Scalable, End-to-end, ML-Based Reconstruction Chain in LArTPCs

ICARUS Machine Learning Workshop, CSU

François Drielsma, Laura Dominé, Yeon-Jae Jwa, Dae Heun Koh, Kazu Terao (SLAC)



The modern Particle Imaging Detector



LArTPC are at the center stage of **beam** *v* **physics** in the US

Short Baseline Neutrino program

• µBooNE, ICARUS, SBND

DUNE long-baseline experiment

- Wire: DUNE FD
- Pixel: DUNE ND-LAr

Advantages:

- **Detailed:** O(1) mm resolution, precise calorimetry
- Scalable: Up to tens of kt

Case study: Detector

The largest LArTPC in operation is ICARUS

- Surface-level detector
- **500 t** fiducial mass (2 cryos, 4 TPCs)
- Physics: sterile neutrinos (MiniBooNE / Neutrino-4), cross sections, BSM

Event rates

- BNB beam: ~ 0.03 Hz neutrinos
- NuMI off-axis: ~ 0.015 Hz neutrinos
- In-time cosmic activity: ~ 0.25 Hz

Low-rate neutrino experiment with a significant cosmic background



Case study: Datasets

Generic simulated dataset used for optimization and testing:

- Isotropic mix of 1 set of particles sharing a vertex + 5-9 localized single particles
 - **Covers phase-space** of neutrino interactions + cosmics, but...
 - \circ ... stays agnostic to physics \rightarrow unbiased



Case study: Datasets

Generic simulated dataset used for optimization and testing:

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Specific datasets used for validation:

• Simulated **BNB** v_u and **BNB** v_e + hand-scanned data events (C. Farnese et al.)





Reconstruction in LArTPCs

Challenges with LAr

Dense medium \rightarrow Slow

Electron drift velocity O(1) mm/µs

- Long (O(1) ms) readout window
- Need light association for timing



$\textbf{High Z material} \rightarrow \textbf{Messy}$

Argon has a large nucleus (Z=18)

- Complicated nuclear physics
- Secondary interactions



Group Composition

ML Working group in ICARUS lead by F. Drielsma and K. Terao

- Do physics with ML-based LArTPC reco. chain in ICARUS
- LArTPC experts: T. Usher (SLAC), M. Mooney (CSU)
- ML experts: L. Dominé, D.H. Koh, Y-J. Jwa (SLAC)
- Analysts: A. Mogan, D. Carber, J. Dyer, L. Kashur, J. Mueller (CSU, ICARUS), B. Carlson (UF, SBND)





F. Drielsma

K. Terao



Approach

The ML-based reconstruction chain is simpler in 3D space

Tomographic reco. is necessary to use the ML-based chain on Wire LArTPCs

Approach

The tomographic reconstruction is broken down into three steps

- A. Cluster3D (T. Usher) finds valid combinations of hits across 2 or 3 planes
- B. A CNN identifies and removes artifacts of the reconstruction (deghosting)
- C. Hit charge is redistributed to remaining space points



Cluster3D (T. Usher)

In the case of wire LArTPCs, we have a set of 2D hits in each of 3 projections:

- $ullet \ \{h_{p,i}=(t_{p,i},w_{p,i})\}_{i\in[0,n_p-1]}, \ \ p=0,1,2$
 - $\circ ~~ t_{p,i}~~$ is measured along a common axis, $\mathbf{e}_t = \mathbf{e}_x$
 - $\circ \; w_{p,i}$ is measured along $\mathbf{e}_p = \lambda \mathbf{e}_y + \kappa \mathbf{e}_z$

Cluster3D is a traditional algorithm which combines hits are compatible:

- Find pairs of hits, $(h_{p,i}, h_{q,j})$, which is compatible in time: $|t_{p,i} t_{q,j}| < \Box$
- Form a doublet candidate space point, \mathbf{x}_{ii} , where the two wires intersect
- If a hit in the third plane, $h_{r,k'}$ is compatible with \mathbf{x}_{ii} , form a triplet \mathbf{x}_{iik}



Cluster3D (T. Usher)

Two pieces of information from Cluster3D currently used by the reconstruction

Charge Q

- For doublets, $Q = Q_i + Q_j$
- For triplets, find where the WFs overlap in time and integrate the charge on the collection plane

Quality χ^2

- For doublets, $\chi^2 = (t_i t_{ij})^2 / \sigma_i^2 + (t_j t_{ij})^2 / \sigma_j$ with t_{ij} the weighted time average
- For triplets, t_{ij} is checked against the third plane as $\chi^2 = (t_k - t_{ij})^2 / (\sigma_i^2 + \sigma_j^2 + \sigma_k^2)$



ML-based Reconstruction for LArTPCs, F. Drielsma (SLAC)



Cluster3D (T. Usher)

Two pieces of information from Cluster3D currently used by the reconstruction





Cluster3D (T. Usher)

At this point in time, it's hard to discern what's going on...



Backbone (L. Dominé)



UResNet (<u>UNet</u> + <u>ResNet</u> + <u>Sparse Conv.</u>) as the **backbone feature extractor**



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UResNet (<u>UNet</u> + <u>ResNet</u> + <u>Sparse Conv.</u>) as the **backbone feature extractor**

UNet

- Downsizing -> expand receptive field
- Skip connections -> preserve resolution

ResNet

 Identity bypass + convolution -> learns residual transform

 \mathbf{X}

 $\mathcal{F}(\mathbf{x})$

 $\mathcal{F}(\mathbf{x}) + \mathbf{x}$

weight layer

weight layer

relu

relu

X

identity

 Speeds up learning, enables deeper networks

Only applies

- convolutions on active pixels
- Saves memory, execution speed (dramatically)

Sparse Convolutions







UResNet architecture (L. Dominé)

Input to the network:

- Voxel set: rasterized Cluster3D space points (3x3x3 mm³)
- Features (Nx2): space point charge and quality

Output:

- Features (NxC): one per target class and per voxel, file
 - 2 numbers for ghost labeling, 5 numbers for semantic segmentation

Loss:

- Cross-entropy loss:
 - Normalize output with Softmax: $\mathbf{s}_i = \exp(-f_{i,c}) / \sum_c \exp(-f_{i,c})$
 - Loss formula: $L = -N^{-1}\sum_{i}\sum_{c} t_{i,c} \ln(s_{i,c})$ with **t** the one-hot encoded label vector, e.g. (0, 1, 0, 0, 0)





UResNet architecture (L. Dominé)

Now, how does one optimize an architecture like this?

- Things like UNet depth, input number of features, are hyperparameters
- Must scan to identify optimal values (currently using F32D5)

Filters	8	16	32
Depth 6	98.94%	99.16%	99.23%
Depth 5	98.86%	99.07%	99.06%
Depth 4	98.74%	99.00%	99.07%

TABLE III. Comparison of the non-zero accuracy at inference time on the test set of 3D 512px images for sparse U-ResNet, for different depths and initial number of filters.

Paper: PhysRevD.102.012005







Ghost busting

Now armed with UResNet, let's bust ghosts

Classify each voxel into two categories: ghost and non-ghost





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Track completeness

Definition: (total length of gaps)/(length of track)

- **Excellent track completeness with doublets**
- Justifies the computational cost





1.0

0.0

Mean Mean

0.00

Charge conservation

What does the deghosted voxel charge look like?

• Raw charge is very angle dependant, because of varying hit multiplicity





Charge rescaling

Charge redistribution scheme:

- 1. Keep track of hit composition for each Cluster3D SP
- 2. Record charge and hit composition of selected SP for each voxel
- 2(+1) unique hit identifiers and 2(+1) hit integrated charge values (one per plane)
- 3. Apply deghosting algorithm on image
 - Use charge and χ^2 of selected space point as features
- 4. Count the number of times, $n_{p,i}$, each hit, $h_{p,i}$, is used in the deghosted voxels
- 5. Recompute the corrected charge of all voxels, which account for hit multiplicity

$$Q_{\tau} = \frac{1}{n_{hits}} \sum_{p \in u, v, w} Q_{p, \tau_p} / n_{p, \tau_p}$$

with Q_{τ} the charge associated with doublet $\tau = (i, j)$ or triplet $\tau = (i, j, k)$.

Ghost busting

Now armed with UResNet, let's

• Raw charge is very angle dependant, because if hit multiplicity!





Charge conservation

Definition: (total track charge)/(length of track)

- dQ/dx affected by remaining inefficiencies
- Unmatched hits -> loss of charge

With doublets





Particle topologies

Now that we only have legitimate space points left:

• Very clear topological differences in leftover voxels (UResNet!)





Performance

Separate topologically different types of activity

• Showers, Tracks, Michel electrons, delta rays, low energy blips





Point Proposal Network (PPN)

Architecture (L. Dominé)

The Point Proposal Network (PPN) identifies **points of interest** using decoder features:

- Three CCN layers to progressively narrow ROI
- Last layer reconstructs:
 - Relative position to voxel center of active voxel

PPN1

attention

mask

- Point type
- Post-processing aggregates nearby points

27



PPN1



Architecture (L. Dominé)

Input to the network:

- Voxel set: deghosted and rescaled voxels
- Features (Nx1): rescaled charge in each voxel

Output:

- PPN1 (N"x2): scores for positive/negative on each voxel at depth D1
- PPN2 (N'x2): scores for positive/negative on each voxel at depth D2
- PPN3 (Nx10): scores for
 - Positive/negative (2): Is a pixel within 5 voxels of a point of interest at the original res.?
 - Class (5): Which type of particle is a point associated with?
 - Position (3): How far is the voxel center from the point of interest?
 - Start/end point (2): Is this voxel at the start or the end of a particle trajectory?



Architecture (L. Dominé)

Losses:

- Cross-entropy loss on classification tasks (same as segmentation)
 - Positive/negative classification (one per PPN layer, so three of them)
 - Start/end classification (only on positive points)
 - Point type classification (only on positive points)
- L2 loss on position regression
 - Loss only applied for positive voxels

$$\circ \quad \mathcal{L} = rac{1}{N} \sum_i \sum_j \min_j ||\mathbf{v}_i + \mathbf{q}_i - \mathbf{p}_j||$$

- **v**_i is the center of the ith voxel
- **q**_i is is the predicted displacement for the ith voxel
- **\mathbf{p}_i** is the position of the jth label point of interest

• The total loss is the **sum of all 6 losses**



Masks

Let's take a look at what the masks look like at each layer (other image)

- Regions of interest already identified at lower resolutions
- Resolution improved at each layer



Point Proposal Network (PPN)

Scores and distances



Let's take a look at what the score and distance predictions look like



Point Proposal Network (PPN)

Points of interest

Narrow down a region proposal all the way to a point

• Predict masks at different scales with UResNet, predict position in voxel



Considerations

So far, each voxel has been treated individually. What about clustering?

- We don't know how many cluster an image has
- It is no longer a simple classification/regression task for each voxel

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"Why don't you use DBSCAN, dummy?"

- DBSCAN finds connected components, i.e. voxels that touch each other
 - It can give you individual **shower fragments** reliably (although don't forget S->ee!)
 - It can cluster **uninterrupted solitary tracks** reliably





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 - It can cluster **uninterrupted solitary tracks** reliably
 - But...
 - What about tracks (or showers) coming from a common vertex?
 - What about tracks that have a break in them (cathode crosser, inefficiencies, etc.)?
 - How do you put shower fragments together?
 - (DBSCAN typically optimized for CPU, it ain't cheap to run...)

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 - (DBSCAN typically optimized for CPU, it ain't cheap to run...)
 - Let's address problem 1, we'll deal with 2 and 3 later

Aggregation problem

Dense clustering problem
Approach

You might say: "Just use PPN points to break touching instances"

- We tried, let's just say "not great, not terrible":
 - Tracks can touch away from PPN points (relatively rare in 3D, thank you tomographic reco.)
 - Small tracks will get killed in the process (anything < masking radius)
 - Highly colinear tracks don't get broken up (detaching point > masking radius)
 - Does not disentangle colinear showers (no breaking point, many boundaries)



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How else can we do it?

- 1. Need to **transform** input voxels to a **space where clusters are disconnected**
- 2. Ask the network to give you information about **location** and **size** of clusters
- 3. Cluster in the transformed space using either
 - Informed Gaussian mixture: SPICE
 - Graph edge selection and connected components: Graph-SPICE (smart DBSCAN!)



Spatial transformation

Losses in the embedding (transformed) space:

 $L = \alpha L_{\text{var}} + \beta L_{\text{dist}} + \gamma L_{\text{reg}}$

- Encourage points from the same cluster to stick together (L_{var})
- Encourage points from separate clusters to distance themselves (L_{dist})
- Regulalize to prevent distances exploding (L_{reg})

$$L_{var} = \frac{1}{C} \sum_{c=1}^{C} \frac{1}{N_c} \sum_{i=1}^{N_c} [\max(0, \|\mu_c - x_i\| - \delta_v)]^2$$

$$L_{dist} = \frac{1}{C(C-1)} \sum_{\substack{c_A, c_B = 1 \\ c_A \neq c_B}}^{C} [\max(0, 2\delta_d - \|\mu_{c_A} - \mu_{c_B}\|)]^2$$

$$L_{max} = \frac{1}{C} \sum_{c_A, c_B = 1}^{C} \|\mu_c\|$$



Spatial transformation

200

100

os [pixel]

-50

-100

1150

1100

In practice, this makes touching tracks visually distinct!

How do we put cluster them together ? •







SPICE

02

"o'y [pixel]

Gaussian mixture

One way is to use an informed **Gaussian mixture.** For each voxel:

- Predict proximity to a cluster centroid (seediness score, s_i)
- Predict size (margin, σ_i) of cluster it belongs to
- Pick highest seediness point, merge points that satisfy $\exp(\frac{-(\mathbf{x}_j \mathbf{x}_i)^2}{2\sigma_i^2}) > 0.5$
- Repeat until the next be seed is < 0.5







Graph-SPICE

Connected components



Another way: build a kNN graph and to find connected components



Clustering metrics

Definitions

Quantifying clustering accuracy is not trivial

• There is no single-voxel accuracy (cluster ID is not fixed)

Three metrics we use:

• Efficiency:

$$\circ \ rac{1}{N_t}\sum_i^{N_t} \max_j \#(T_i\cap R_j)/\#T_i$$

• Purity:

$$\circ \; rac{1}{N_r} \sum_i^{N_r} \max_j \#(R_i \cap T_j) / \#R_i$$

Eff = (1+0.6)/2 = 0.8 Pur = (0.71+1)/2 = 0.85



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- Purity:
 - $\circ \; rac{1}{N_r} \sum_i^{N_r} \max_j \#(R_i \cap T_j) / \#R_i$
- Adjusted Rand Index



Agreement:a, dDisagreement:b, c

 $RI(P,G) = \frac{a+d}{a+b+c+d}$

$$ARI = \frac{RI - E(RI)}{1 - E(RI)}$$

Graph-SPICE

Performance

This approach works significantly better than PPN+DBSCAN

• Cluster track/shower fragments at this stage



Paper: <u>arXiv:2007.03083</u>

Considerations

Sweet, we solved problem 1, now we have particle fragments:

- Tracks are broken up where there's gaps (inefficiencies/dead material)
- Showers are broken up in fragments (e⁺/e⁻ constituents)

How do we **aggregate** them them together?

- Treat each fragment as a whole, encode it:
 - Use a set of summary statistics
- Find out which fragments belong together, which don't
 - This sounds a lot like graph edge classification problem
- Along the way: find out information about individual fragments
 - This sounds a lot like a graph node classification problem

Node encoding

Input:

• Particle fragments



Node encoding

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Node features:

- Particle centroid
- Covariance matrix, PCA
- End points (PPN), directions, dQ/dx



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 - All edges within some natural limit



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- Particle centroid
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- End points (PPN), directions, dQ/dx Input graph:
- All edges within some natural limit **Edge features:**
 - Closest points of approach
 - Displacement vector



Graph construction

Valid input edges careful **<u>selected</u>** depending on **particle type** (segmentation)



Message passing, loss

Lots of edges to sort through. Must propagate information through **message passing** (MetaLayer <u>arXiv:1806.01261</u>):

• Edge update:

$$\mathbf{e}'_{ij} = \phi_{\Theta}(\mathbf{x}_i, \, \mathbf{x}_j, \, \mathbf{e}_{ij})$$

• Node update:

$$egin{aligned} \mathbf{m}_{ji} &= \chi_{\Theta}(\mathbf{x}_{j},\,\mathbf{e}_{ji}) \ \mathbf{x}'_{i} &= \psi_{\Theta}(\mathbf{x}_{i},\,\Box_{j\in\mathcal{N}(i)}\mathbf{m}_{ji}) \end{aligned}$$



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After 3 iterations:

- Edge binary classification
- Target: 1 if same particle, 0 otherwise



450

Edge selection

Find **connected components** and it's a done deal? **Not quite**...



400

Edge selection

The network predicts a score matrix, **S**, which is proxy for the adjacency matrix, **A**

• What is the best partition, g*?



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• What is the best partition, **g***? We want to minimize the CE loss:

$$L(S|g) = -\frac{1}{N_e} \sum_{(i,j)\in E} \delta_{g_i,g_j} \ln(s_{ij}) + (1 - \delta_{g_i,g_j}) \ln(1 - s_{ij})$$

Thresholded graph



 $L \simeq 3.92$

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G is the set of all possible partitions:

- Cannot bruteforce ($B_{20} = 5 \times 10^{13}$)
- Start with an empty graph





 $L \simeq 15.35$

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Optimized partition





Edge selection

This automatically gets rid of spurious positive edges!





Graph Particle Aggregator (GrapPA)

Architecture



Graph Particle Aggregator (GrapPA)

Fragment aggregation performance

Build particles from individual particle fragments

• Excellent clustering performance. Tracks hardest, as expected



Graph Particle Aggregator (GrapPA)

Shower primary identification

Classify each shower fragment as either primary or secondary

• Very reliably finds the start of a shower





Interaction Aggregation

Now we wanna go further and:

- Cluster particles into interactions
- Classify particles: species and primary

Very similar problem to fragment clustering

- Input: fragment -> particle
- Edge input: fragment expected gaps -> particle expected gaps
- Edge target: particle -> interaction
- Node target: shower primary -> (PID, primary)

Reuse GrapPA and train on this task instead!

Graph edge classification

Build interactions from individual particles

• Easily cluster disjoint particles, most inefficiencies come from n activity



Graph node classification

Particle species much easier to infer in context

• Michel decays, secondary hadrons, shower conversion gaps, etc.







Graph node classification

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Generic dataset (particle bombs)



Primary Identification

Graph node classification

Important to know which particle originate from the vertex

Central to any **exclusive analysis** (study specific channels)



BNB $\mathbf{v}_{_{\rm U}}$ primaries only

0.6

Primary

Secondary

0.6

0.8

0.8

1.0

1.0

0.4

0.4

Reconstruction in LArTPCs

Full Reconstruction Chain Architecture

End-to-end ML-based reconstruction chain

• UResNet for pixel feature extraction, GrapPA for superstructure formation



Scalability

A fair bit of work invested in speeding up the execution speed. On **ICARUS**:

- ~2 M input space points/event
- O(1) M edges in the aggregator graphs
- TPC reco: 2 s/event on an A100

Very cheap to run on large datasets:

- **1 year of ICARUS beam-on data** can be reconstructed **in 1 day** with <200 A100s
- Perlmutter (NERSC): > 6000 A100s Only scales with space point count
 - Very cheap to run on DUNE-FD


Open-source ecosystem

DeepLearnPhysics collaboration (ML techniques R&D)

- Public LAr simulation
 - Potential for open real data from prototypes
- Shared software dependencies with Docker/Singularity
- Open reconstruction software on GitHub
- Fully reproducible results
 - Readers have reproduced <u>PhysRevD.102.012005</u>





ML-based Reconstruction for LArTPCs, F. Drielsma (SLAC)

Conclusions

Takeaways

End-to-end ML-based reconstruction chain mature and functional

- Used on ICARUS sim./data and DUNE-ND (high neutrino pileup) sim. today
- Check out this ICARUS <u>interactive</u> reconstructed event !

Exciting times:

- Many great analysis underway
- A lot of data in the can, ready to go
- Let's bring it home!





Backup Slides

Machine Learning in Computer Vision (CV)

ML is the state-of-the-art in CV, i.e. extracting high level information from images

- ML revolutionized accuracy on image processing tasks
- Should leverage those techniques in HEP



Hierarchical feature extraction



Image Classifier (CNN)

- What to do with > 1 interaction ?
- What if it fails ? Why ?
- · What behavior if unknown interaction?





Hierarchical feature extraction

What is relevant to pattern recognition in a detailed interaction image?

- 1. Separate topologically distinguishable types of activity
- 2. Identify **important points** (vertex, start points, end points)
- 3. Cluster individual particles (tracks and full showers)
- 4. Cluster interactions, identify particle properties in context



Pixel-level

Cluster-level

Non-Reconstruction ML Efforts in LArTPCs

Future prospects



Simulation

So far, we have tackled the reconstruction challenge, what's next?

- Can we go beyond "most likely" prediction and **quantify an uncertainty**?
- Can we **mitigate differences** between simulation and data?
- Can we optimize detector modeling from data and remove the issue altogether ?
- Can we unfold detector effects directly ? Yes, learn inverse function automatically!
- Can we learn physics (generators) from data ? Yes and no

Uncertainty Quantification

Overview

Goals of Uncertainty Quantification in Probabilistic Models:

- **Calibration**: Score p in [0,1] <=> probability p to be correct
- Error detection: Low confidence <=> large uncertainty





Photon visibility map

Can we make the **light simulation** differentiable ?

- Photon library maps x = (x, y, z) to visibility in each PMT (number of photons)
- Learn photon library using scene representation (SIREN): F (x, θ) differentiable

Calibration process: bias in library (offset in the actual visibility): $\theta' = \theta + \delta$

• Compare observed visibility to predicted visibility, use gradient descent to find θ' !







Domain Adversarial Training

Overview

Basics of Domain Adversarial Networks:

· Penalized for producing features that are different between



Domain Adversarial Training

Overview

Basics of Domain Adversarial Networks:

· Penalized for producing features that are different between sim. and data

