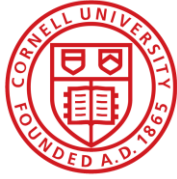


Cornell Laboratory for  
Accelerator-based Sciences  
and Education (CLASSE)

# Spin Polarized Photocathodes

Samuel Levenson and Chad Pennington  
Cold Copper Collider Workshop  
SLAC  
February 13, 2024

# Acknowledgements



Cornell University



U.S. DEPARTMENT OF  
**ENERGY**



National  
Science  
Foundation



The Center for  
**BRIGHT  
BEAMS**  
A National Science Foundation  
Science & Technology Center

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A.C. Bartnik



A. Galdi



L. Cultrera



S.J. Levenson

## Materials Scientists



D. Jena



H.G. Xing



J. Encomendero



V. V. Protasenko

Special thanks to J.K. Bae, B.A. Carmona, N. Otto, and M. A. Reamon

# Spin-Polarized Electron Beams

# Spin-Polarized Electron Beams

## Applications

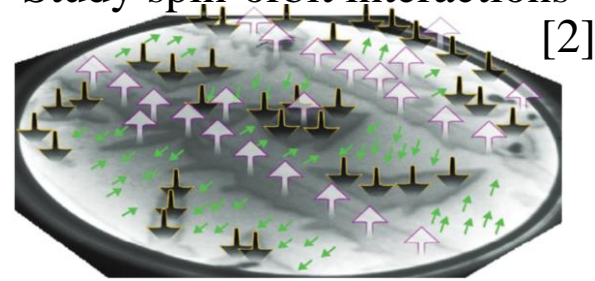
### Nuclear/HEP Physics

- Deep inelastic scattering
- Parity violating experiments
- Higgs Factory

$$\frac{1}{2} = \text{Spin of all Quarks} + \text{Spin of Gluons} + \text{Angular Momentum of all Quarks} + \text{Angular Momentum of Gluons} \quad [1]$$

### Electron Microscopy

- Image magnetic structure of surface
- Study spin-orbit interactions



1. D. Geesaman et al. The 2015 Long Range Plan for Nuclear Science.

2. El Gabaly et al. PRL 96, 147202 (2006)

# Spin-Polarized Electron Beams

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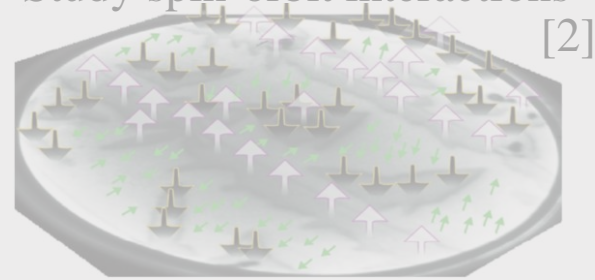
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## Goals for Sources

- >90% spin polarization
- Spin orientation reversibility
- mA-scale current delivery
- Low emittance and energy spread
- Long operational lifetime

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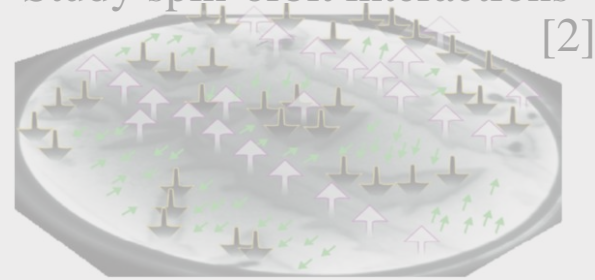
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$\frac{1}{2} =$   [1]

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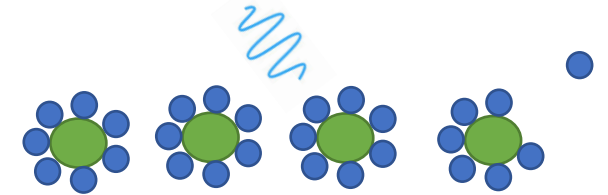
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Polarize alkali atoms (PEGGY) or use circularly polarized light (Fano)

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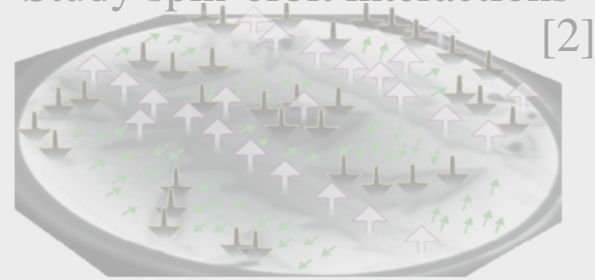
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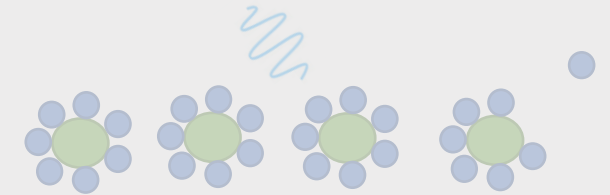
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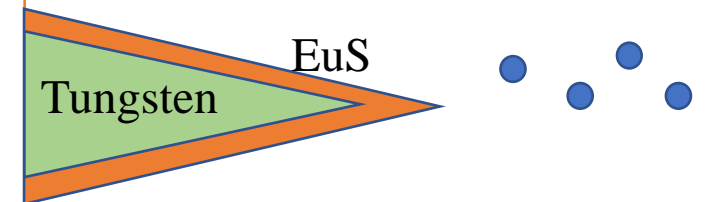


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### Field Emission/Spin Filter



W tip coated with EuS (spin filter) ferromagnetic thin film

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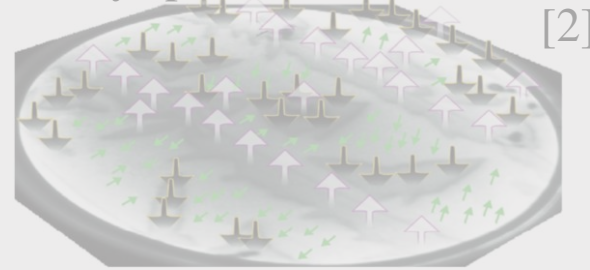
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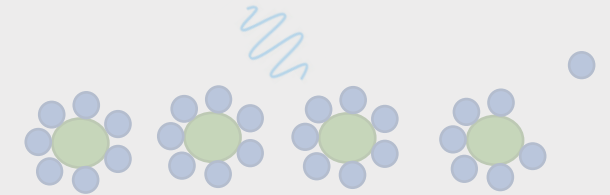
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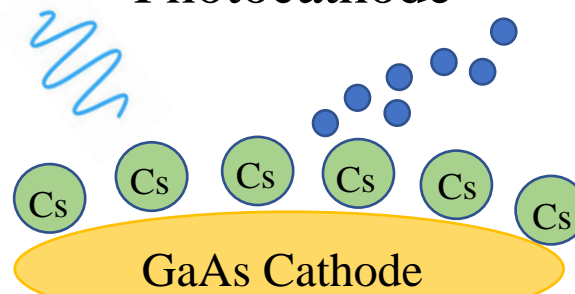


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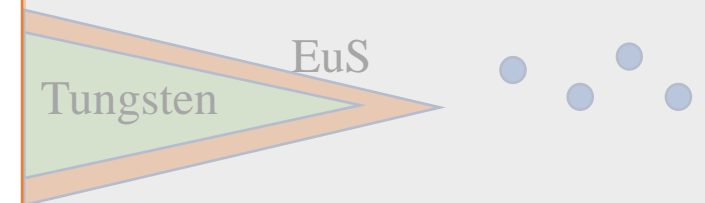
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### Photocathode



Cs:GaAs photocathode excited with circularly polarized light

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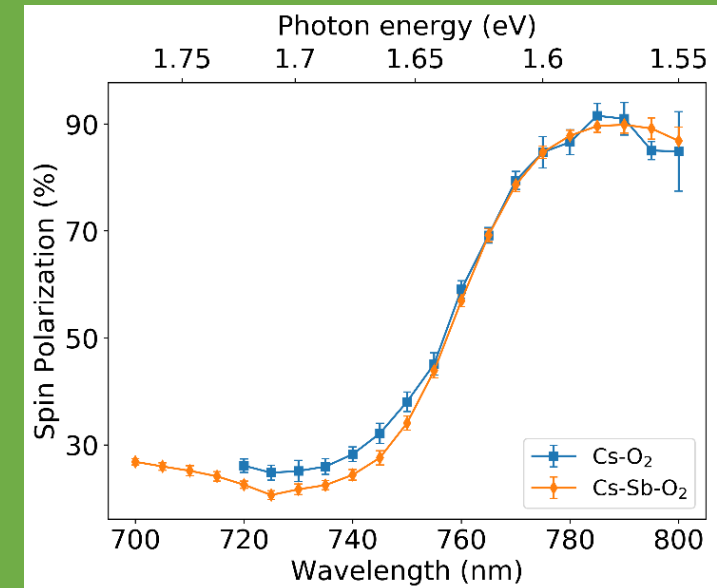
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# GaAs Photocathodes

- ✓ >90% polarizations achievable with strained GaAs
- ✓ 52 mA record current (un-polarized)
- ✓ <150 meV energy spread
- ✓ High quantum efficiencies (QE)
- ✓ Commercially available

Strained GaAs has achieved  
>90% spin polarizations

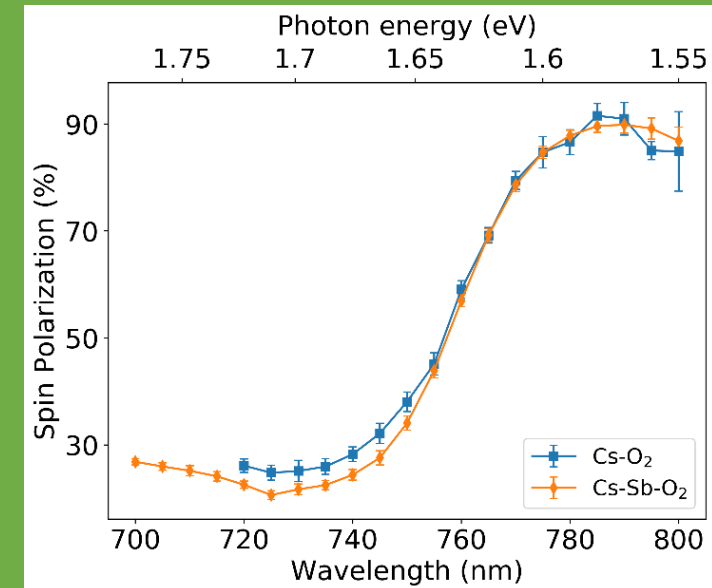


Journal of Applied Physics. 127, 124901 (2020)

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Since the 1980s, GaAs photocathodes have been the state-of-the-art spin-polarized electron source, used at facilities all over the world.

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UNIVERSITÄT MAINZ

Jefferson Lab

ELSA

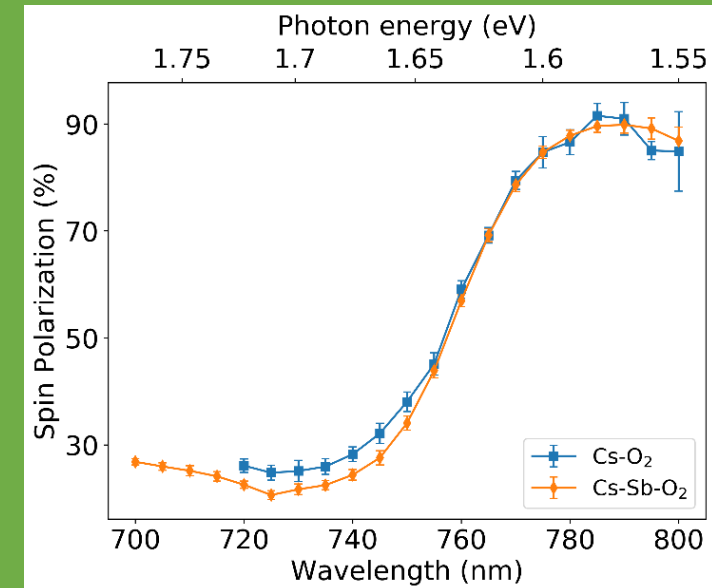
Brookhaven  
National Laboratory

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- ✗ Needs Cs layer, making the cathode unstable, sensitive and short-lived

Strained GaAs has achieved >90% spin polarizations



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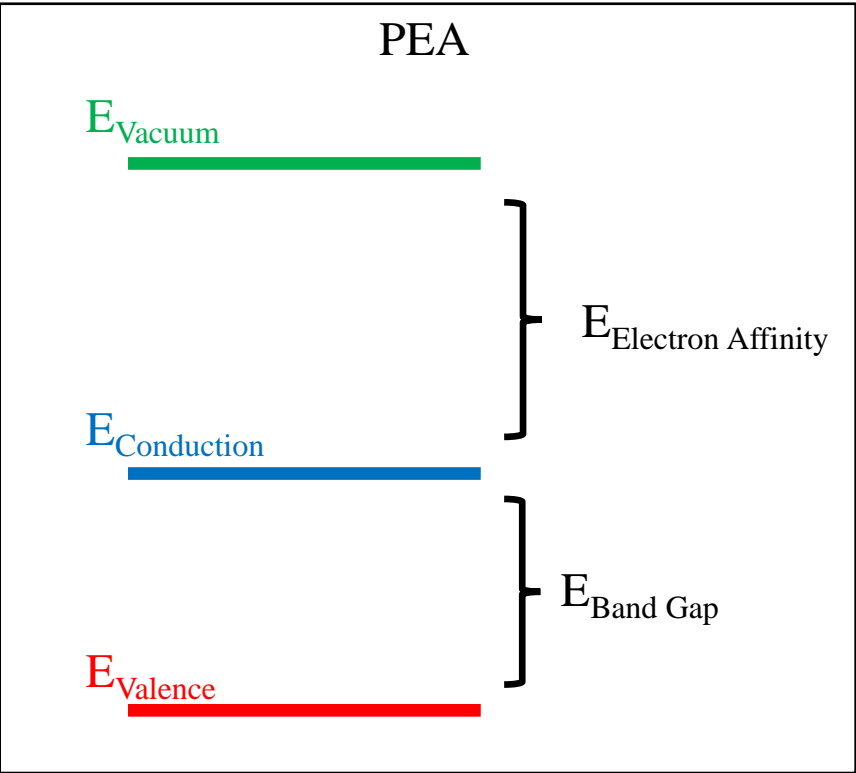
Jefferson Lab

ELSA

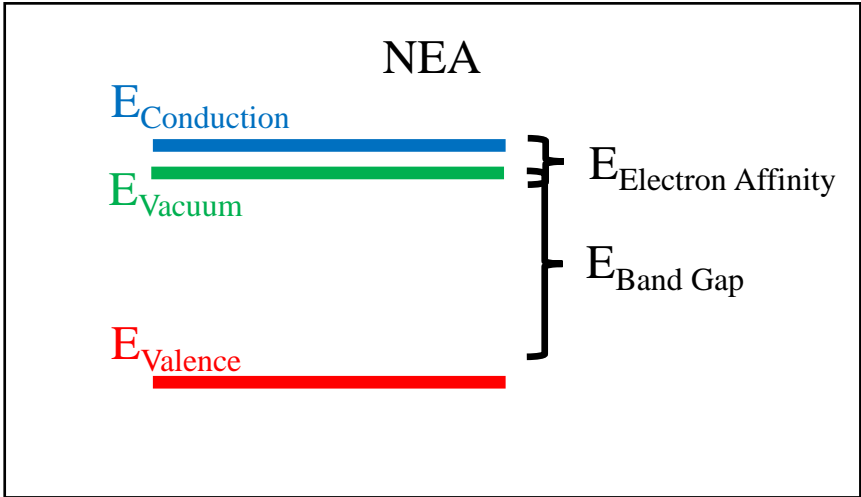
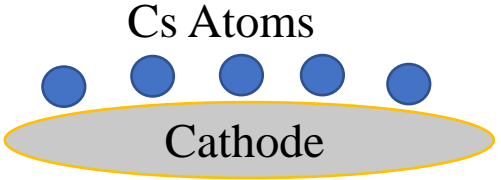
Brookhaven  
National Laboratory

Brookhaven  
National Laboratory

# Top of Valence Band Photoemission



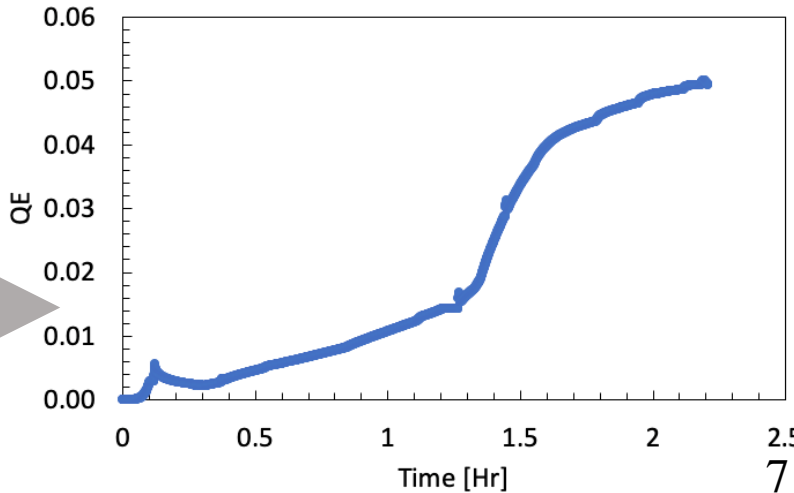
Electropositive metal, like cesium, forms a strong dipole layer that lowers the vacuum level



- NEA Cathodes  $E_{\text{Band Gap}}$ :
- GaAs\* = 1.42 eV
  - GaN = 3.2 eV (C), 3.4 eV (H)

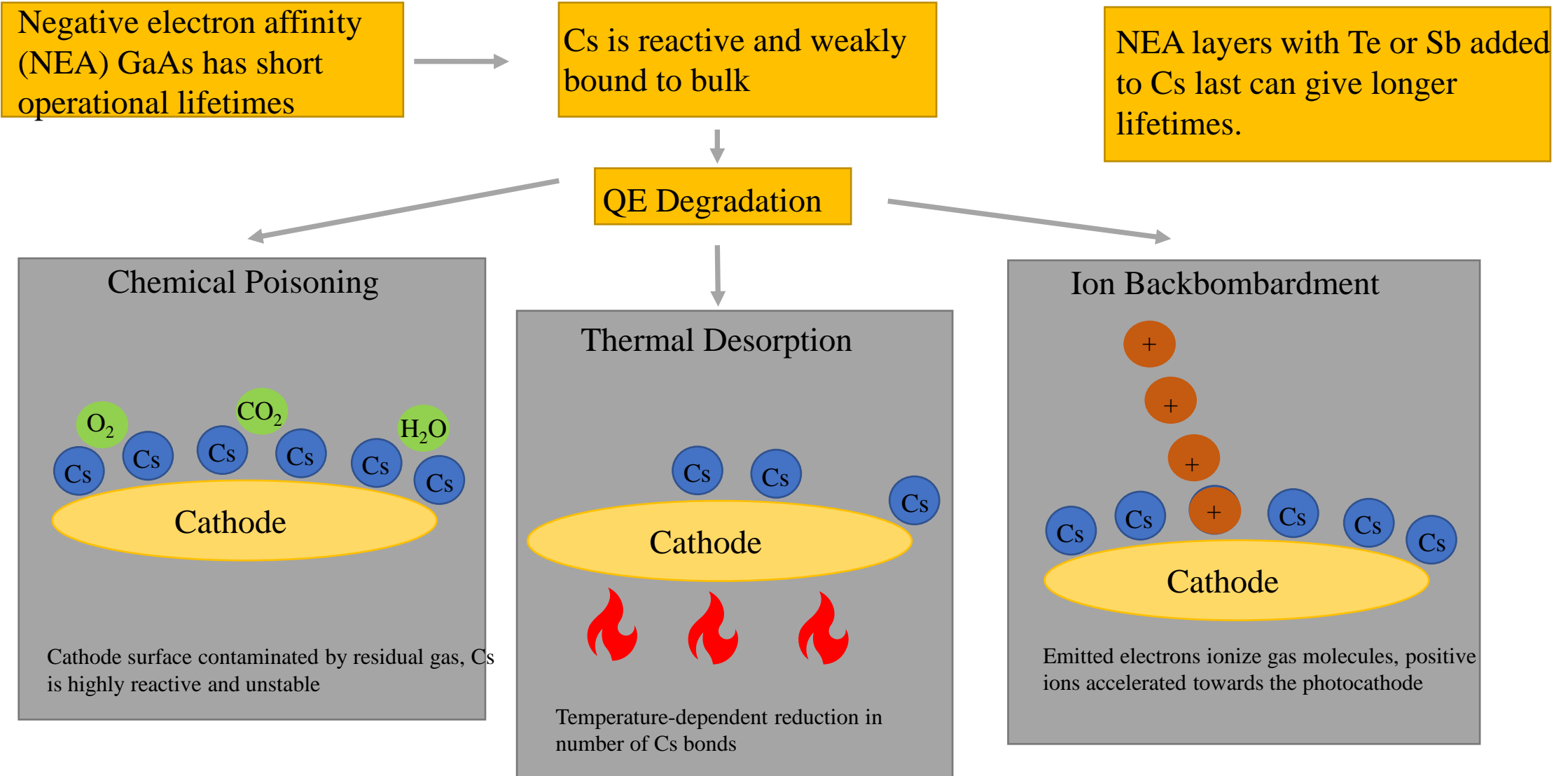
$$\text{Workfunction} = E_{\text{Band Gap}} + E_{\text{Electron Affinity}}$$

$$QE(\lambda) = \frac{\# \text{ electrons out}}{\# \text{ photons in}}$$



\*Also requires O<sub>2</sub> for NEA

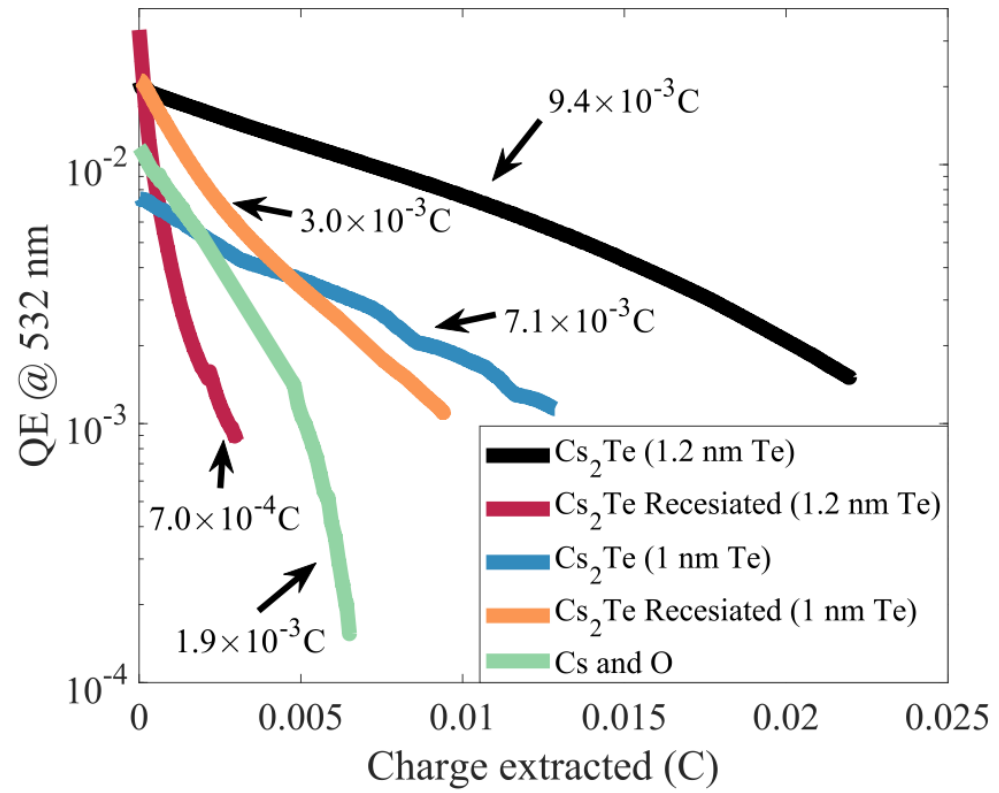
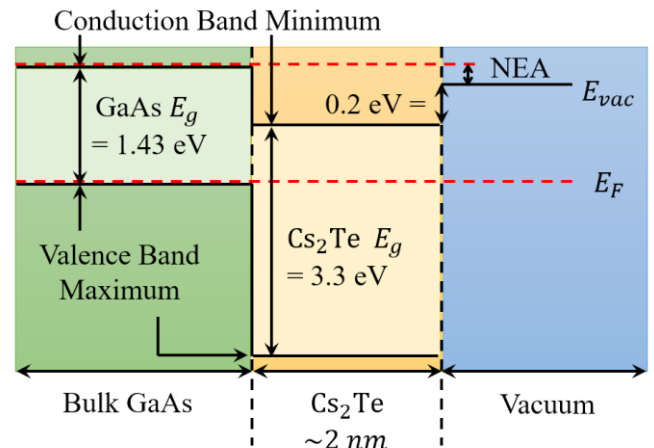
- GaAs requires activation to achieve Negative Electron Affinity (NEA) – polarized electrons can then be emitted from the bottom of the conduction band.
- Depositing a layer of Cs or Cs-O is the traditional method of activation.





# Alternative NEA Coatings: Cs-Te

Can use Cs-Te to activate to NEA



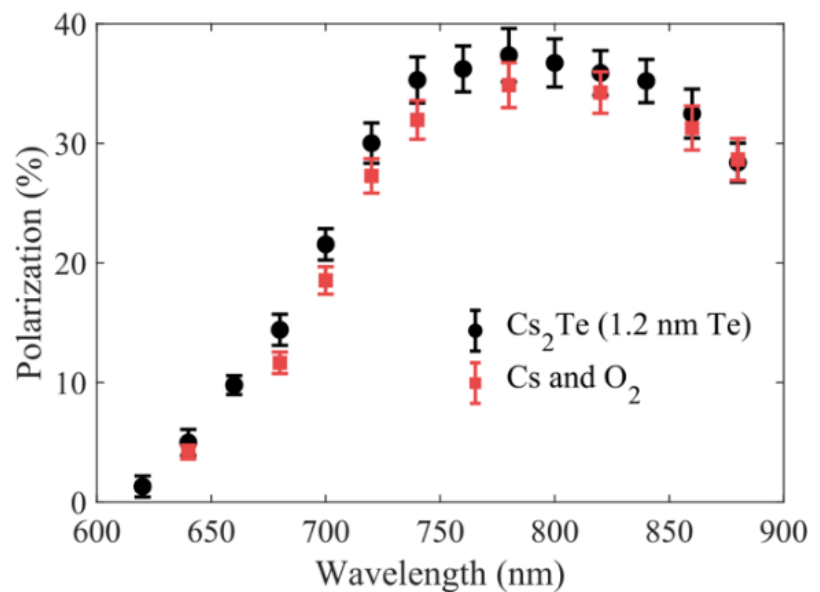
GaAs activated with conventional Cs-O, then with Cs<sub>2</sub>Te

Cs<sub>2</sub>Te activation gave a 5x improvement in charge extraction lifetime as opposed to Cs-O activation



Cornell University

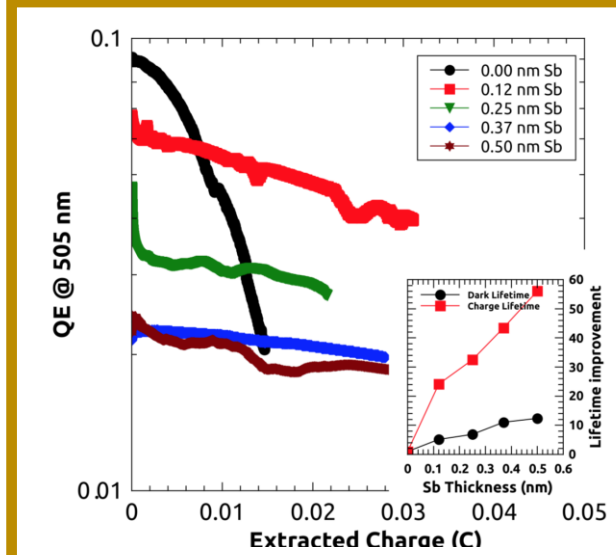
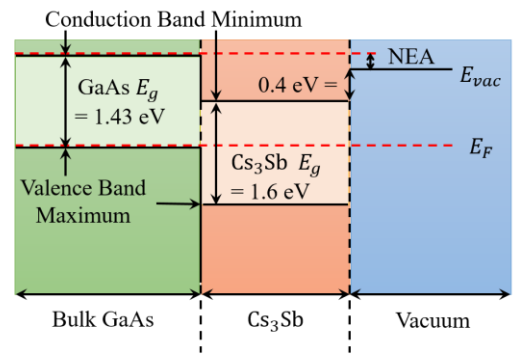
Spin polarization unchanged →



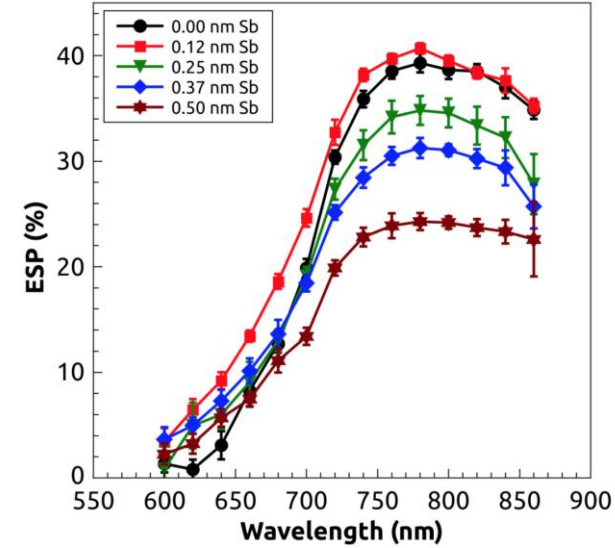
J. K. Bae, L. Cultrera, P. Digiacoimo and I. Bazarov, *Appl. Phys. Lett.*, 2018, **112**, 1–6.

# Alternative NEA Coatings: Cs-Sb-O

Can use Cs-Sb-O to activate to NEA



GaAs activated with varying Cs-Sb thicknesses



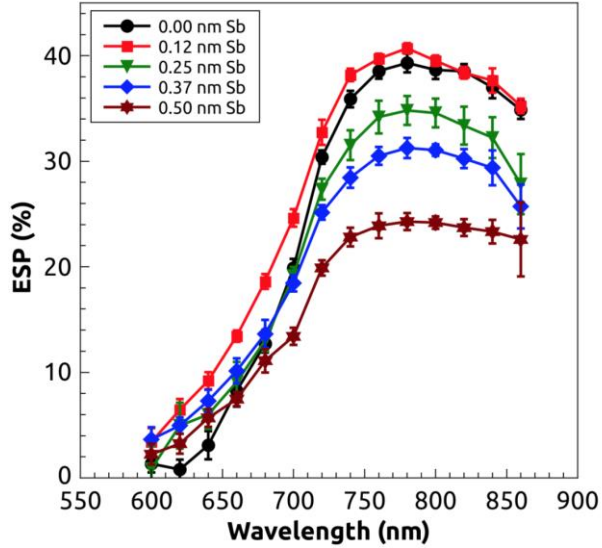
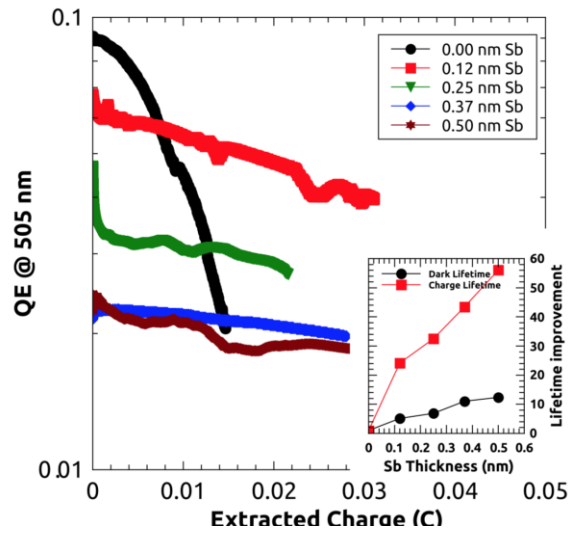
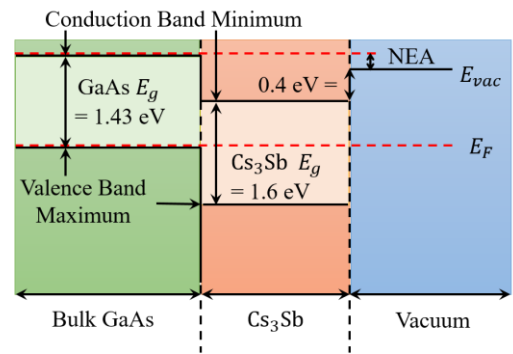
Tradeoffs with QE, lifetime and spin polarization



L. Cultrera, A. Galdi, J. K. Bae, F. Ikponmwun, J. Maxson and I. Bazarov, *Phys. Rev. Accel. Beams*, 2020, 23, 23401.

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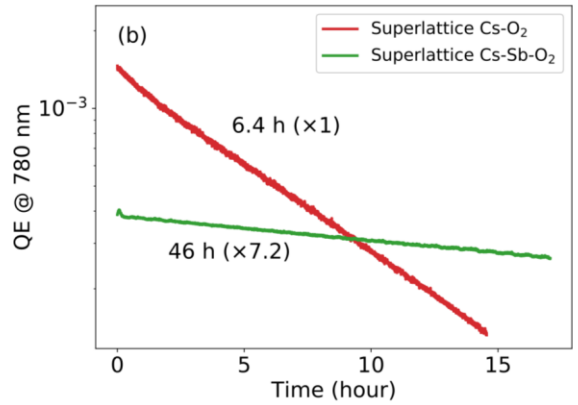
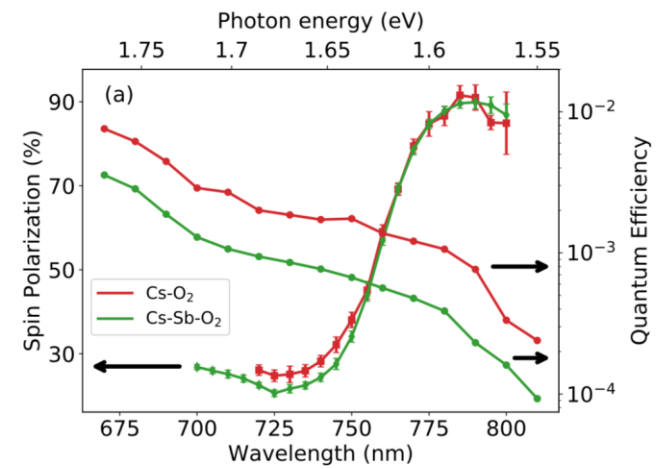
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GaAs activated with varying Cs-Sb thicknesses

Tradeoffs with QE, lifetime and spin polarization

Superlattice GaAs activated with Cs-Sb-O

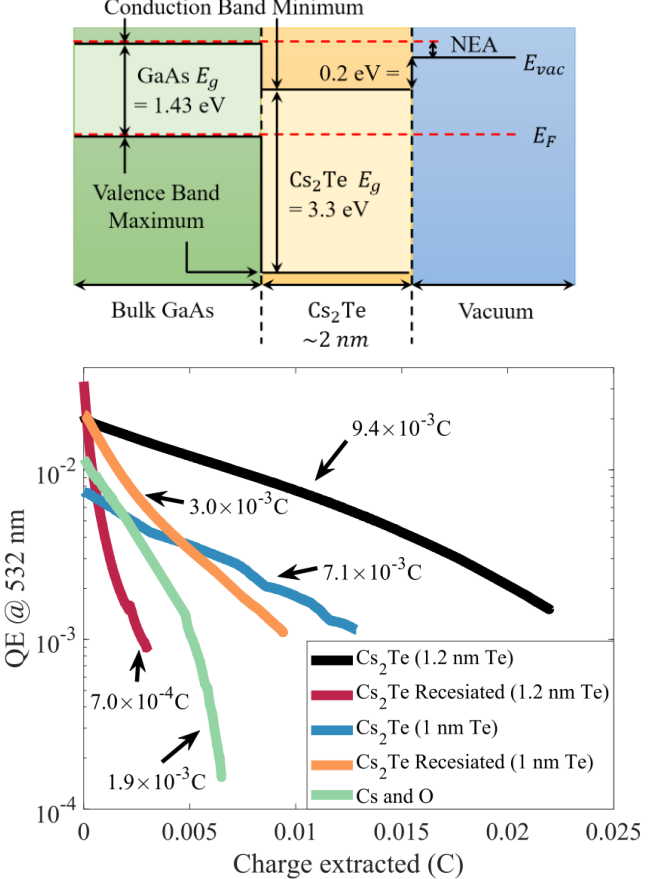


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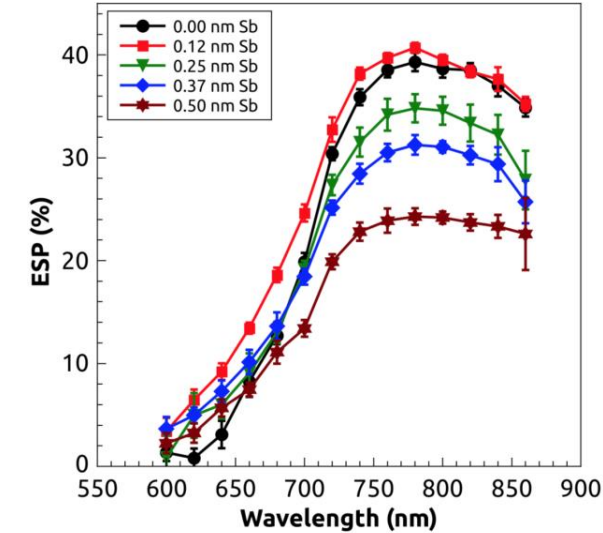
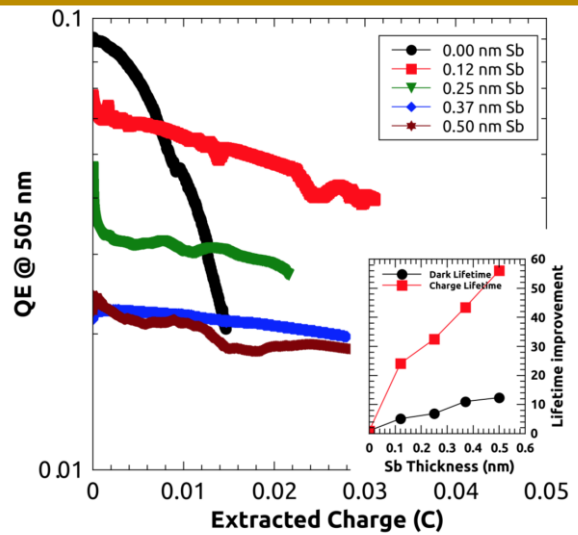
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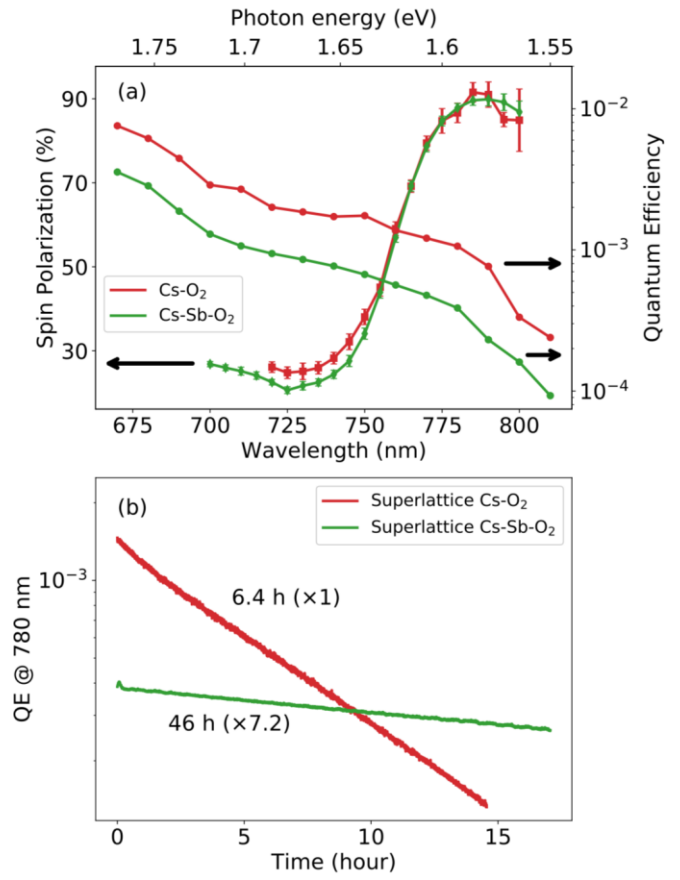


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# Beyond GaAs: New Materials

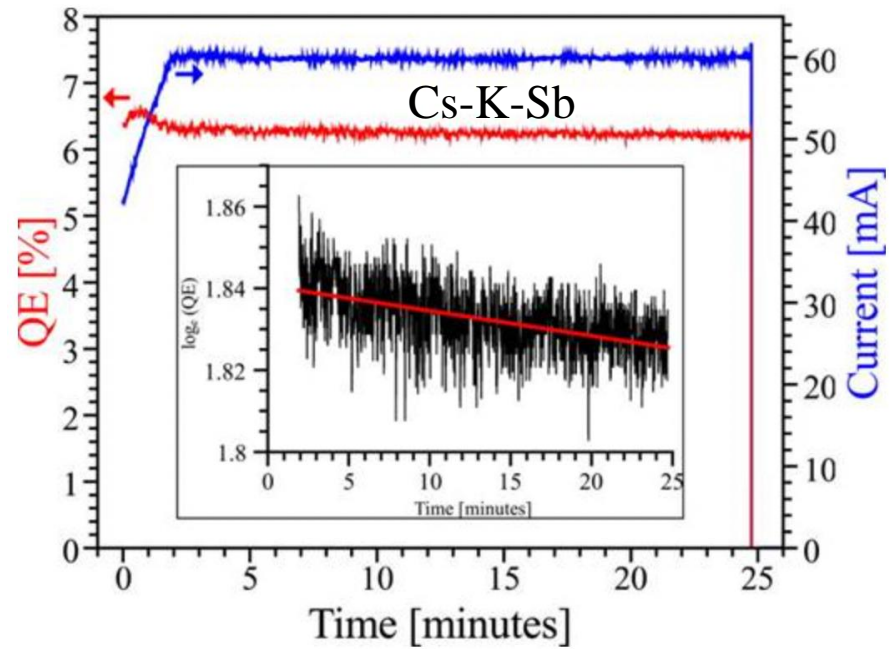
- Is it possible we can find an alternative to GaAs that isn't so sensitive?
- Two examples being studied:



# Beyond GaAs: New Materials

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Alkali Antimonides: A family of robust bulk visible light photoemitters

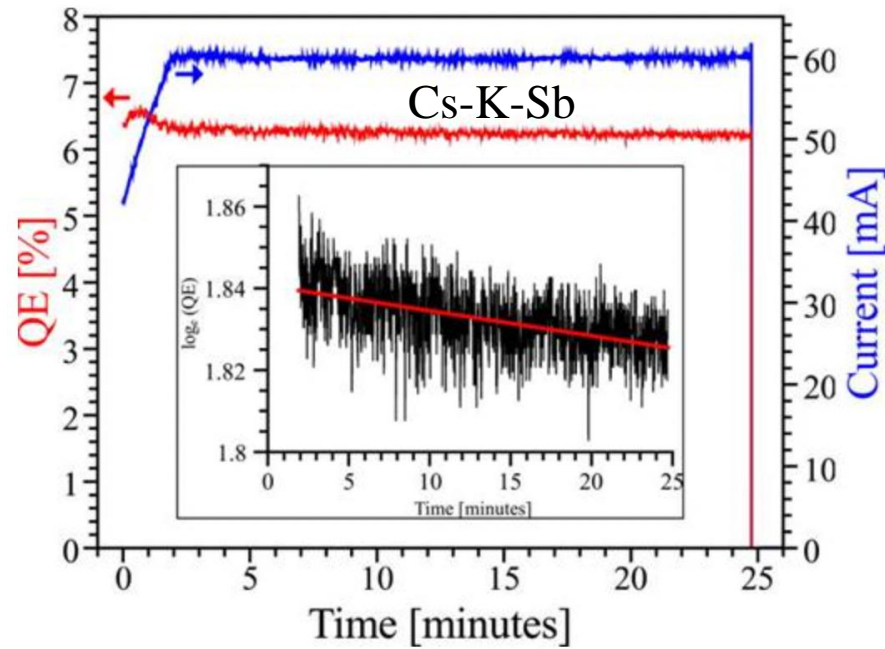


B. Dunham et al. Appl. Phys. Lett. 102, 034105 (2013)

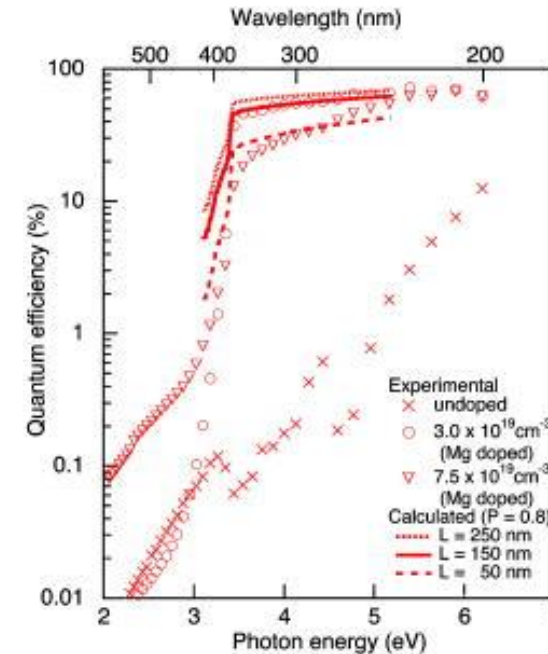
# Beyond GaAs: New Materials

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Alkali Antimonides: A family of robust bulk visible light photoemitters



GaN: Wide Bandgap analog of GaAs



B. Dunham et al. Appl. Phys. Lett. 102, 034105 (2013)

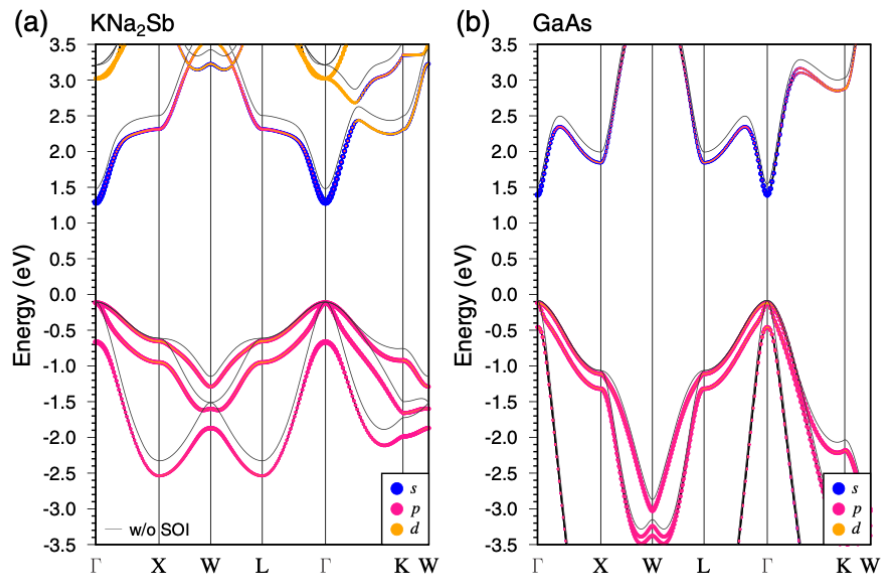
S. Uchiyama et al. Appl. Phys. Lett. 86, 103511 (2005)

# Alkali Antimonides for polarized photoemission

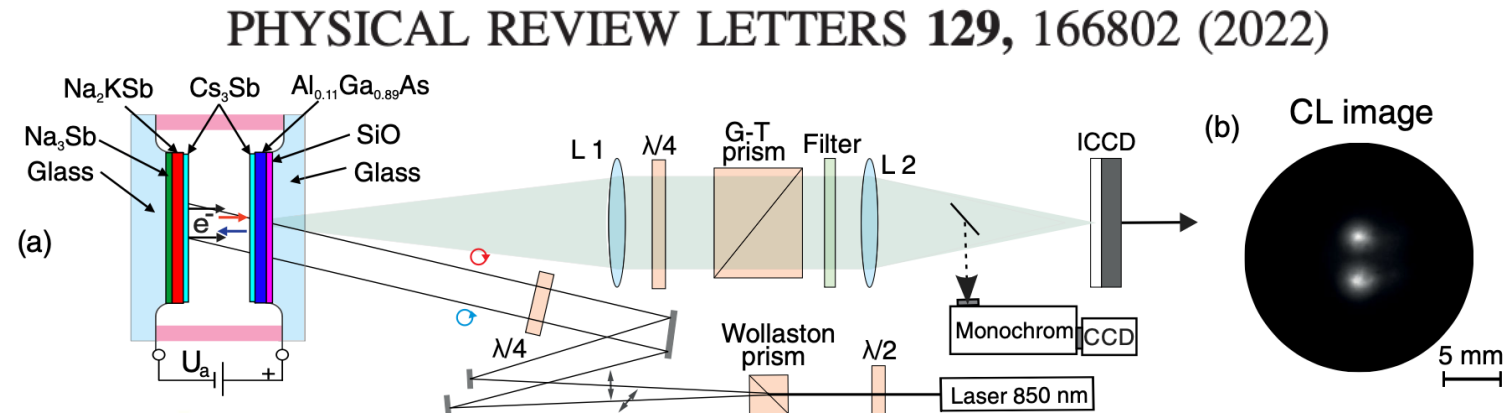
- Alkali antimonides have cubic crystal structure, are visible light photoemitters, and generally more rugged than GaAs.
- Problem: All alkali antimonides used in accelerators to date have been polycrystalline (at best)---No hope of nano-engineering.

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- Problem: All alkali antimonides used in accelerators to date have been polycrystalline (at best)---No hope of nano-engineering.
- However, recent measurement suggests polarized photoemission is possible:



Band Structure very similar to GaAs...



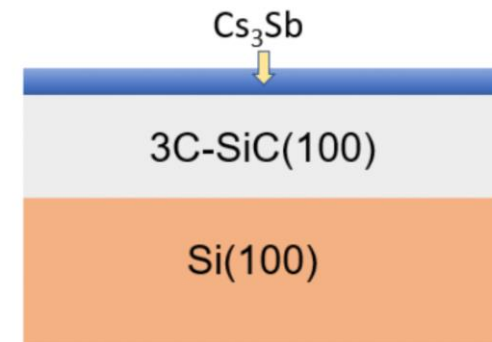
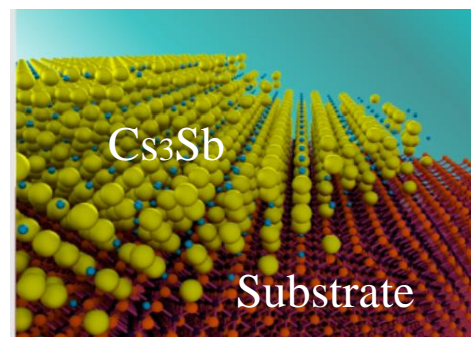
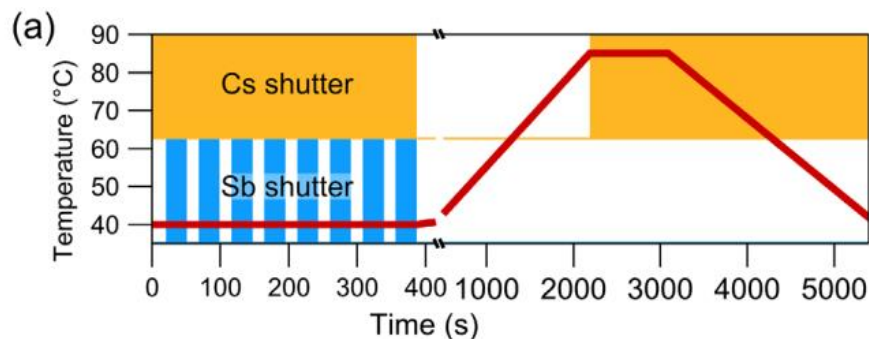
Polarization inferred from degree of circular polarization of cathodoluminescence (CL). Observed similar levels of CL polarization as unstrained GaAs---- polarized electrons!

Very promising result! Future study should include direct polarization measurement (eg. Mott scattering).

# Single-Crystal Alkali Antimonides

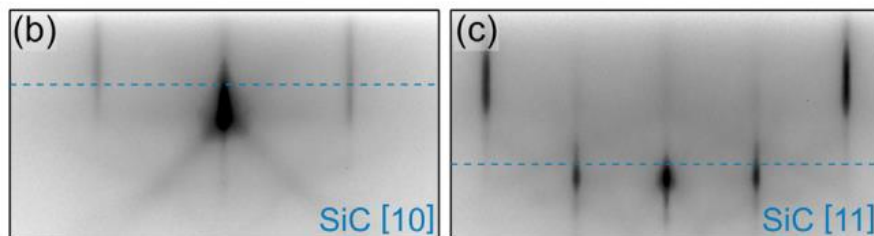
- Atomically Ordered growth of alkali antimonides: paves the way for nanolayering.

PHYSICAL REVIEW LETTERS **128**, 114801 (2022)



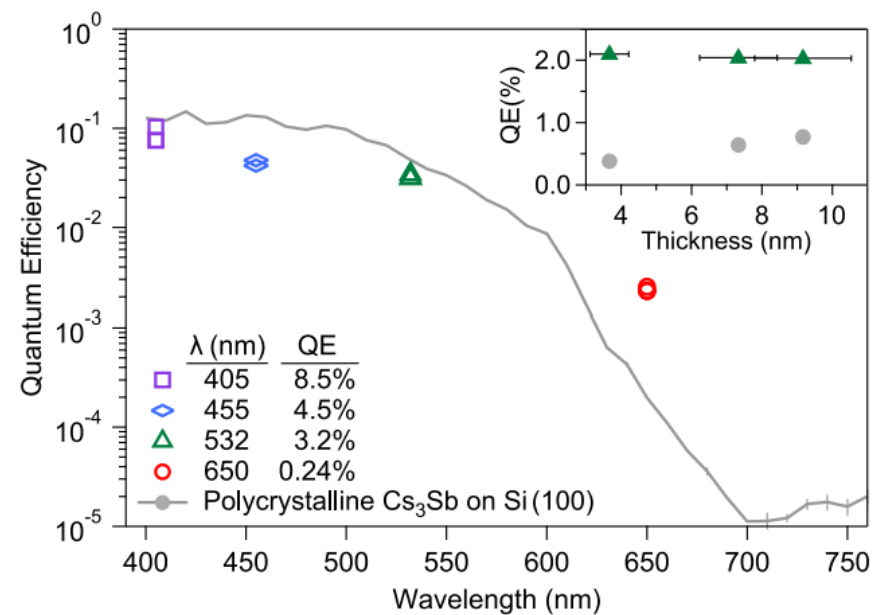
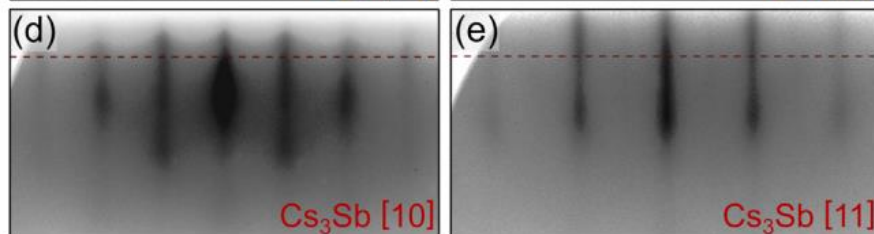
RHEED Images:

Substrate: 3C-SiC->



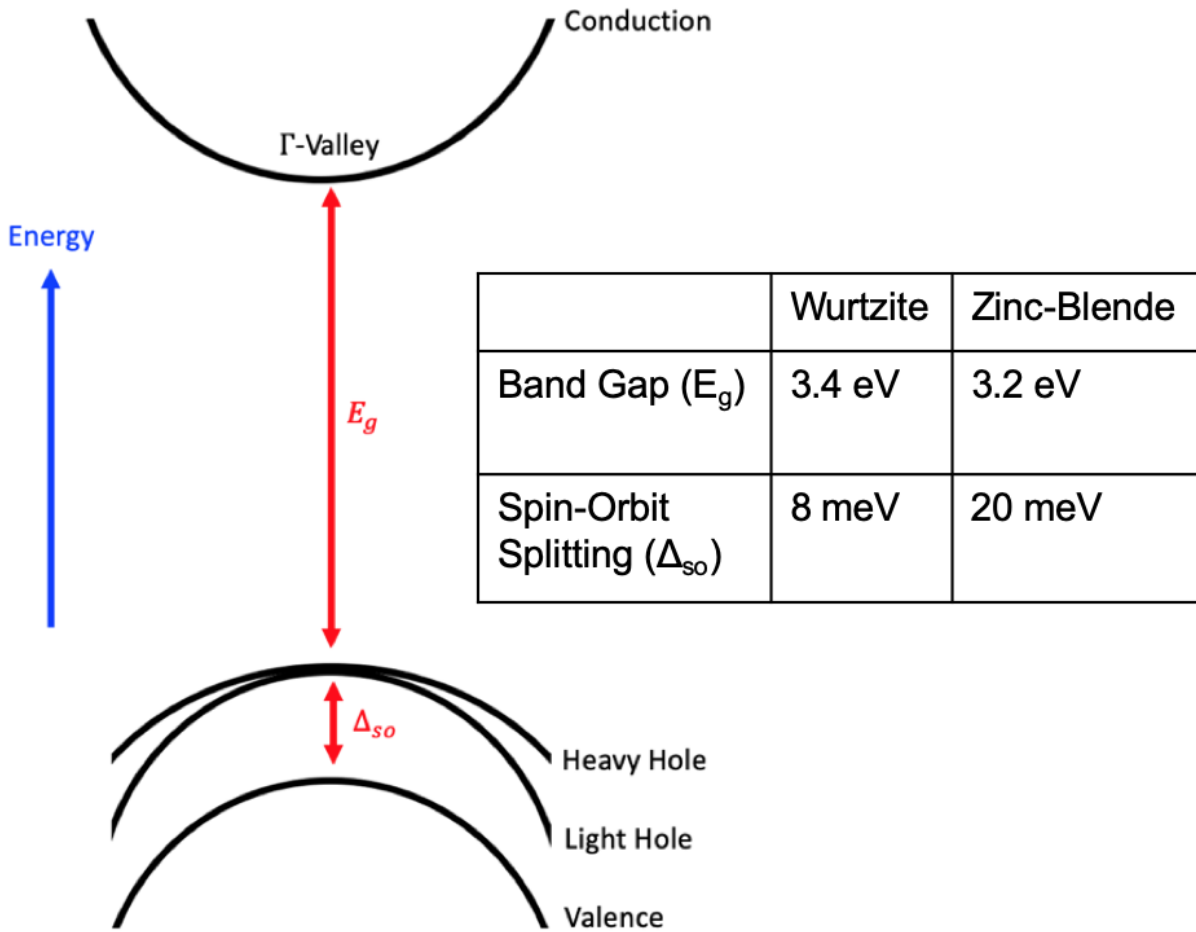
Photocathode ->

Cs<sub>3</sub>Sb

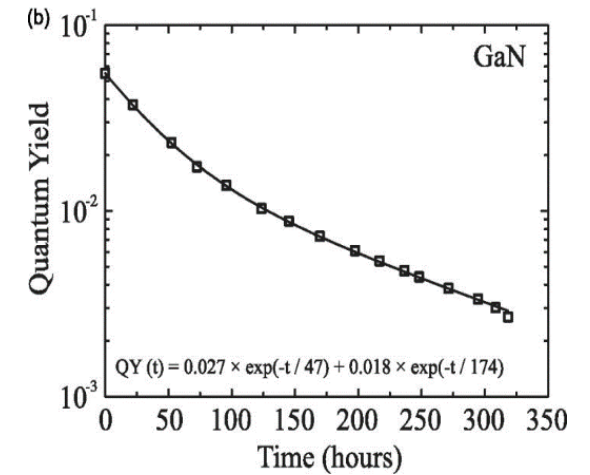
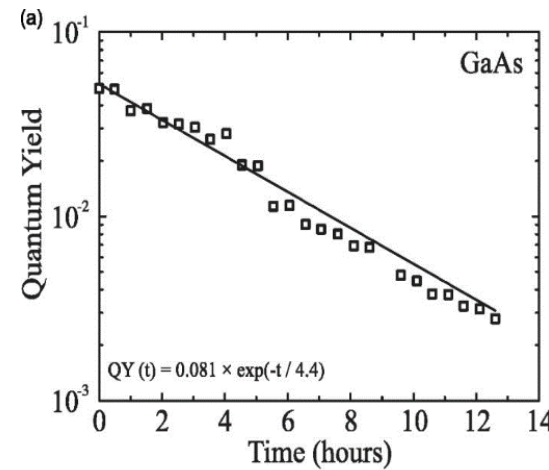




# GaN as a Spin-Polarized Source



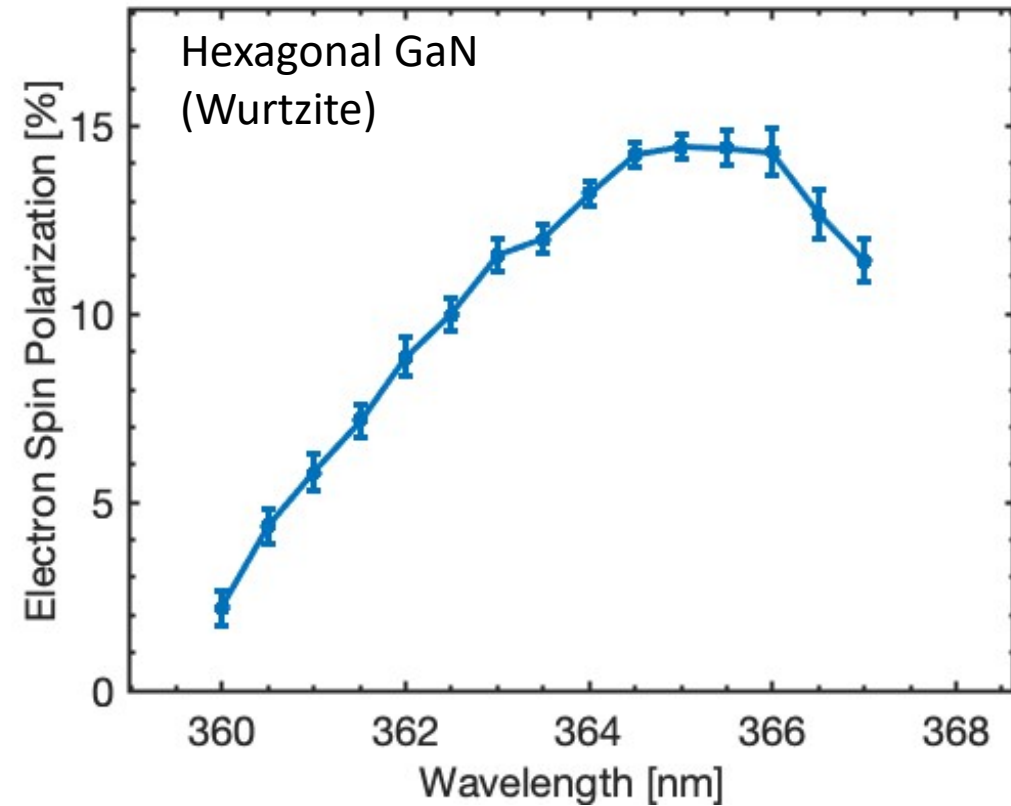
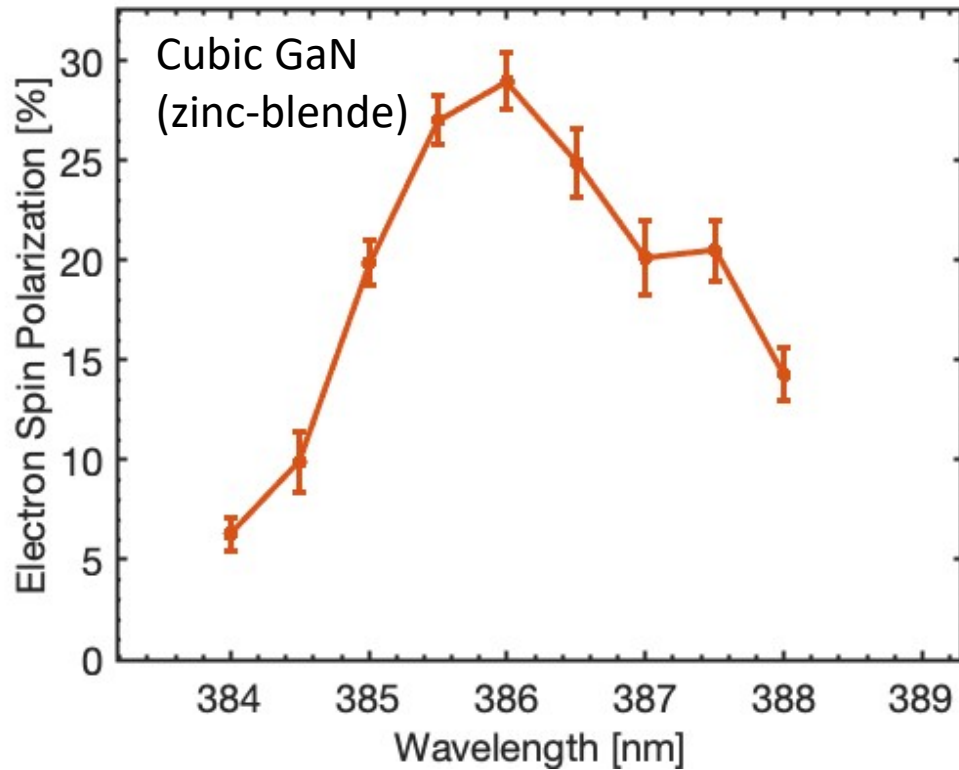
- ✓ Wurtzite or Zinc-Blende orientation
- ✓ >50% QE achievable with W-pGaN
- ✓ Comparable spin relaxation time to GaAs (~5 ns)
- ✓ Could be strained for high polarization
- ✓ 3.2 eV band gap is conveniently at the second harmonic of Ti:Sapphire
- ✓ Less Cs to get to NEA → stronger bond to Cs?
- ✓ NEA with Cs only



GaAs for comparison:  $E_g = 1.42$  eV,  $\Delta_{so} = 0.34$  eV

# First results with GaN

	Cubic	Hexagonal
Band Gap	3.2 eV	3.39 eV
SO Split	20 meV	8 meV
Spin Relaxation Time	2 ns	50 ps



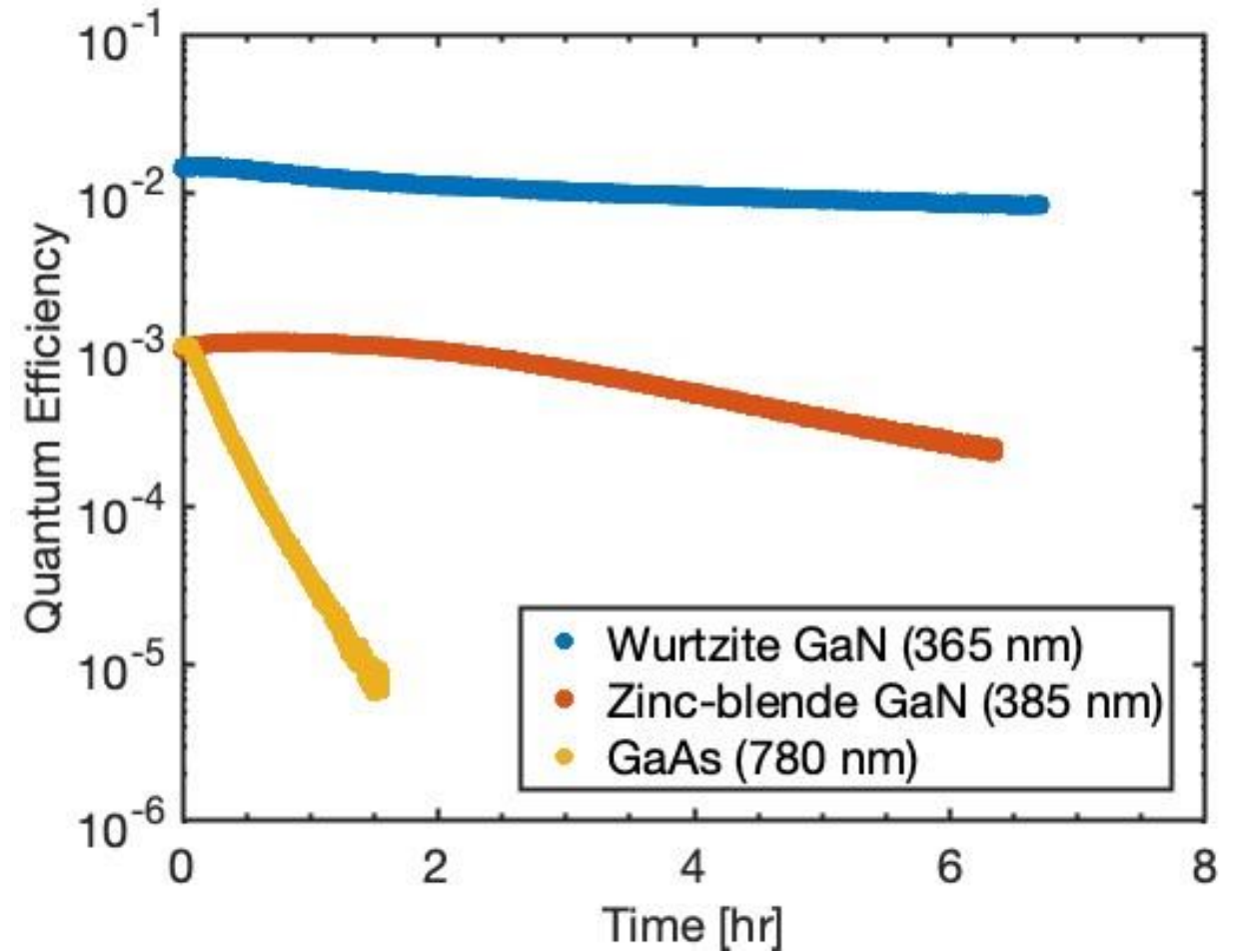
# GaN Photocathode Lifetime

Lifetimes monitored at respective photon energy corresponding to emission threshold

GaAs activated in same chamber, monitored at 780 nm for reference

ZB-GaN's threshold lifetime was 15 times longer than GaAs's

W-GaN's threshold lifetime was 38 times longer than GaAs's



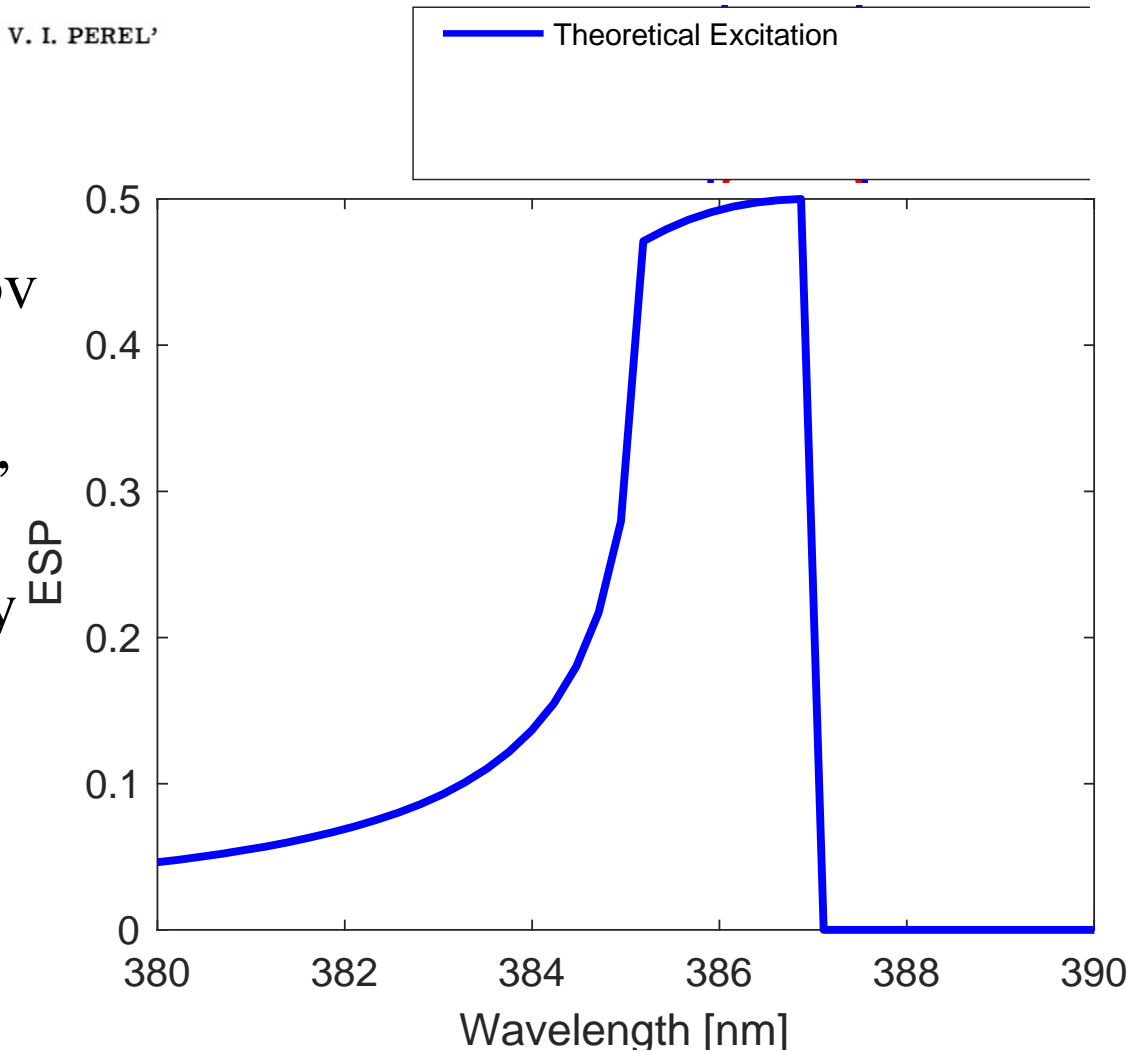
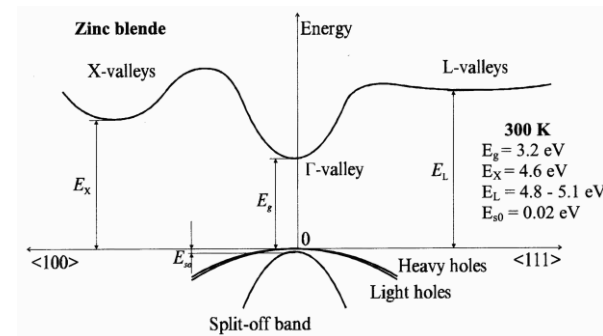
# Model

## SPIN ORIENTATION OF ELECTRONS ASSOCIATED WITH THE INTERBAND ABSORPTION OF LIGHT IN SEMICONDUCTORS

M. I. D'YAKONOV and V. I. PEREL'

- Initial excited spin polarization from cubic GaN approximated with model by D'yakonov and Perel
- Excited distribution peaks at 50% maximum, as expected
- Mixing of split-off band with light and heavy hole bands

$$P_o = \frac{\sum_n P_o^{(n)} K_n}{\sum_n K_n}$$



# Model

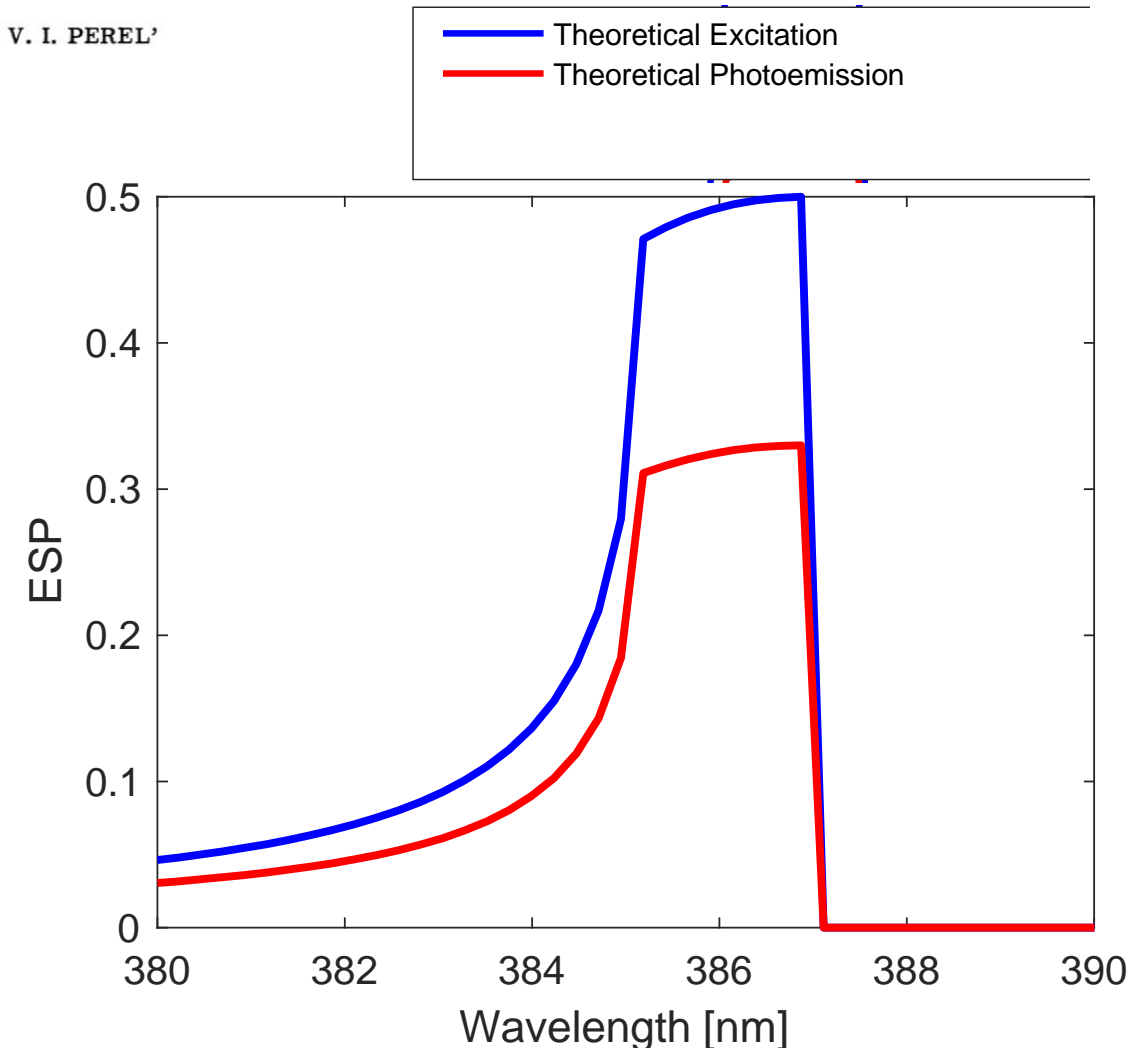
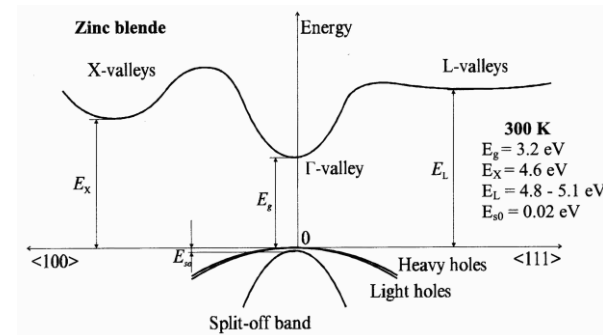
## SPIN ORIENTATION OF ELECTRONS ASSOCIATED WITH THE INTERBAND ABSORPTION OF LIGHT IN SEMICONDUCTORS

M. I. D'YAKONOV and V. I. PEREL'

Scale model to approximate depolarization effects

Peak (measurement maximum) at 33%, on par with that of GaAs

Note that the peak was scaled according to a fit with our data!





# Model

Since the spin-orbit splitting is so small, need to consider measuring spectrum with incident light spectra

Calculate effective polarization based on the light bandwidth

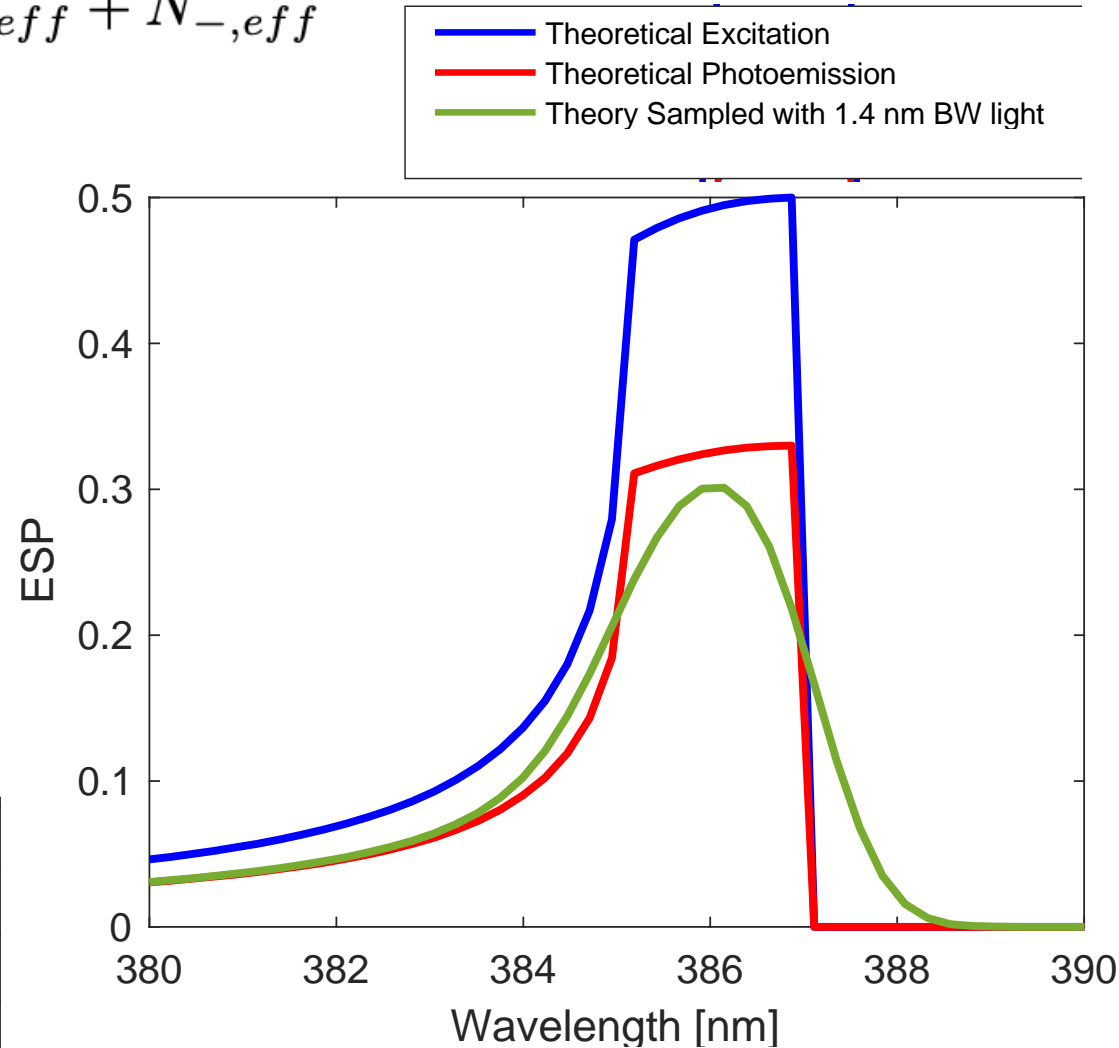
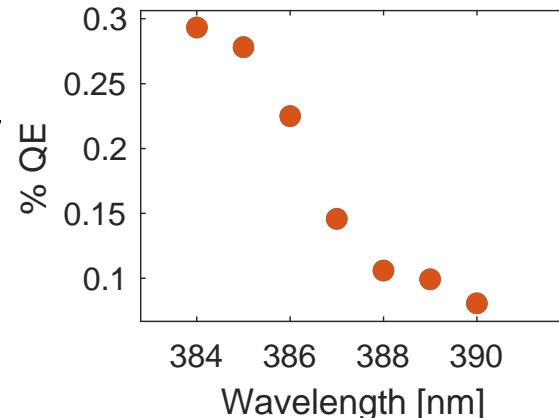
$$P_{eff} = \frac{N_{+,eff} - N_{-,eff}}{N_{+,eff} + N_{-,eff}}$$

$$N_{\pm}(\lambda, B) = \int I(\lambda', \lambda, B) N_{\pm}(\lambda') QE(\lambda') d\lambda'$$

Gaussian light spectrum with variable bandwidth (B) and center at lambda

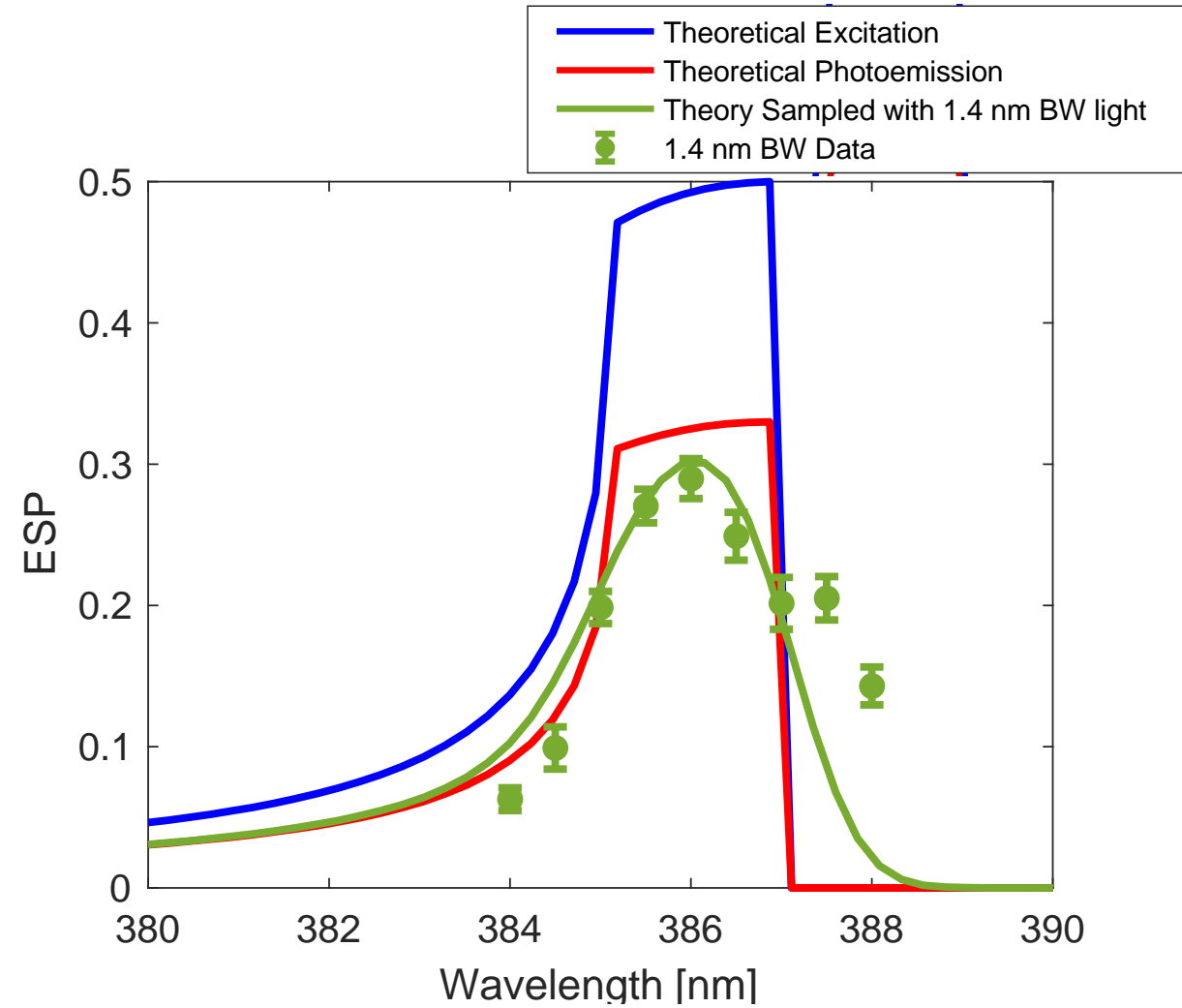
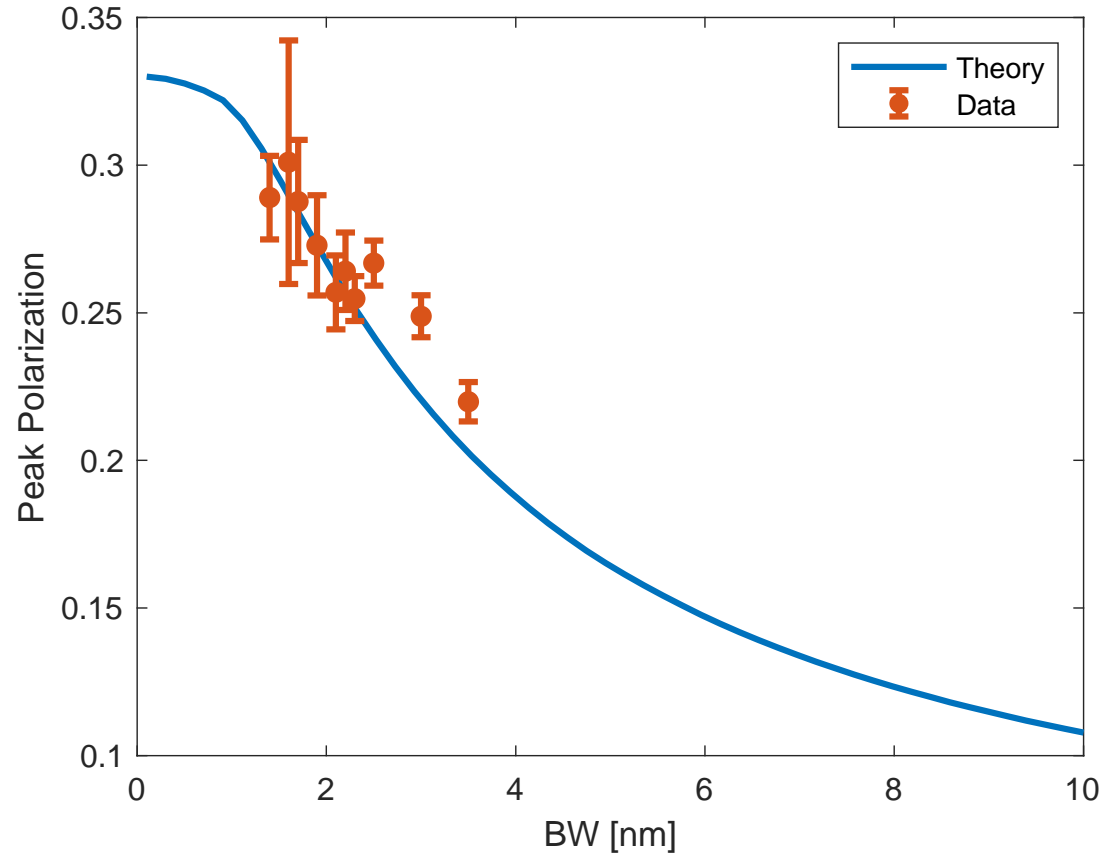
$$P_e(\lambda) = \frac{N_+(\lambda) - N_-(\lambda)}{N_+(\lambda) + N_-(\lambda)}$$

$$N_+ + N_- = 1$$



# Model

Measurements confirm bandwidth limit



Our 1.4 nm bandwidth measurements may be close to the theoretical maximum.

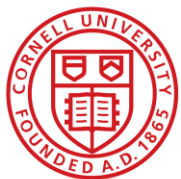
# Conclusion

Many developments in NEA activation layers have produced longer-lasting GaAs photocathodes



Advances in new materials (GaN, single crystal alkali antimonides) may provide a path towards more robust spin-polarized sources.

Thank you for your attention!



Cornell University



U.S. DEPARTMENT OF  
**ENERGY**



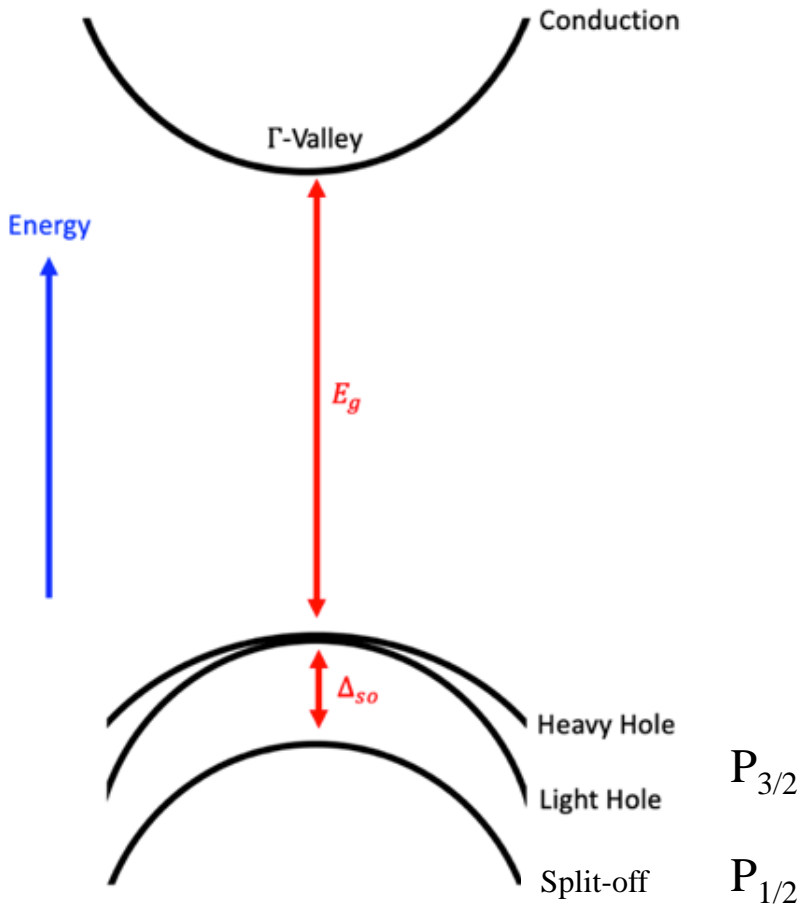
National  
Science  
Foundation



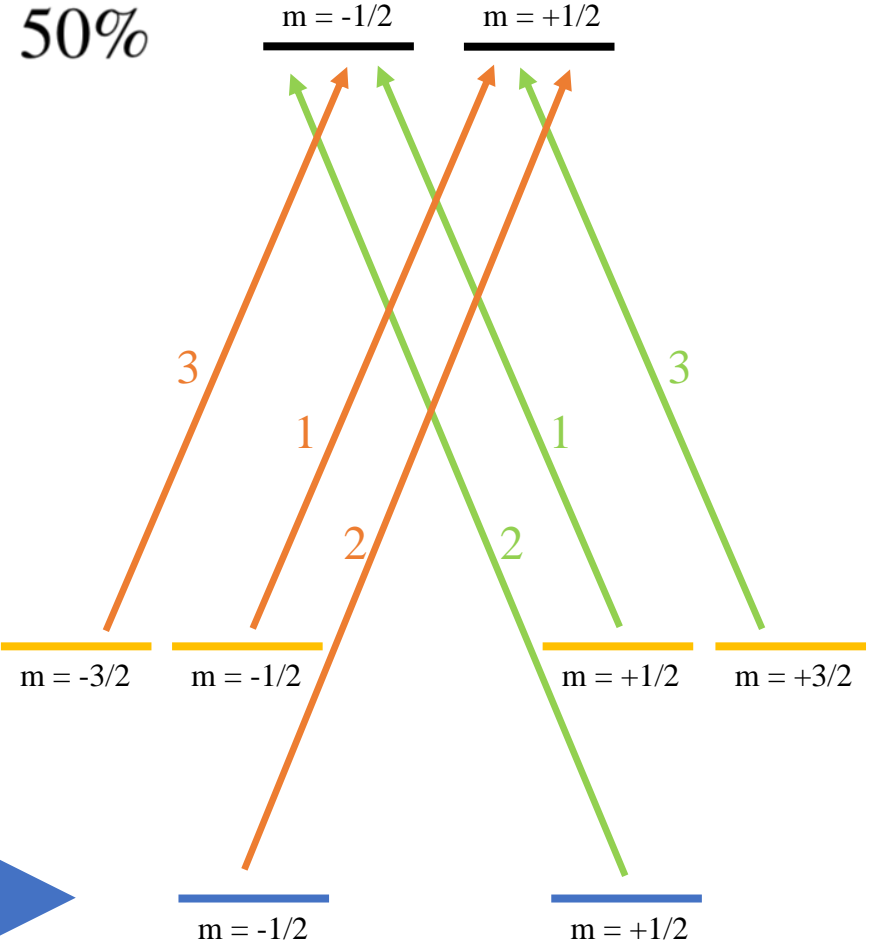
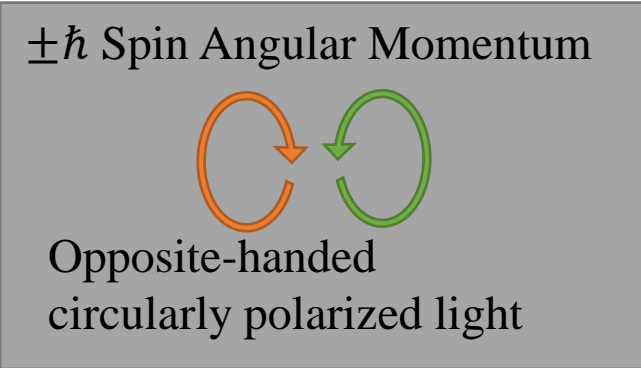
The Center for  
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BEAMS**  
A National Science Foundation  
Science & Technology Center

# Bonus Slides

# Spin Polarized Photoemission



$$P = \frac{N_{\uparrow} - N_{\downarrow}}{N_{\uparrow} + N_{\downarrow}} = \frac{3 - 1}{3 + 1} = 50\%$$



+1 in orange  
-1 in green