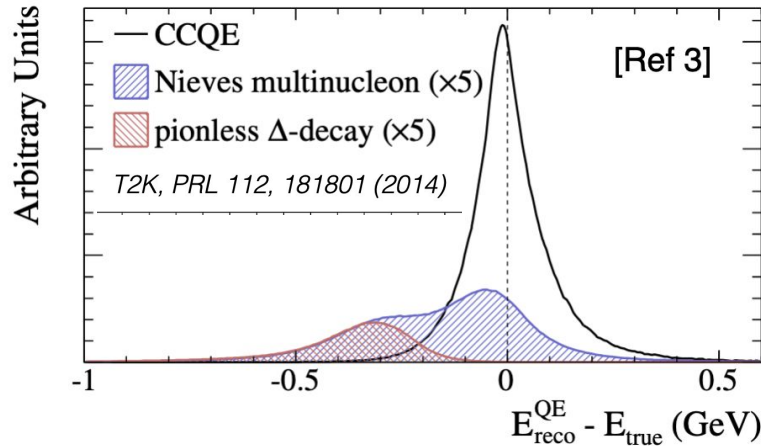
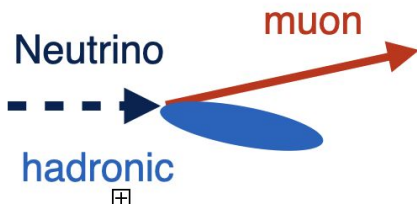
Energy estimators - *are also different*

- Oscillation depends on energy
 - Estimate from hadronic and/or leptonic information

$$E_{\nu}^{QE} = \frac{m_p^2 - m_n'^2 - m_{\mu}^2 + 2m_n' E_{\mu}}{2(m_n' - E_{\mu} + p_{\mu} \cos \theta_{\mu})}$$

$$E_{\nu} = E_{\mu} + \sum E_{hadronic}$$

- Nuclear effects bias true and estimated neutrino energy

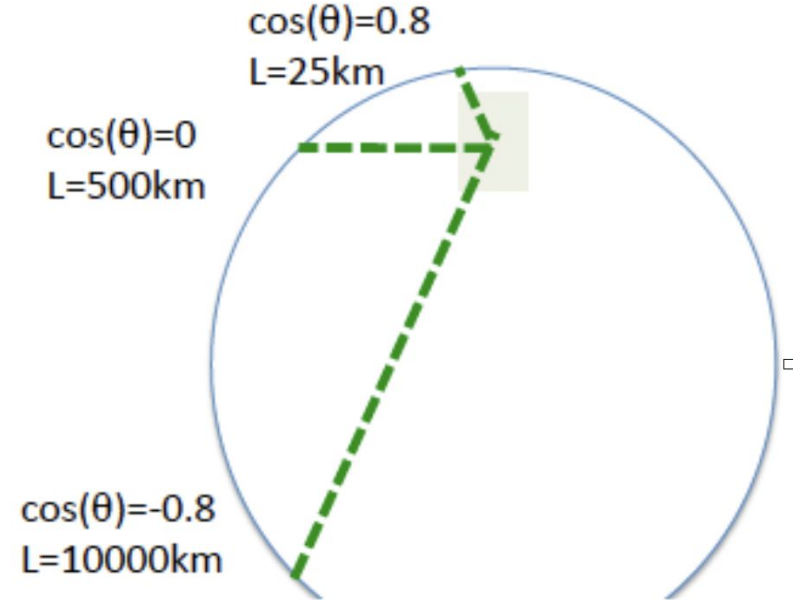
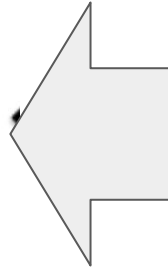
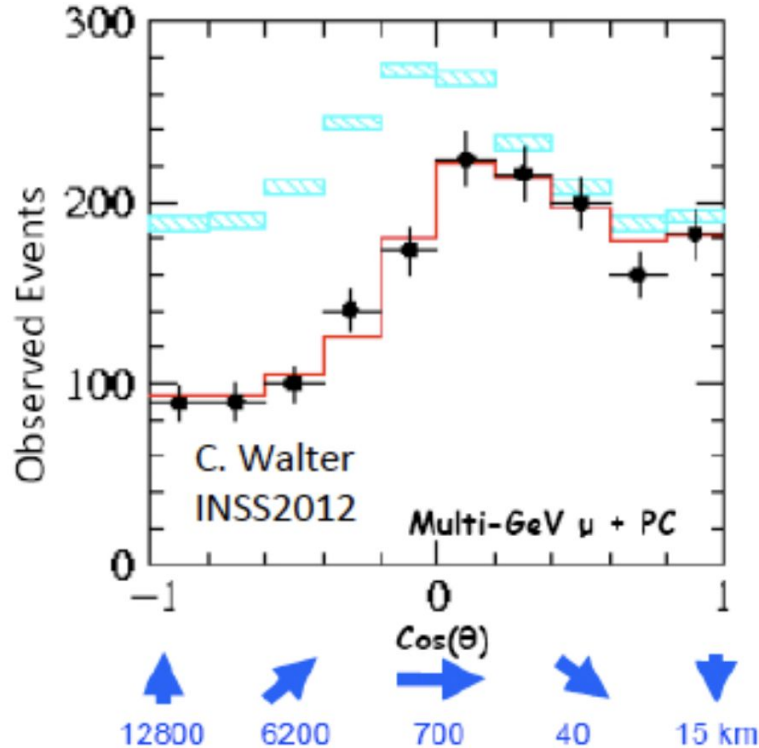


T2K uses E(QE)

NOvA uses E_{ν}
(w/ hadronic)

Why the rapid change near $\cos(\theta) = 0$?

Wild guess: baseline is changing rapidly for small angle change in detector \rightarrow big osc prob changes?



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Wild guess: baseline is changing rapidly for small angle change in detector \rightarrow big osc prob changes?

