

# CPIX: A Comprehensive Particle Identification Framework for Future $e^+e^-$ Colliders

Uli Einhaus

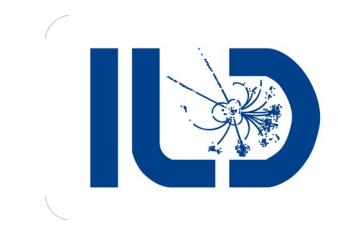
International Workshop on Future Linear Colliders

16.05.2023, SLAC

[ulrich.einhaus@desy.de](mailto:ulrich.einhaus@desy.de)

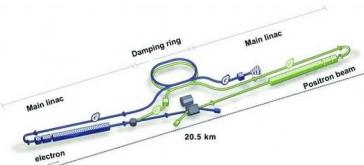
**HELMHOLTZ**

CLUSTER OF EXCELLENCE  
QUANTUM UNIVERSE



# The Landscape of Proposed Next-Gen Colliders / Future HTE Factories

**ILC**



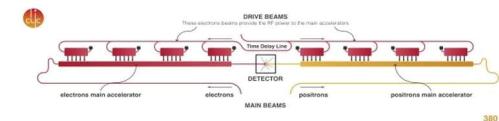
**CEPC**



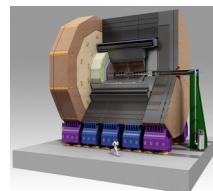
**FCC-ee**



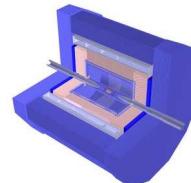
**CLIC**



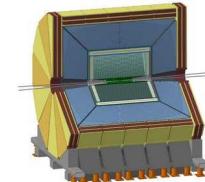
**ILD**



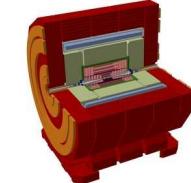
**CEPC Baseline**



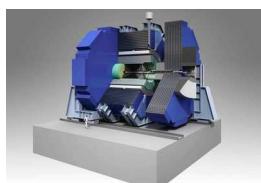
**IDEA**



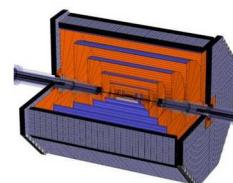
**CLICdp**



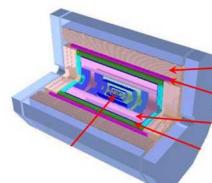
**SiD**



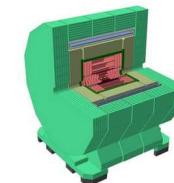
**FST**



**CEPC 4th concept**



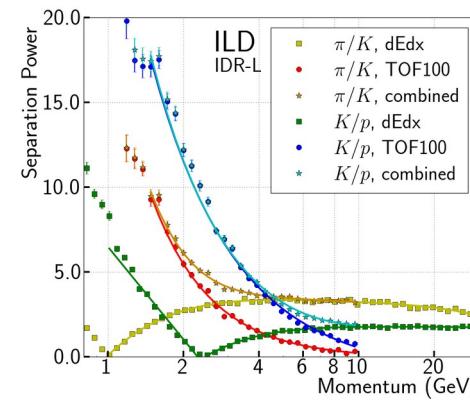
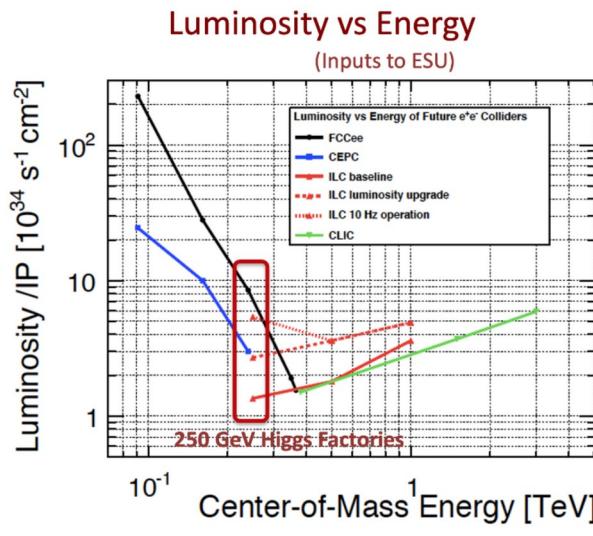
**CLD**



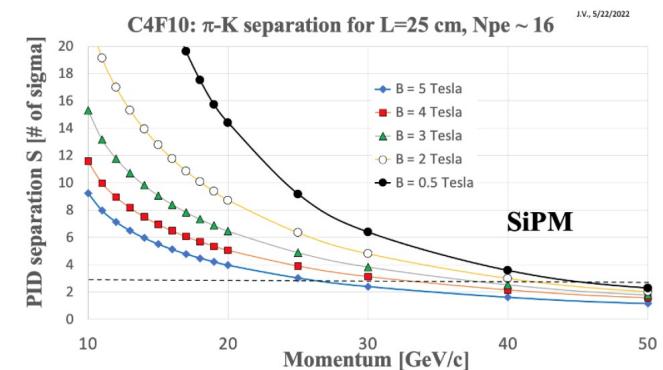
- Many proposals under consideration – common tools desired, in particular software!  
→ key4HEP / EDM4HEP

# PID at Future Higgs/Top/Electroweak Factories

- Increasing understanding that particle identification (PID), in particular charged hadron ID, is a very valuable observable at a Future Higgs Factories
- Recent studies focus on 90-250 GeV and precision flavour physics instead of direct (BSM) detection at TeV range → PID is more effective and more relevant there
- This work: new software framework for comprehensive PID



<https://arxiv.org/abs/2003.01116>

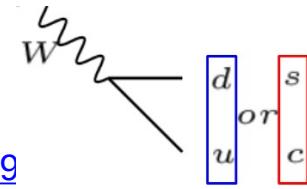


<https://arxiv.org/abs/2203.07535>

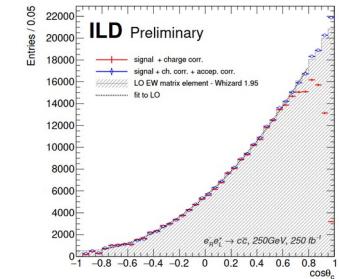
# Examples for PID Applications

- Z and W hadronic decay branching fractions via flavour tagging  
→ make connection between quark flavour and jet composition

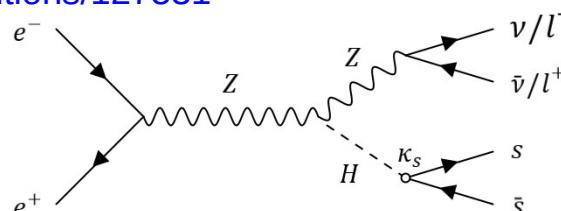
<https://ediss.sub.uni-hamburg.de/handle/ediss/9634> , <https://ediss.sub.uni-hamburg.de/handle/ediss/9>



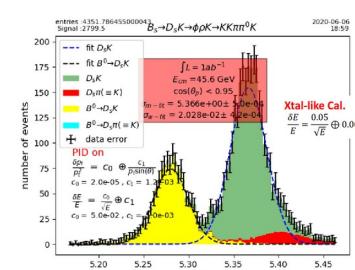
- Forward-backward asymmetry in  $e^+e^- \rightarrow q\bar{q}$   
→ study asymmetry in each flavour channel exclusively  
overview: <https://indico.desy.de/event/33640/contributions/127531>



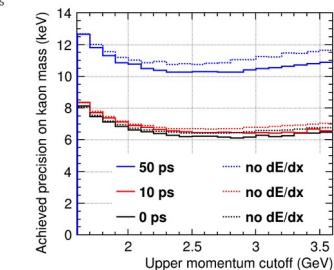
- $H \rightarrow s\bar{s}$  with s-tagging → identify high-momentum kaons to tag  $s\bar{s}$  events  
<https://arxiv.org/abs/2203.07535>



- B and tau physics rely on excellent PID, mostly relevant at Z pole e.g. <https://indico.in2p3.fr/event/23012/contributions/89990>



- Kaon mass with TOF  
<https://pos.sissa.it/380/115/>

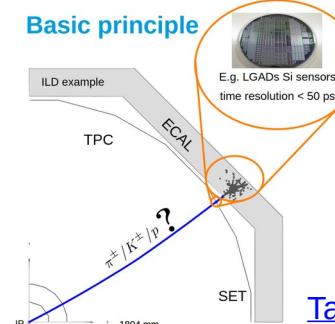
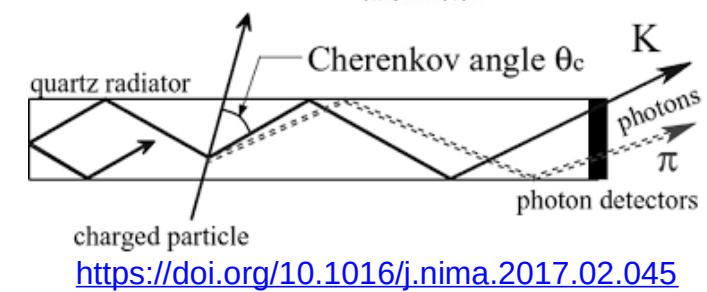
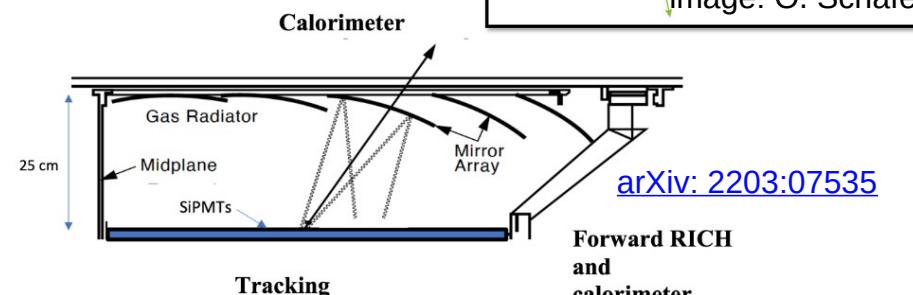
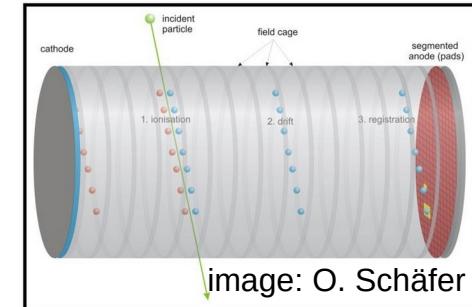


- Track refit with correct particle mass for better momentum and vertex  
<https://agenda.linearcollider.org/event/8498/>

- Overview: <https://indico.cern.ch/event/1256374/contributions/5338875/>

# Possible PID Technology

- Gaseous trackers (Time Projection Chamber, Drift Chamber): specific energy loss  $dE/dx$ , via gas ionisation, up to 30 GeV
- Ring Imaging Cherenkov Detectors: Cherenkov angle, via imaging, 10 to 50 GeV
- Time of Propagation Counter: Cherenkov angle, via timing, up to 10 GeV
- Time of Flight: time, via Silicon timing, up to 5 GeV



[Talk by Bohdan](#)

# Central Questions

- How do we combine the different technologies best?
- How can we create a general assessment of PID performance valid for all of them?
- Can we use machine learning to extract the best performance from the PID observables?
- Optimise detectors and compare them
  - At what timing resolution starts TOF to be relevant for flavour tagging?
  - How does **my** physics channel depend on the  $dE/dx$  ( $dN/dx$ ) resolution?
  - What if we use a silicon tracker + RICH instead of a TPC in ILD?

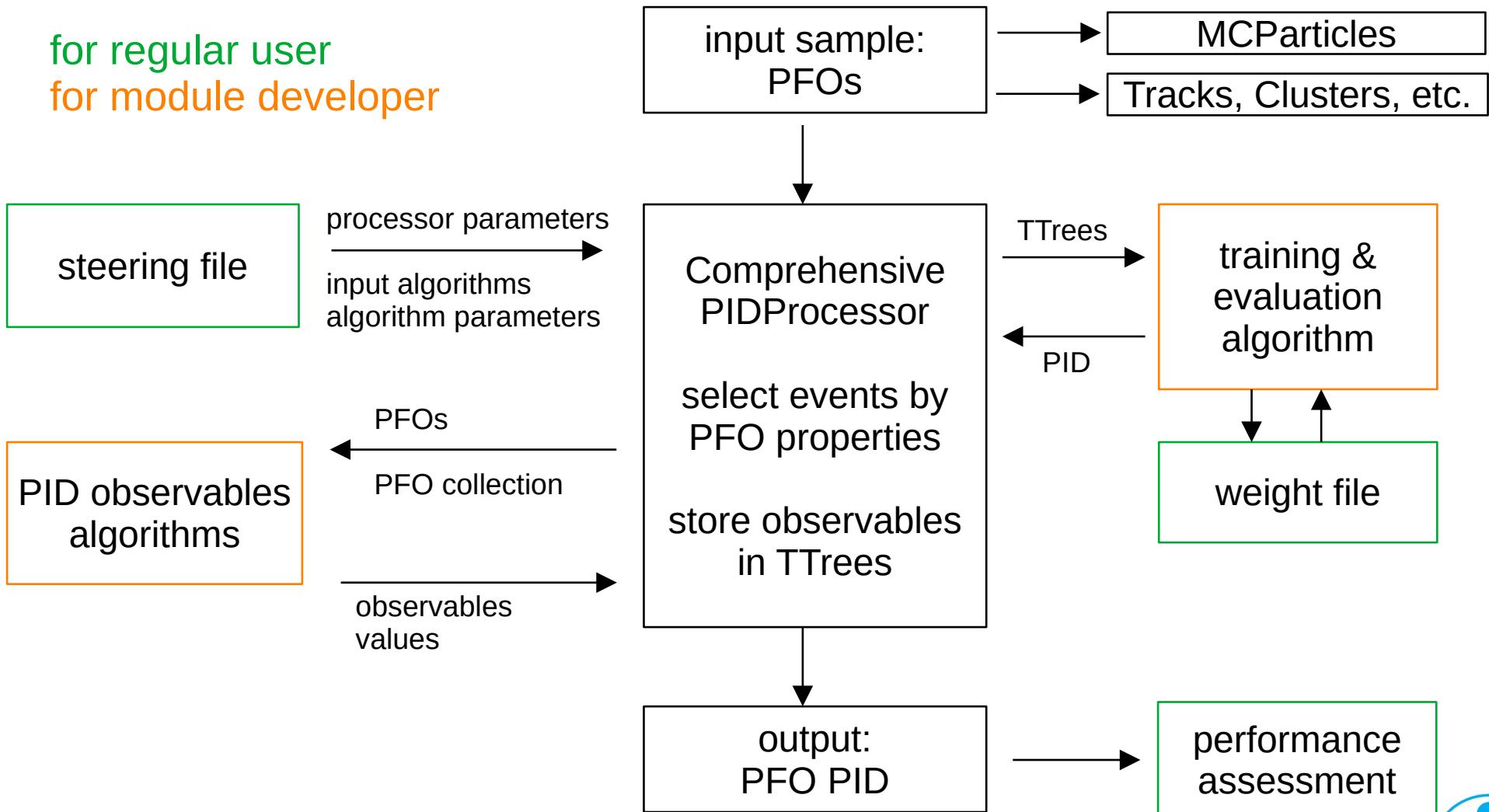
# New Framework: Comprehensive Particle Identification (CPID)

- Modularity as core philosophy:
  - observables algorithms
  - training methods (MVAs / NNs / etc.)
- Core code takes care of book keeping
  - simple, well defined data structures for storage (TTree) and interfaces (std::vector)
- For now, being implemented in LCIO / Marlin in iLCSoft
  - immediately usable in Key4HEP via ‘Marlin wrapper’
  - target: implement in EDM4HEP, make available to whole future colliders community
- In ILD: goal to replace current LikelihoodPIDProcessor



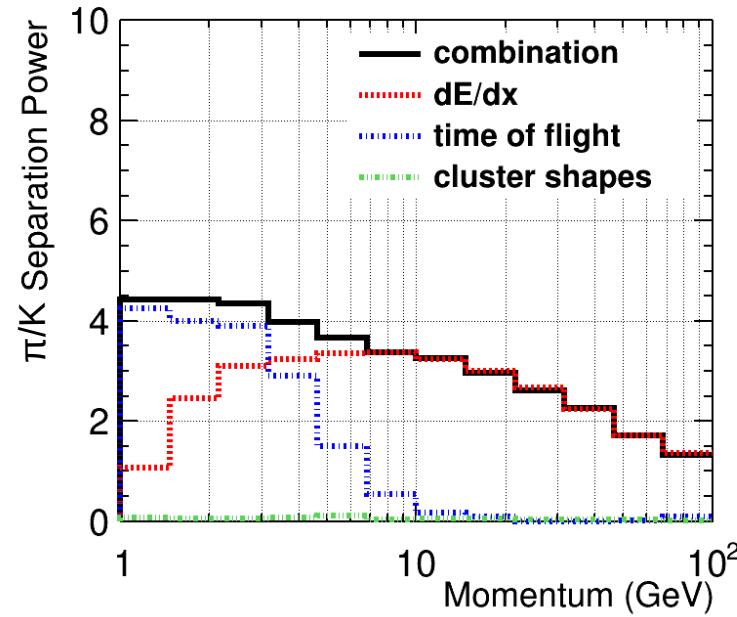
# General Structure

for regular user  
for module developer



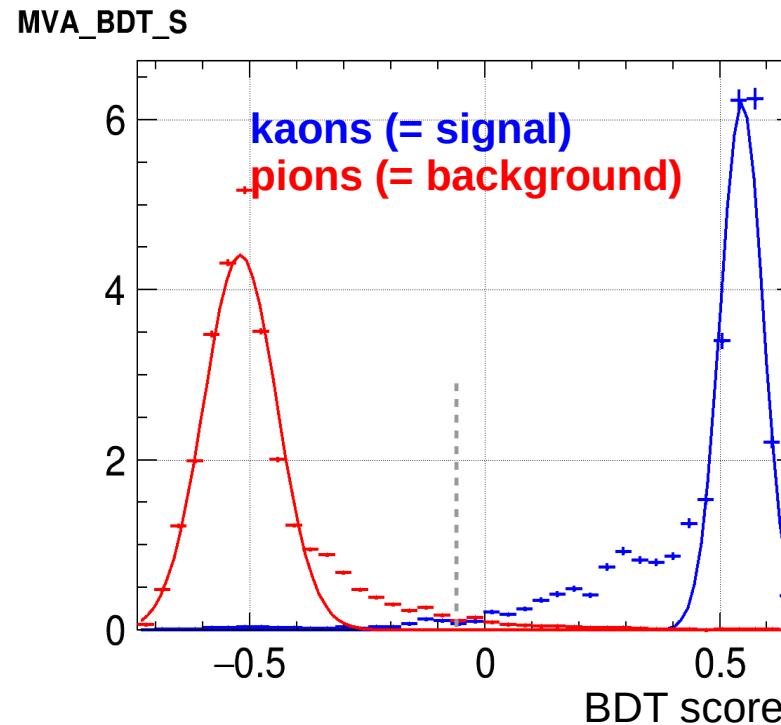
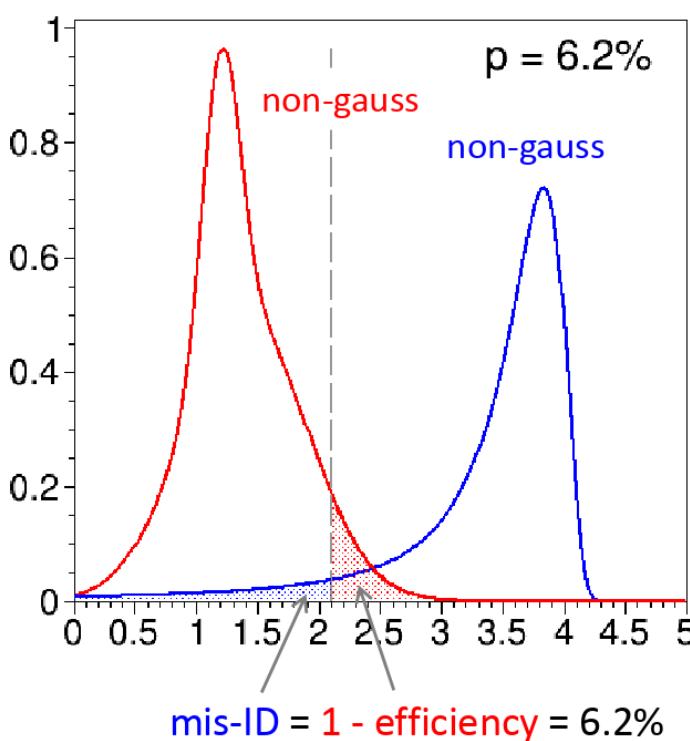
# Example 1: $\pi$ /K Separation with Combined Observables

- $dE/dx + \text{TOF}$
- Single particles ‘calibration’ events, flat in  $\log(p)$  and  $\cos(\theta)$
- BDT with sig = K, bkg =  $\pi$ ; train & infer per 12 mom bins and per used observable(s)
  - How do we calculate a separation power from a BDT score?



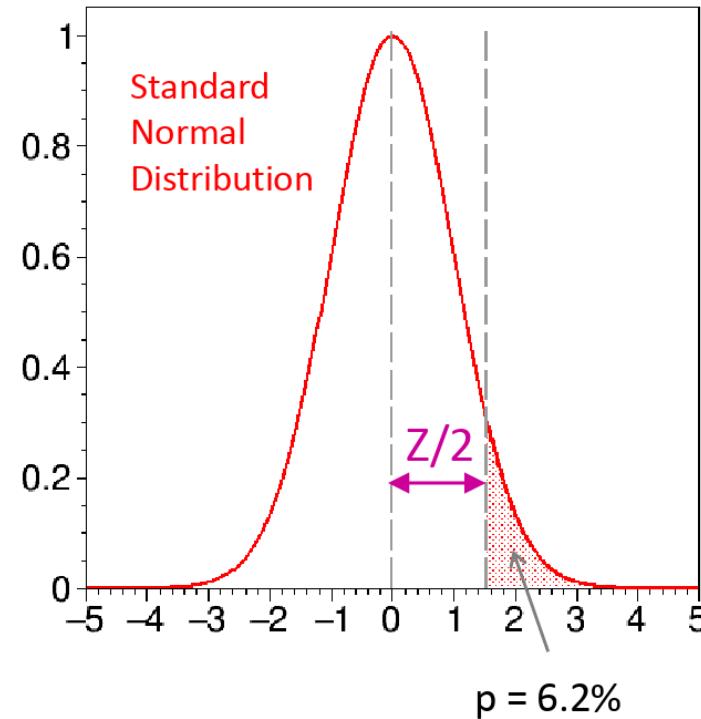
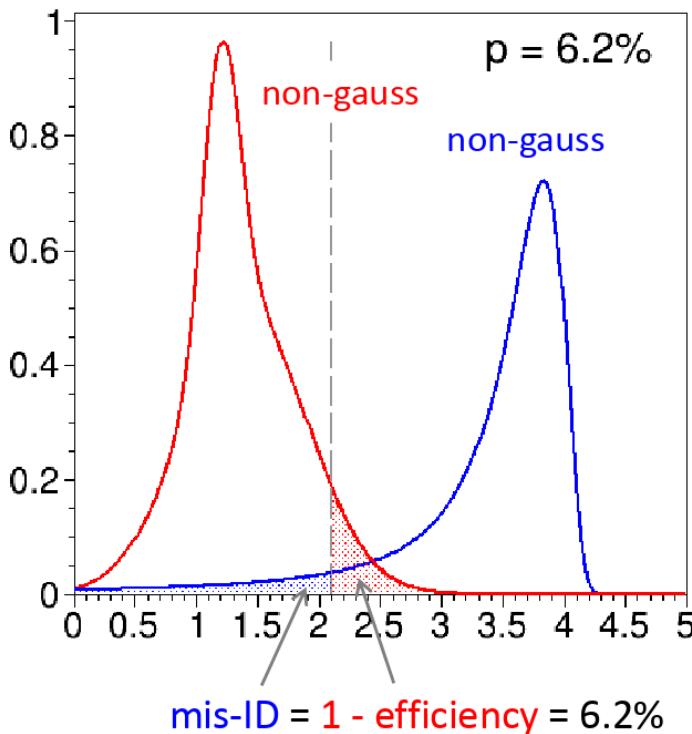
# p-value Assessment

- Find cut with  $\text{mis-ID} = 1 - \text{efficiency} = p\text{-value} \rightarrow \text{find Gaussian quantile}$   
→ compute  $Z = 2 \cdot \text{quantile}$  of standard Gauss



# p-value Assessment

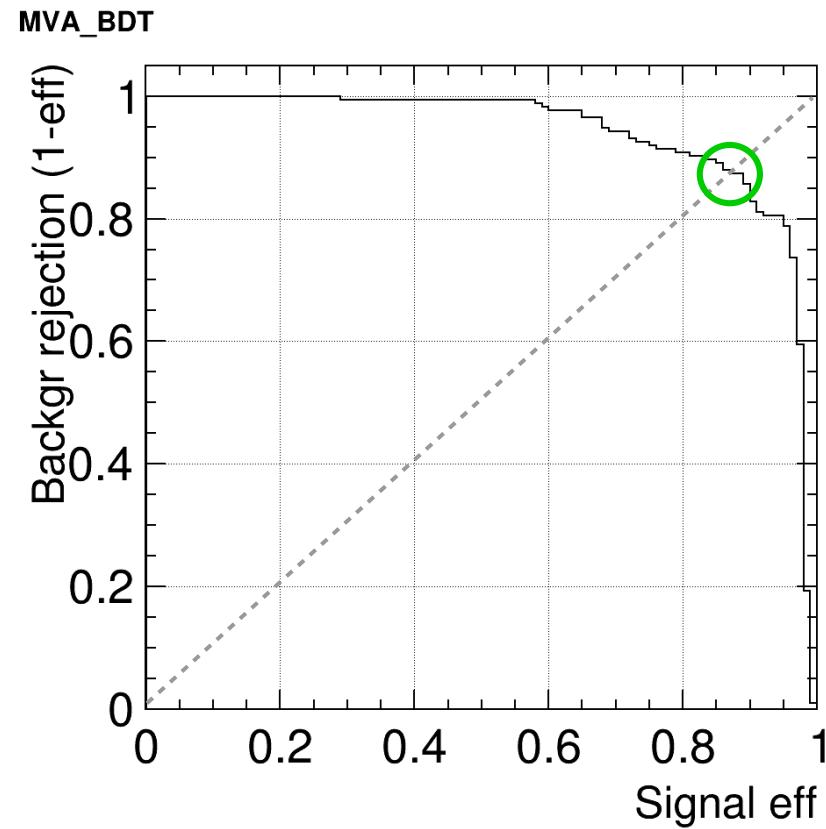
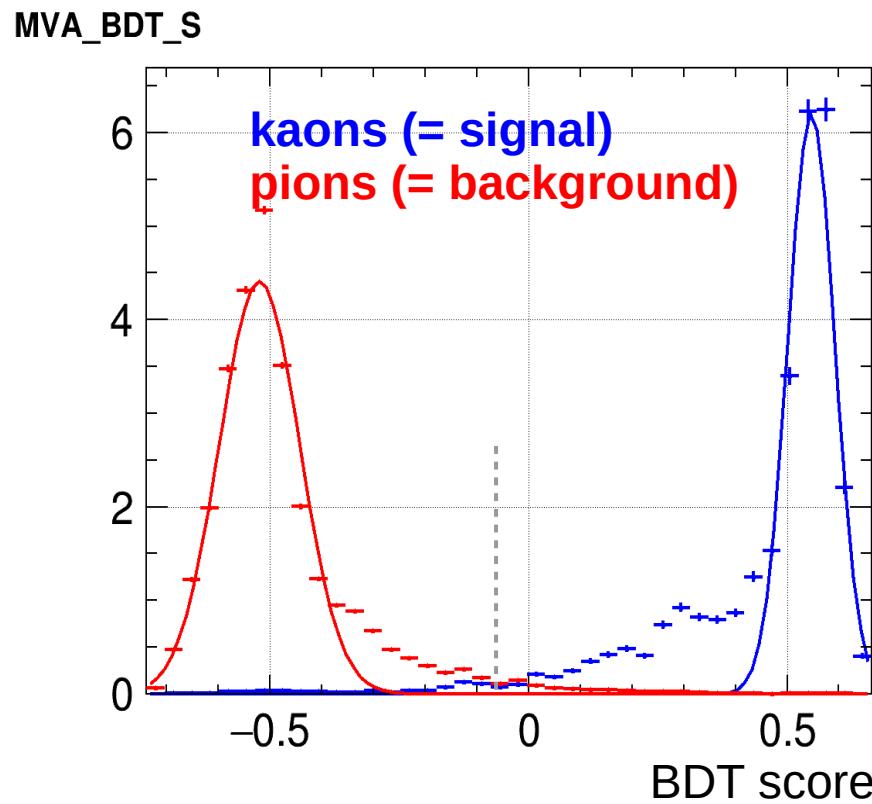
- Find cut with  $\text{mis-ID} = 1 - \text{efficiency} = p\text{-value} \rightarrow \text{find Gaussian quantile}$   
→ compute  $Z = 2 \cdot \text{quantile}$  of standard Gauss



K. Götzen:  
[https://indico.gsi.de/event/7080/contributions/31950/attachments/22952/28789/pid\\_kgoetzen\\_separationpower.pdf](https://indico.gsi.de/event/7080/contributions/31950/attachments/22952/28789/pid_kgoetzen_separationpower.pdf)

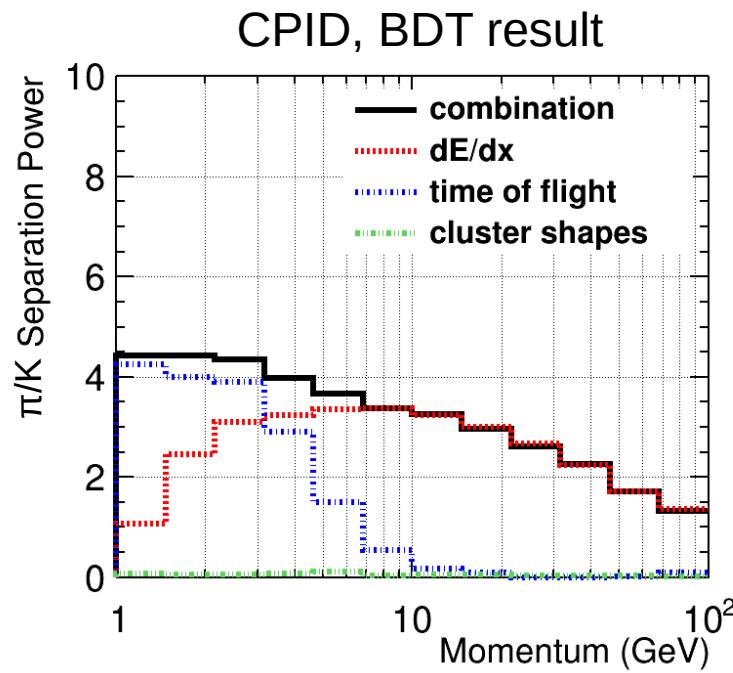
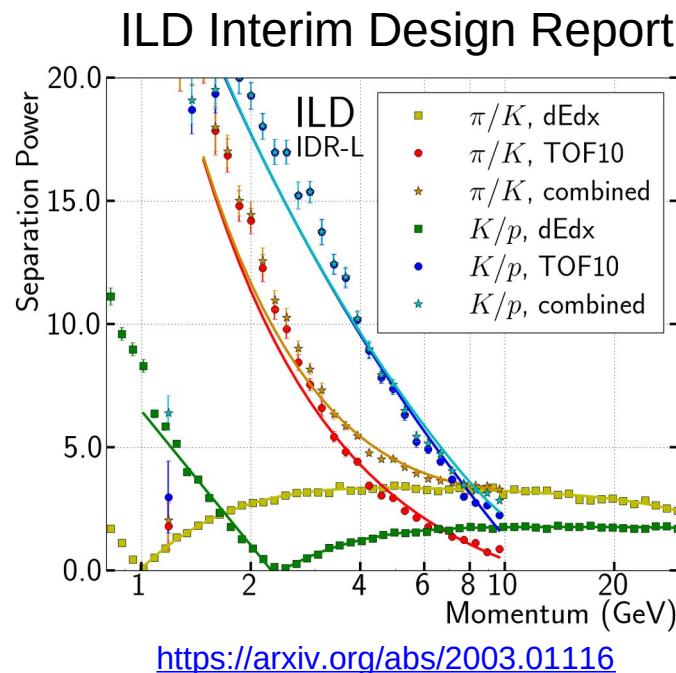
# p-value Assessment

- ‘Central tail split’ of BDT score is equivalent to crossing point of ROC curve with  $x=y$  line



# Example 1: $\pi/K$ Separation with Combined Observables

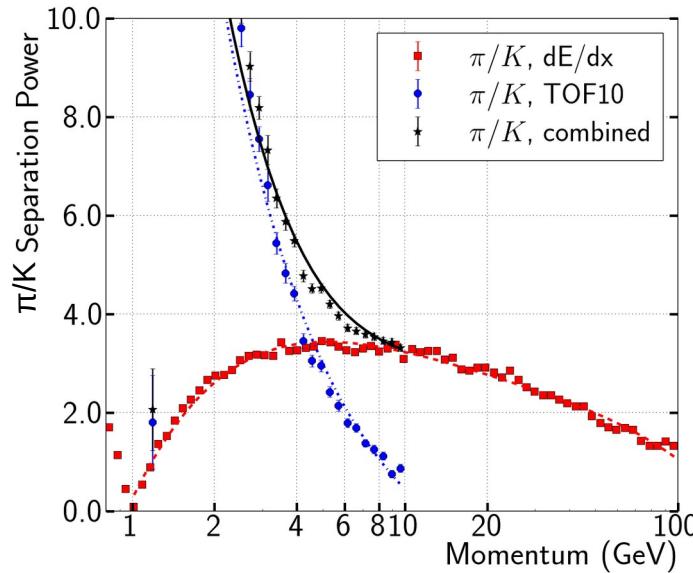
- $dE/dx + \text{TOF}$
- Single particles ‘calibration’ events, flat in  $\log(p)$  and  $\cos(\theta)$
- BDT with sig = K, bkg =  $\pi$ ; train & infer per 12 mom bins and per used observable(s)



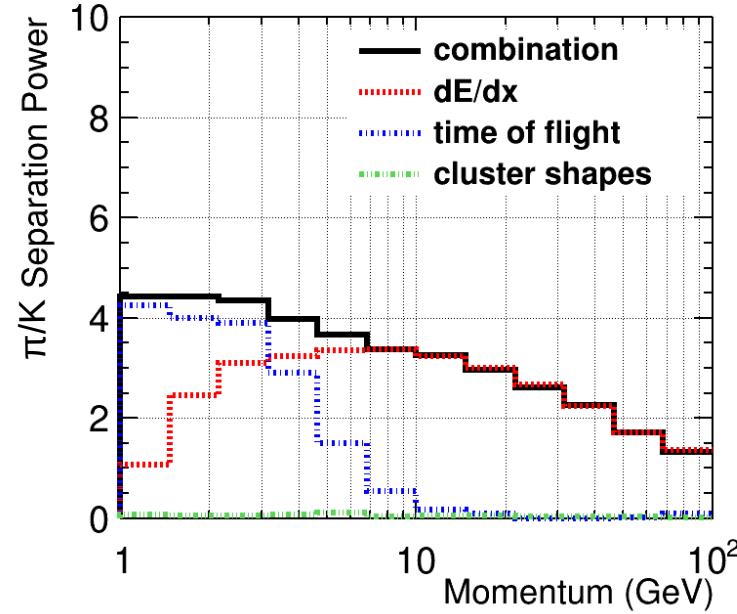
# Example 1: $\pi/K$ Separation with Combined Observables

- $dE/dx$  very similar
- TOF levels out at low momenta due to misreconstructed events, which cause a constant finite background in the p-value assessment
  - still covers  $dE/dx$  blind spot,  $S > 4$  still good enough!
  - same performance, less ‘fancy’ way to show it, but more honest

analogue to ILD Interim Design Report

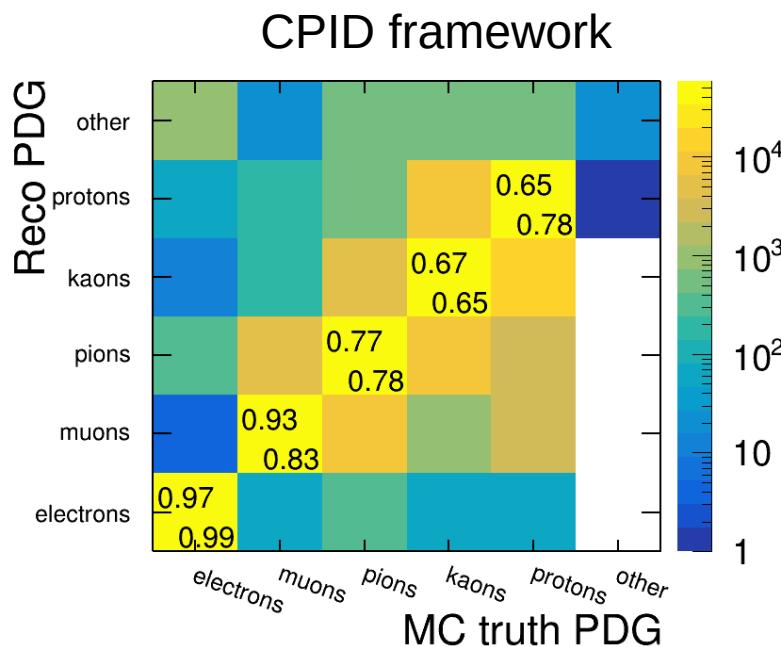
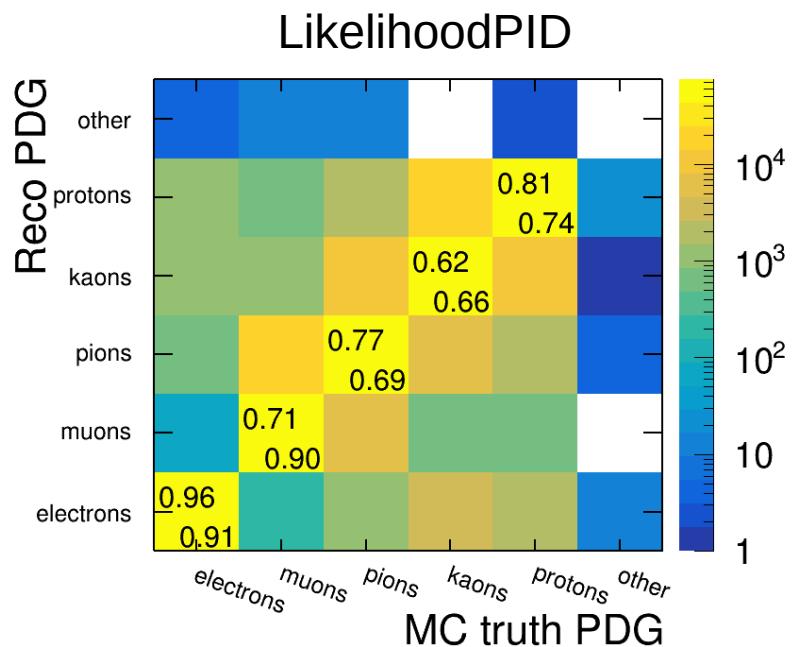


CPIX, BDT result



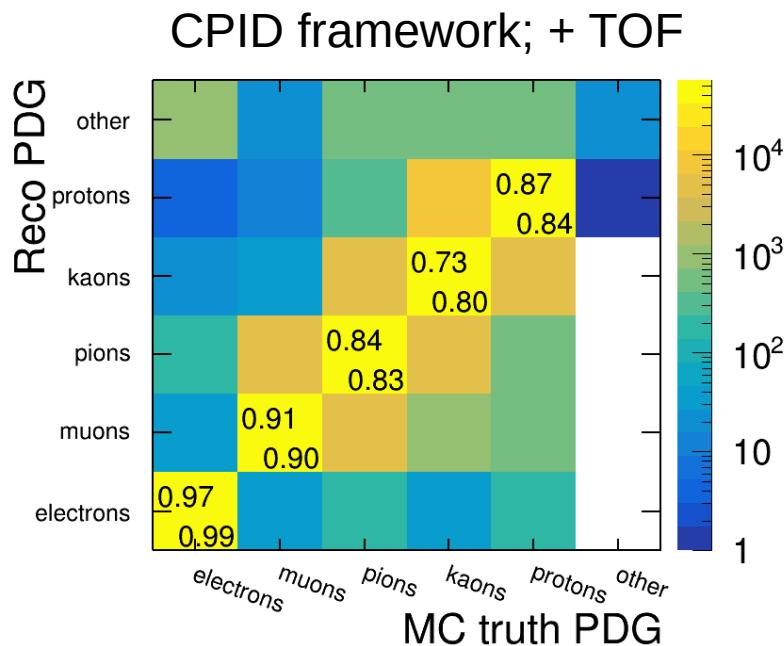
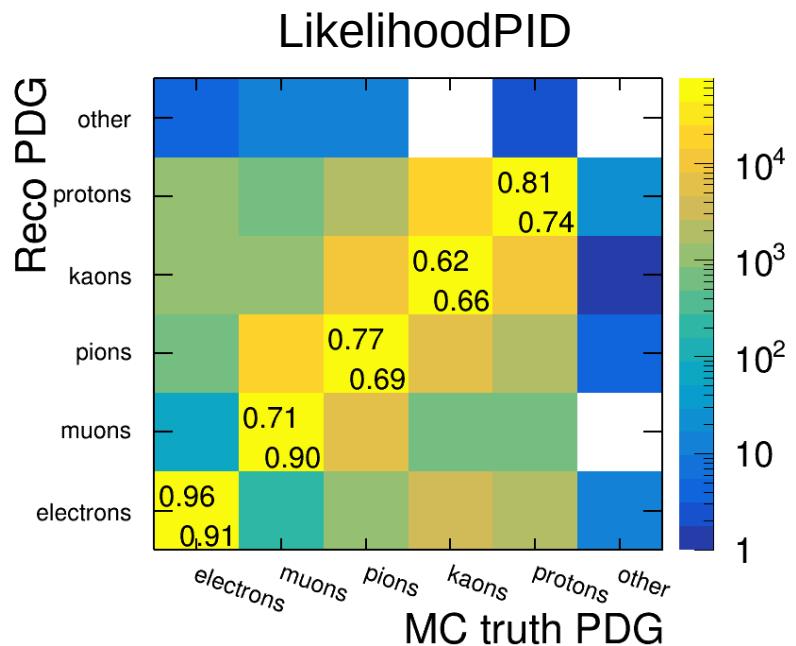
## Example 2: Multiclass Confusion Matrix

- $dE/dx +$  calorimeter cluster shapes
- Single particle ‘calibration’ events, flat in  $\log(p)$  and  $\cos(\theta)$
- $e, \mu, \pi, K, p$ ; multiclass BDT; confusion matrix with  $^{eff}/pur$  on diagonal
- CPID with simple BDT already competitive to LikelihoodPID



## Example 2: Multiclass Confusion Matrix

- $dE/dx +$  calorimeter cluster shapes
- Single particle ‘calibration’ events, flat in  $\log(p)$  and  $\cos(\theta)$
- $e, \mu, \pi, K, p$ ; multiclass BDT; confusion matrix with  $\text{eff}/\text{pur}$  on diagonal
- Addition of TOF gives immediately better result – previously hard, easy in CPID



# Summary

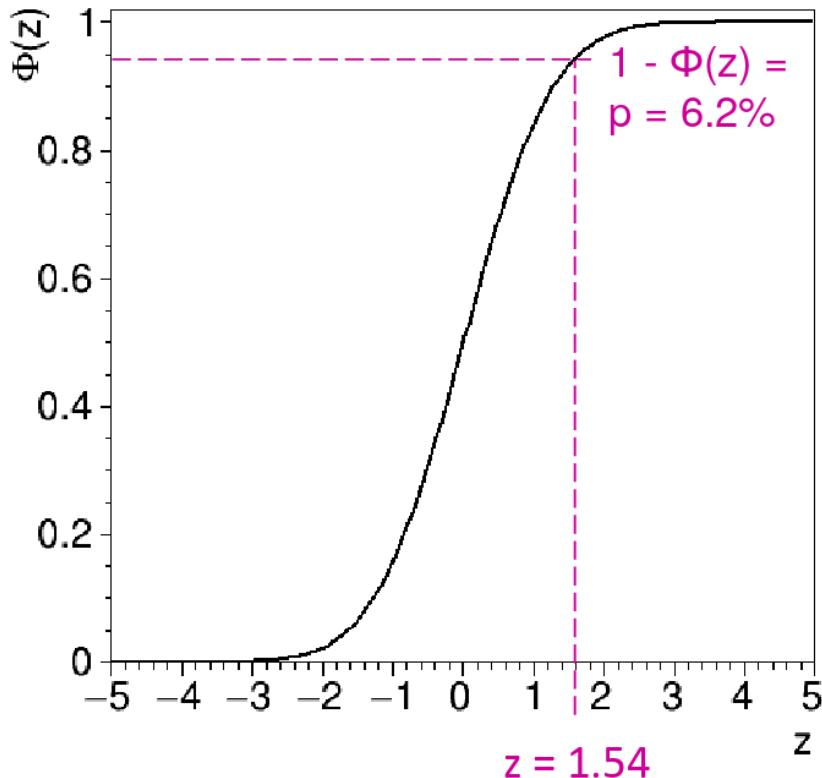
- New Comprehensive PID framework under development
- Aims to provide common platform for future e+e- Higgs factories
- Allow for
  - combining and comparing PID technologies
  - assessing on full detector level with robust performance quantities
  - easy-to-use retraining and flexible adaptation
- First performance indicators already comparable to state-of-the-art
- Application to ongoing ILD physics analyses under discussion
- Your feedback and input are welcome!

# What is particle identification / PID

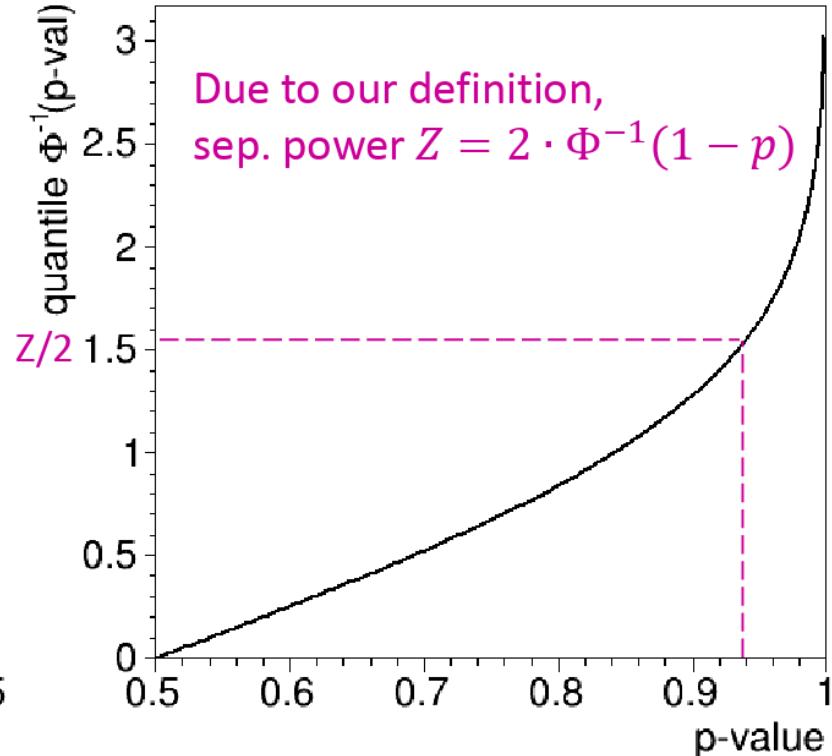
- Identification of the species of high energy particles
  - e.g.  $e$ ,  $\mu$ ,  $\gamma$ ,  $\pi$ ,  $K$ ,  $p$ ,  $\Lambda$ ,  $n$ , [*whatever is detector-stable*]
- Focus of dedicated PID systems is on charged hadron separation, specifically kaon ID
- Dedicated electron and muon ID relevant at lower momenta
- Also don't forget V0s:  $K^0_S$ ,  $\Lambda$

# p-value assessment

Gaussian quantile is inverse of distribution function  $\Phi(z) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^z e^{-z^2/2} dz$



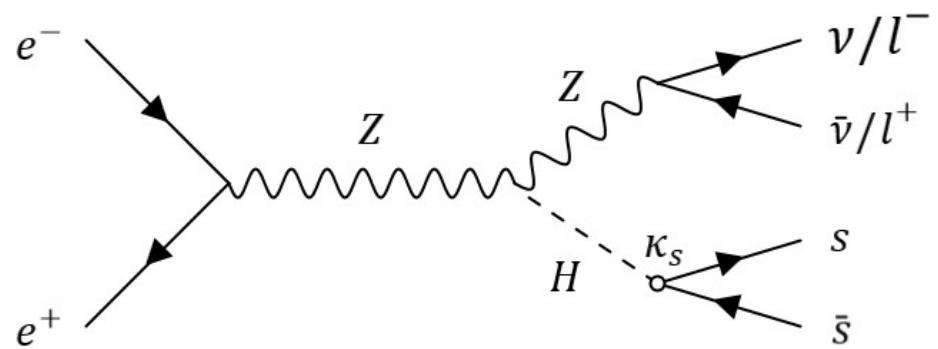
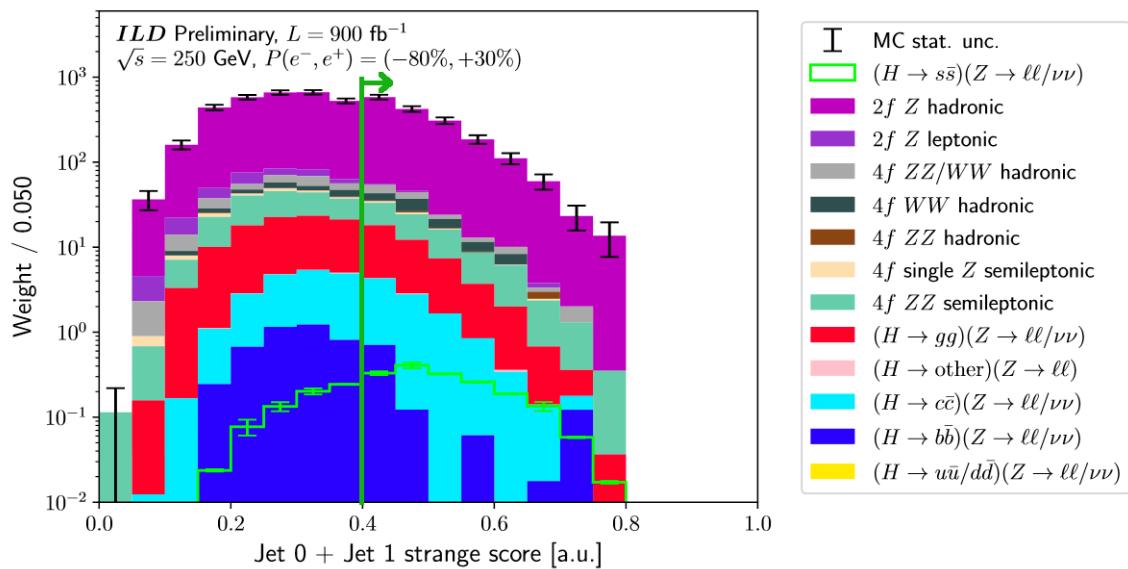
```
ROOT::Math::gaussian_cdf(z)
```



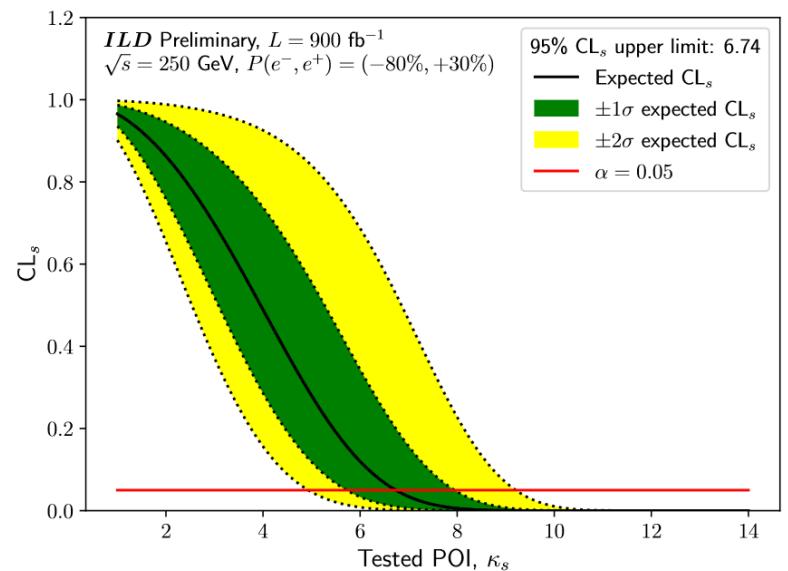
```
ROOT::Math::gaussian_quantile_c(p,1)
```

# Higgs to strange

- Study Higgs to strange coupling
- Cute-based analysis, final cut:  
developed strange tagger using  $K^\pm$ ,  $K^0_s$ ,  $\Lambda^0$   
→ allows to cut background by factor 3
- Results in upper limit on  $\kappa_s < 6.7$



<https://arxiv.org/abs/2203.07535>



# View of the steering file

- Steering file
  - input sample
  - observables algorithms
  - signal categories PDGs
  - evaluation algorithm
  - weight file
  - sample cuts etc.

```
<processor name="MyComprehensivePIDProcessor" type="ComprehensivePIDProcessor">

<parameter name="PFOCollection" type="string" value="PandoraPF0s"/>

<parameter name="inputAlgoSpecs" type="StringVec">
    dEdx
    TOF:TOF0
    TOF:TOF10
    TOF:TOF50
    dEdx_RCD:dEdx_RCD
</parameter>

<parameter name="dEdx.F" type="FloatVec" value="1 2 3"/>
<parameter name="dEdx.S" type="StringVec" value="a b c"/>

<parameter name="TOF0.S" type="StringVec" value="TOFEstimators0ps" />
<parameter name="TOF10.S" type="StringVec" value="TOFEstimators10ps" />
<parameter name="TOF50.S" type="StringVec" value="TOFEstimators50ps" />

<parameter name="dEdx_RCD.F" type="FloatVec">
    -1.28883368e-02  2.72959919e+01   1.10560871e+01  -1.74534200e+00  -9.84887586e-07
    6.49143971e-02   1.55775592e+03   9.31848047e+08   2.32201725e-01   2.50492066e-04
    6.54955215e-02   8.26239081e+04   1.92933904e+07   2.52743206e-01   2.26657525e-04
    7.52235689e-02   1.59710415e+04   1.79625604e+06   3.15315795e-01   2.30414997e-04
    7.92251260e-02   6.38129720e+04   3.82995071e+04   2.80793601e-01   7.14371743e-04
</parameter>

</processor>
```