Symmetries and ML

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Der Wissenschaftsfonds.



Symmetries

Symmetry in physics: a property that remains unchanged under some transformation.

Discrete symmetries

- finite groups, permutation group (Graph neural network, Transformer)
- parity / mirror
- time inversion

Continuous symmetries - Lie groups

Global symmetries

- translation (Convolutional neural network)
- rotation (Group-equivariant CNN, Steerable CNN)
- time translation (Recurrent neural network)

Local symmetries

- gauge symmetries

(Lattice gauge equivariant CNN)

Noether's theorem

Every continuous symmetry of the action corresponds to a conservation law.

Symmetry	Conservation law
Translation in space	Conservation of momentum (CNN)
Translation in time	Conservation of energy (Hamiltonian NN, Lagrangian NN)
Rotation in space	Conservation of angular momentum (G-CNN, Steerable CNN)
Gauge invariance	Conservation of charge (L-CNN, equivariant coupling layers)



Emmy Noether (source: Wikipedia)

Outline

Motivation



Translational symmetry



Bulusu, Favoni, AI, Müller, Schuh, Phys. Rev. D 104 (2021) 074504



Lattice gauge symmetry



Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

Motivation



QCD phase diagram



Sun (surface): $6000^{\circ}C \approx 0.5 \text{ eV}$

Sun (core): 15 million °C \approx 1.3 keV

Quark-Gluon Plasma: 1.7×10^{12} °C ≈ 150 MeV



Quark-gluon plasma

- Existed in the early universe
- Produced in heavy ion collisions





Stages of a heavy-ion collision



Freeze-out ($\tau \approx 15 \text{ fm/}c$):

interactions stop

Hadronic gas ($\tau \approx 10 - 15$ fm/c): hadrons (kinetic transport theory)

Hadronization ($\tau \approx 10$ fm/c): confinement transition \rightarrow hadron formation

QGP ($\tau \approx 1 - 10$ fm/c): quarks and gluons (relativistic viscous hydrodynamics)

Glasma ($\tau \approx 0 - 1$ fm/*c*): quasi-classical fields (classical field equations)

Collision event

Initial state: Lorentz-contracted nuclei (color glass condensate)

Colored particle-in-cell method



Generalization of the particle-in-cell method from plasma physics for strong interactions.

[A. Dumitru, Y. Nara, M. Strickland: PRD75:025016 (2007)]

Based on real-time lattice gauge theory in a classical regime.

AI, D. Müller, Phys. Lett. B 771 (2017) 74

Dispersion-free propagation



Simulations of the collision process



Computational challenges



Simulating small part of nuclei at RHIC energies:

 γ -factor: 100 Lattice: 2048 × 192² cells Gauge group: SU(2) Color sheets: 1 Simulation box: (6 fm)³

- \rightarrow **25 GB** simulation data
- → 192 core hours on Vienna Scientific Cluster (VSC-3)



Simulating realistic off-central full size nuclei at LHC energies:

 γ -factor: 2500 Lattice: (25×20480) × 1920² cells Gauge group: SU(3) Color sheets: 100 Simulation box: (60 fm)³

- \rightarrow **25 PB** simulation data
- → 5 million core years on VSC-3 (2020: 150 years on VSC-3; but only 130 TB RAM available) (2023: 55 years on VSC-5; 355 TB RAM available)

Machine learning in fluid dynamics

Accelerating Eulerian Fluid Simulation With Convolutional Networks Tompson et al, arxiv:1607.03597



- Compress computation time and memory usage
- Use convolutional autoencoders to compress state size
- Learn dynamics on compressed form
- Can generalize to larger grid sizes

Lat-Net: Compressing Lattice Boltzmann Flow Simulations using Deep Neural Networks Hennigh, arxiv:1705.09036

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Relativistic hydrodynamics

Applications of deep learning to relativistic hydrodynamics Huang et al., arxiv:1801.03334



- Speed up simulation time from 20 min to few seconds
- Network had to be trained with 10,000 initial and final state pairs

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Toy model



Learning a simple function

Learn $f(x) = x^3$ 4.0 $f(x) = x^{3}$ 2.0 output y 0.0 -2.0 ground truth training data + -4.0 -1.5 -1.0 -0.5 0.0 0.5 1.0 1.5 2.0 -2.0 input x

Example in PyTorch





Learning a simple function

Learn $f(x) = x^3$ 4.0 Epoch 1000 2.0 output y 0.0 ground truth -2.0 training data + NN predictions ~ -4.0 -1.5 -1.0 -2.0 -0.5 0.0 0.5 1.0 1.5 2.0 input x



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Learning a simple function

Learn $f(x) = x^3$





Symmetry: f(-x) = -f(x)

learned approximately, but small deviation remains

Imposing the symmetry

Learn $f(x) = x^3$ 4.0 Epoch 1000 2.0 output y 0.0 ground truth -2.0 training data NN predictions -4.0 -1.5 -1.0 -0.5 0.0 0.5 1.5 2.0 -2.0 1.0 input x

Example in PyTorch



Remove bias and use antisymmetric activation function → every layer is antisymmetric



Imposing the symmetry

Learn $f(x) = x^3$ 0.2 $\Delta_{\text{sym}}(x) = \frac{h(+x) + h(-x)}{2}$ 0.1 symmetric part 0.0 0.0 -0.1 ground truth -0.1 NN predictions ~ -0.2 -1.5 -1.0 -0.5 0.0 0.5 1.5 2.0 -2.0 1.0 input x



Symmetry: f(-x) = -f(x)

exactly preserved by construction (without bias, tanh)

Generalization beyond training domain



Generalization is difficult.

Imposed symmetry is preserved everywhere.

(This does not mean one can trust the result everywhere.)

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Generalization beyond training domain



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Learn $f(x) = x^2$

Generic network can also learn symmetric function approximately.

Antisymmetric fit to a symmetric solution

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Translational symmetry



Convolutional neural networks



Image:

https://towardsdatascience.com/convolutional-neural-networks-from-the-ground-up-c67bb41454e1

 \rightarrow Every input node connected to every output node

- \rightarrow local information: only nodes "nearby" are connected
- \rightarrow Weight sharing by sliding the same kernel across the whole image

Deep learning



1960s: shallow neural networks 1960-70s: backpropagation 1980s: convolutional networks (CNN) 1990s: supervised deep learning 2006s: modern deep learning 2012: AlexNet (first GPU CNN)

Equivariance (covariance) vs. invariance



Equivariance

$$\Phi(L_g x) = L'_g \Phi(x)$$



Invariance

$$\Phi(L_g x) = \Phi(x)$$

Adapted from: https://towardsdatascience.com/sesn-cec766026179

Translational symmetry



Complex scalar field in 1+1D

1

Complex scalar field action: $S = \int dx_0 dx_1 \left(|D_0\phi|^2 - |\partial_1\phi|^2 - m^2 |\phi|^2 - \lambda |\phi|^4 \right)$ with chemical potential *u* with chemical potential μ $D_0 = \partial_0 - i\mu$

Lattice formulation:

$$S_{lat} = \sum_{x} \left(\eta |\phi_x|^2 + \lambda |\phi_x|^4 - \sum_{\nu=1}^2 \left(e^{\mu \delta_{\nu,2}} \phi_x^* \phi_{x+\hat{\nu}} + e^{-\mu \delta_{\nu,2}} \phi_x^* \phi_{x-\hat{\nu}} \right) \right)$$

$$\eta = 2D + m^2$$

0

Dual formulation with integer fields k, lsolves sign problem Gattringer, Kloiber (2013)

$$\phi_x \to \{k_{x,\nu}, l_{x,\nu}\}$$

Observables:

Particle number density:

$$n = \frac{1}{N} \sum_{x} k_{x,2}$$

Squared absolute value of field:

$$|\phi|^2 = \frac{1}{N} \sum_x \frac{W(f_x + 2)}{W(f_x)}$$

$$f_x = \sum_{\nu} [|k_{x,\nu}| + |k_{x-\hat{\nu},\nu}| + 2(l_{x,\nu} + l_{x-\hat{\nu},\nu})] \qquad W(f_x) = \int_0^\infty \mathrm{d}x \, x^{f_x+1} \mathrm{e}^{-\eta x^2 - \lambda x^4}$$

Predicted vs. true values

Generalization to smaller chemical potential:

Generalization to larger lattices:



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Comparison of architecture types

For fair comparison, best architectures for each type have been obtained by an Optuna optimization (scanning through various kernel sizes, number of layers, number of channels, ...)

Best architectures are retrained 10 times and evaluated on the validation set.





Test regression tasks on observables of a scalar field model in 2 dimensions:



Bulusu, Favoni, AI, Müller, Schuh, Phys. Rev. D 104 (2021) 074504

Why can the models generalize so well?



Train at $\mu = 1.05$ and 60 × 4

Test for $\mu \in [0.91, 1.05]$

and various lattice sizes

Without ensemble average, individual configurations cover a large range of possible output values.



Generalization to larger μ



(No ensemble average)

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Detection of flux violation



(a)Example field configuration



t

t

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Best

EQ

mode

Best

S

H

model

Detection of flux violation







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Lattice gauge symmetry



Symmetries on the lattice

Translational symmetry

 → Convolutional neural networks (CNNs)



Bulusu, Favoni, Al, Müller, Schuh, Phys. Rev. D 104 (2021) 074504 Rotation, mirror symmetry → Group equivariant CNNs (G-CNNs)



Lattice gauge symmetry

 → Lattice gauge equivariant CNNs (L-CNNs)



Cohen, Welling, ICML 2016

Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

Yang-Mills action vs. Wilson action

Yang-Mills action	Continuum formulation	Wilson action	Discrete formulation
$S_G[A] = \frac{1}{2g^2} \int d^4x \mathrm{tr} \left[F_{\mu\nu}(x) F_{\mu\nu}(x) \right]$	x)]	$S_W[U] = \frac{2}{g^2} \sum_{x \in \Lambda} \sum_{\mu < \nu} \operatorname{Tr}$	$[\mathbb{1} - U_{x,\mu\nu}]$
Field strength tensor		Plaquette	Wilson (1974)
$F_{\mu\nu}(x) = -i[D_{\mu}(x), D_{\nu}(x)]$ $= \partial_{\mu}A_{\nu}(x) - \partial_{\nu}A_{\mu}(x) +$	$i[A_{\mu}(x), A_{\nu}(x)]$	$U_{x,\mu\nu} = U_{x,\mu}U_{x+\mu,\nu}U_{x+\mu,\mu}U_{x+\mu}U_{x+\mu,\mu}U_{x+\mu}U_{x+\mu}U_{x+\mu}U_{x+\mu}U_{x+\mu}U_{x+\mu}U_{x+\mu$	$\overset{\dagger}{}_{x+\nu,\mu}U^{\dagger}_{x,\nu}=$
Covariant derivative SU(3)	gauge fields	Link variable	
$D_{\mu}(x) = \partial_{\mu} + i A_{\mu}(x) \qquad \qquad A_{\mu}(x)$	$f(x) = \sum_{k=1}^{8} A_{\mu}^{(i)}(x) T_{i}$	$U_{\mu}(n) = \exp\left(\mathrm{i}aA_{\mu}(n)\right)$	n))
Gauge transformation	$\overline{i=1}$	Gauge transformation	
$A_{\mu}(x) \to A'_{\mu}(x) = \Omega(x)A_{\mu}(x)\Omega(x)$	$(x)^{\dagger} + \mathrm{i} \left(\partial_{\mu} \Omega(x) \right) \Omega(x)^{\dagger}$	$U_{\mu}(n) \rightarrow U'_{\mu}(n) = \Omega$	$Q(n) U_{\mu}(n) \Omega(n+\hat{\mu})^{\dagger}$
Taylor expansion in small lattice spacing	reproduces continuum action:	$n + \hat{\nu} \qquad U_{\mu}(n)$	$(\hat{\nu}) = \frac{n + \hat{\mu} + \hat{\nu}}{1 + \hat{\mu}}$
$U_{\mu\nu}(n) = \exp\left(\mathrm{i}a^2 F_{\mu\nu}(n) + \mathcal{O}(a^3)\right)$))		
$S_G[U] = \frac{2}{g^2} \sum_{n \in \Lambda} \sum_{\mu < \nu} \operatorname{Re} \operatorname{tr} \left[\mathbb{1} - U_{\mu\nu} \right]$	$(n)] = \frac{a^4}{2 g^2} \sum_{n \in \Lambda} \sum_{\mu,\nu} \operatorname{tr}[F_{\mu\nu}(a)]$	$[n)^2] + \mathcal{O}(a^2)$	$ \begin{array}{c} $
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Wilson loops

Wilson action

$$S_W[U] = \frac{2}{g^2} \sum_{x \in \Lambda} \sum_{\mu < \nu} \operatorname{Tr} \left[\mathbb{1} - U_{x,\mu\nu} \right]$$

Plaquette

$$U_{x,\mu\nu} = U_{x,\mu}U_{x+\mu,\nu}U_{x+\nu,\mu}^{\dagger}U_{x,\nu}^{\dagger} =$$

Symanzik improved clover action



from: Gattringer, Lang (2010)



from: Bali, Phys.Rept. 343:1 (2001)

Potential of static quark pair







AI, Müller, Eur.Phys.J. C78 (2018) no.11, 884

from: Alexandrou et al., Eur.Phys.J.C 80 (2020) 5, 424

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L-CNN data

Combine lattice links *U* and locally transforming objects *W*

tuple $(\mathcal{U}, \mathcal{W})$

 $\mathcal{U} = \{U_{x,\mu}\} \text{ SU}(N_c) \text{ matrices} \\ \mathcal{W} = \{W_{x,i}\} \text{ with } W_{x,i} \in \mathbb{C}^{N_c \times N_c}$



from: Gattringer, Lang (2010)

Gauge transformation

 $T_{\Omega}U_{x,\mu} = \Omega_x U_{x,\mu} \Omega_{x+\mu}^{\dagger}$ $T_{\Omega}W_{x,i} = \Omega_x W_{x,i} \Omega_x^{\dagger}$

Gauge equivariant (gauge covariant) function

$$f(T_{\Omega}\mathcal{U}, T_{\Omega}\mathcal{W}) = T'_{\Omega}f(\mathcal{U}, \mathcal{W})$$

Gauge invariant function

$$f(T_{\Omega}\mathcal{U}, T_{\Omega}\mathcal{W}) = f(\mathcal{U}, \mathcal{W})$$



Lattice gauge equivariant layers

Convolution (L-Conv)



Convolution wish shared weights and proper parallel transport along coordinate axes

$$W'_{\mathbf{x},i} = \sum_{j,\mu,k}^{(\mathcal{U},\mathcal{W})} \omega_{i,j,\mu,k} U_{\mathbf{x},k\cdot\mu} W_{\mathbf{x}+k\cdot\mu,j} U^{\dagger}_{\mathbf{x},k\cdot\mu}$$



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Bilinear layer (L-Bilin)

Trace layer



Multiply W at each lattice point $(\mathcal{U}, \mathcal{W}) \times (\mathcal{U}, \mathcal{W}') \rightarrow (\mathcal{U}, \mathcal{W}'')$ $W''_{\mathbf{x},i} = \sum_{j,k} \alpha_{ijk} W_{\mathbf{x},j} W'_{\mathbf{x},k}$ Generate gauge invariant output

 $w_{\mathbf{x},i} = \operatorname{Tr} W_{\mathbf{x},i} \in \mathbb{C}$



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Generic L-CNN



gauge inv. output

Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

L-Conv:

L-Bilin:

* bilinear layer, product of locally transforming objects

L-Act:

* activation functions multiply W objects by scalar, gauge-invariant functions

L-Exp:

* update link variables using exponential map

Trace:

* calculate gauge invariant trace

Plag:

* generate all possible plaquettes

Polv:

* generate all possible Polyakov loops

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L-CNNs generate Wilson loops



Number of traced Wilson loops covered by L-CNN architectures of various sizes in 1+1 D

Length	Max	$W^{(1 \times 1)}$	W^{0}	$W^{(1 \times 2)}$		$W^{(2\times2)}$		
		\mathbf{S}	\mathbf{S}	Μ	\mathbf{L}	\mathbf{S}	Μ	\mathbf{L}
0	1	1	1	1	1	1	1	1
2	0	0	0	0	0	0	0	0
4	2	2	2	2	2	2	2	2
6	4		4	4	4	4	4	4
8	28		4	4	4	22	22	22
10	152			8	8	48	76	76
12	1,010				8	92	204	220
14	6,772					120	412	532
16	$47,\!646$					100	712	1,080
18	343,168					136	928	1,896
20	$2,\!529,\!890$					32	1,056	$2,\!620$
22	$18,\!982,\!172$					64	768	3,152
≥ 24							800	7,210
Total		3	11	19	27	621	4,985	16,725
Max.Len		4	8	10	12	22	28	34

Architectures differ in number of layers, kernel size, and number of channels.

Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

Sketch of proof for arbitrary Wilson loops



Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

- (a) An arbitrary contractible Wilson loop of *n* tiles ...
- (b) ... is composed (L-Bilin) of a Wilson loop of (*n*-1) tiles ...
- (c) ... and a parallel-transported (L-Conv) plaquette (Plaq).

Non-contractible loops (like Polyakov loops) have to be added (Poly).

Numerical results



Regression task to learn value of rectangular Wilson loops:

$$W_{x,\mu\nu}^{(m \times n)} = \operatorname{Re}\operatorname{Tr}\left[U_{x,\mu\nu}^{(m \times n)}
ight]$$

Lattice gauge equivariant CNN (L-CNNs, green) can learn the relation, while traditional convolutional neural networks (CNNs, black) struggle to find the solution.

Training on 8×8 , testing from 8×8 up to 64×64

Compared best from: 100 L-CNN models ($10 - 10^4$ trainable parameters, up to 4 L-Conv+L-Bilin)

2840 CNN models ($100 - 10^5$ trainable parameters up to 6 layers, 512 channels, 4 activation functions)

Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

Adversarial attacks



Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

Fixed point action



Introduce a renormalization group transformation (RGT) $\exp \{-\beta' A'[V]\} = \int \mathscr{D}U \exp \{-\beta (A[U] + T[U, V])\}$ Blocking kernel The effective action $\beta' A'[V]$ is described

by infinitely many couplings $\{c'_{\alpha}\}$

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The fixed point is the saddle point

P. Hasenfratz, F. Niedermayer, Nucl.Phys.B 414 (1994) 785

in the classical limit $\beta \rightarrow \infty$, which can be found by a

minimization condition.

Blocking kernel



Many possibilities to construct a blocking kernel manually, fit corresponding parameters.

Learning the fixed point action with L-CNNs



Training example: L-CNN model with 3 layers with 12, 24, 24 channels and kernel size 2, 2, 1.

L-CNN superior to older parametrizations of FP action.

Holland, AI, Müller, Wenger, in preparation

Continuous formulation of L-CNNs

Define a continuous version of a gauge equivariant convolution:

$$[\psi * \mathcal{W}]^{a}(\mathbf{x}) = \sum_{b} \int_{\mathbb{R}^{D}} \mathrm{d}\mathbf{y}^{D} \, \psi^{ab}(\mathbf{y} - \mathbf{x}) U_{\mathbf{x} \to \mathbf{y}} W^{b}(\mathbf{y}) U_{\mathbf{x} \to \mathbf{y}}^{\dagger}$$

with kernel components $\,\psi^{\mathsf{ab}}:\mathbb{R}^D\to\mathbb{R}\,$

and parallel transporter
$$U_{\mathbf{x} \to \mathbf{y}} = \mathcal{P} \exp \left\{ i \int_{0}^{1} \mathrm{d}s \frac{\mathrm{d}x^{\nu}(s)}{\mathrm{d}s} A_{\nu}(x(s)) \right\}$$

that map $\mathcal{W} = (\mathcal{W}^1, \ldots, \mathcal{W}^m)$ objects to new objects

in a gauge equivariant manner:

$$[\psi * T_{\Omega} \mathcal{W}]^{a}(\mathbf{x}) = T_{\Omega}[\psi * \mathcal{W}]^{a}(\mathbf{x})$$

Similarly define continuous bilinear layer, trace layer, ...

Discretize this to obtain previous formulation.

Compatible with G-CNNs.

Generalizable to vectors and tensors.

Aronsson, Müller, Schuh, arxiv:2303.11448

Summary

Glasma simulations



Al, Müller, Phys. Lett. B 771 (2017) 74 Gelfand, Al, Müller, Phys. Rev. D94 (2016) no.1, 014020



Bulusu, Favoni, AI, Müller, Schuh, Phys. Rev. D 104 (2021) 074504

L-CNNs



Favoni, AI, Müller, Schuh, Phys.Rev.Lett. 128 (2022) 032003

"Upscaling Glasma simulations using machine learning" Austrian Science Fund FWF No. P32446-N27

Open source: https://gitlab.com/openpixi/lge-cnn







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