

On behalf of MicroBooNE





NATIONAL

ACCELERATOR LABORATORY

SLAC November, 2021



Introduction MicroBooNE The DL Analysis Results Summary

#### Introduction

Overview Motivation

#### MicroBooNE

Detector specs Working principles

#### **DL** analysis

Analysis choices Analysis chain

#### Results

DL results Other results

#### Summary

11/2/2021



## Overview

- The standard model of particle physics
  - Particle content
  - Forces
- Although describes well much of phenomenology, we know it is only an approximate theory.
- DM, Gravity, g-2, and more...
- Many question arising specifically from the neutrino sector.





## Overview

0 Sstrange C g U Higgs bosor W boson e electron **Neutrinos live here!** 

- Neutrinos are the most abundant massive particle in the Universe.
- Electrically neutral
- Very small cross section.
- Present many anomalies which cannot be explained within the SM (e.g., mass).



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MicroBooNE's new results from the 2-body CCQE DL-based search for an electron neutrino excess







## Overview

- Neutrino oscillation is a quantum mechanical effect, occurring on macroscopic scales
- First predicted in 1957 by Pontecorvo
- Neutrinos are produced in interaction eigenstate ( $v_e$ ,  $v_\mu$ ,  $v_\tau$ ), but propagate in mass eigenstate ( $v_1$ ,  $v_2$ ,  $v_3$ )

$$|\nu_i(L)\rangle = e^{-i\frac{m_i^2 L}{2E}} |\nu_i(0)\rangle$$

L- baseline E<sub>v-</sub> neutrino energy

 $P_{\alpha \to \beta} = \left| \left\langle \nu_{\beta}(L) \left| \nu_{\alpha}(0) \right\rangle \right|^{2}$ 

$$p_{\alpha \to \beta} = \left| \sum U_{\alpha i}^* U_{\beta i} e^{-\frac{i(m^2 L)}{2E}} \right|^2$$



## **Overview**

- Neutrino oscillation is a quantum ۲ mechanical effect, occurring on macroscopic scales
- Neutrinos are produced in interaction • eigenstate ( $v_e$ ,  $v_\mu$ ,  $v_\tau$ ), but propagate in mass eigenstate  $(v_1, v_2, v_3)$
- We can probe only  $\Delta m^2$ •
- Simple example 2 neutrino case

$$\begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \cdot \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$$
 L-baseline   
  $E_{v_{-}}$  neutrine   
  $p_{osc} = \sin^2(2\theta) \cdot \sin^2\left(1.27 \cdot \frac{\Delta m_{12}^2 L}{E_v}\right)$   $\Delta m_{12}^2 \equiv m$ 

$$\varDelta m_{12}^2 \equiv m_1^2 - m_2^2$$





#### Overview

- SM case 3 flavors
- PMNS matrix has
  - 3 mixing angles
  - 1 CP violating phase
- Different L/E probe different mixing angles





#### Overview



11/2/2021



#### Motivation













#### Motivation

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0.8

0.6

0.4

1.2

L/E, (meters/MeV)

1.4

PRD 64,112007 (2001)



### Motivation





### Motivation











#### **MicroBooNE**

Introduction MicroBooNE The DL Analysis Results Summary



180 collaborators 40 postdocs 60 grad students (40% international students)

**36 institutions** 

**5** countries



#### **MicroBooNE**





## MicroBooNE

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#### SLAC ubooners:

- Ran Itay
- Yun-Tse Tsai
- Tracy Usher
- Mark Convery
- Kazu Terao



#### **MicroBooNE**











#### **MicroBooNE**

#### Short Baseline Neutrino (SBN)

#### program

- SBND
- ICARUS

#### MicroBooNE

- Same beam
- Similar baseline
- using LArTPC to better distinguish  $e/\gamma$





## **MicroBooNE**

- Micro Booster Neutrino Experiment
  - First large scale LAr TPC constructed in the U.S.
  - 85 ton Liquid Argon (LAr) TPC (active mass)
  - Operating since 2015
  - Longest Running LArTPC ~500k neutrinos collected
  - Surface detector (~5 kHz cosmics)
- Goals
  - LEE search
  - Cross-section measurements
  - R&D for DUNE





### **MicroBooNE**

• Three wire planes

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- 2 induction planes • (2,400 wires each).
- Sense Wires V V wire plane waveforms 1 collection plane Liquid Argon TPC (3,456 wires). **Charged Particles** 3mm wire pitch. ---**>**0 -->0 -->0 Cathode ->0 • 32 8" PMT Plane ←<sub>Edrift</sub> 273V/cm t Y wire plane waveforms



#### **MicroBooNE**







#### **MicroBooNE**

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Vs.



#### **MicroBooNE**





#### **MicroBooNE**

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**µBooNE**  $\nu_{\mu}$ Run 3493 Event 41075, October 23<sup>rd</sup>, 2015 75 cm

• Shower Vs Track distinct topologies



#### **MicroBooNE**

- Shower Vs Track distinct topologies
- γ Vs e Gap from vertex





### **MicroBooNE**

- Four independent analyses targeting different final states, hence probing different theoretical models
- Single photon analysis
  - 1. Targeting NC  $\Delta \longrightarrow$  N $\gamma$  hypothesis (1 $\gamma$ 0p, 1 $\gamma$ 1p)

- Analyses searching for a ve rate excess
  - 2. Restricting to quasi-elastic kinematics (1e1p)
  - 3. MiniBooNE-like final states (1eNp, 1e0p)
  - 4. All  $v_e$  final states (**1eX**)





## MicroBooNE



- Four independent analyses targeting different final states, hence probing different theoretical models
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  - 1. Targeting NC  $\Delta \longrightarrow$  N $\gamma$  hypothesis (1 $\gamma$ 0p, 1 $\gamma$ 1p) arXiv: 2110:00409

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#### **MicroBooNE**

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No evidence for enhance rate of single photons from NC  $\Delta \rightarrow N\gamma$  decay Disfavor the interpretation of the MiniBooNE anomalous excess as a factor of 3.18 enhancement to the rate NC  $\Delta \rightarrow N\gamma$ , in favor of the nominal prediction at 94.8% CL



### **MicroBooNE**

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Elevate this normalization scaling to a continuous parameter,  $x_{\Delta}$ , and perform a fit to extract the best fit and classical confidence intervals, via the Feldman-Cousins procedure

Small under fluctuation results in best fit  $x_{\Delta} = 1$ , SM is within 1 sigma





## MicroBooNE

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- Four independent analyses targeting different final states, hence probing different theoretical models
- Single photon analysis
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- $\bullet$  Analyses searching for a  $\nu_e$  rate excess
  - 2. Restricting to two-body quasi-elastic kinematics (1e1p) -DL
  - 3. MiniBooNE-like final states (1eNp, 1e0p)
  - 4. All v<sub>e</sub> final states (**1eX**)

arXiv: 2110.14054 ; arXiv: 2110.14080 ; arXiv: 2110.14065 ; arXiv: 2110.13978

#### http://ubdllee.org





### **MicroBooNE**



- Unfold 2018 MiniBooNE excess under ve hypothesis
  - **Considers only Ev dependence** •
- Derive scaling template to model enhancement of intrinsic ve rate in the Booster Neutrino Beam
- Does the data prefer the  $v_e$  prediction or this simple "eLEE" model?
  - $\Delta \chi^2$  hypothesis testing



6

LEE Model Weight

1



### **MicroBooNE**

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Three independent searches across multiple single electron final states

• Exclusive two-body charged-current quasi-elastic (CCQE) ve scattering [1e1p]



• Semi-inclusive v<sub>e</sub> scattering without final state pions  $[1eNp0\pi (N \ge 1) + 1e0p0\pi]$ 




#### **MicroBooNE**

Introduction MicroBooNE The DL Analysis Results Summary

Three independent searches across multiple single electron final states





#### MicroBooNE

- In today's talk I will be presenting results based on ~6.67x10<sup>20</sup> (DL specific) protons-on-target (POT) from Runs 1-3
- These were blind analyses, so all development and validation took place first using a small unblinded 0.4x10<sup>20</sup> POT from Run 1 sample (~1/17<sup>th</sup> the size) and 0.1x10<sup>20</sup> POT from Run 3 sample
- Sequential unblinding
  - 700-1200 MeV
  - 500-700 MeV
  - 200- 500 MeV









#### The Exclusive analysis, looking only for CCQE two-body topologies using deep-learningbased reconstruction



## The DL Analysis

Introduction MicroBooNE The DL Analysis Results Summary

# Exclusive analysis, looking only for CCQE two-body topologies (1ℓ1p).

- Expected signal peaks at low energies (200-500) MeV.
- The dominant cross section in these energies is QE.
- QE interactions are better understood.







#### The DL Analysis

 $E_{\nu}^{range} *$ 

 $E_{\nu}^{QE-p}$ 

 $E_{\nu}^{QE-\ell}$ 

 $\Delta^{QE}$ 

Introduction MicroBooNE The DL Analysis Results Summary

## Exclusive analysis, looking only for CCQE two-body topologies $(1\ell 1p)$ .



$$E_{p} + E_{\ell} - (m_{n} - E_{b})$$

$$\frac{E_{p}(m_{n} - E_{b}) + \frac{1}{2}(m_{\ell}^{2} - (m_{n} - E_{b})^{2} - m_{p}^{2})}{(m_{n} - E_{b}) + |\vec{p}_{p}|\cos\theta_{p} - E_{p}}$$

$$\frac{E_{\ell}(m_{n} - E_{b}) + \frac{1}{2}(m_{p}^{2} - (m_{n} - E_{b})^{2} - m_{\ell}^{2})}{(m_{n} - E_{b}) + |\vec{p}_{\ell}|\cos\theta_{\ell} - E_{\ell}}$$

$$\sqrt{\left(E_{\nu}^{QE-p}-E_{\nu}^{QE-\ell}\right)^{2}+\left(E_{\nu}^{QE-p}-E_{\nu}^{range}\right)^{2}+\left(E_{\nu}^{QE-\ell}-E_{\nu}^{range}\right)^{2}}$$

- Selected events, are kinematically consistent with two-body scattering.
- purely physics-based separation, e.g.,
  - forward going protons.
  - reconstructed energy consistent with CCQE.
  - near unity Bjorken-X.
- Not many background interactions pass these requirements.



#### The DL Analysis

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#### **Deep-learning-based reconstruction**

- Treating our data as sets of images.
- An example 90 × 90 cm<sup>2</sup> image, cropped around interaction.
- Allows utilizing the great capabilities of deep learning algorithms.
- Pixel intensity integrated signal over 6 time-ticks.
- Pixel resolution is  $3 \times 3.3$  mm.







#### **The DL Analysis**

- Use of SSCN, more efficient for our sparse data (<0.5% important pixels) cvpr:2018; arxiv:1706.01307
- UResNet a hybrid of Unet & ResNet ٠
- Single hot labels ٠ Highly Ionizing Particles ( protons ) Minimum Ionizing Particles (  $\mu$  ,  $\pi^{\pm}$  ) Track shower (e,  $\gamma$ ), delta (knock-on electron), Shower Michel electrons (decay of muons)
- Predictions in 2D different network per plane ٠



0.859

0.992

0.996

Shower

0.998

0.823









## The DL Analysis

- Multiple Particle IDentification
- Image based identification CNN
- For each event gives 5 scores (p, e, γ, μ, π)









- Orthogonality Cut (1e1p or 1µ1p candidates)
   Shower fraction in most shower-like cluster.
  - > 0.2  $\rightarrow$  1*e*1*p* candidate.
  - <=  $0.2 \rightarrow 1\mu 1p$  candidate.





#### The DL Analysis

- Broad data quality selection.
  - E.g., forward going proton
- Variation of "Random BDT Forest" taking the average score. Reduces bias and variation. Especially important for low statistics event samples.
  - 19 kinematic variables (e.g., QE consistency)
  - 4 ionization variables (e.g., shower labeled pixel fraction)
- Particle content criteria.
  - MPID
  - $\pi^0$  rejection





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- The selected background  $v_{\mu}$  simulation sample suffers from low statistics.
- We use an empirical fit to the simulation and produce background predictions (reducing uncertainties from MC size)





- Final selection (MC)
  - Purity 75% (all CCQE events)
  - Efficiency 6.6% (all CCQE events)
- Compromising efficiency for high purity.





#### The DL Analysis

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• We use dedicated  $\pi^0$  samples to measure our dominant background.







#### The DL Analysis

- A small (within systematic) deficit is observed.
- We use a data-driven method to re-weight the MC.
- All simulations with  $\pi^0$  at the final state, are then re-scaled (for the 1e1p and 1µ1p)
- Also serves as a standard candle for our reconstruction.



arXiv: 2110.11874

Introduction

MicroBooNE

The DL Analysis Results



## The DL Analysis

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#### We use the $1\mu 1p$ sample to constrain.

- Broad data quality selections
- Variation of "Random BDT Forest" taking the average score.
- MPID proton score > 0.9 rejecting cosmic rays
- Final selection:
  - Purity of 77.3% (all CCQE events)
  - Efficiency of 4.3% (all CCQE events)













## The DL Analysis

- Detector systematic uncertaies are evaluated by comparing the detector variation to the central value MC <u>MicroBooNE Pub-note 1075</u>
- Detector systematics MC samples suffer from large statistical fluctuations.
- We mitigate that by smoothing the MC spectra using a **KDE** algorithm





#### The DL Analysis

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- Applying the constraint procedure gives the final systematic uncertainty budget.
- Allows comparison to data and not to GENIE model



$$\Sigma = \begin{pmatrix} \Sigma^{ee} \Sigma^{e\mu} \\ \Sigma^{\mu e} \Sigma^{\mu\mu} \end{pmatrix}$$

$$\mu^{e, \text{ constr.}} = \mu^{e} + \Sigma^{e\mu} \left(\Sigma^{\mu\mu}\right)^{-1} \left(x^{\mu} - \mu^{\mu}\right)$$
$$\Sigma^{ee, \text{ constr.}} = \Sigma^{ee} - \Sigma^{e\mu} \left(\Sigma^{\mu\mu}\right)^{-1} \Sigma^{\mu e}$$

where  $x^{\mu}$  is the 1µ1p observation, and µ<sup>µ</sup> (µ<sup>e</sup>) is the 1µ1p (1e1p) prediction



- Applying the constraint procedure gives the final systematic uncertainty budget.
- Notice constraint results in reduction of systematic uncertainty.







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# Results









#### **Results**





#### **Results**

| t the data to MC                          | Nominal Predictions     |                          |         |                          |                      |  |  |
|---|-------------------------|--------------------------|---------|--------------------------|----------------------|--|--|
| t the data to MC                          | Range                   | $H_0$                    |         | $H_1$                    |                      |  |  |
|   |                         | $\chi^2_{ m CNP}/ m dof$ | p-value | $\chi^2_{ m CNP}/ m dof$ | p-value              |  |  |
|   | $200500\mathrm{MeV}$    | 6.06/3                   | 0.138   | 8.30/3                   | 0.053                |  |  |
|   | $2001200\mathrm{MeV}$   | 23.02/10                 | 0.024   | 25.37/10                 | 0.014                |  |  |
|   | Constrained Predictions |                          |         |                          |                      |  |  |
| full analysis range<br>LEE enhanced range | Range                   | $H_0$                    |         | $H_1$                    |                      |  |  |
|   |                         | $\chi^2_{ m CNP}/ m dof$ | p-value | $\chi^2_{ m CNP}/ m dof$ | p-value              |  |  |
|   | $200500\mathrm{MeV}$    | 7.91/3                   | 0.075   | 17.3/3                   | 0.002                |  |  |
| calculated using                          | $2001200\mathrm{MeV}$   | 25.28/10                 | 0.014   | 36.35/10                 | $5.0 \times 10^{-4}$ |  |  |
| 1   |                         |                          |         |                          |                      |  |  |

First looking at agreement

- $H_0 MB = 0$
- $H_1 MB = 1$
- 200-1200 f
- 200-500 I
- P-value are c frequentist approach



#### **Results**

| data ta MC                      | Nominal Predictions     |                          |         |                          |                      |  |  |
|---------------------------------|-------------------------|--------------------------|---------|--------------------------|----------------------|--|--|
| data to MC                      | Range                   | $H_0$                    |         | $H_1$                    |                      |  |  |
|                                 |                         | $\chi^2_{ m CNP}/ m dof$ | p-value | $\chi^2_{ m CNP}/ m dof$ | <i>p</i> -value      |  |  |
|                                 | $200–500{\rm MeV}$      | 6.06/3                   | 0.138   | 8.30/3                   | 0.053                |  |  |
|                                 | $2001200\mathrm{MeV}$   | 23.02/10                 | 0.024   | 25.37/10                 | 0.014                |  |  |
|                                 | Constrained Predictions |                          |         |                          |                      |  |  |
| nalysis range<br>enhanced range | Range                   | $H_0$                    |         | $H_1$                    |                      |  |  |
|                                 |                         | $\chi^2_{ m CNP}/ m dof$ | p-value | $\chi^2_{ m CNP}/ m dof$ | p-value              |  |  |
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| ated using<br>ach               | $2001200\mathrm{MeV}$   | 25.28/10                 | 0.014   | 36.35/10                 | $5.0 \times 10^{-4}$ |  |  |
|                                 |                         |                          |         |                          |                      |  |  |

First looking at the data to MC agreement

- $H_0 MB = 0$
- $H_1 MB = 1$
- 200-1200 full analysis range
- 200-500 LEE enhanced range
- P-value are calculated using **frequentist** approach





Second Comparing the two hypothesis using a  $\Delta \chi^2$  formalisem

- H<sub>0</sub> LEE (x=0)
- $H_1 LEE(x=1)$
- Rejecting  $H_1$  with **3.6** $\sigma$
- Using CLs to mitigate under-fluctuation results in a reduced significance of  $2.4\sigma$





#### **Results**

Finally, signal strength.

- Best fit LEE(x=0)
- We reject LEE(x=0.25) with 90% C.L.

In conclusion, the analysis reported in this paper is inconsistent with observation of an excess of  $\nu_e$  events in the signal range. Hence, these results disfavor explanations of the MiniBooNE low energy excess based purely on  $\nu_e$  interactions.





#### Results

Introduction MicroBooNE The DL Analysis Results Summary

Three independent searches across multiple single electron final states

• Exclusive two-body charged-current quasi-elastic (CCQE) ve scattering [1e1p]



+

e⁻

• Semi-inclusive v<sub>e</sub> scattering without final state pions  $[1eNp0\pi (N \ge 1) + 1e0p0\pi]$ 

• Inclusive v<sub>e</sub> scattering [1eX]





#### Results




#### **Results**





#### Results

Introduction MicroBooNE The DL Analysis Results Summary

Three independent searches across multiple single electron final states

• Exclusive two-body charged-current quasi-elastic (CCQE) ve scattering [1e1p]



• Semi-inclusive v<sub>e</sub> scattering without final state pions  $[1eNp0\pi (N \ge 1) + 1e0p0\pi]$ 





#### **Results**

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| $\chi^2/ndf$ , eLEE <sub>x=0</sub> |                       |                       |  |  |  |  |  |  |
|------------------------------------|-----------------------|-----------------------|--|--|--|--|--|--|
| Energy region                      | w/o constr.           | w/ constr.            |  |  |  |  |  |  |
| $(0, 2500) { m MeV}$               | 12.55/25              | 17.86/25              |  |  |  |  |  |  |
|                                    | $p_{\rm val} = 0.982$ | $p_{\rm val} = 0.848$ |  |  |  |  |  |  |
| $(0, 600) { m MeV}$                | 4.25/6                | 5.78/6                |  |  |  |  |  |  |
|                                    | $p_{\rm val} = 0.643$ | $p_{\rm val} = 0.448$ |  |  |  |  |  |  |
| $\chi^2/ndf$ , eLEE <sub>x=1</sub> |                       |                       |  |  |  |  |  |  |
| Energy region                      | w/o constr.           | w/ constr.            |  |  |  |  |  |  |
| $(0, 2500) { m MeV}$               | 13.02/25              | 28.24/25              |  |  |  |  |  |  |
|                                    | $p_{\rm val} = 0.976$ | $p_{\rm val} = 0.297$ |  |  |  |  |  |  |
| $(0, 600) M_{eV}$                  | 4.23/6                | 15.73/6               |  |  |  |  |  |  |
| (0, 000) MCV                       | $p_{\rm val} = 0.646$ | $p_{\rm val} = 0.015$ |  |  |  |  |  |  |

Inclusive ve scattering [1eX]

#### 11/2/2021



#### **Results**

Finally, signal strength.

- Best fit LEE(x=0)
- We reject LEE(x=0.5) with 95.5% C.L.





#### Results

- v<sub>e</sub> prediction adequately describes the data across many different kinematic quantities
- Observe v<sub>e</sub> candidate event rates in general agreement **with or below** the predicted rates
- Results from LEE enhanced region









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# Summary

| First series of results (1/2 the MicroBooNE data set) |              |              |                         |              |                       |       |                  |               |          |  |
|---|--------------|--------------|-------------------------|--------------|-----------------------|-------|------------------|---------------|----------|--|
| Reco<br>topology<br>Models                            | 1e0p         | 1e1p         | 1eNp                    | 1eX          | $e^+e^-$<br>+ nothing | e⁺e⁻X | 1γ0p             | 1 <i>ү</i> 1р | 1γΧ      |  |
| eV Sterile v Osc                                      | <b>/</b>     | <b>~</b>     | <b>/</b>                | <b>~</b>     |                       |       |                  |               |          |  |
| Mixed Osc + Sterile $v$                               | <b>1</b> [7] | <b>1</b> [7] | <b>V</b> <sub>[7]</sub> | <b>1</b> [7] |                       |       | <b>/</b> [7]     |               |          |  |
| Sterile v Decay                                       | [13,14]      | [13,14]      | [13.14]                 | [13,14]      |                       |       | [4,11,12,15]     | <b>1</b> [4]  | [4]      |  |
| Dark Sector & Z' *                                    | [2,3]        |              |                         |              | [2,3]                 | [2,3] | <b>/</b> [1,2,3] | [1,2,3]       | [1,2,3]  |  |
| More complex higgs *                                  |              |              |                         |              | [10]                  | [10]  | [6,10]           | [6,10]        | [6,10]   |  |
| Axion-like particle *                                 |              |              |                         |              | [8]                   |       | <b>1</b> [8]     |               |          |  |
| Res matter effects                                    | <b>1</b> [5] | <b>/</b> [5] | <b>/</b> [5]            | <b>/</b> [5] |                       |       |                  |               |          |  |
| SM $\gamma$ production                                |              |              |                         |              |                       |       | <b>/</b>         | <b>/</b>      | <b>/</b> |  |

- First eLEE searches, sets limits on many theoretical models
- Only ½ of the data procesed



Introduction MicroBooNE The DL Analysis Results Summary

## Summary





#### **Summary**

Introduction MicroBooNE The DL Analysis Results Summary

#### **First results**





Introduction MicroBooNE The DL Analysis Results Summary

## Summary





## **Summary**

- Our results are found to be consistent with the nominal v<sub>e</sub> expectations. No excess of v<sub>e</sub> events is observed
- Best fit with simple MiniBooNE e model, on <sup>3</sup>/<sub>4</sub> analyses is at 0
- Reject simple eLEE model of the MiniBooNE low energy excess at >97% for both exclusive and inclusive event classes
- We disfavor the interpretation of MiniBooNE LEE as a x3.18 enhancement of NC  $\Delta \rightarrow N\gamma$  rate at 94.8% CL
- Paper on arxiv, and submitted to PRD+PRL.







#### Questions

