

# SVT Alignment: status and update

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U.S. DEPARTMENT OF  
**ENERGY**

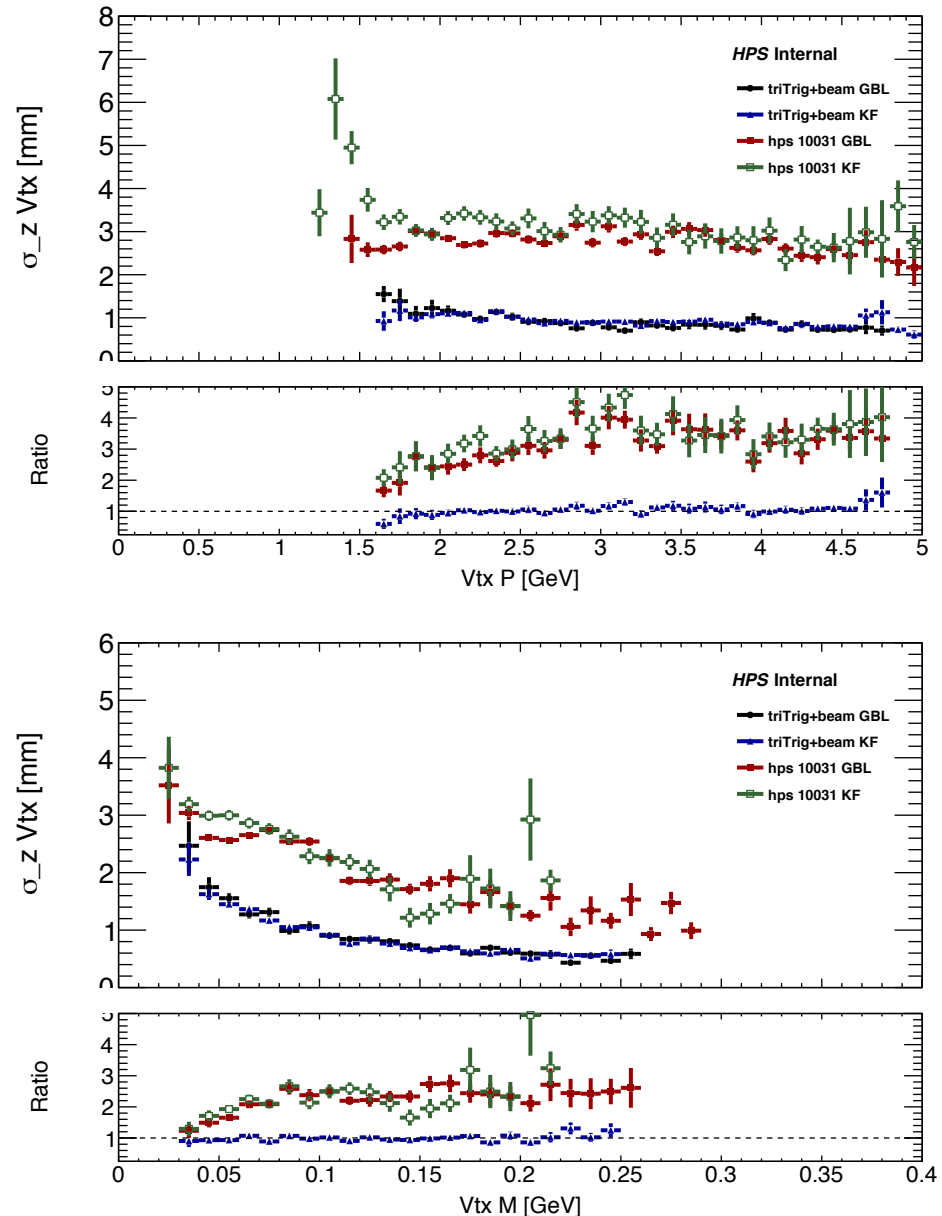
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ACCELERATOR  
LABORATORY

- **Introduction**
  - Results from the April analysis workshop
  - Detector alignment from last collaboration meeting
  - Available data, skims, MC samples for alignment studies
  - Software status and readiness
- **Global alignment tests**
  - Multiple events vertices fits in FEEs
  - Opening angle analysis
  - Current results and status
- **Local alignment tests**
  - MC based MPIL tests
  - Local alignment for 2019 data
- **Current performance aiming for Jeopardy**
  - L1/L2 local alignment
  - Vertex z resolution and bias
- **Conclusions and next steps**

# Introduction - Highlights from April's workshop

- First results on 2019 data and MC readiness were shown at the [April's 2019 Analysis Workshop](#)
- Details on the selection in the backup
- These plots were made on a large fraction 10031 events and triTrig+beam generated by TT back in end of March. **No skims back then**
- MC reproduces the expected resolution plot produced before the upgrade (see [slide 25 of this talk](#) )
- Alignment is top priority for 2019 data processing
- **First results have shown a x2-3 worse resolution wrt trident MC + beam**

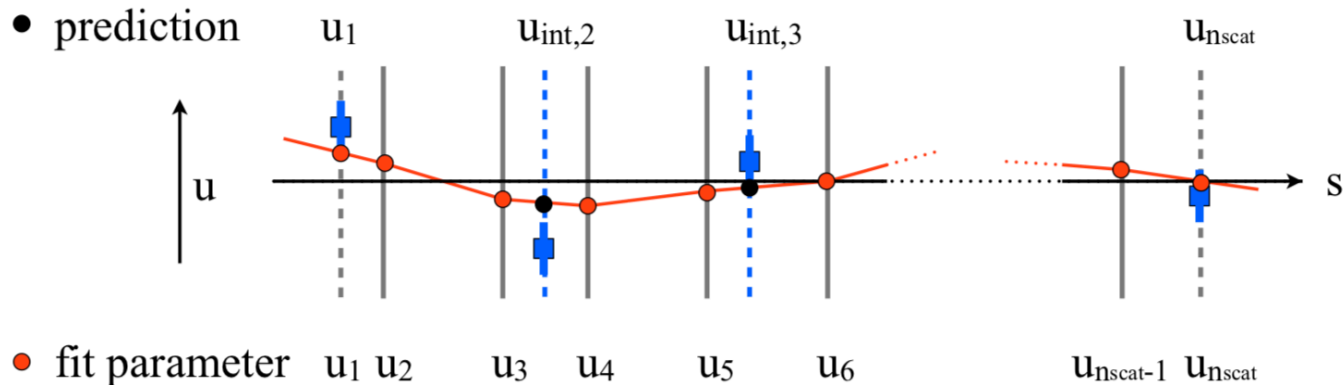


# Introduction - Calibration Data and MC samples

- A set of samples have been selected for the SVT calibration:
  - Full Energy Electron (**FEE**) trigger: **10103** and **10104** B-Field ON
  - **FEE** trigger: **10101** B-Field OFF
    - FEE have high momenta tracks to minimise MCS
  - **V0 skims**: **10031** both with Ecal Cluster on Track (**V0Skims**) or without (**V0SkimsLoose**)
    - Illuminate both electron (hole) and positron (slot) sensors
- The data sets information is summarised [SVT Alignment Skims](#)
- In addition MC samples used for checking perfect geometry are (for the moment):
  - **Tridents** (TriTrig): signal only and signal + beam overlay
- **All through future talks on alignment I'll use L1-L7 nomenclature.**

# GBL Tracking - Recap

- **General Broken Lines (GBL)** is a track refit algorithm that add the description of **multiple scattering to an initial trajectory**
  - Based on propagation in magnetic field
  - Constructed from a sequence of thin scatterers
  - **In the case of silicon detector a scatter also has a measurement (in the form of local residual in the sensitive  $u$  direction)**



- The initial trajectory should be 'close enough' to the solution and provide a reasonable estimate of the particle trajectory
- GBL is used in hps-java to refit helical track fits
- It is **iterated** (5 iterations) in our code to ensure convergence of the track parameters corrections

# Introduction - SW status and readiness - just for reference

- Majority of alignment software is in place since 2016 alignment campaign.
- We use [hps-java](#) with custom steering files for producing
  - Output monitoring files - ROOT format - [hps-DQ-macros](#)
  - Millepede input files for local alignment for [hps-mille](#)
  - SLCIO files for dedicated analysis of the results using [hpstr](#)
- Work in the past month has been made on the alignment chain:
  - **GBL Code review** for global derivatives for local alignment
  - **Fix our MPIL wrappers** for 2019 geometry. MPIL can now run on 2019 data/MC
  - **Tests on MC misalignments** for validation
  - Use of pre-fitted hits for **faster processing** of iterations
  - **Improved monitoring plots/tools** and collect all available monitoring drivers useful for alignment purposes
- More informations available [2019 HPS Alignment Notes](#)

- HPS geometry is implemented in the software without a direct support for MPII global structures alignment
- Since 2016, the strategy to align the detector was divided in aligning first global structures, i.e. front vs back of the detector, top/bottom angles and relative positions ... and then MPII was invoked for aligning the single sensors
- I will go through first tests made on
  - **Global alignment:**
    - Opening angle correction with BField OFF and BField ON
    - Multi Event FEE Vertices
    - Effects on tracking and vertexing
  - **Local alignment:**
    - Validation of MPII on Trident MC for new thin sensors
    - Test of MPII on 2019 V0 skims
    - Effects on tracking and vertexing

## **Global alignment test: Bottom Volume opening angle**

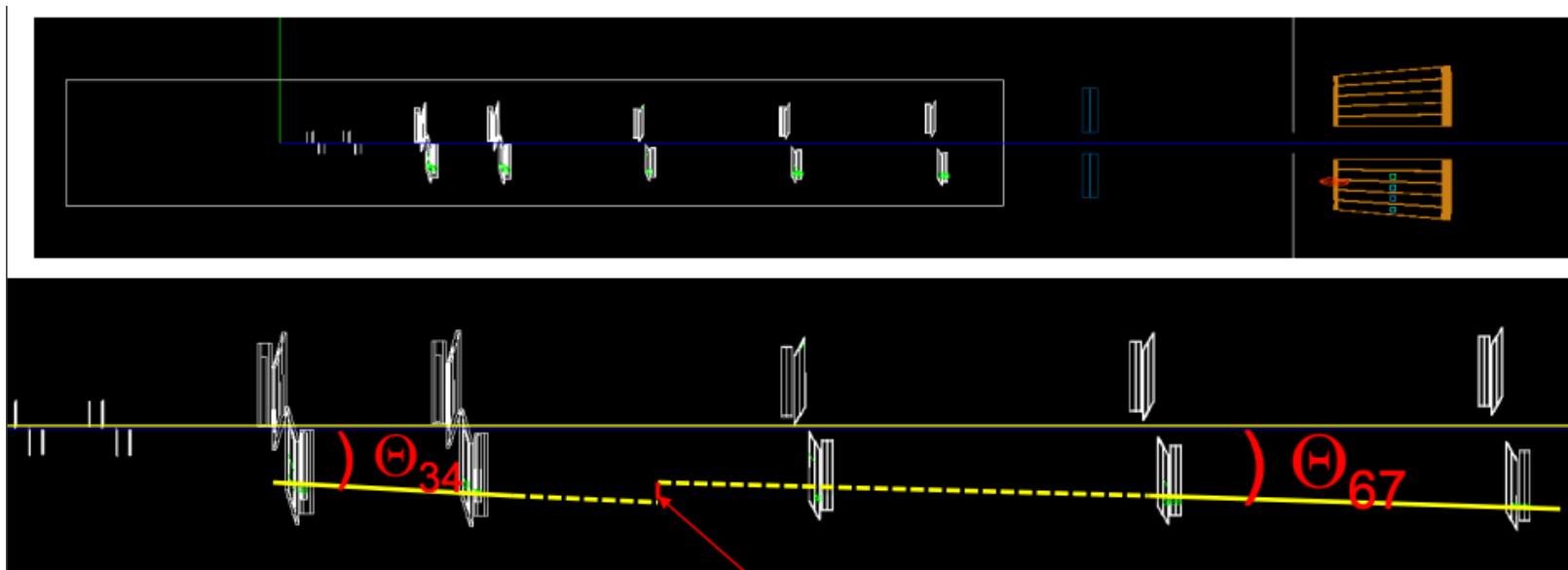


# Global Alignment - Opening Angle studies

- Used **field-off straight tracks** to assess the SVT alignment (run 10101)
- Single cluster events with full energy, 2H02 wire as target (-2267mm upstream), use of 1D strip hits
- Straight tracks do not illuminate L1 & L2, opening angle between front / back from layers 3-4 and 5-6 (**top**) , 3-4 & 6-7 (**bottom**) => impose  $\theta_{34}^y + \Delta\theta_y = \theta_{67}^y$
- Offsets are compared at the pivot point at  $z = 414\text{mm}$
- Similar exercise using **FEE runs 10103 and 10104 (bottom only as Ly7 Top is not functioning in these runs)**

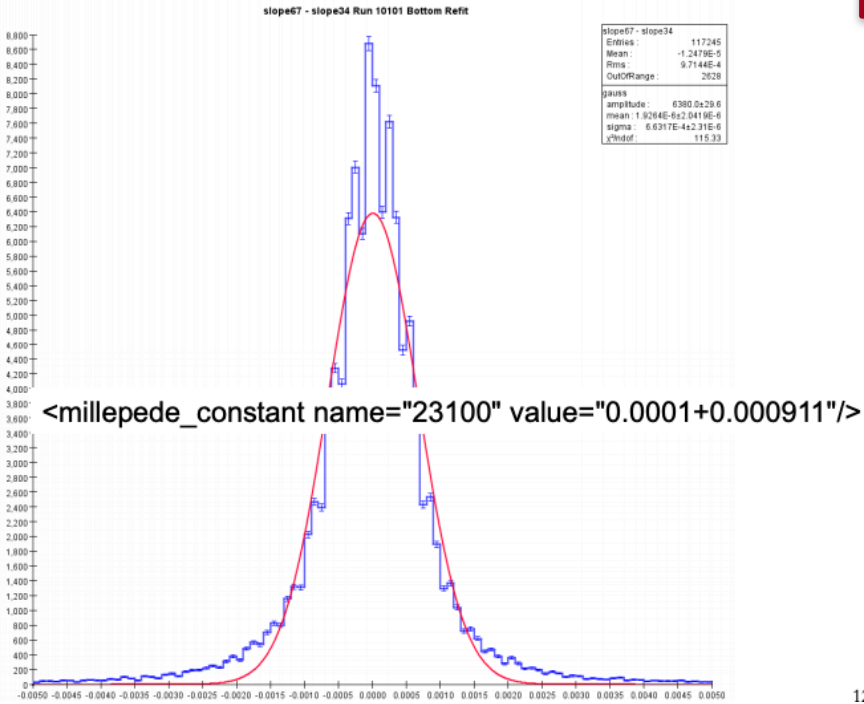
[Norman's talk 10 Sept 2019](#)

[Norman's talk Apr '20 analysis WS](#)



$\Delta Y @ z = 400$

# Global Alignment - Opening Angle studies



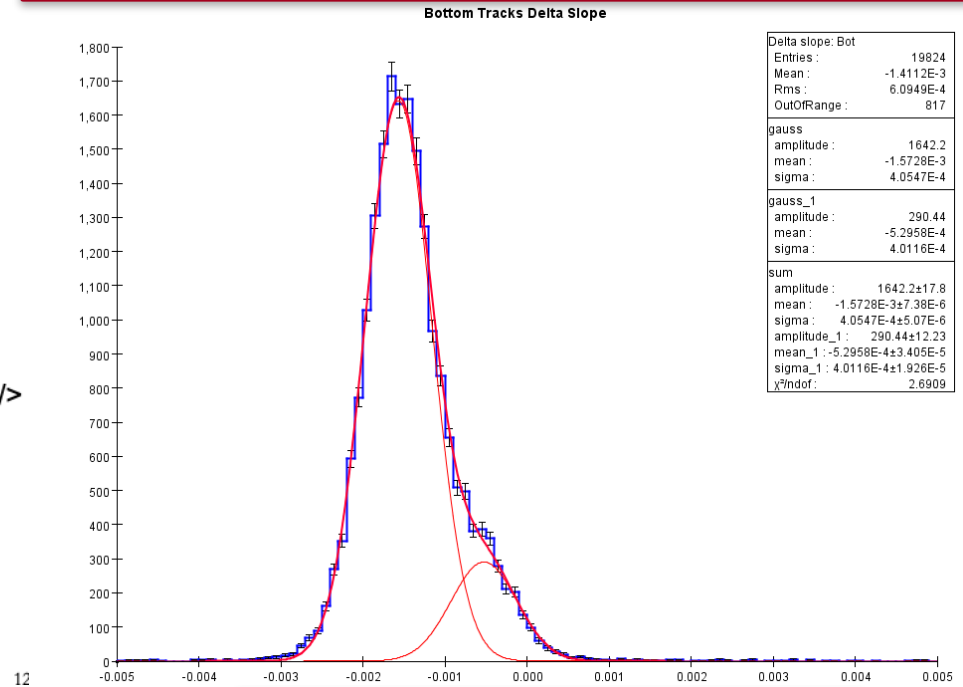
## BFIELD OFF

no L1/L2 hits

$$\Delta\theta_y(\text{bottom}) = 0.9 \text{ mrad}$$

$$\Delta\theta_y(\text{top}) \sim 0 \text{ mrad}$$

<code><millepede\_constant name='23100' value='0.0001+0.00157'/></code>



## BFIELD ON

L1/L2 hits on track

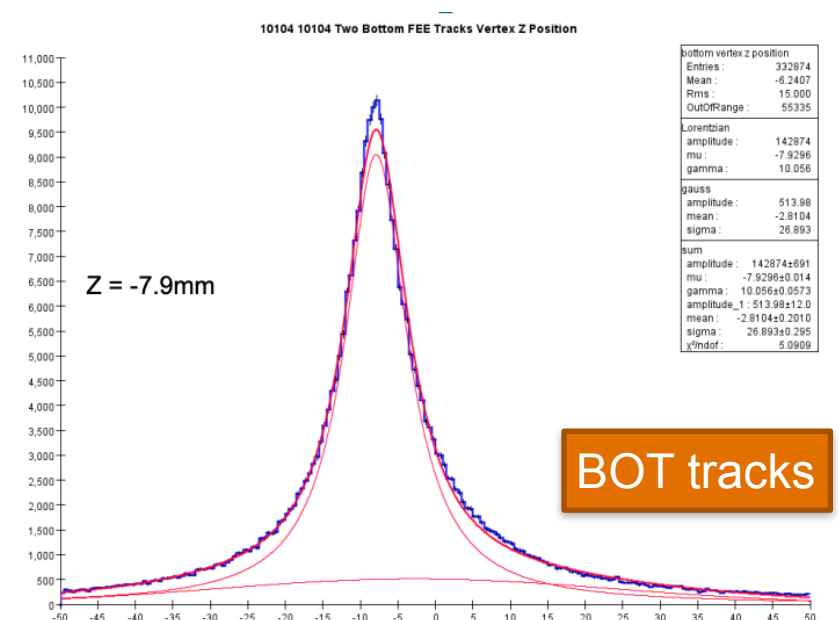
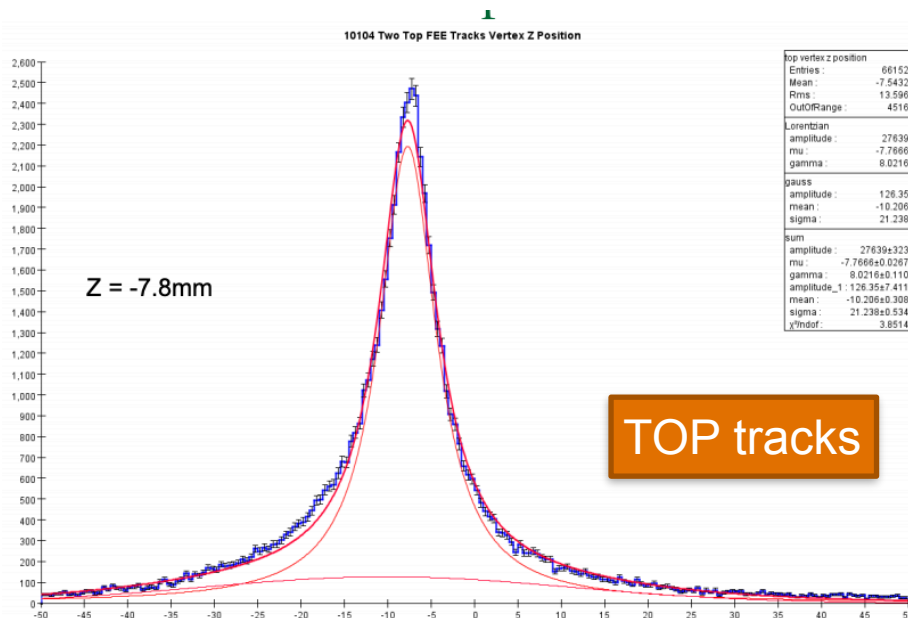
$$\Delta\theta_y(\text{bottom}) = 1.5 \text{ mrad}$$

$$\Delta\theta_y(\text{top}) \text{ N.A. } \rightarrow 0$$

The difference in the two results **might** be due to the tension due to large internal misalignment in the thin layers

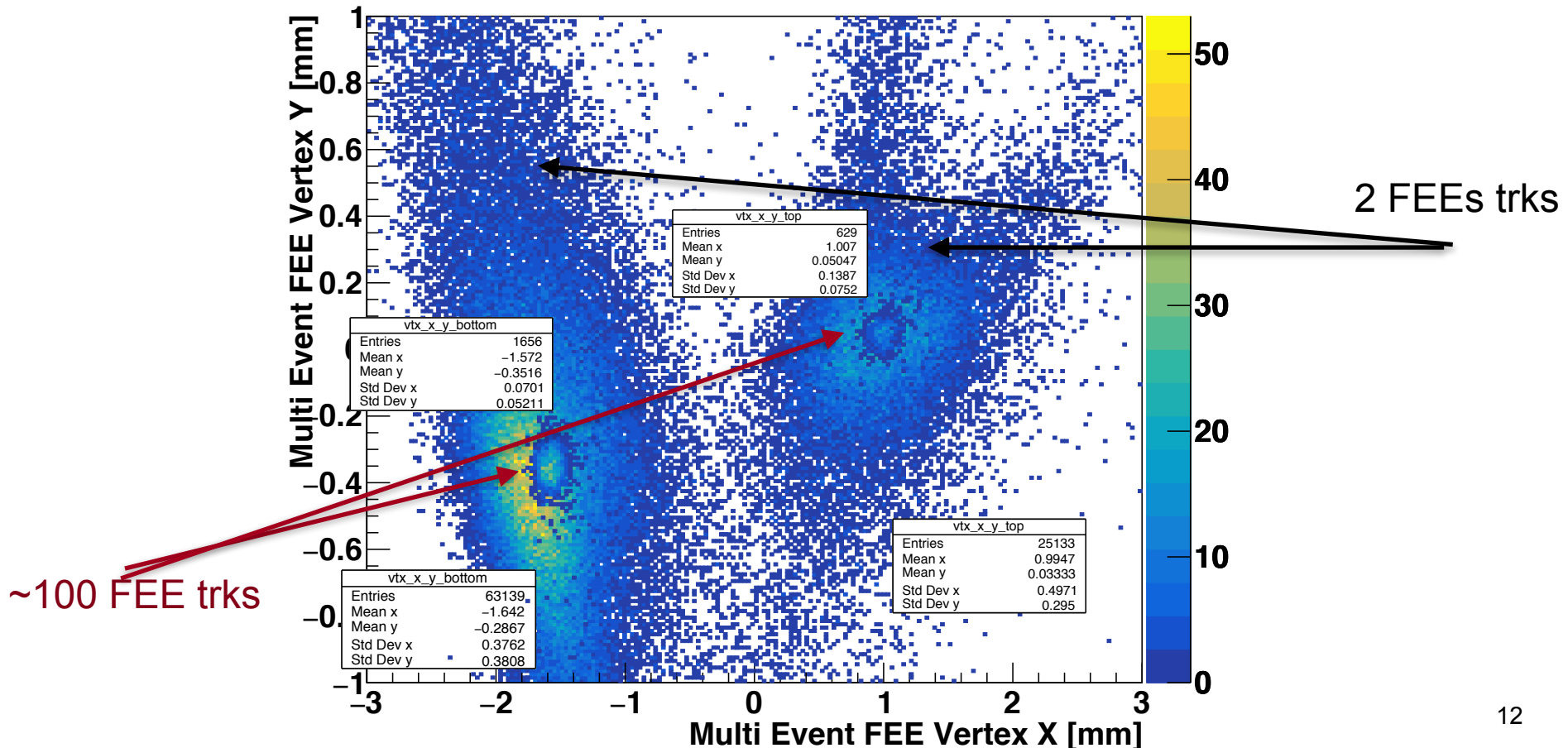
# Global Alignment - Multi events FEE vertexing

- A way to cross-check the effect of opening angle is to **form vertices in top and bottom separately**
- Original study by Norman using **2 FEE tracks** separately for top and bottom from different events and vertex them to get unconstrained vtx position
- The **z position** of the multiple FEE vertex “insensitive” to some global alignment DoF, such as front-back opening angle [see backup]
- The **x-y position** of the vertex can give an indication of  $\Delta\theta_y$



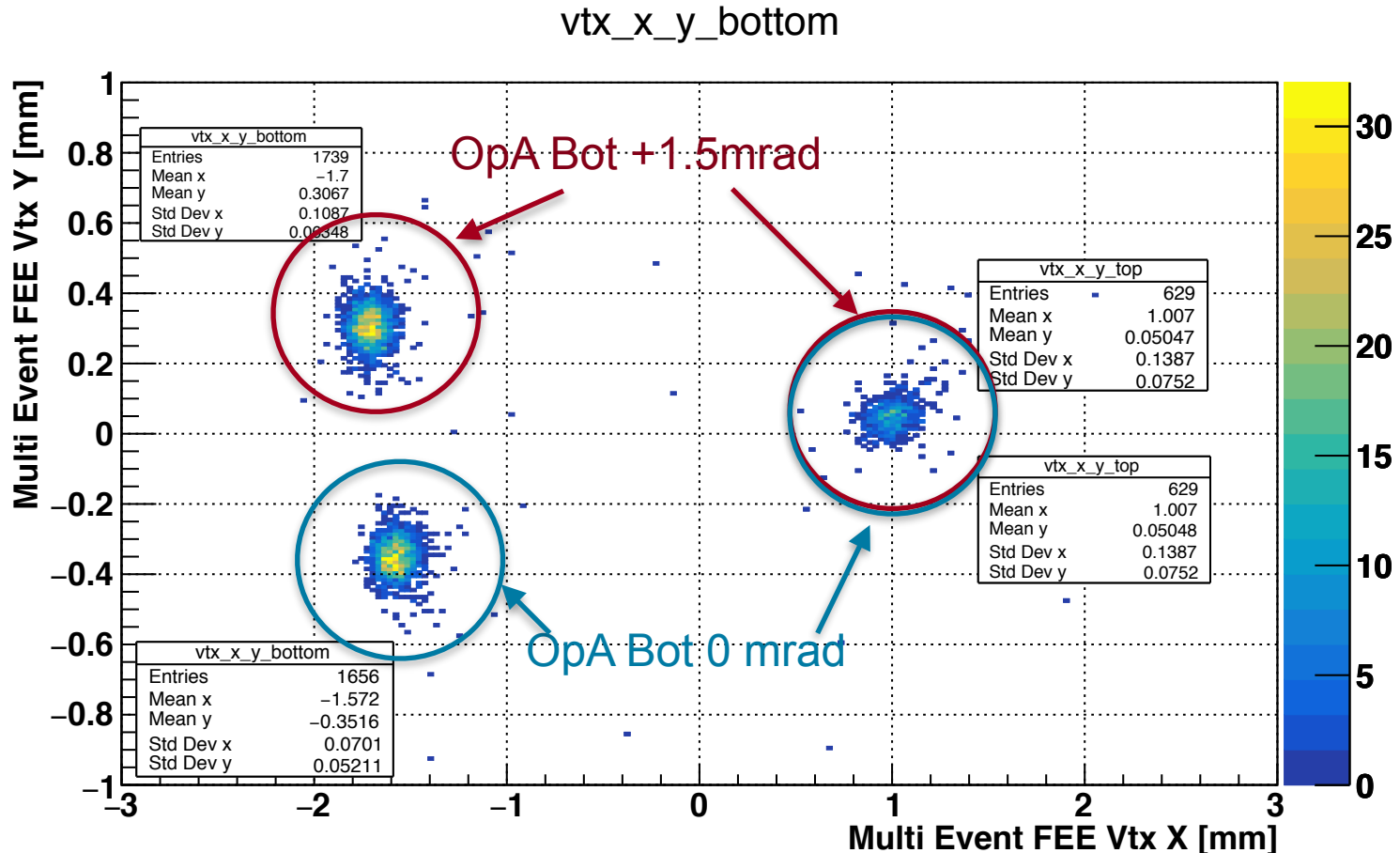
# Global Alignment - Multi events FEE vertexing

- First, we updated the Multi Event FEE Vertexer to accept more than 2 tracks per event (see [iss687\\_dev MultiVtxer](#)).
- Clear effect on the x-y position resolution wrt 2-tracks vertices
- Events are collected, vertices are fitted in 100 tracks chunks, or less if not available: i.e. if 150 tracks are found 2 vertices are formed with 100 and 50 tracks, respectively.



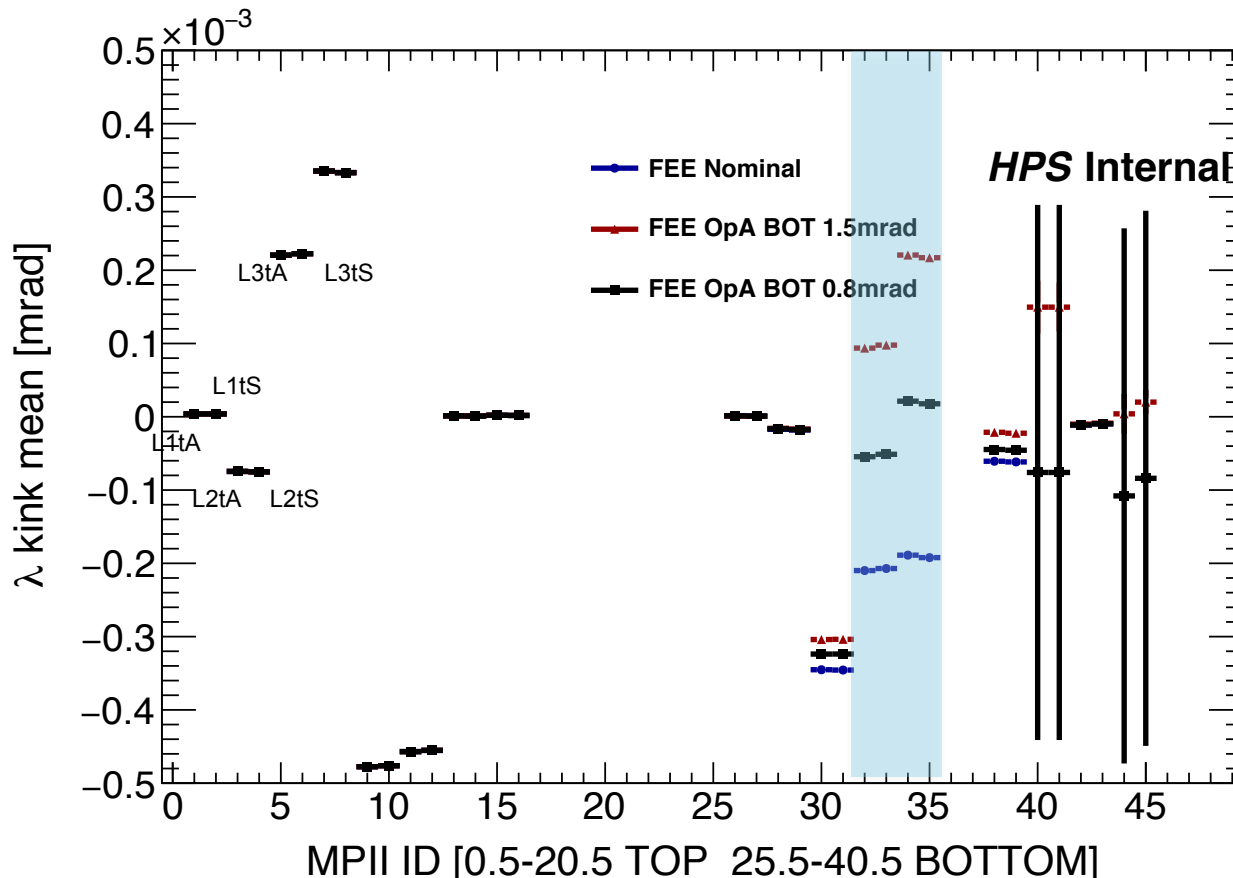
# Global Alignment - Multi events FEE vertexing

- $\Delta\theta_y$  move VTX position along Y, movement on X axis (minor), Z is stable.
- We notices that  $\Delta\theta_y = 1.5$  mrad seems to “overcorrect” of **~ factor 2** the Y position of the VTX, if we assume that there is no opening angle correction in the top volume.



# Global Alignment - Multi events FEE vertexing

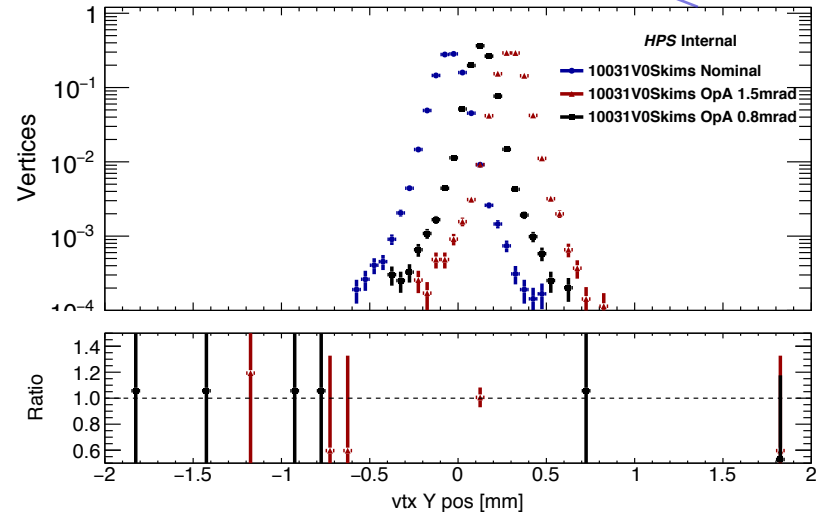
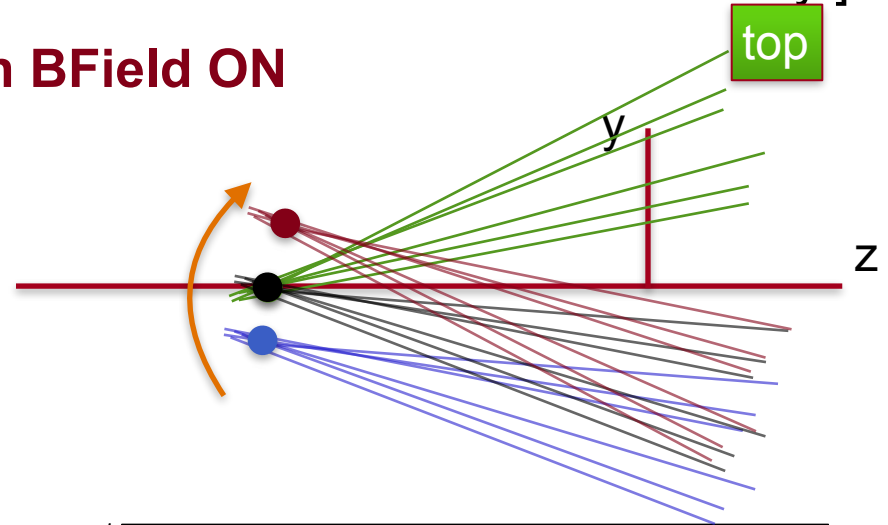
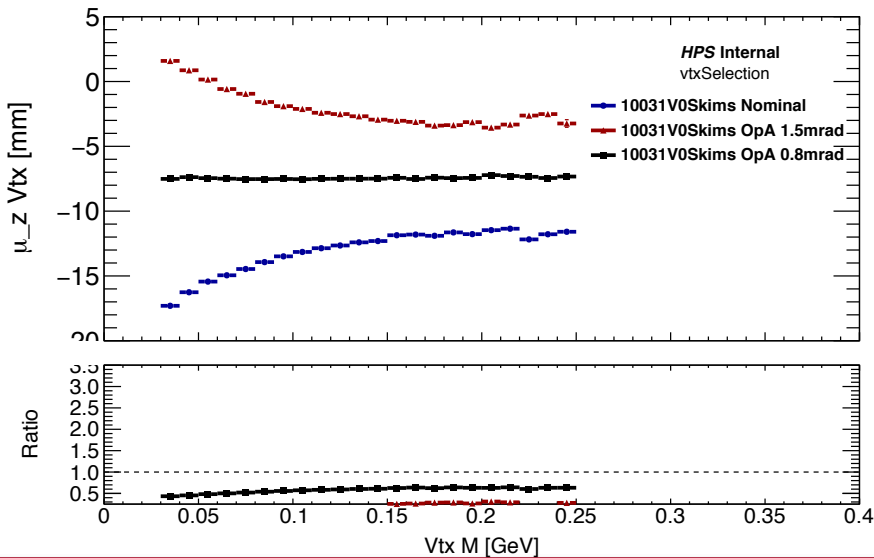
- Additionally,  $\Delta\theta_y$  is expected to change the Ly4-Ly5 kinks in GBL refits -> Ideally they should be going to 0 if sensors are aligned [shown later]
- The plot shows the  $\lambda$  kinks as function of the MPII ID of each sensor. We have 20 planes per volume, first 20 are top [some are off and do not show in the plot]
- **Shaded area highlights the Ly4-Ly5 kinks.**  $\Delta\theta_y \sim$  factor 2 larger [kinks flip from negative to positive] from BFieldON



Large error bands for outer sensors are due to low stats:  
- Artefact of TProfile I use => will be investigated and fixed soon

# Global Alignment - Vertex z vs InvMass

- Opening angle should flatten the Vtx z dependence with InvM.
- Applying  $\Delta\theta_y = 0.8$  mrad [At that moment I didn't recall Norman's study!]
- **Seems like it's a factor ~2 too big in BField ON**



Disclaimer: This internally removes the top-bottom tracks opening angle dependence from the z position as we bring y location of the beam spot to be the same between top and bottom

- Doesn't mean that only changing bottom is correct, but there are some indications this could be a plausible solution

see [Norman's SVT tracking Talk](#) : opening angle for top compatible with 0

# Global Alignment - Vertex z and position resolution vs InvM

- This simple global alignment has very low impact on track quality, number of hits-on-tracks [backup]
- Fixing the DeltaY between top/bottom standalone vertices removes the vertex location dependence from opening angle by construction
- The Unconstrained Vtx resolution is extracted by requiring:

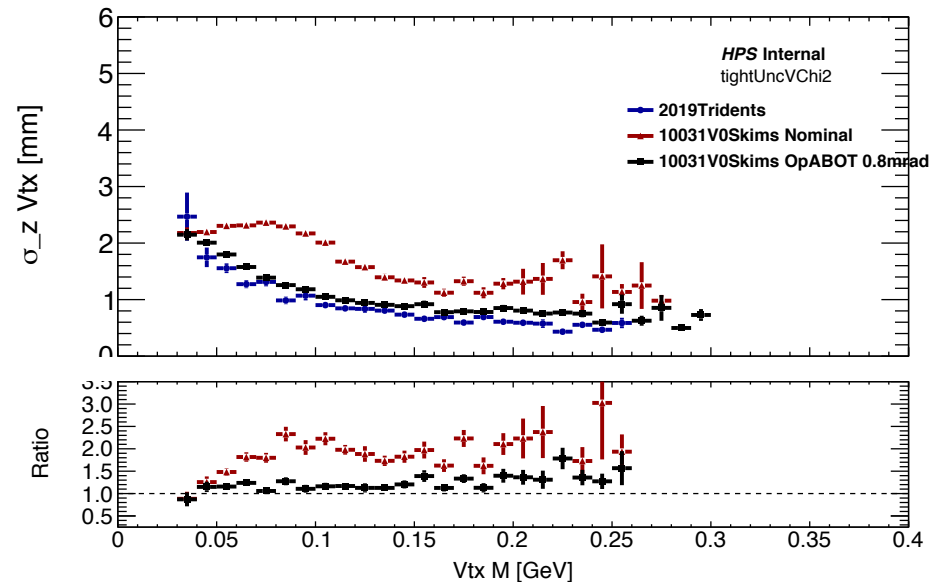
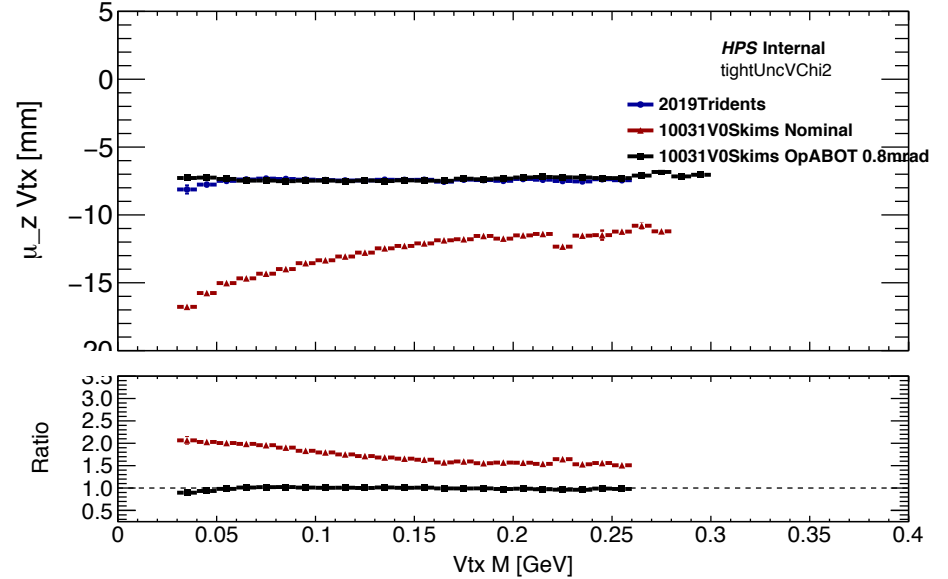
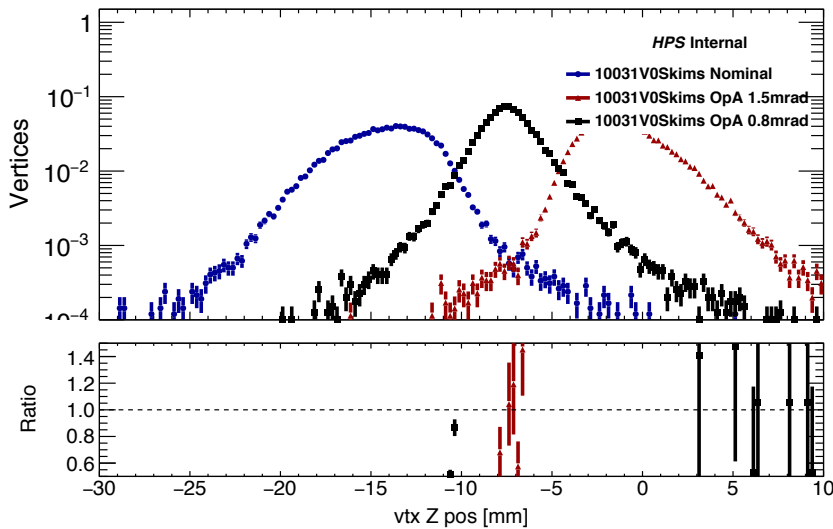
$$p(e^-) < 3.4 \text{ GeV}$$

$$p(e^-) \text{ and } p(e^+) > 0.6 \text{ GeV}$$

$$N_{hits}^{track} > 4$$

$$N_{L1hits} \text{ track}(e^+) > 0$$

$$\chi_{unc-vtx}^2 < 10$$

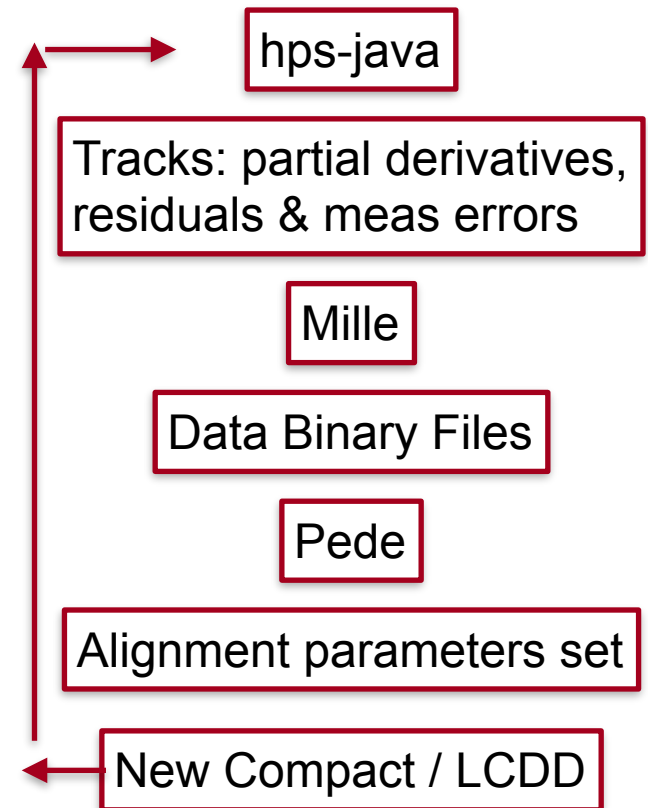




## Local alignment tests - MPI code validation and L1/L2 alignment

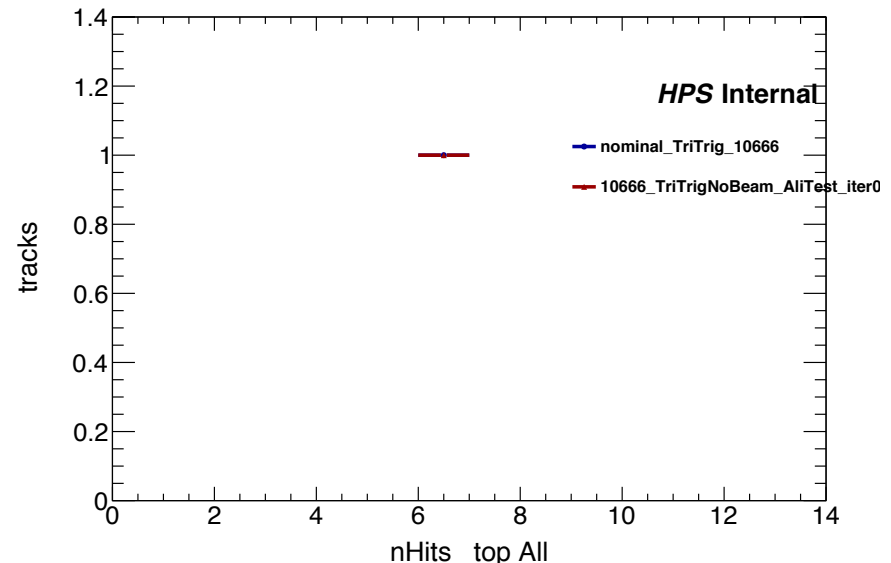
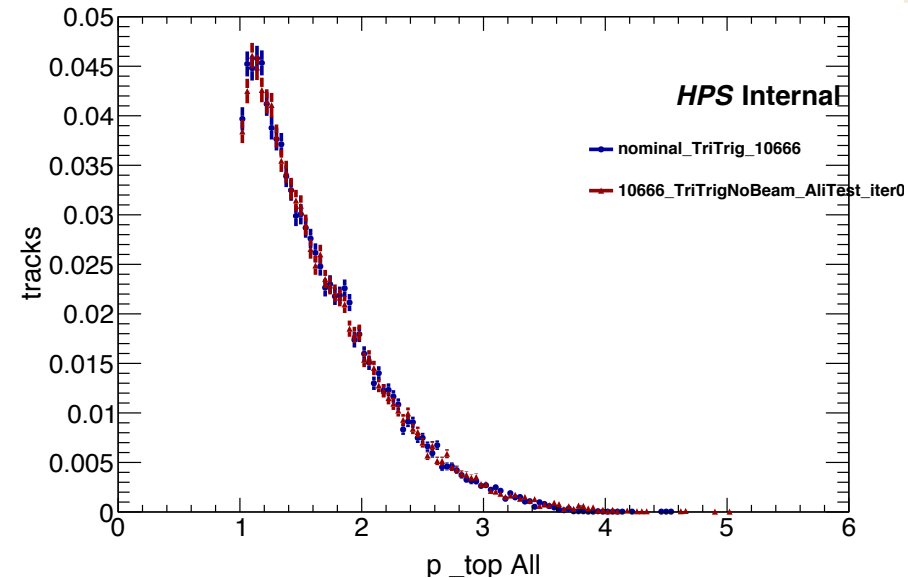
# Local Alignment Tests - MPIO Validation

- MPIO is the software used for aligning the single sensors in HPS (internal/local alignment)
- First thing to do before jumping on 2019 alignment is to assess the capacity of our alignment packages to:
  - Identify misalignments
  - Properly re-align them
  - Form a new aligned geometry
- These tests were done on MC samples with perfect geometry
- Used TriTrig 2019 with new thin layers L1/L2 geometry modelling and **no beam overlay**



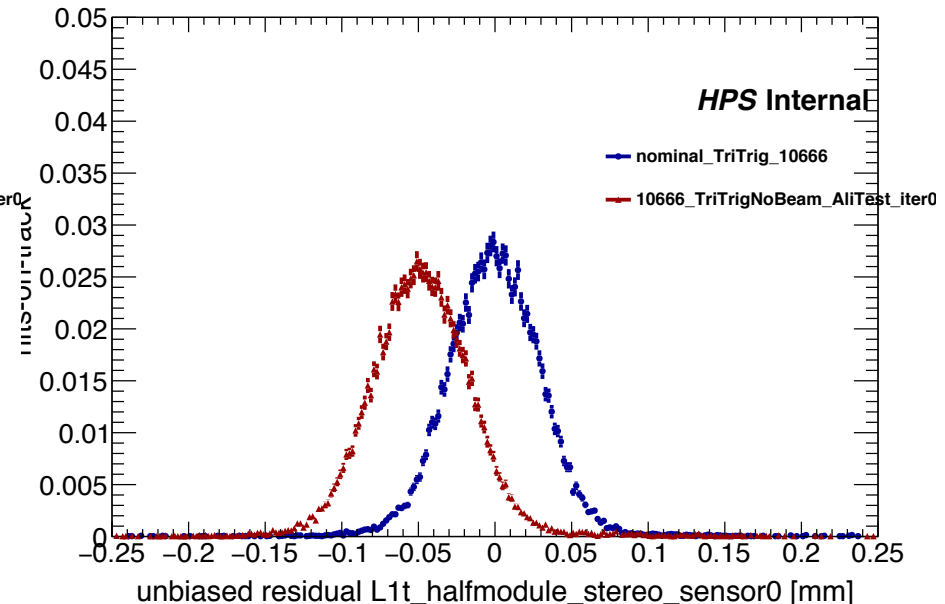
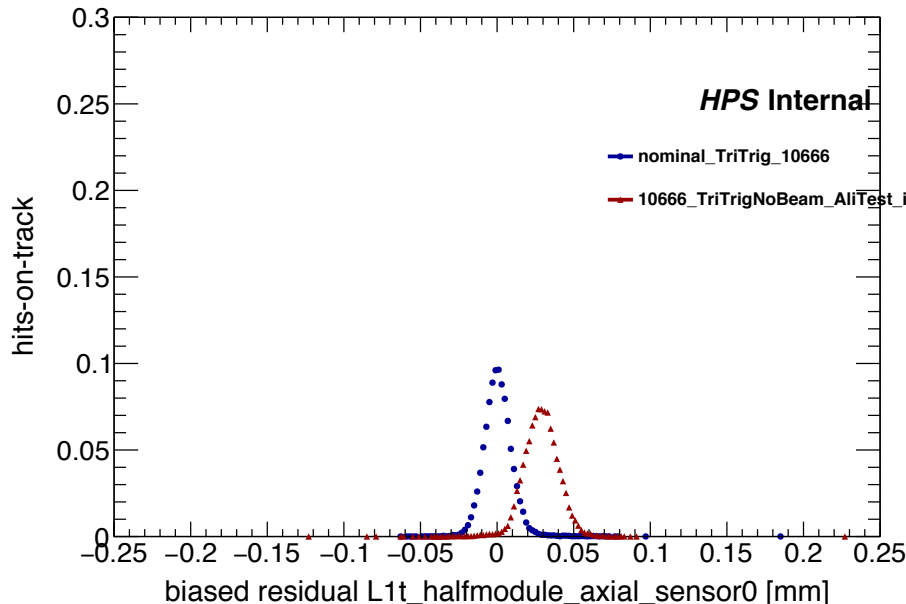
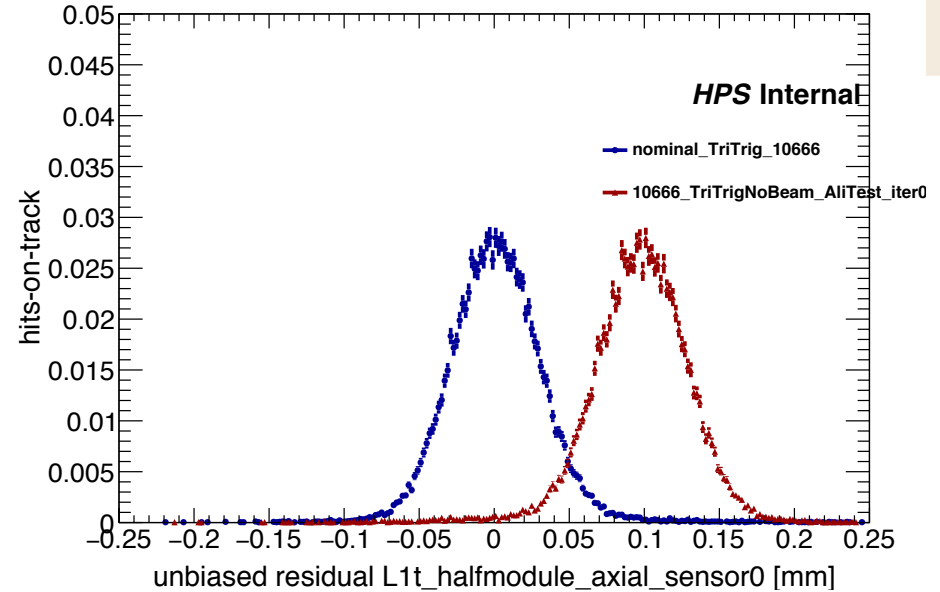
# Track selection for alignment tests

- Alignment track selection:
  - At least 1 vertex in the V0 collection
- Track selection:
  - **$p > 1 \text{ GeV}$** : reduce Multiple coulomb scattering contribution
  - **$\geq 6 \text{ 3D hits on tracks}$** : stronger constraints from rest of the detector
  - **$X^2 < 50$** : better track quality
- **In the MC generated for 2019 there are no tracks with 7 hits due to the conditions that were used to reconstruct the samples.**
- So only 6 hits tracks are selected

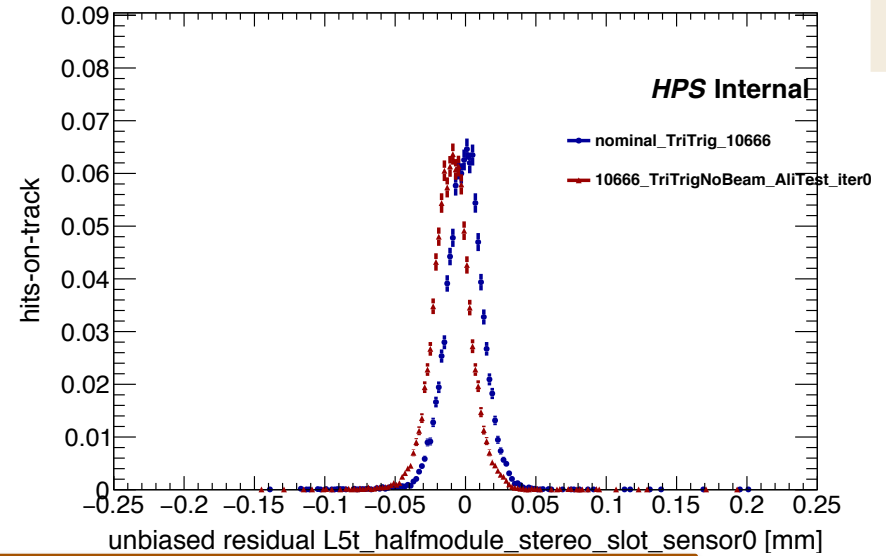
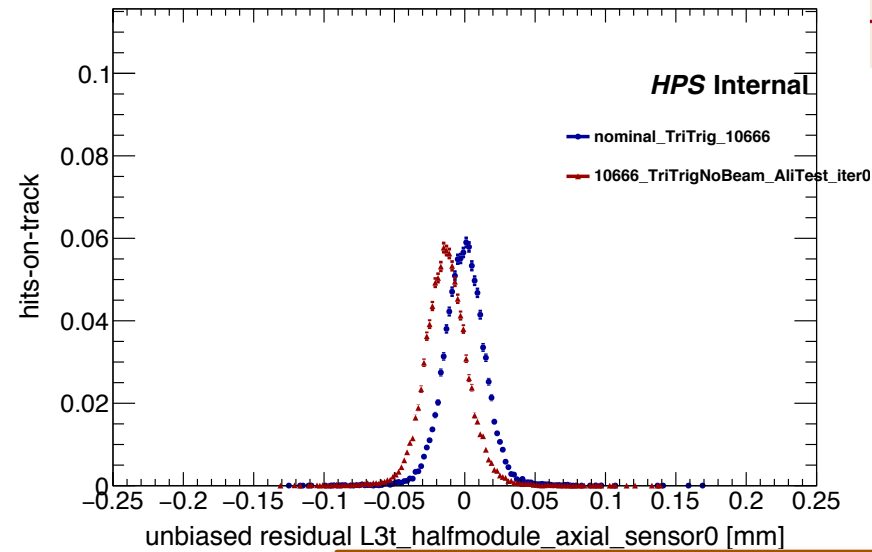


# Local Alignment Tests - L1 top axial du translation

- Moved **L1 top axial sensor** of  $du = +100 \mu\text{m}$  to validate alignment chain
- The size of misalignment can be identified by GBL unbiased residuals [new]
- The bias in the stereo residual is due to the reflection of the axial side misalignment -> **need to be recognised as correctly placed in the solution**

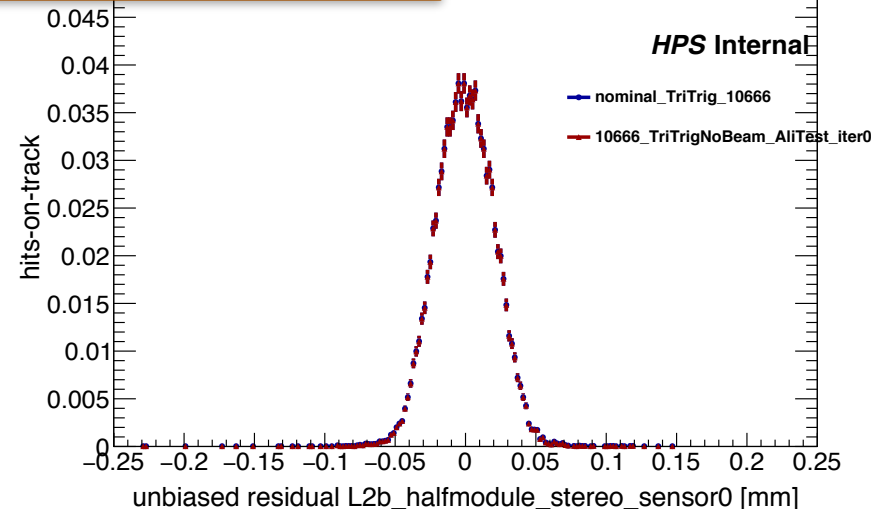
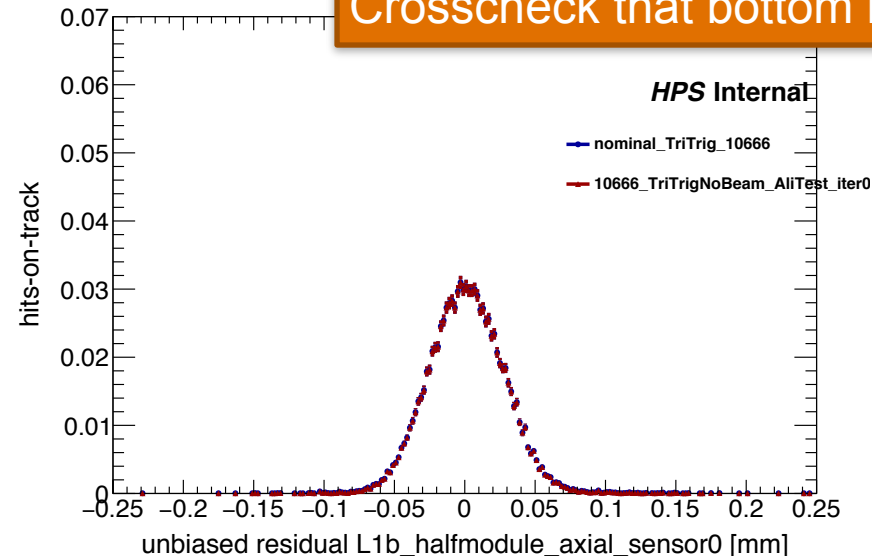


# Local Alignment Tests - L1 top axial du translation

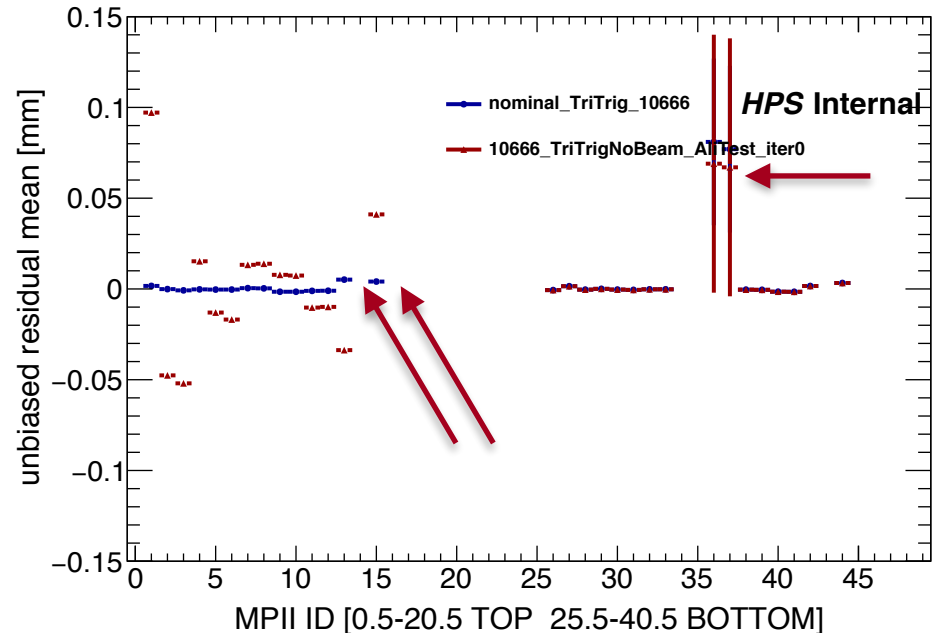
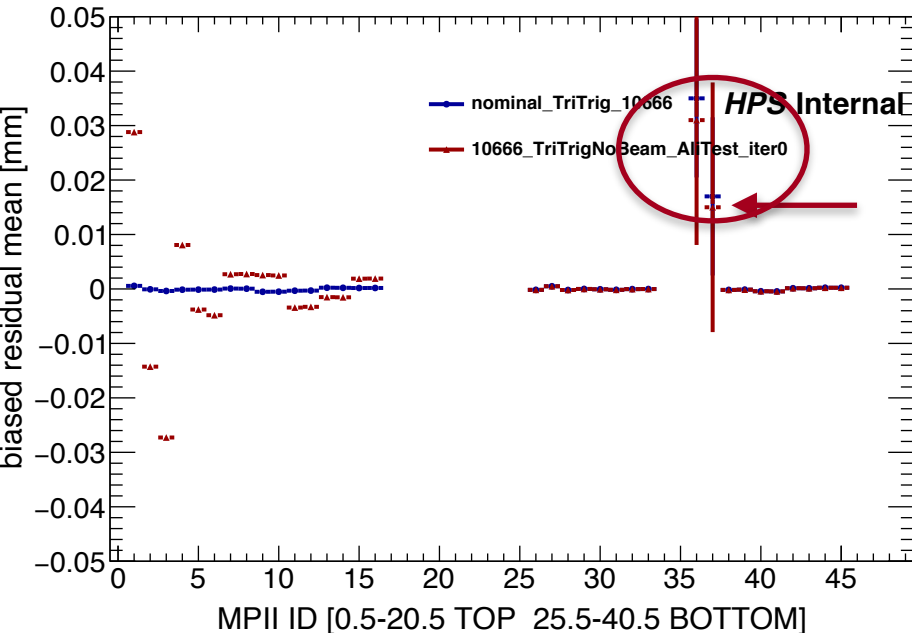


Outer layers are affected too by a single sensor misalignment

Crosscheck that bottom residuals are well centred

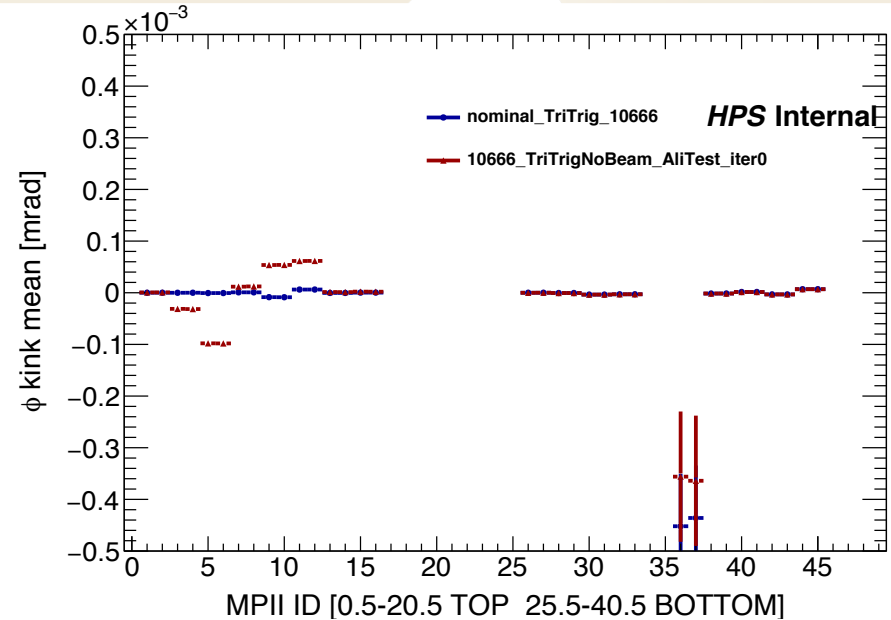
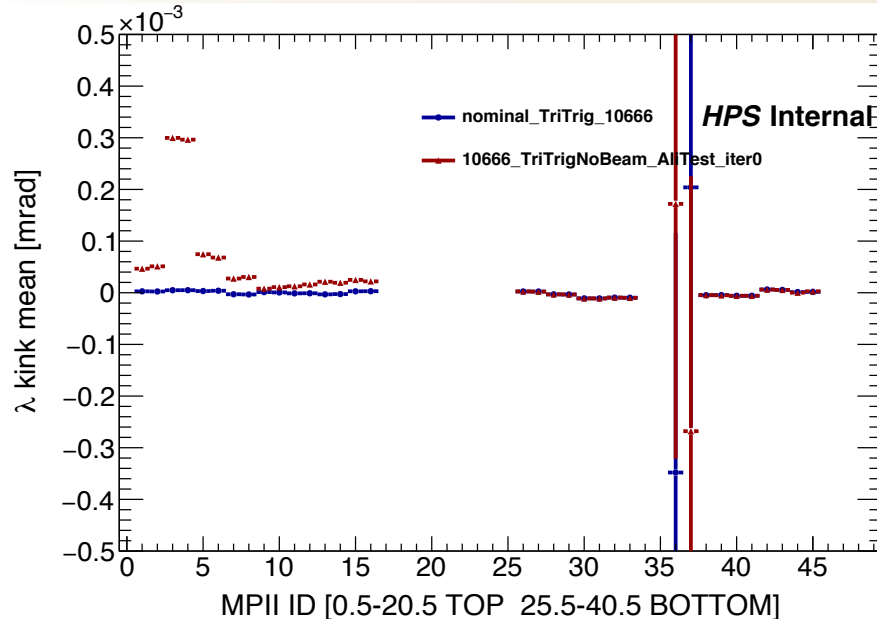


# Local Alignment Tests - Summary plots



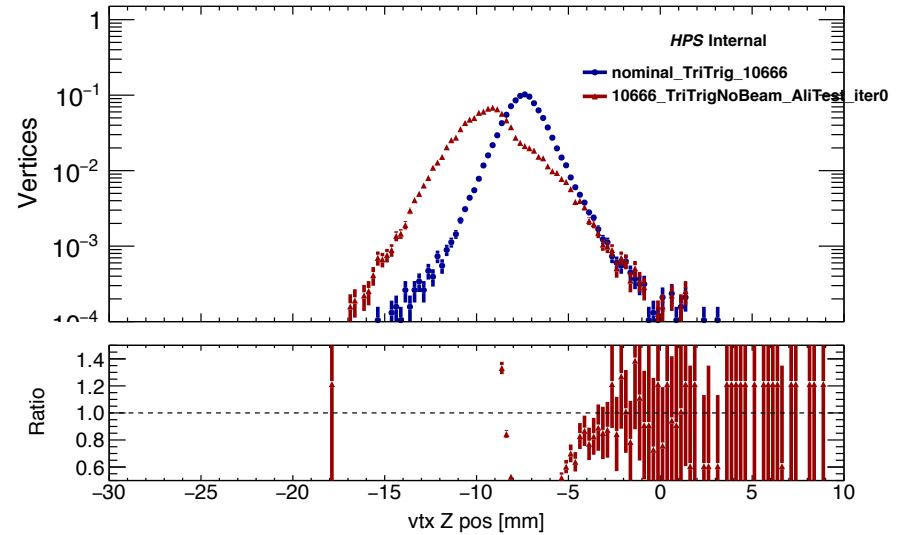
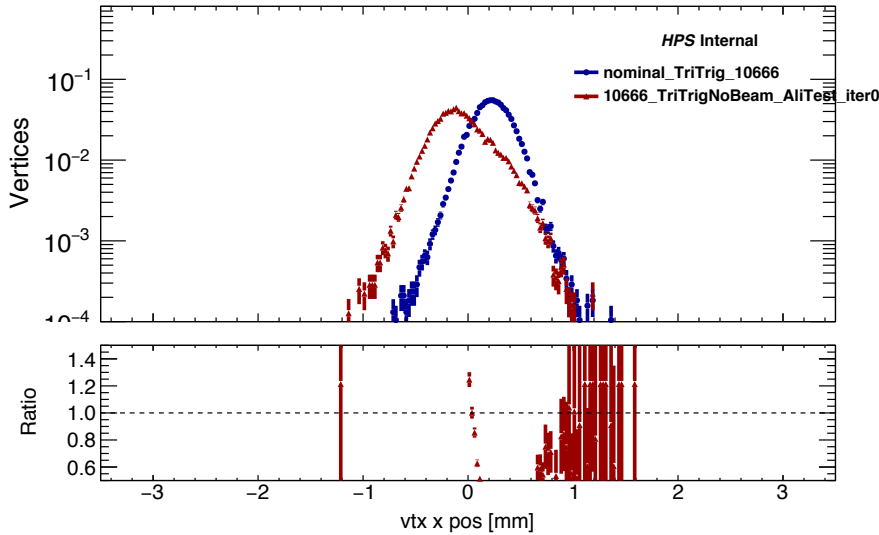
- The plot shows the mean of the biased (left) and unbiased (right) residuals for each MPII ID. Each volume has 20 sensors. First 20 for top, then 20 for bottom
- The misalignments affect all hit-on-track in the top volume. **To be checked in MC:**
  - Why L6t stereo hole and L6t stereo slot (14-16) are dropped in unbiased residuals
  - Reason for largely displaced residuals on L5b axial slot and L5b stereo slot
- Plot indicates how such simple misalignment propagates through the whole volume.

# Local Alignment Tests - Summary plots Residuals

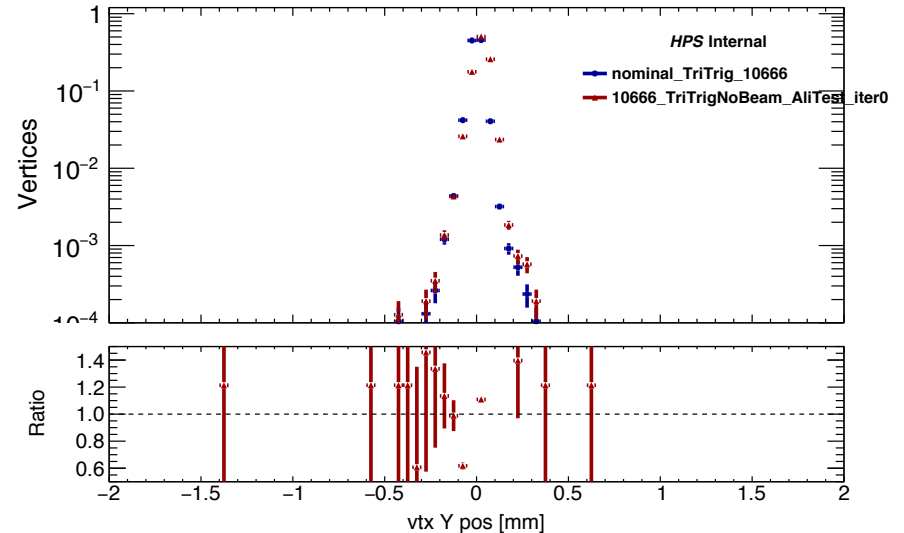


- The plot shows the mean of the  $\lambda$  (left) and  $\phi$  (right) kinks for each MPII ID. Each volume has 20 sensors. First 20 for top, second 20 for bottom
- The misalignments mostly affect all  $\lambda$  kinks in the top volume.
- **To be checked in MC:**
  - Reason for largely displaced kinks on L5b axial slot and L5b stereo slot.
- Plot indicates how such simple misalignment propagates through the whole volume.

# Local Alignment Tests - Summary plots Residuals



- Several track quantities are affected
- Finally, such simple (but large) misalignment has large effect on the vtx location and width.





# Local Alignment Tests - MPII steering settings and results

Single L1At movement

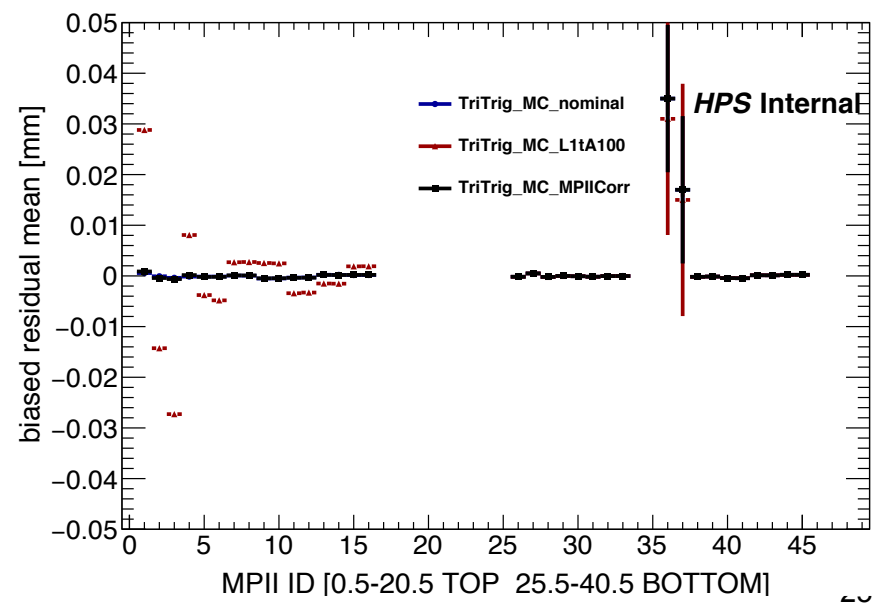
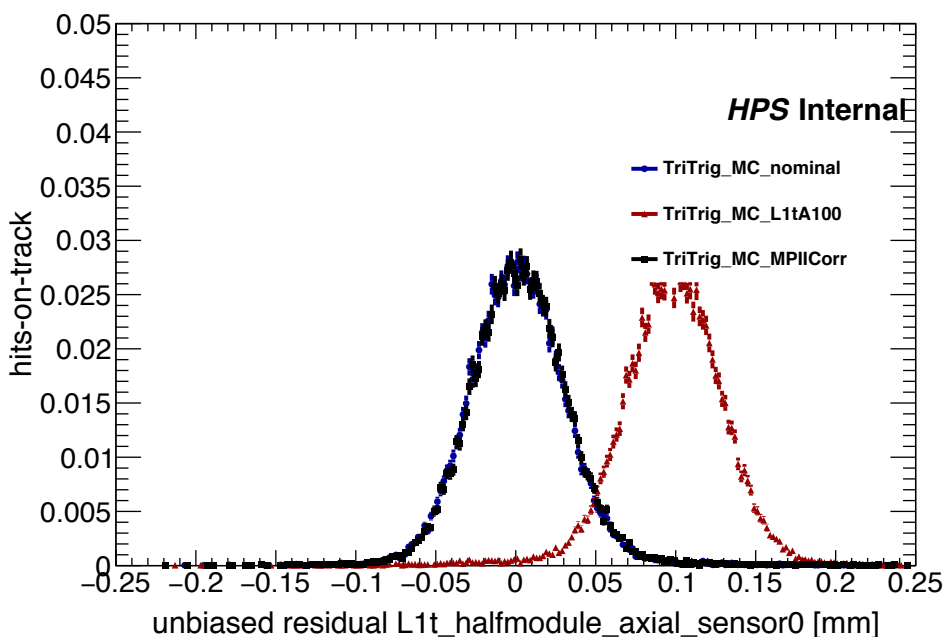
```

Solution algorithm:
=====
solution method: diagonalization
convergence limit at Delta F= 0.10E+01
maximum number of iterations= 6
using pre-sigmas: no
regularization: no
Chi square cut equiv 3 st.dev applied
... in first iteration with factor 0.30E+02
... in second iteration with factor 0.60E+01
(reduced by sqrt in next iterations)
Checking feasibility of parameters:
parameters are feasible (i.e. satisfy constraints)
    
```

PTLINE: INFO=1 convergence reached

Parameter	first 3 elements per line are significant (if used as input)			
11101	0.99313E-01	0.0000	-0.68652E-03	0.19138E-03
11102	0.87519E-03	0.0000	0.50875E-01	0.18948E-03
11103	0.0000	-1.0000		
11104	0.0000	-1.0000		
11105	0.0000	-1.0000		

- **Re-alignment strategy:**
  - Fix all outer layers
  - Re-align both L1 top Axial and Stereo
- This is to check if MPII:
  - can recognise displacements on single side when two sides are realigned
  - can recover the same degree of misalignment
- Results are **OK**:
  - => MPII finds that L1At is moved of 100um with sub-micron precision
  - => MPII finds that L1St is moved of 0.8um with sub-micron precision
- **Simple translations on the new thin sensors can be recovered**



# Local Alignment Tests - MPIO steering settings and results - "multiple correlated misalignment"

- I also tested global movements
  - L1tL2t A+S = +100um
  - L1bL2b A+S = +500um and was able to re-align correctly [however this assumes the rest is correctly placed]

```
<millepede_constant name="11101" value="0.100"/> Parameter ! first 3 elements per line are significant (if used as input)
<millepede_constant name="11102" value="0.100"/> 11101 0.10191 0.0000 0.10191 0.48554E-03
<millepede_constant name="11103" value="0.100"/> 11102 0.10095 0.0000 0.10095 0.49947E-03
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<millepede_constant name="11107" value="0.0"/> 11106 0.0000 -1.0000
<millepede_constant name="11108" value="0.0"/> 11107 0.0000 -1.0000
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<millepede_constant name="11109" value="0.0"/> 11109 0.0000 -1.0000
<millepede_constant name="11110" value="0.0"/> 11110 0.0000 -1.0000
<millepede_constant name="11111" value="0.0"/>
-UU-:----F1 millepede--L1_L2_MC_GlobMov.res Top L1 (Fundamental) ---
12316 0.0000 -1.0000
12317 0.0000 -1.0000
12318 0.0000 -1.0000
12319 0.0000 -1.0000
12320 0.0000 -1.0000
21101 0.50224 0.0000 0.50224 0.42774E-03
21102 0.50398 0.0000 0.50398 0.41657E-03
21103 0.50071 0.0000 0.50071 0.28678E-03
21104 0.50135 0.0000 0.50135 0.27921E-03
-UUU:----F1 compact.xml 30% L199 (nXML Valid) -----
<millepede_constant name="21101" value="0.50"/>
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```

Compact entries for global movement

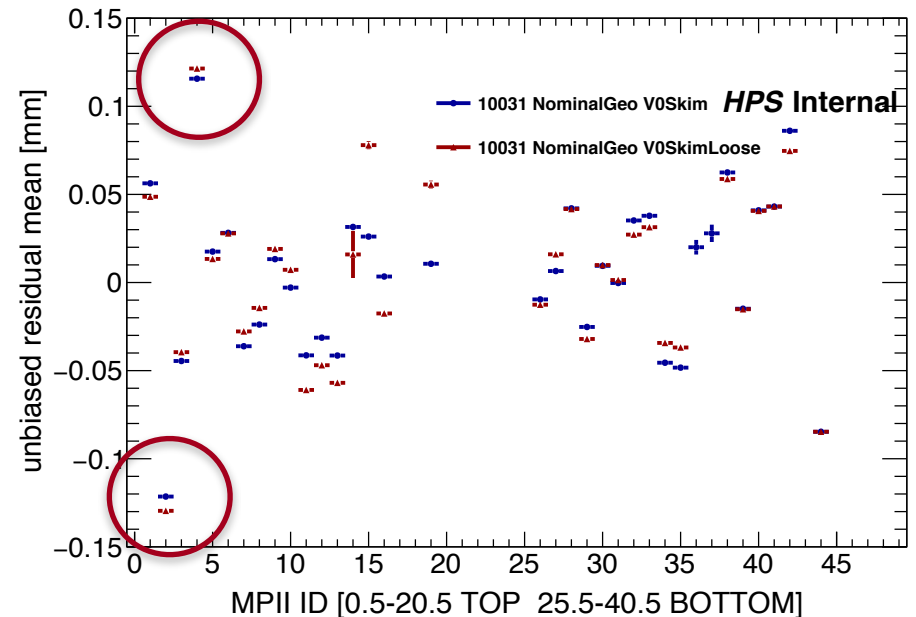
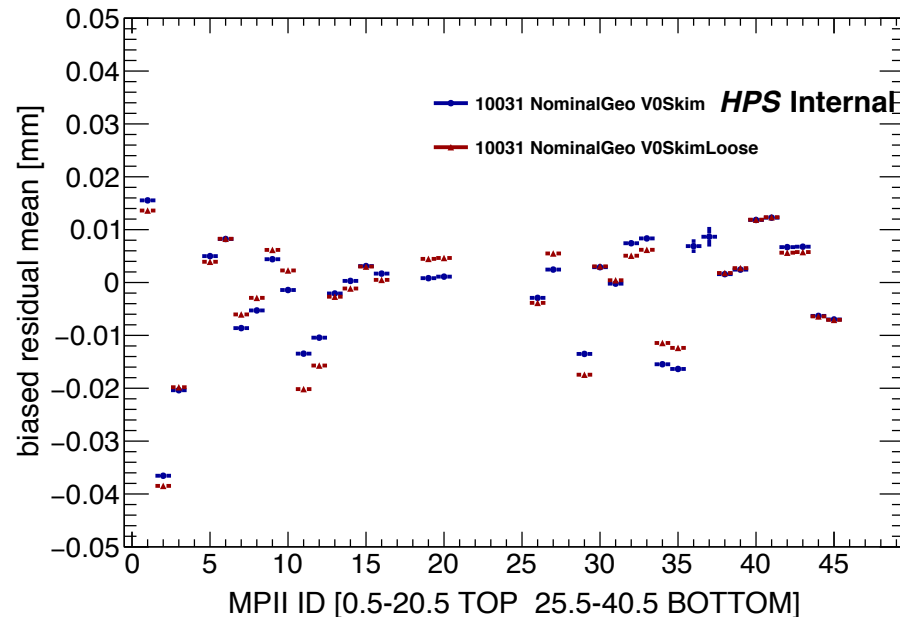
MPIO residuals after accumulation and solving

# Local Alignment Tests - Bottom line

- Using MC Trident has been shown that MPIO is able to recover u-translations of the new thin sensors.
- I also tested global movements
  - L1tL2t A+S = +100um
  - L1bL2b A+S = +500um and was able to re-align correctly **[however this assumes the rest is correctly placed]**
- Rotations still under investigations [partial derivatives seem to be correct in the code]
- - Work ongoing to automatise the procedure to post (human readable) results to [www.slac.stanford.edu/~pbutti/alignment/](http://www.slac.stanford.edu/~pbutti/alignment/)

# Local Alignment Tests - Checks on 2019 Data

- After validation of MPIO alignment on MC samples first checks on data were performed
- Checked 10031 both **V0Skims** ( $\geq 1$  V0 + cluster on tracks) and **V0SkimsLoose** ( $\geq 1$  V0, All tracks) unbiased residuals
- Initial situation (nominal alignment with survey constants in, **no global  $\Delta\theta_y$  correction**) shows large residuals for L1/L2

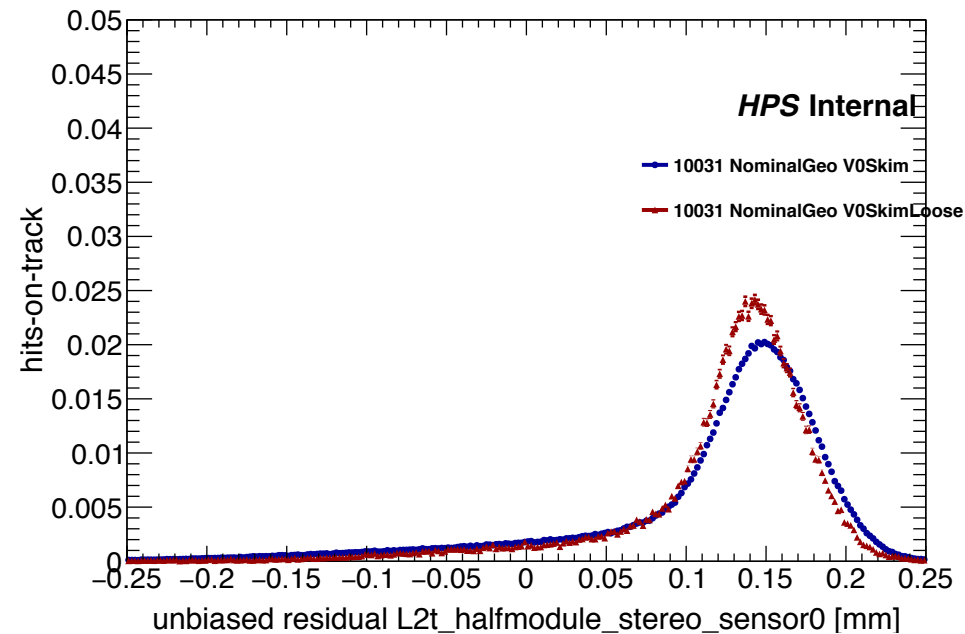
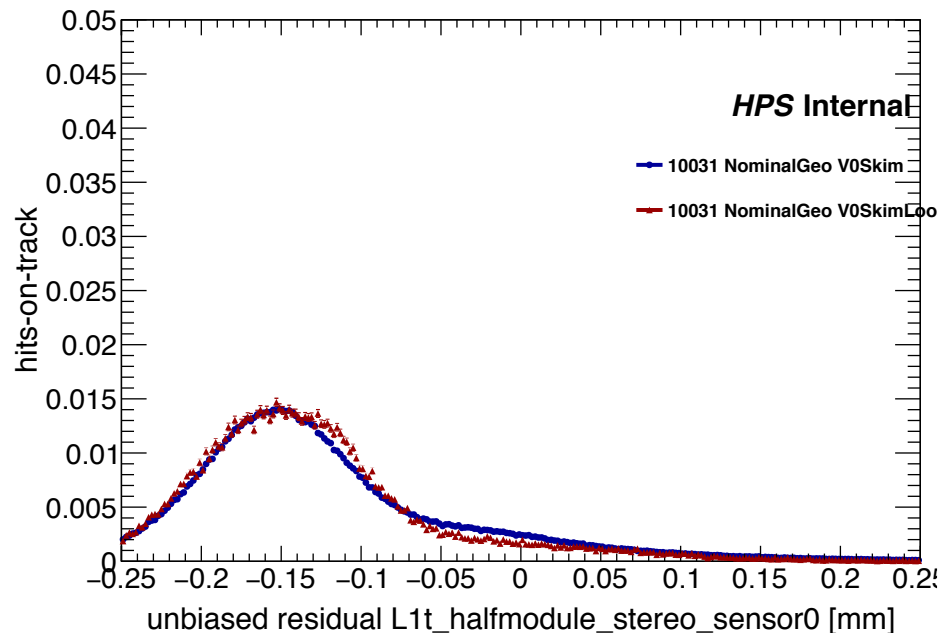


From unbiased residual checks seems like large L1tS / L2tS displacements might be present

Full plots available: [v0skim vs v0skimLoose](#)

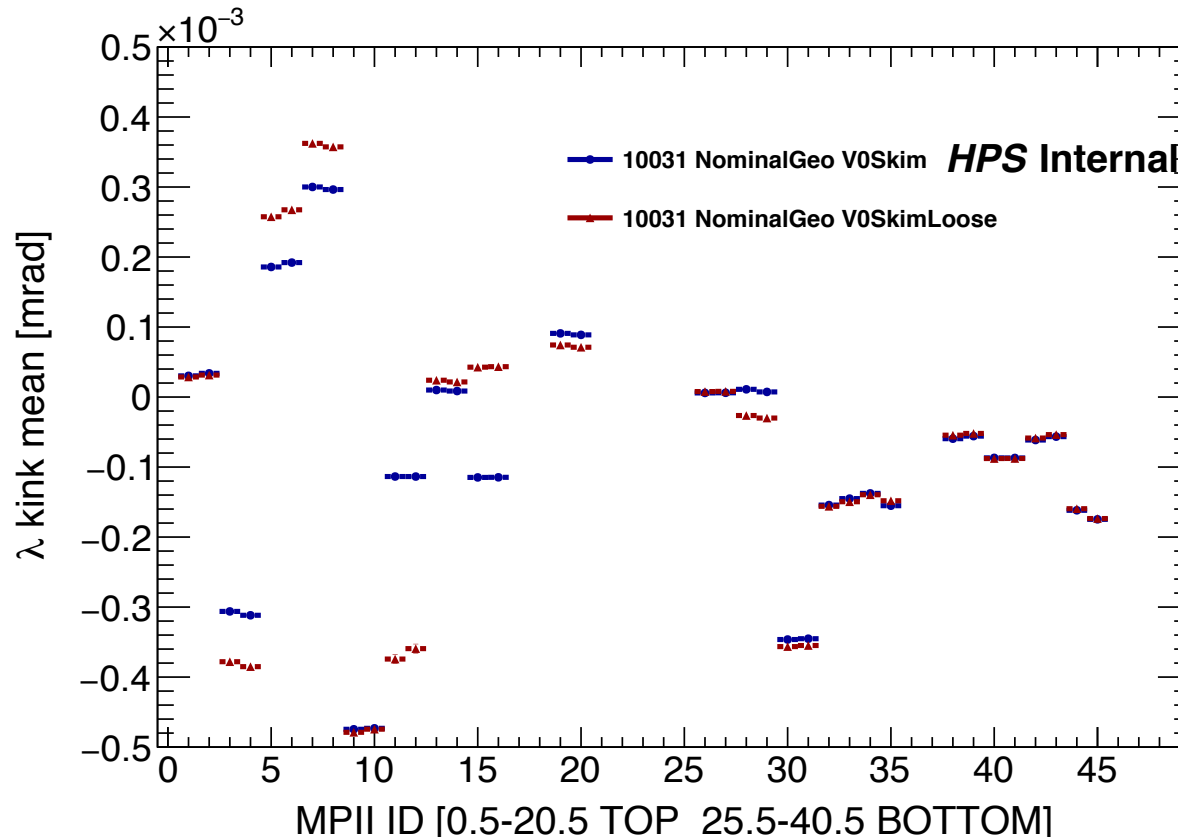
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- Initial situation (nominal alignment with survey constants in, **no global  $\Delta\theta_y$  correction**) shows large residuals for L1/  
L2



# Local Alignment Tests - Checks on 2019 Data

- Large  $\lambda$  kinks in the innermost layers might point to large internal misalignments of these sensors as GBL might try to increase the angle at each surface to pick the next point => sensitive to u movements



# Local Alignment Test - First internal alignment pass

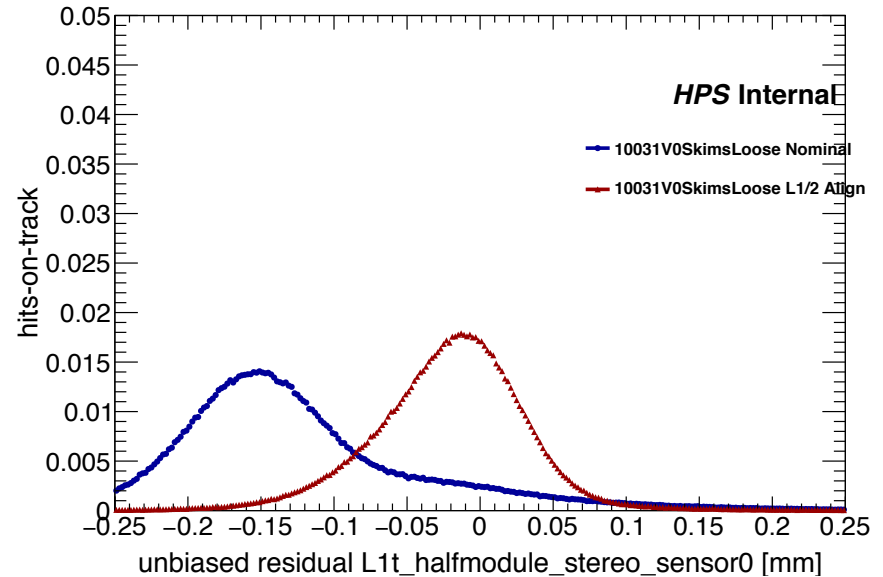
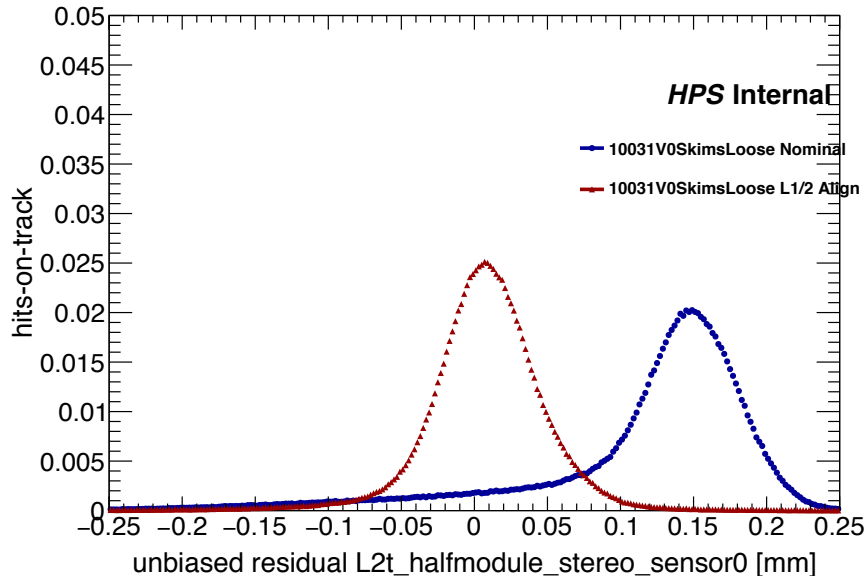
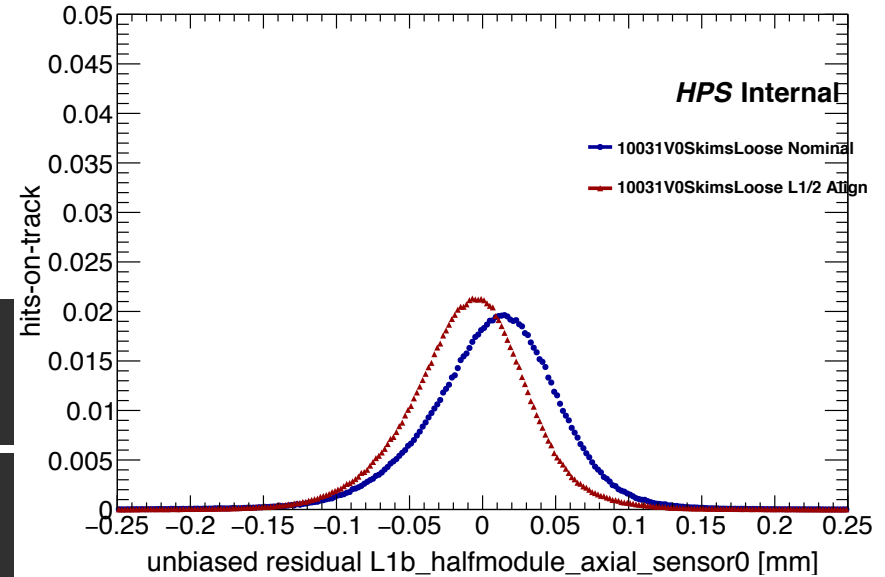
- For the **first tests** on 2019 Alignments decided to try to align the innermost sensors
- They are the most important for vertex resolution and they were the mounted in the detector during the upgrade [even if other sensors might have moved]
- For the **first tests** I use the V0Skims [faster processing, similar results wrt V0SkimsLoose]
  - At least 1 vertex in the V0 collection
  - Tracks associated to one ECAL cluster
- Track selection:
  - **p > 1 GeV**: reduce Multiple coulomb scattering contribution
  - **>= 6 3D hits** on tracks: stronger constraints from rest of the detector
  - **X2<50**: better track quality
- **Strategy for the test:**
  - Assumed most of misalignment present on L1/L2 (new thin sensors)
  - Fixed L3->L7 for reference and constraint against global movements (won't try to free them)
  - Only aligned L1 and L2 top/bottom translations along sensitive direction (tu)

# Local Alignment Test - Results

- 3 Alignment iterations [actually 2 for top 1 for bottom]:
  - 1) L1t\_tu L2t\_tu
  - 2) L1t\_tu L2t\_tu
  - 3) L1b\_tu L2b\_tu
- Corrections show convergence [checked at 4th iteration but not included]

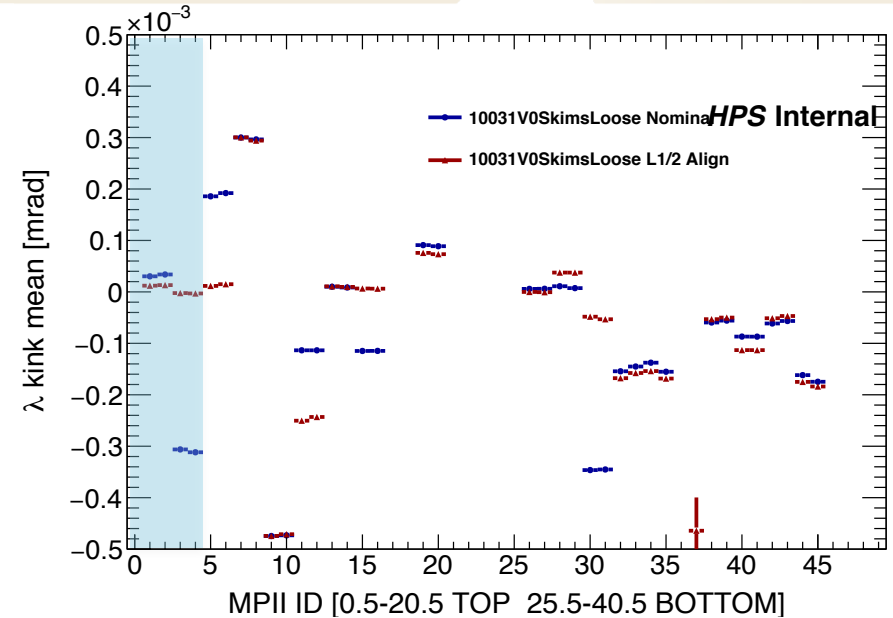
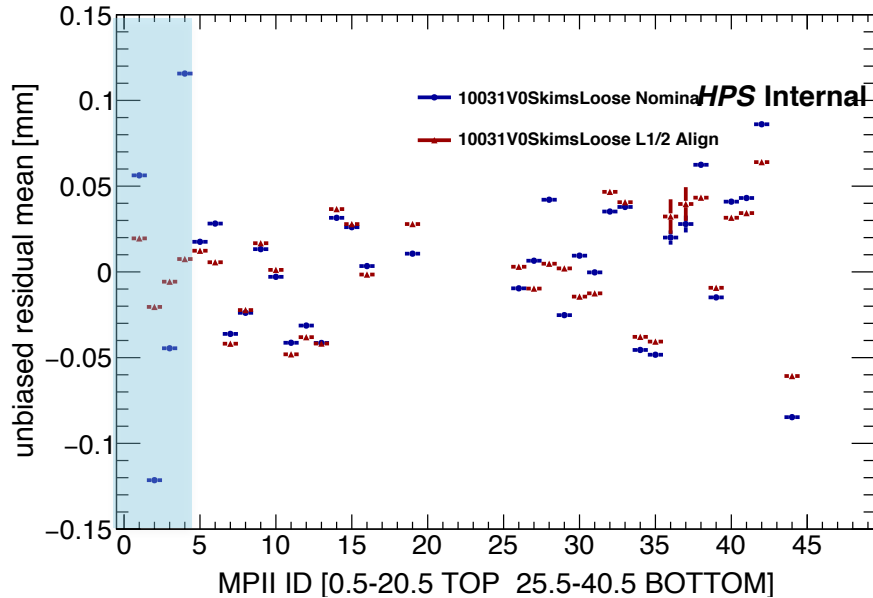
```
<!-- top half-module translations -->  
<millepede_constant name="11101" value="0.0 + -0.061797 + 0.011437" />  
<millepede_constant name="11102" value="0.0 + -0.037138 + 0.011789" />  
<millepede_constant name="11103" value="0.0 + -0.040444 + 0.017099" />  
<millepede_constant name="11104" value="0.0 + -0.129850 + -0.029836" />
```

```
<millepede_constant name="21101" value="0.0 + -0.135130" />  
<millepede_constant name="21102" value="0.0 + -0.095591" />  
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```



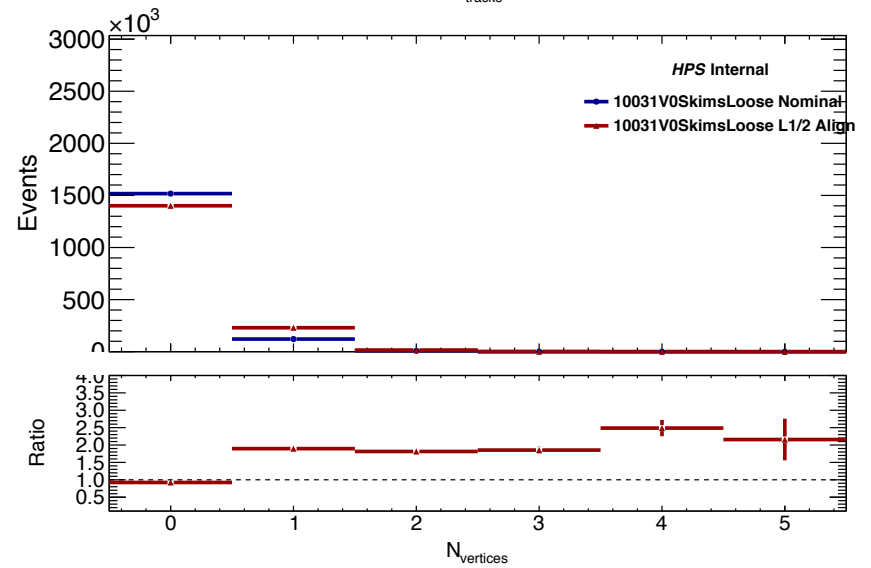
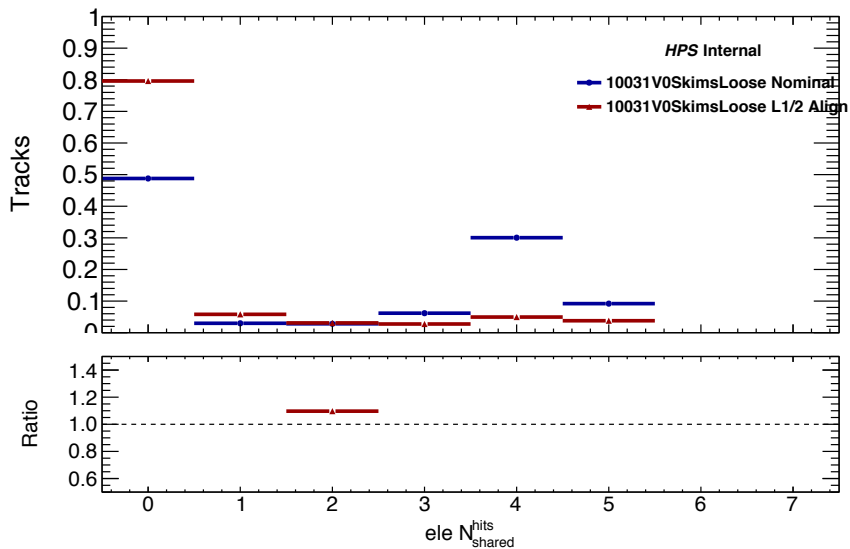
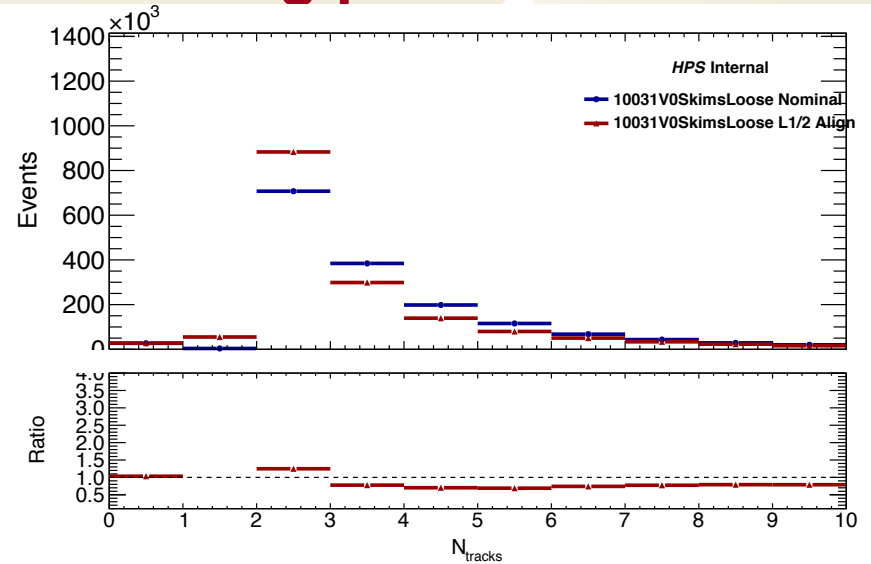
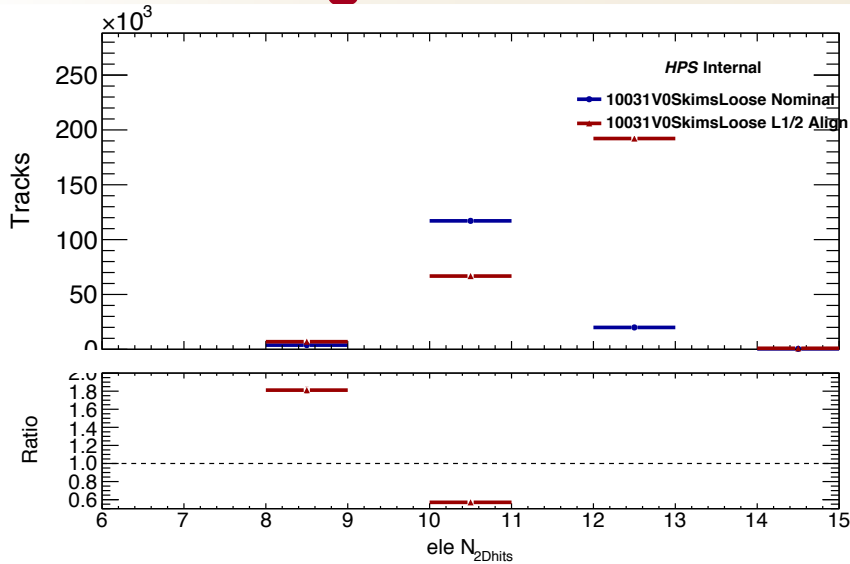


# Local Alignment Test - Results residuals/kinks



- Shaded area shows the aligned sensors.
- Reduction of residual biases and next-layer lambda kinks.
- Solution leads to small changes in the outer sensors.

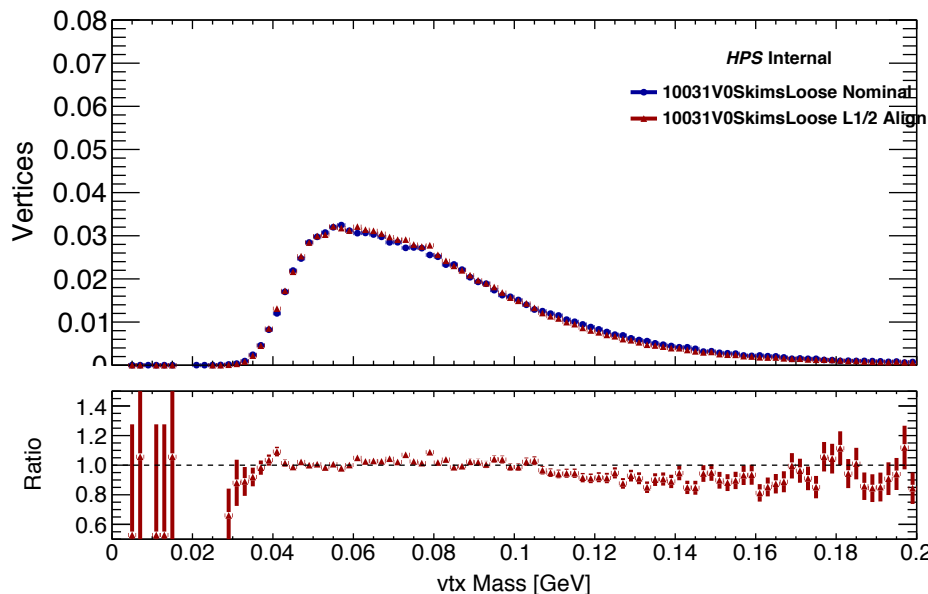
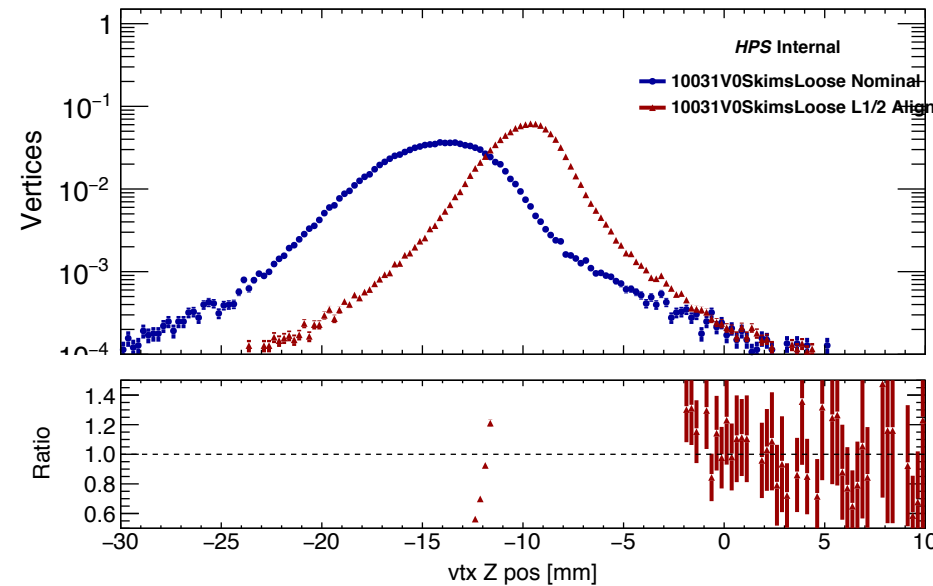
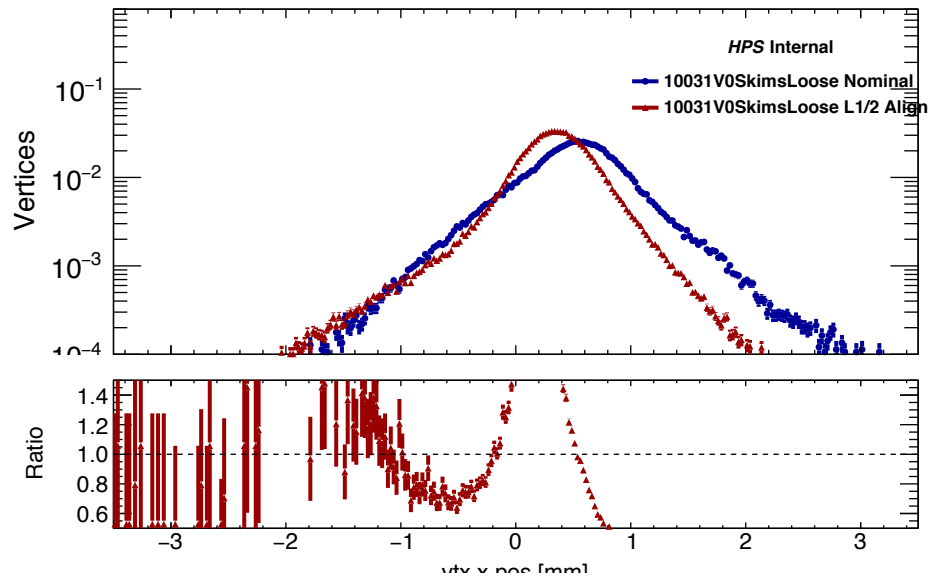
# Local Alignment Test - Results tracking performance



More hits on track, less shared hits, more cleaner tracks, more vertices

# Local Alignment Test - Results tracking performance

CLAS



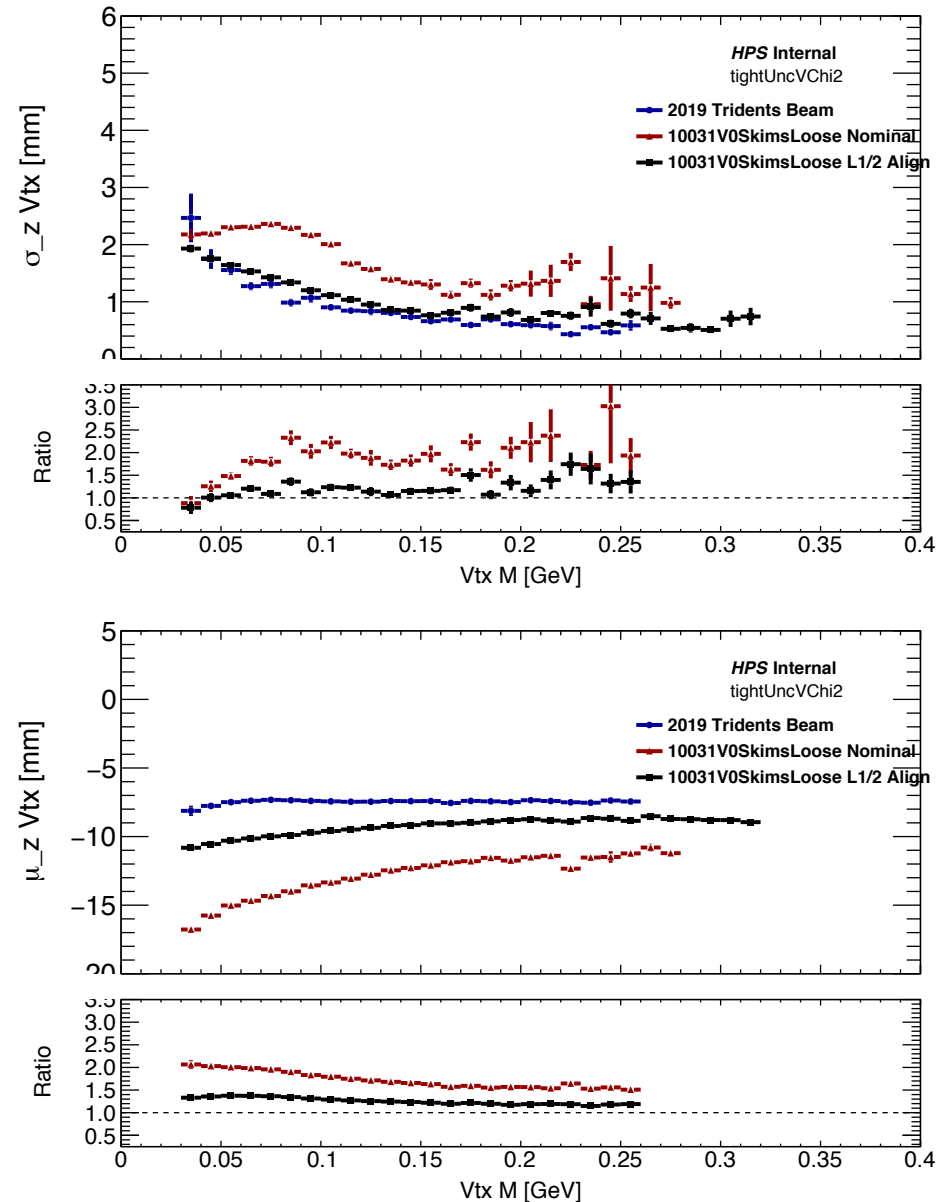
Reduction of core spread and reduction of the tail fraction.

No changes on the shape of the Invariant Mass of unconstrained vertices.

More plots available: [L1L2AlignmentPlots](#)

# Current performance aiming for Jeopardy

- The Unconstrained Vtx resolution is extracted by requiring:
  - el mom < 3.4 GeV
  - el / pos mom > 0.6 GeV
  - >=4 hits on track
  - pos has L1 hit
  - Unc V Chi2 < 10
- **Still looser than 2016 Tight Signal Region.**
- Flatter trend of the vtx position wrt Inv Mass, moving toward **assumed z position of the vertex [-7.5/-7.8 mm Preliminary!]**, but still far and proceeding cautiously.
- **Lot more work** is needed to get to a proper alignment, but first results sensibly improve resolution



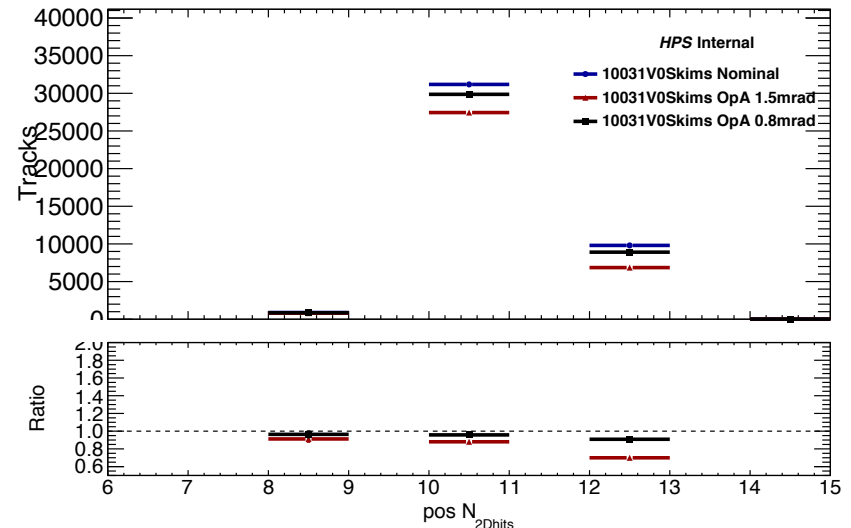
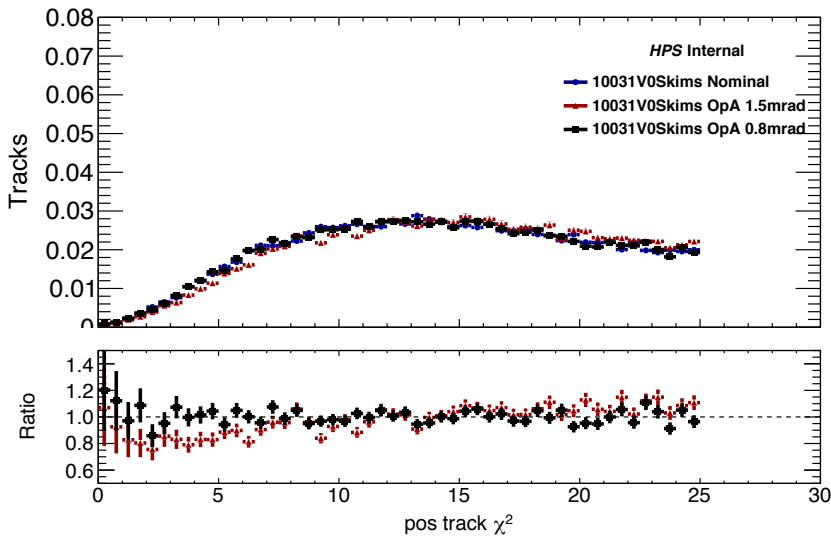
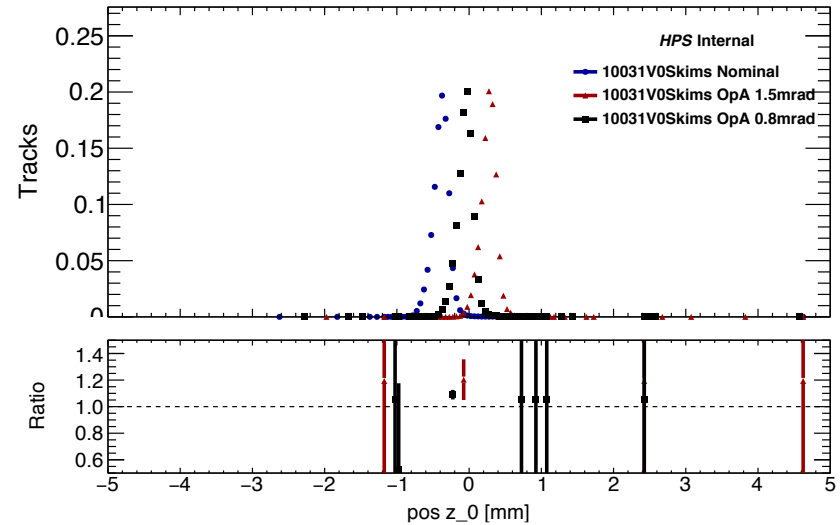
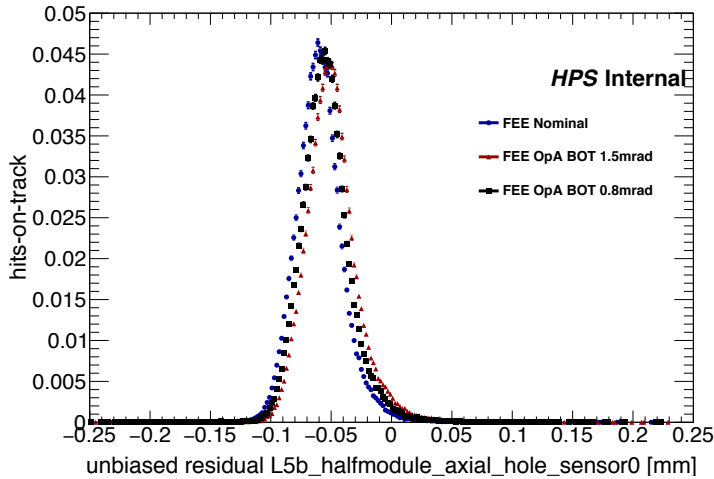
# Summary and next steps

- **Has been shown that different alignment scenarios can bring to improvements in vertex resolution plots for initial assessment of detector performance vs MC simulation.**
  - Harder in 2019 to converge to correct solution without clear grasp on external constraints
  - Although procedures are getting in place and shaping up, lot of work is still needed.
- Checked full chain on two different alignment configurations:
  - **Global alignment test:** correction of the bottom opening angle
    - Removal of  $V_{txZ\_u}(InvM)$  by construction
    - Consistent improvement in vertex z position resolution
    - No effects on improved tracking efficiency and track quality
  - **Local alignment:** correction of L1/L2 tu
    - Improvement of  $V_{txZ\_u}(InvM)$
    - Consistent improvement in vertex z position resolution
    - Improved hit-on-track association, track-quality, reduced number of shared hits.
- **Work is ongoing to test combination of both procedures to have global+internal alignment**
- **To fulfil Jeopardy we will be able to provide**
  - Improved track parameters and vertex position resolution in short time scale and comparison to MC simulation with perfect geometry
- **Long time scale:**
  - Review of the geometry survey, constrained alignment and search for external handles for remove alignment weak modes (survey data, resonances, ... )

# BACKUP

# Global Alignment - Vertex z vs InvMass

- Small effect on track quality, improvement of track parameters, residuals...



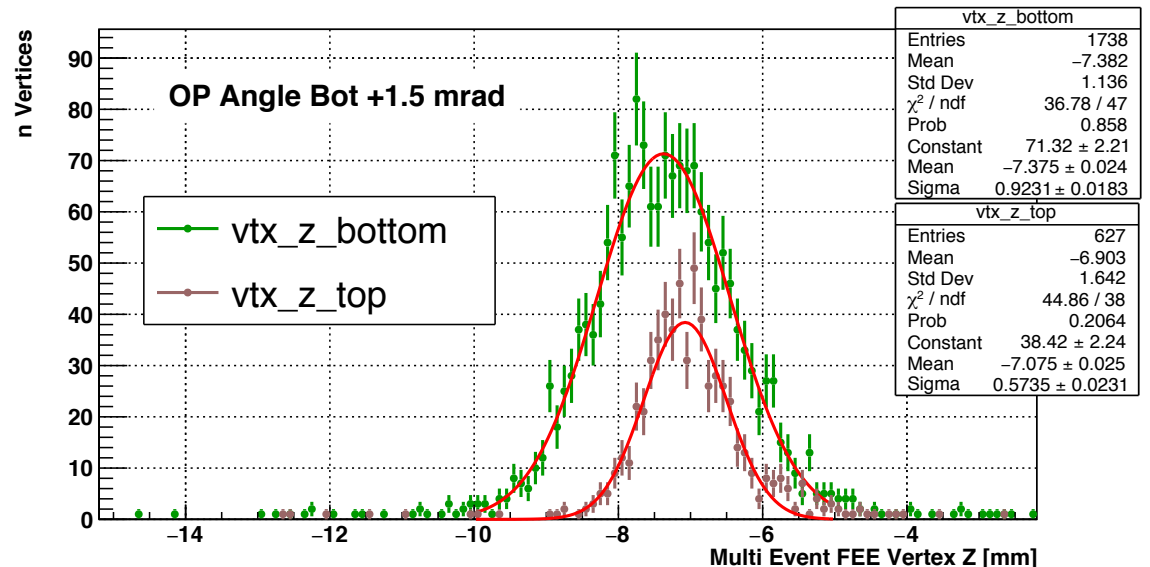
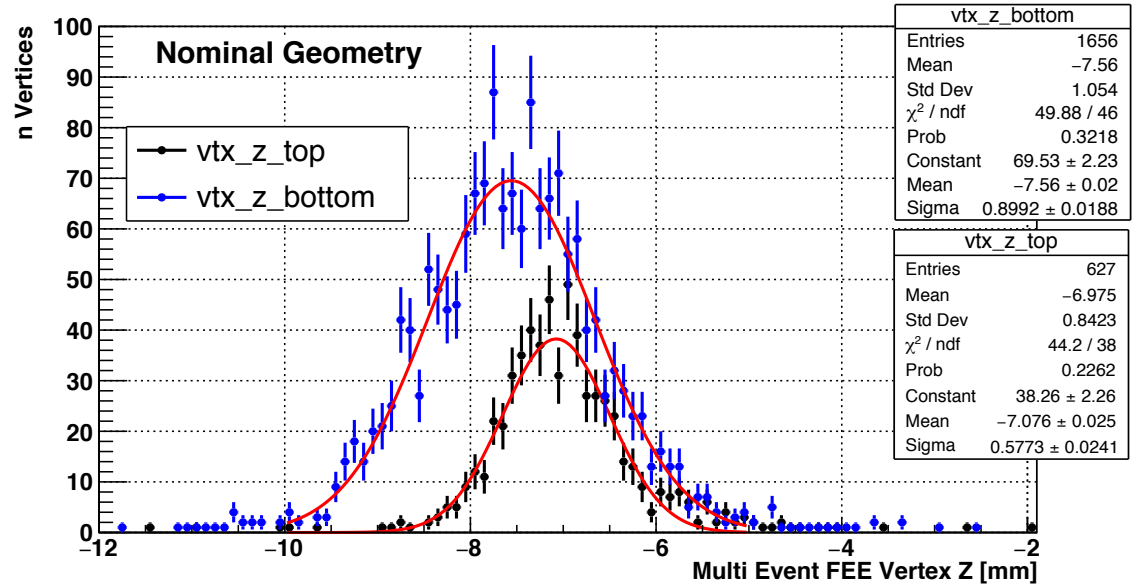
# Global Alignment - Multi events FEE vertexing

- The Multi Vtx Fitter has been updated to accept more than 2 tracks per event (see [iss687\\_dev MultiVtxer](#)).
- Clear effect on the x-y position resolution wrt 2-tracks vertices, as the vtx resolution improves with number of tracks.
- Events are collected, vertices are fitted in 100 tracks chunks, or less if not available: i.e. if 150 tracks are found 2 vertices are formed with 100 and 50 tracks, respectively.
- Will use the updated version for alignment studies/monitoring as leads to much clearer visualisation in the following studies
- This method shows a discrepancy between top and bottom z vertex position of ~500um: will have to investigate
- An opening angle of 1.5mrad implies a movement of ~200um on the vertex Z

$$\Delta Z \sim R(1 - \cos \theta_y) \sim \frac{1}{2}R\theta_y^2$$

where R is the radius from the pivot to the vertex location, while

$$\Delta Y \sim R \sin \theta_y \sim R\theta_y$$

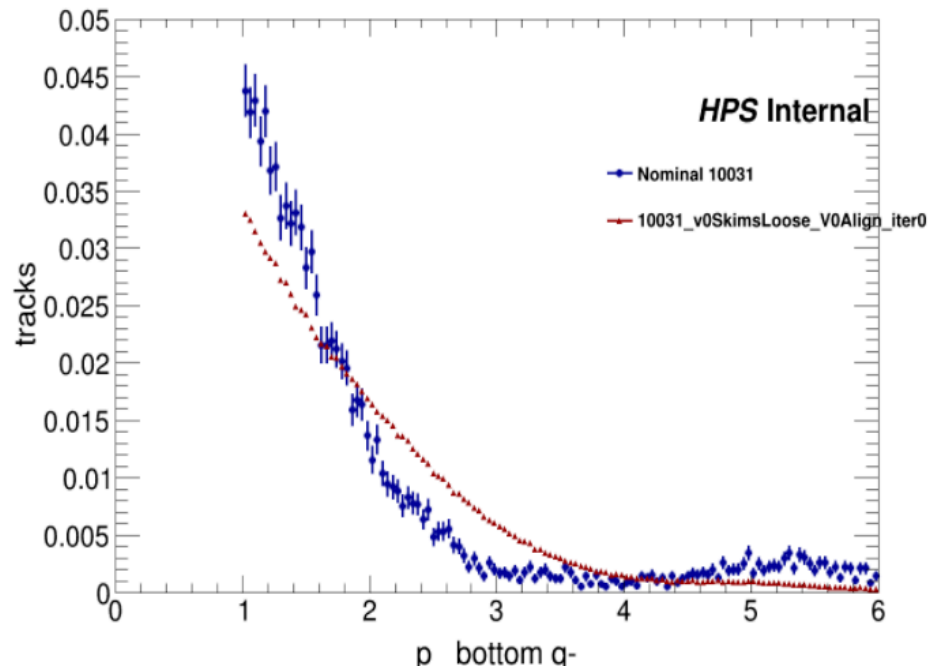
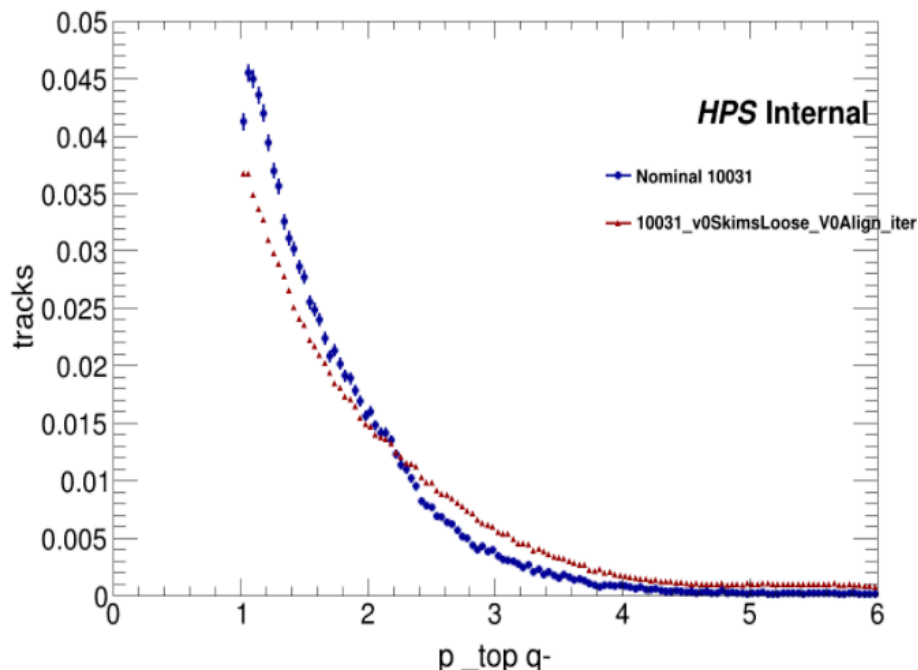




# Local Alignment test - Check on data 2019 V0skims 10031

- I've checked the kinematics of the tracks reconstructed in (**V0Skims**) and (**V0SkimsLoose**)
- One issue that has been noticed in the v0skims:
  - **Very low statistics electrons in bottom volume**
- Probably due to track-to-cluster association algorithm not working properly in 2019 **[fundamental!!!]**

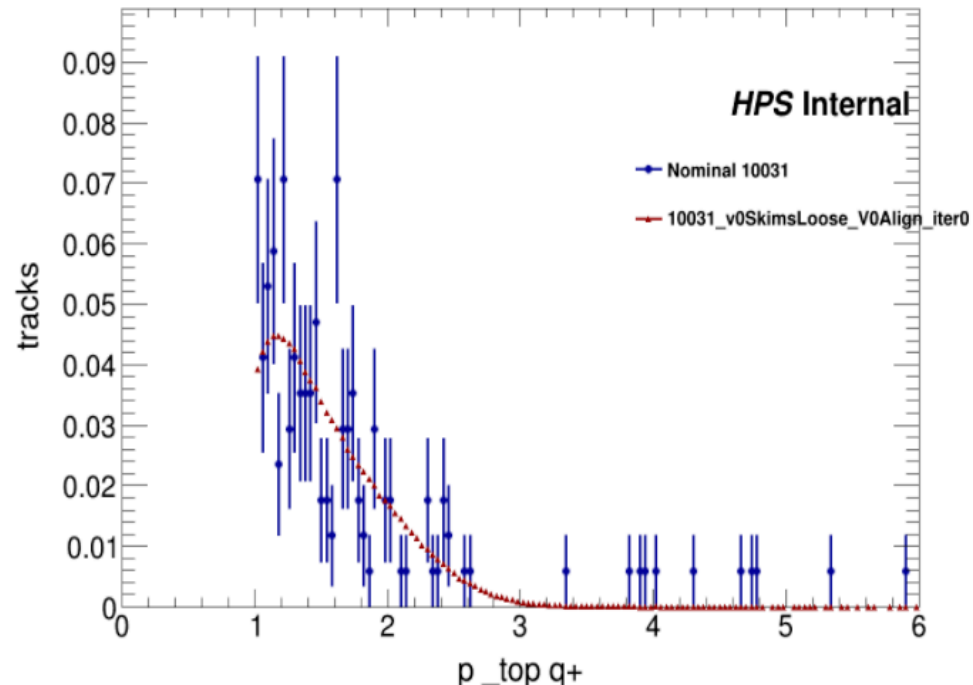
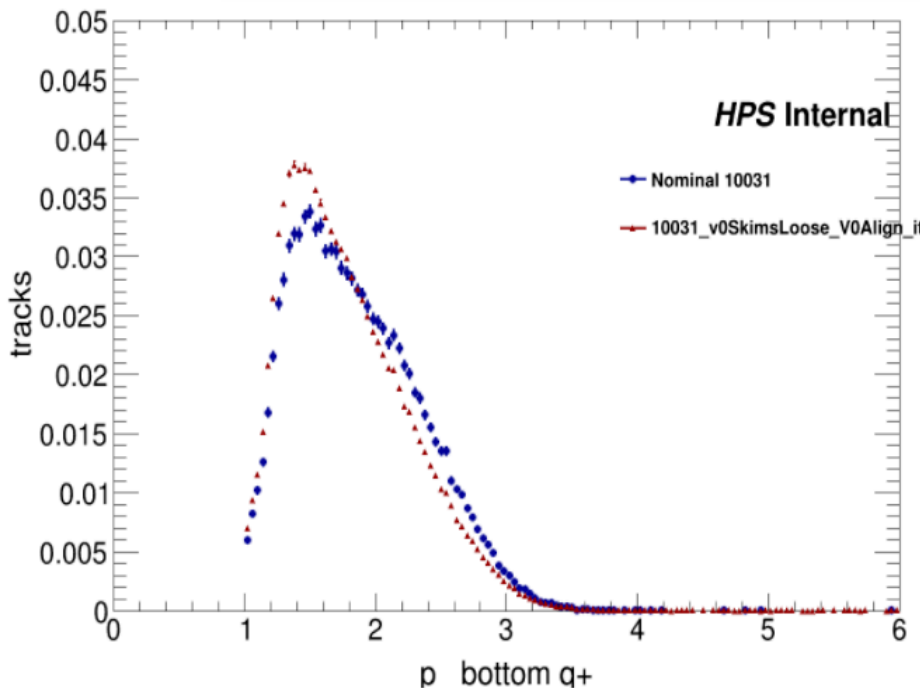
Observed much lower statistics of electrons in bottom volume in clusters on tracks skims



# Local Alignment test - Check on data 2019 V0skims 10031

- 2019 Data has been skimmed with (**V0skims**) and without (**V0SkimsLoose**) cluster on track requirement
- One issue that has been noticed in the v0skims:
  - **Very low statistics of positrons in top volume**
- **Probably due to track-to-cluster association algorithm not working properly in 2019 [fundamental!!!]**

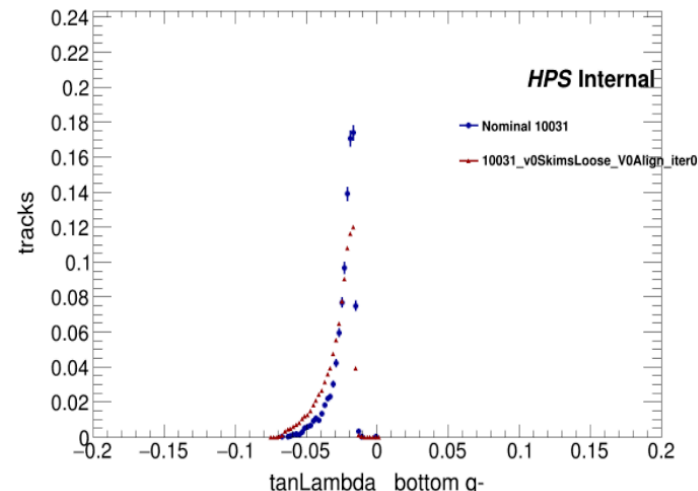
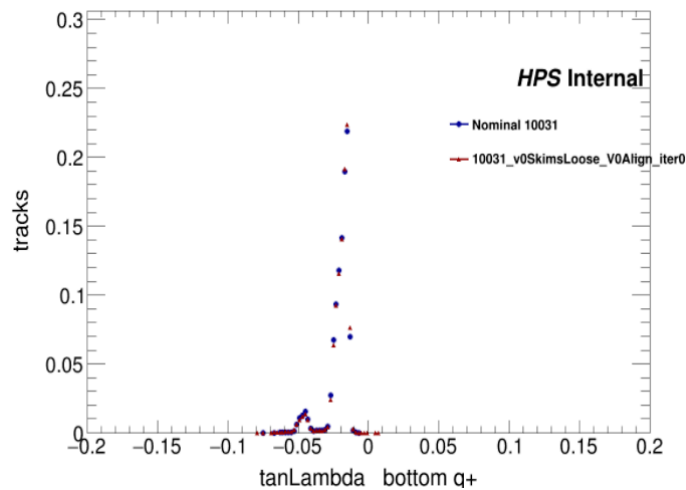
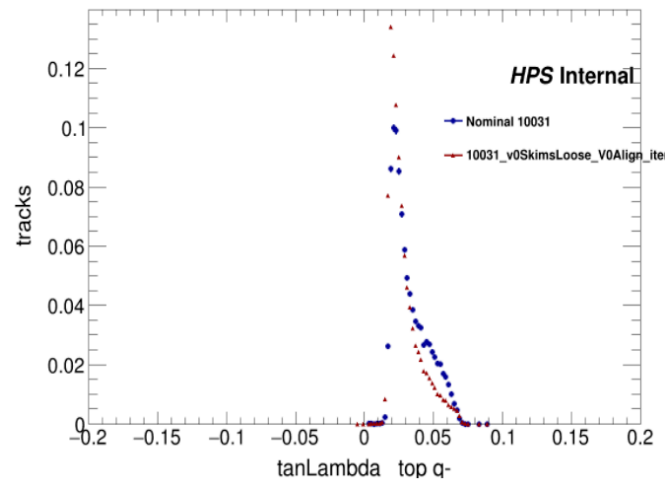
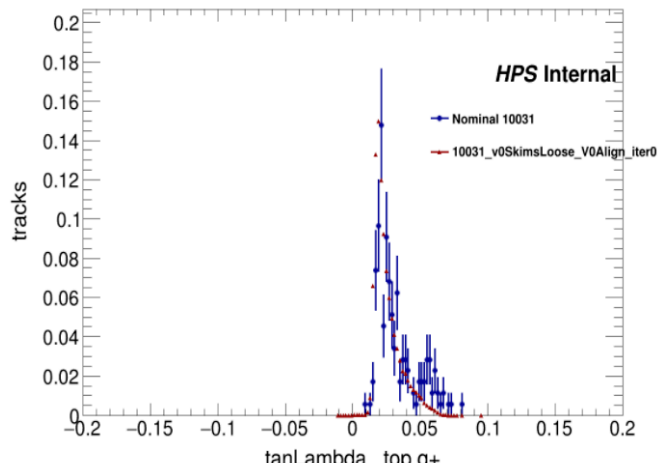
Observed much lower statistics of positrons in top volume in clusters on tracks skims



# Local Alignment test - Check on data 2019 V0skims 10031

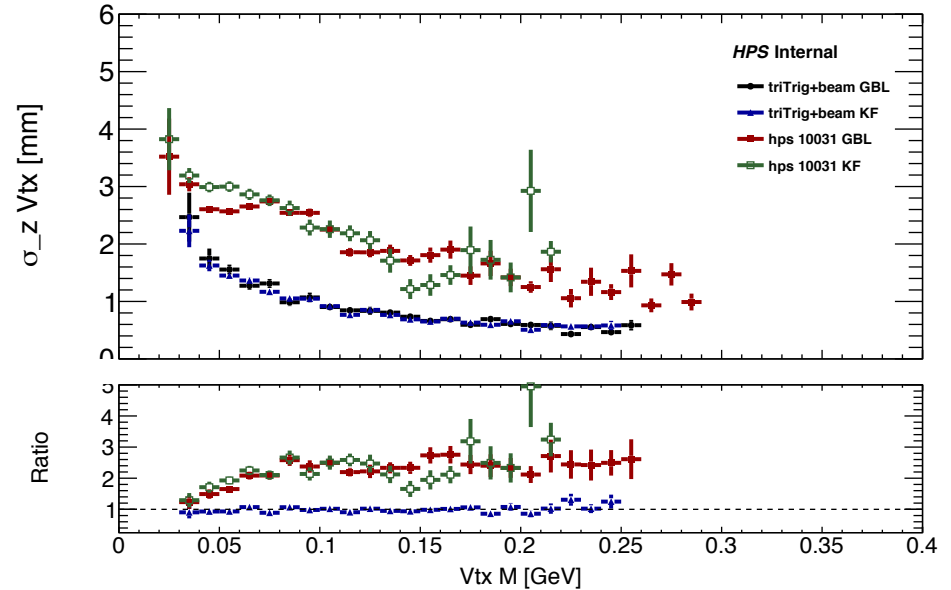
SLAC

- 2019 Data has been skimmed with (**V0Skims**) and without (**V0SkimsLoose**) cluster on track requirement
- One issue that has been noticed in the v0skims:
  - **Very low statistics of positrons in top volume**
  - **Very low statistics electrons in bottom volume**
- **Probably due to track-to-cluster association algorithm not working properly in 2019 [fundamental!!!]**



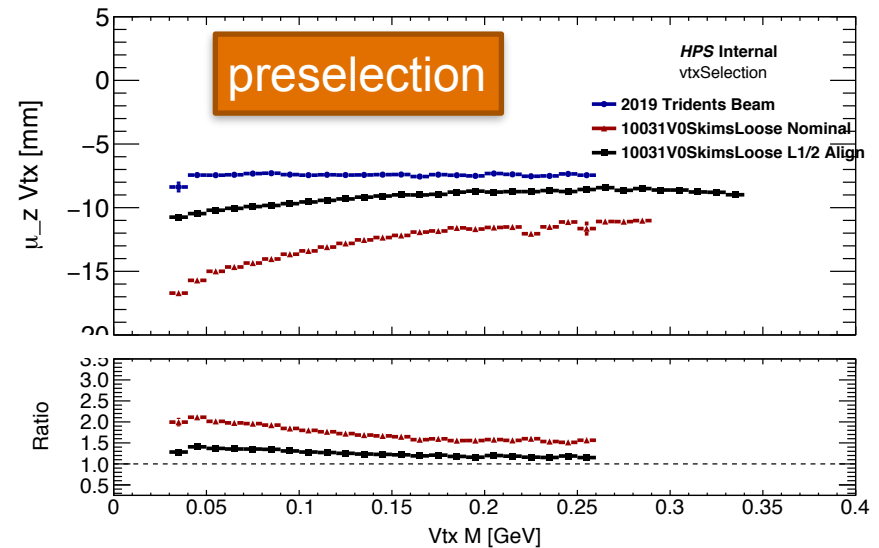
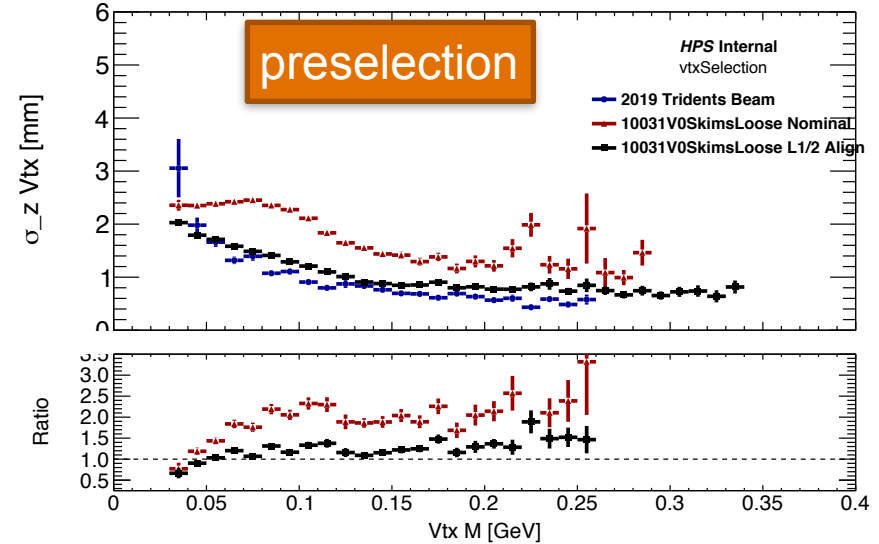
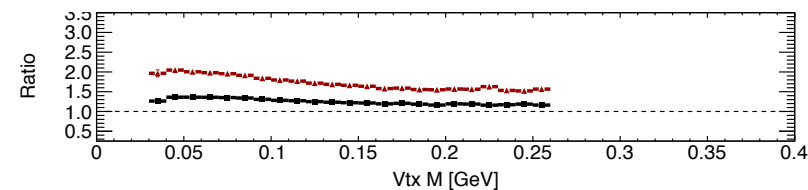
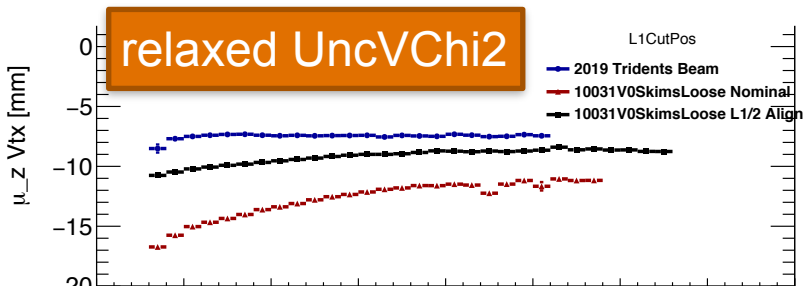
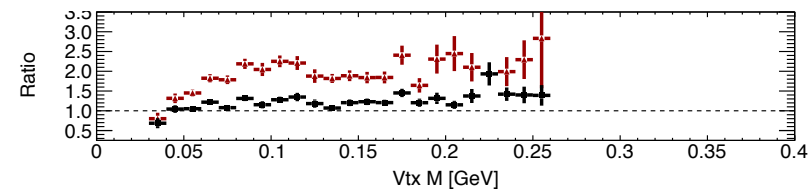
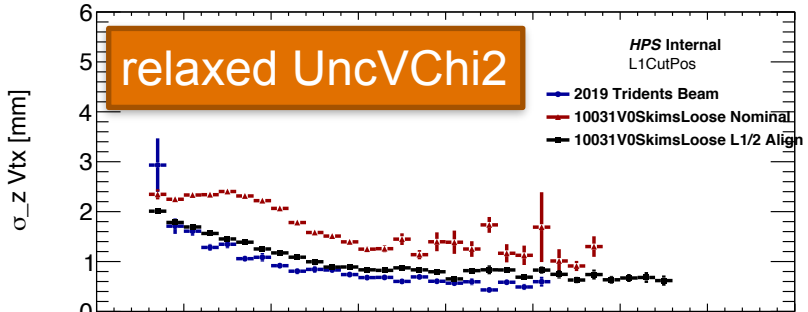
# VTX resolution plots selection

- Check over V0 vertices
- The **preselection** is:
  - $e^- P < 3.4$  GeV
  - $e^-/e^+$   $\text{Chi}2 < 25$
  - $e^-/e^+$   $P > 0.6$  GeV
  - 2D hits  $e^-/e^+ \geq 8$
  - $e^-/e^+$   $\text{NShared} < 5$  [no effect: MOUSE cuts]
  - $V_{tx}$   $\text{Chi}2 < 20$
- L1Pos
- **tightUncChi2:**
  - $\text{UncVChi}2 < 10$
  - L0 Hit on  $e^+$  (against WABs)



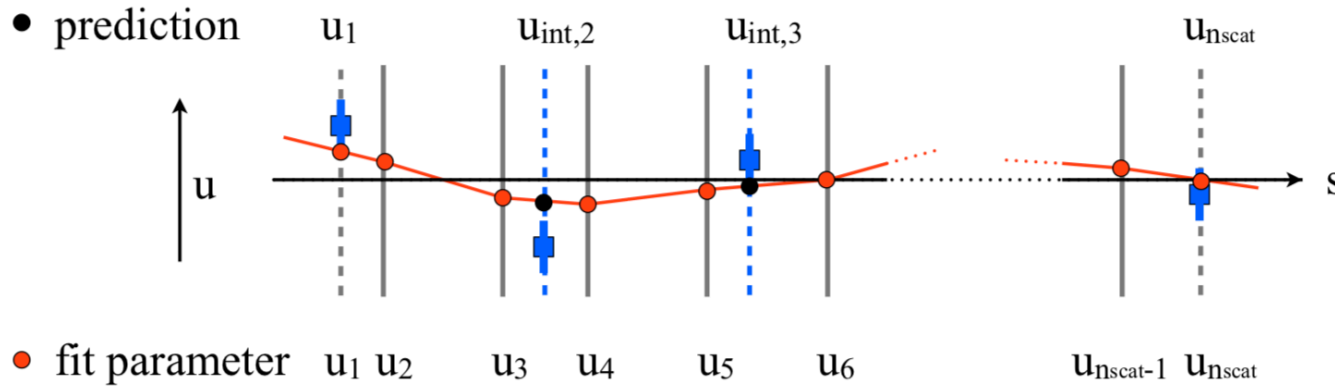
# Current performance aiming for Jeopardy

- I also tested looser selections: no major difference observed



# GBL Tracking - Introduction

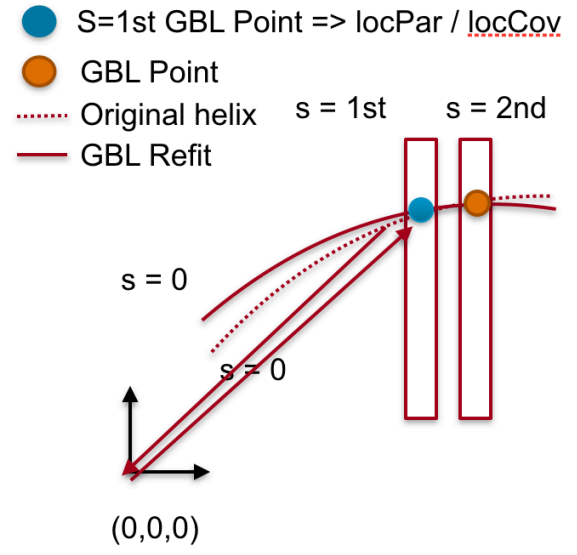
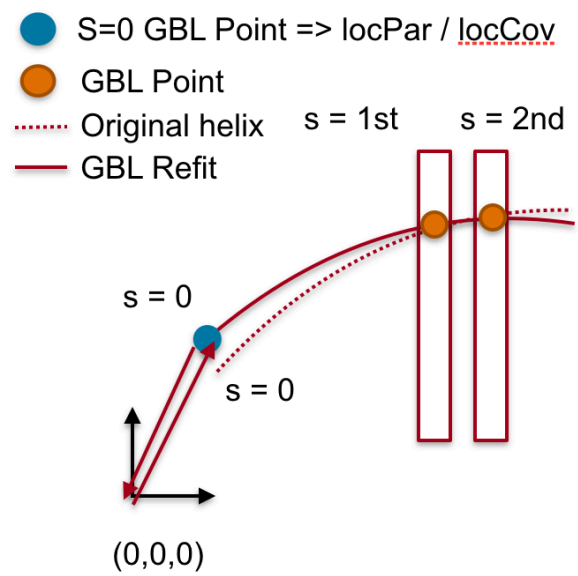
- **General Broken Lines (GBL)** is a track refit algorithm that add the description of multiple scattering to an initial trajectory
  - Based on propagation in magnetic field & average energy loss
  - Constructed from a sequence of thin scatterers
  - In the case of silicon detector a scatter also has a measurement (in the form of local residual)



- The initial trajectory should be 'close enough' to the solution and provide a reasonable estimate of the particle trajectory
- GBL is used in hps-java to refit helical track fits
- It is **iterated** (5 iterations) in our code to ensure convergence of the track parameters corrections

# GBL Tracking - How corrections are extracted

- **General Broken Lines** provides the track parameters **corrections** and the **full local covariance matrix** at each scatter point
- **An empty scatter point** (no scatter nor measurement) can be used to obtain the corrections to the track parameters at that particular point in space
- This is what is done in our tracking code:
  - **The track parameters with respect to (0,0,0) are obtained from a fictitious GBL point at s=0**
  - The other track states on surface are computed on the hit position on each sensor
- **This implies a the usage of a uniform magnetic field between the first measurement to s=0 point**

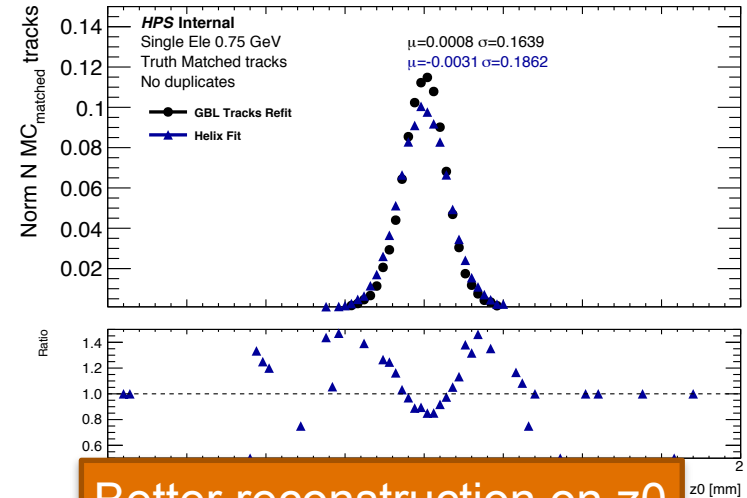
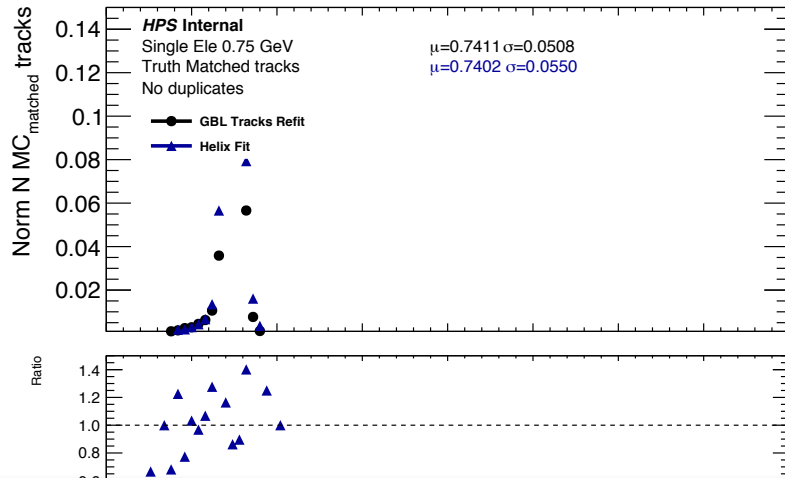


- **Performance of track re-fit is estimated using track parameter residuals and pulls with respect to the matched truth particle**
- Proper estimate of track parameters and their errors is fundamental for vertexing, event reconstruction and eventually analysis.
- Used 2016 Geometry MC (2019 MC readout/reconstruction still work in progress)
- Single electron samples,  $E=0.75\text{GeV}$  and  $E=2\text{GeV}$ , perfect detector conditions and alignment. Particles are shot from (0,0,0)
- Last checks presented at a collaboration meeting (I know of) were performed by MattG [May2017\\_Vertexing](#)
- He found **pulls well centered but with errors not properly computed for the linear fit ( $z$ ,  $\tan\Lambda$ )**
- **Last check made in iss154** (Several changes since then in hps-java)



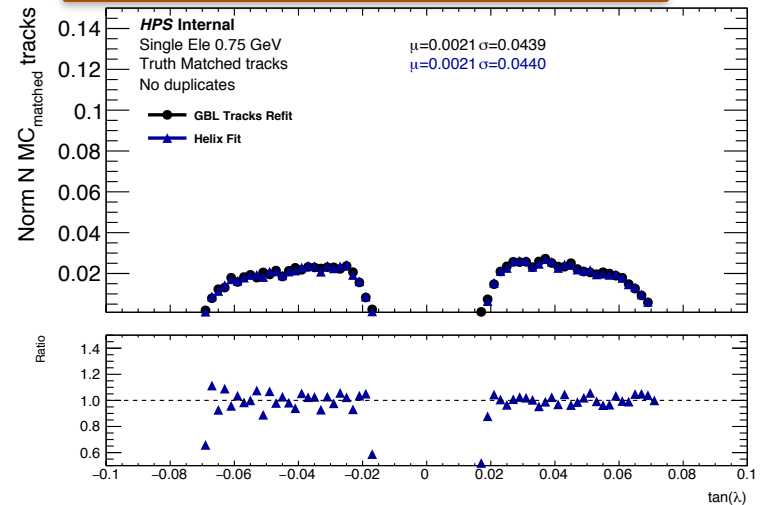
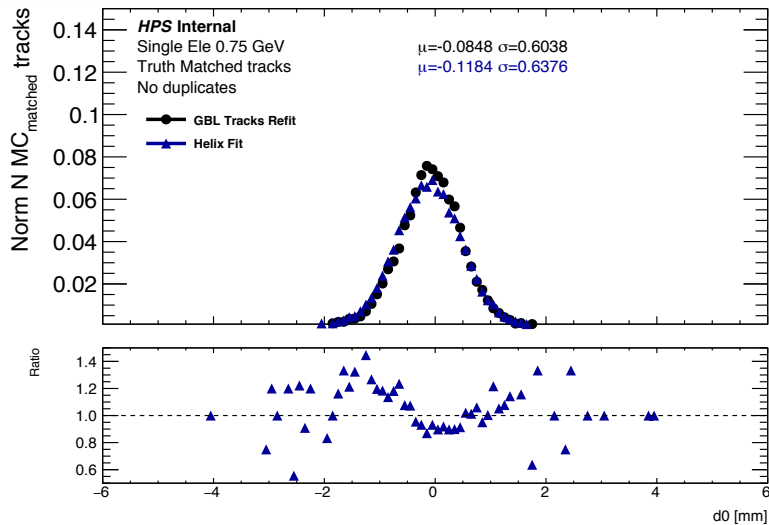
# Helix tracks and GBL Refit

- Helix fits are taken from the GBL Refit relational table. Basic quality cuts are applied



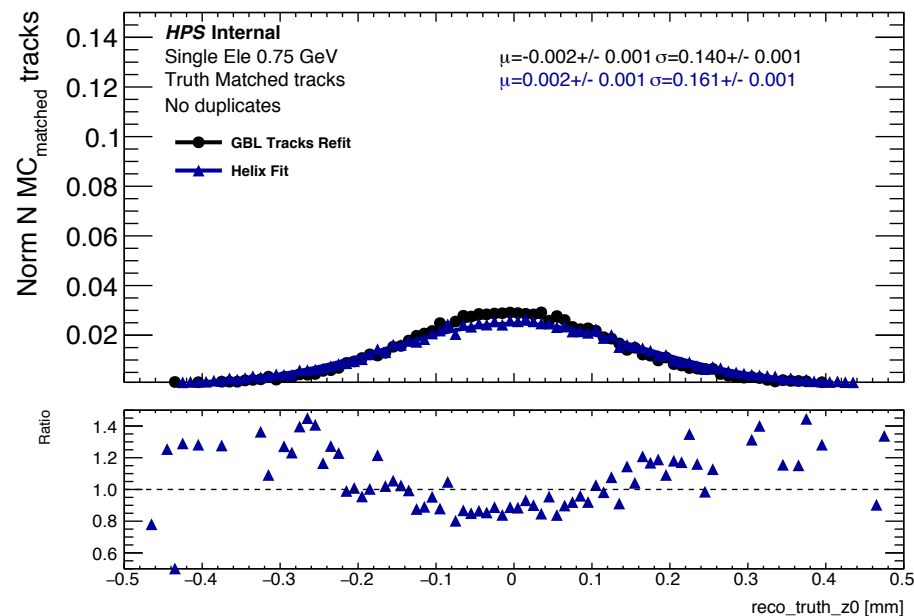
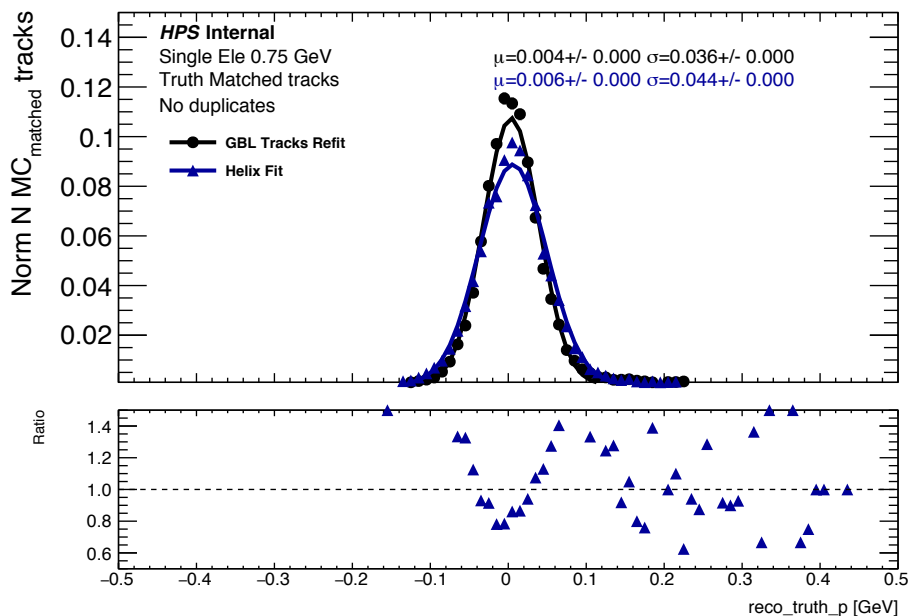
same momentum injected is reconstructed

Better reconstruction on z0



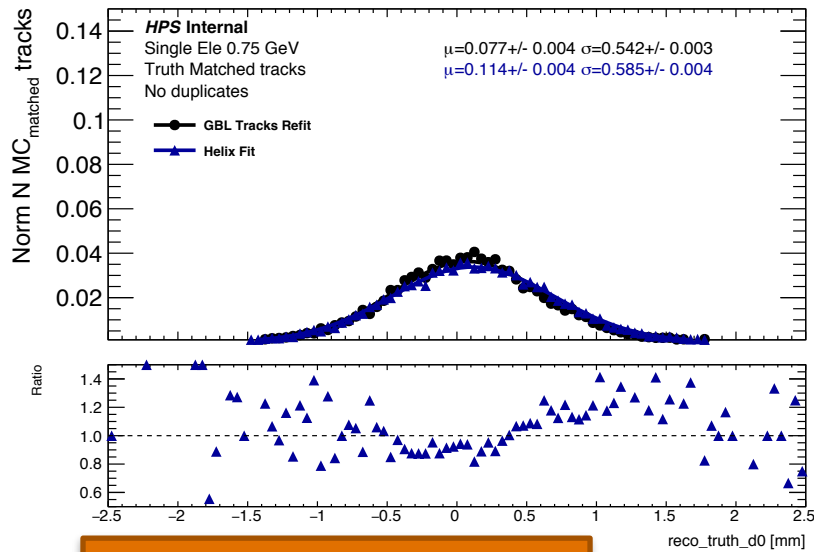
# Helix tracks and GBL Refit - Comparison to truth

- Tracks are requested to be matched to mcParticles in the event
- The Matching Criteria checks which particle from simulation generated the hits-on-track
- **Found about ~10% duplicate rate** in single electron sample (by checking that a different track is matched to more than one MC particle) - quite large and needs to be addressed
- **Found 0% fake rate in this sample.** Suspicious but expect small anyway

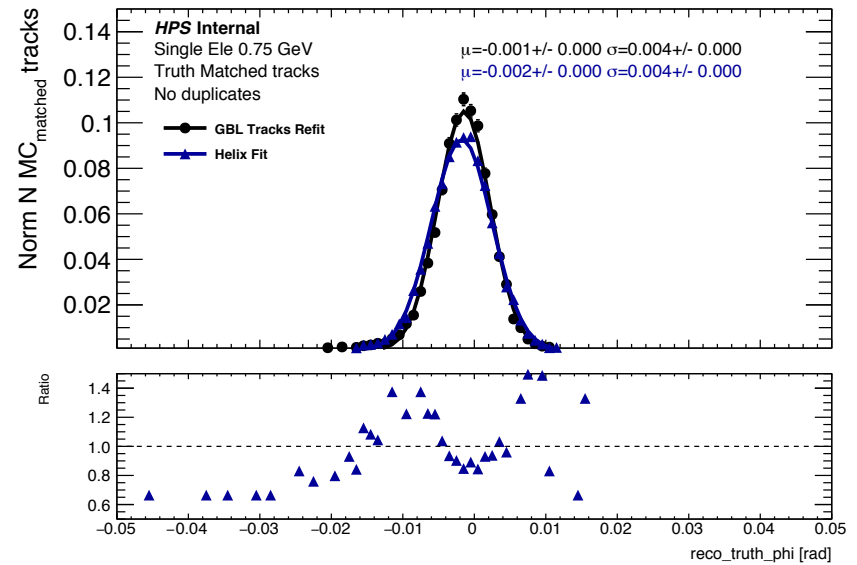
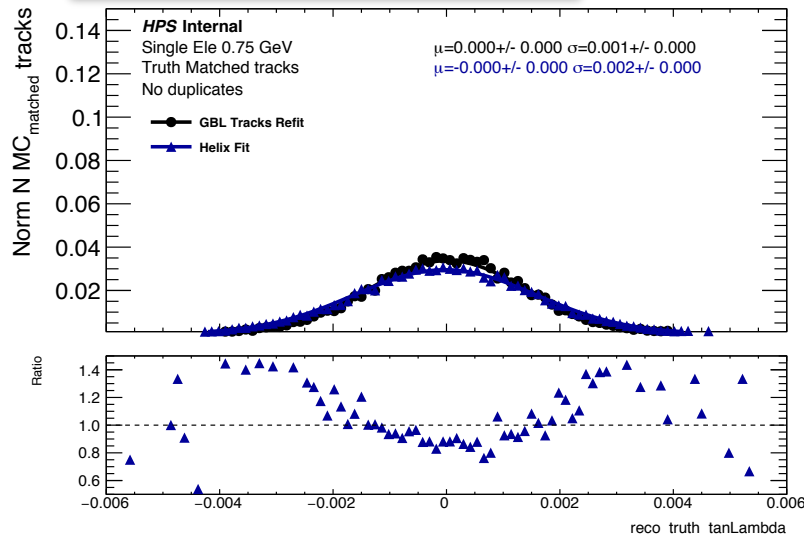


Improvement of p and z0 residuals with respect to truth matched particles  
Gaussian shape models ~ok (not momentum, due to energy loss modelling)  
Resolution improvements observed from truth: p: 18%, z0:12 %

# Helix tracks and GBL Refit - Comparison to truth



d0 improvement 7%

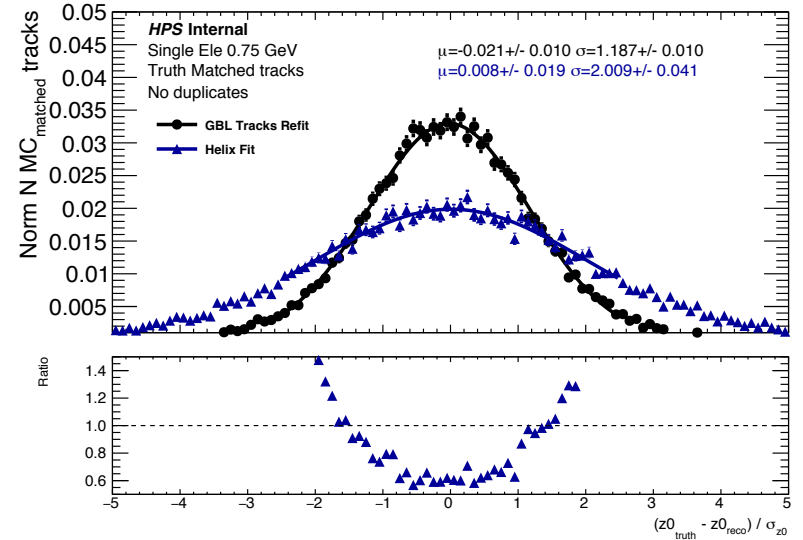
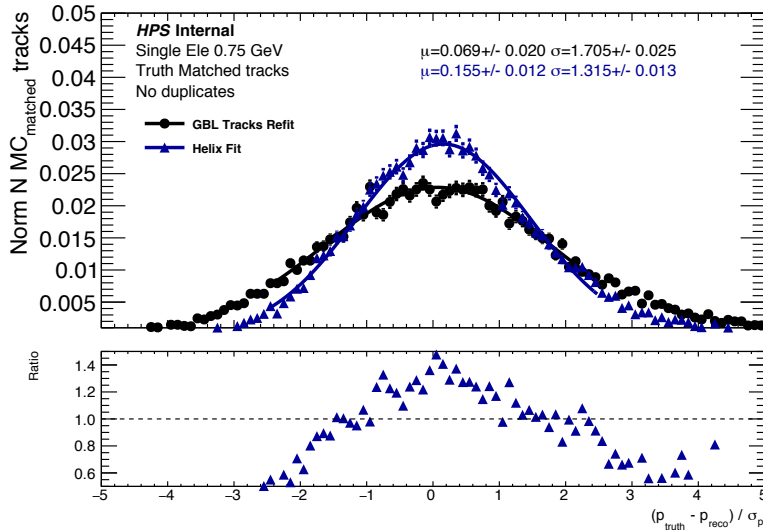


General improvement of all track parameters with respect to truth with respect to Helical Track Fit. Track parameters are wrt ref point (not the best due to b-field non-uniformities)

This is in line with what has been observed back in 2017 by MattG

# Helix tracks and GBL Refit - Check over the pulls

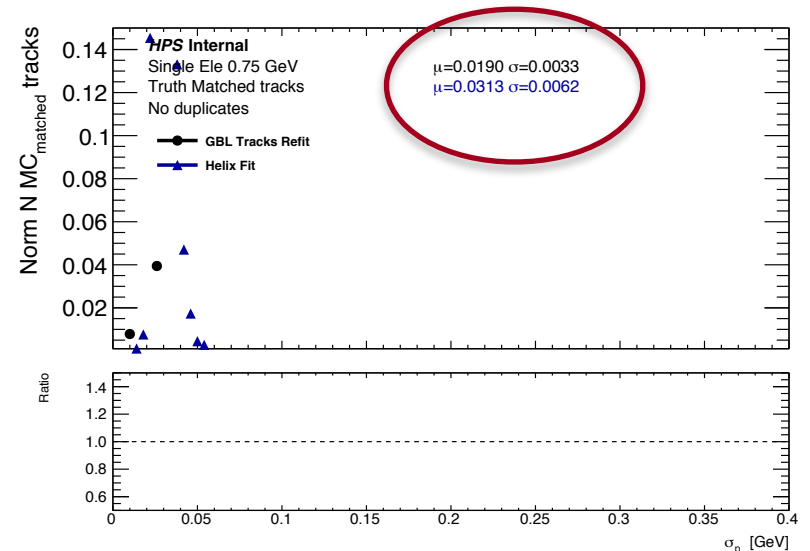
- Pulls are computed dividing the truth residual over the correspondent error from the covariance matrix



GBL provides a much better guess of the  $z0$  error with respect to seed track  
 However momentum error seems to be largely smaller than expected  
 We see 18% improve of the residual pull and  $\sim 2x$  smaller estimated error.

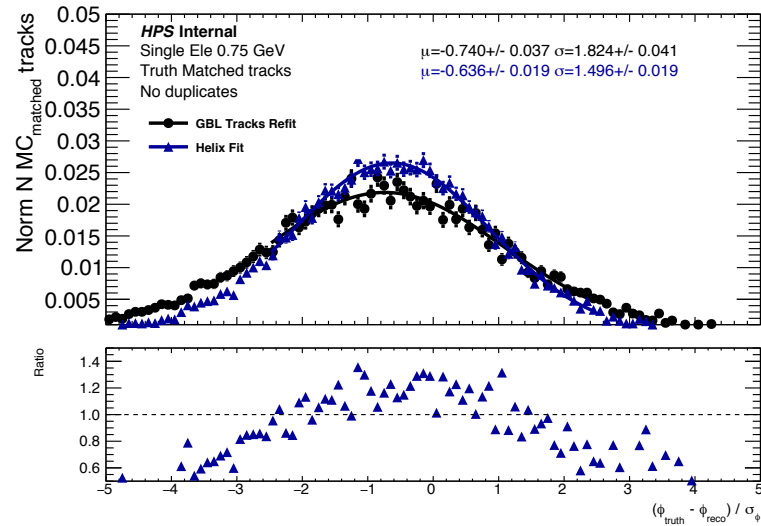
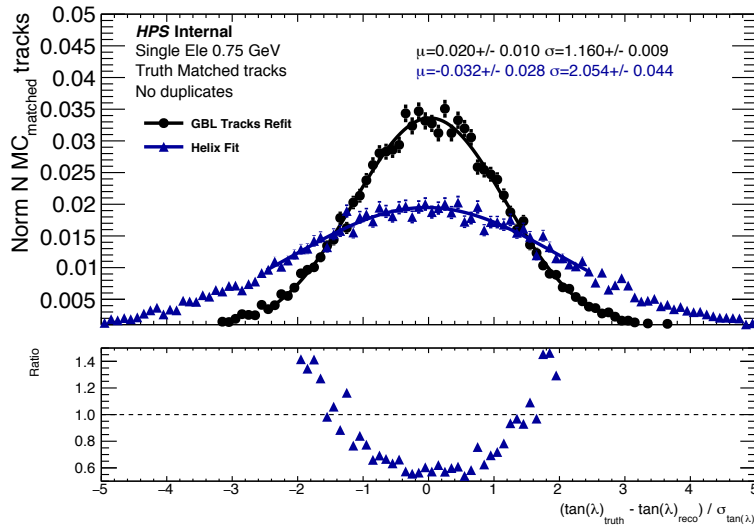
This can be due to:

- Wrong covariance matrix computation
- In-accurate transport of the track params to (0,0,0)



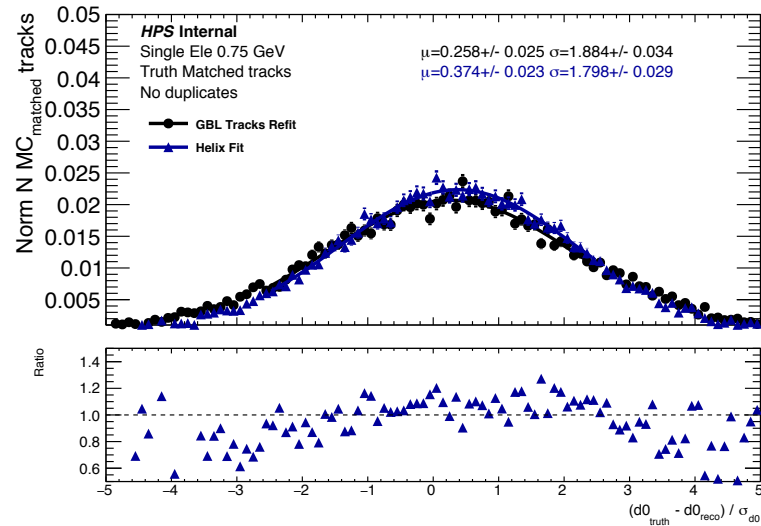
# Helix tracks and GBL Refit - Check over the pulls

- Pulls are computed dividing the truth residual over the correspondent error from the covariance matrix

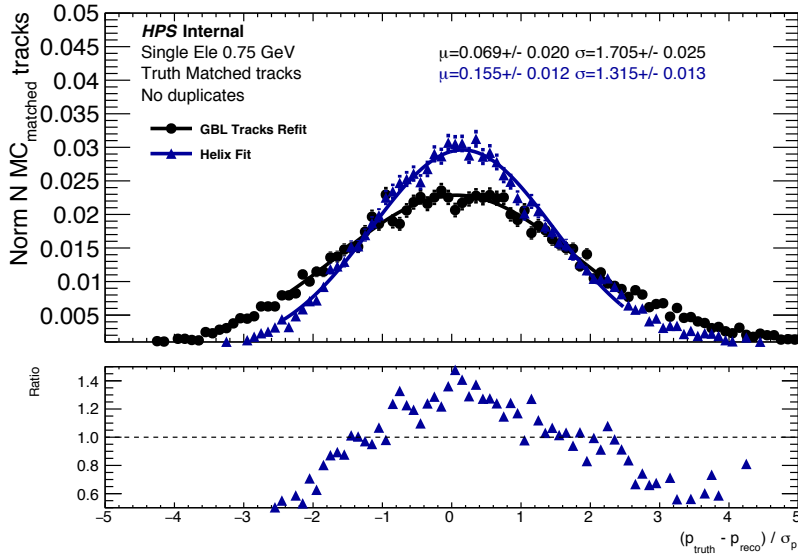


Seems like the effect is present only in the circle fit:

- $\tan\lambda$  and  $z_0$  have pulls with  $\sigma \sim 1$  and bias  $\sim 2\% \Rightarrow$  OK!
- $p$ ,  $d_0$ ,  $\phi_0$  all have pulls  $\sim 2 \Rightarrow$  **phi is largely biased**



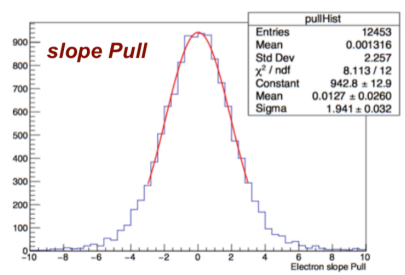
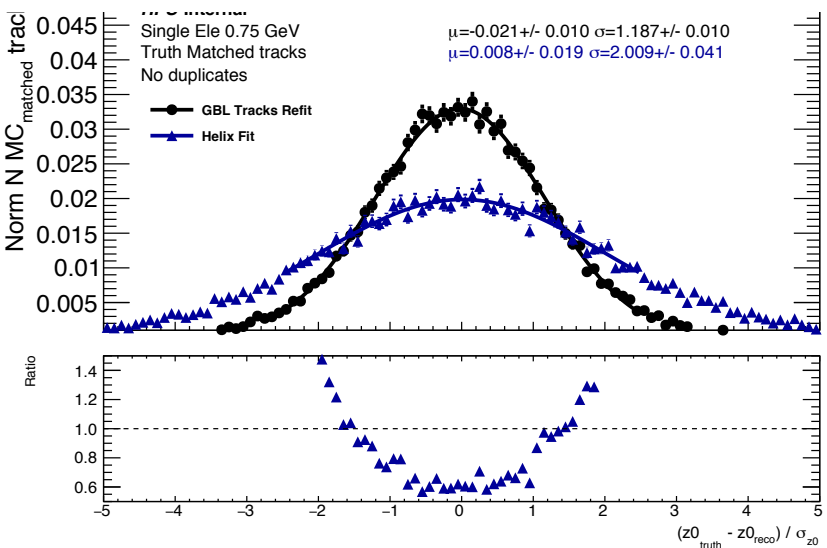
# Comparison with A' sample



**Opposite results** with respect what MattG shown in 2017 at the HPS collaboration meeting

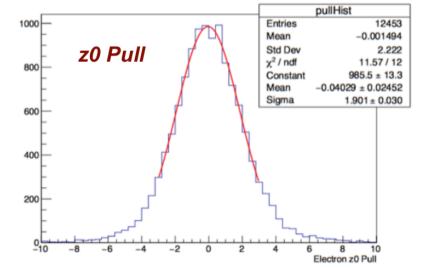
- 100mm 40-50MeV A' sample
- Back then (~1.4-1.5 circle fit pull widths)
- x2 pull width for linear fit

Plan to check pulls on measurement instead of reference point (less math)



*These are too wide by x2!*

Z-dependence?  
Momentum-dependence?



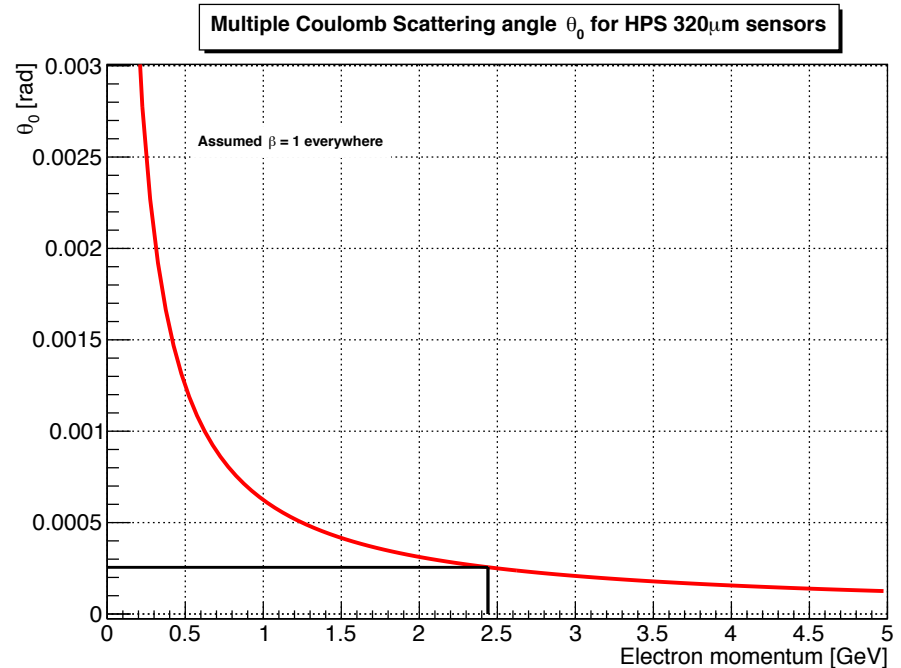
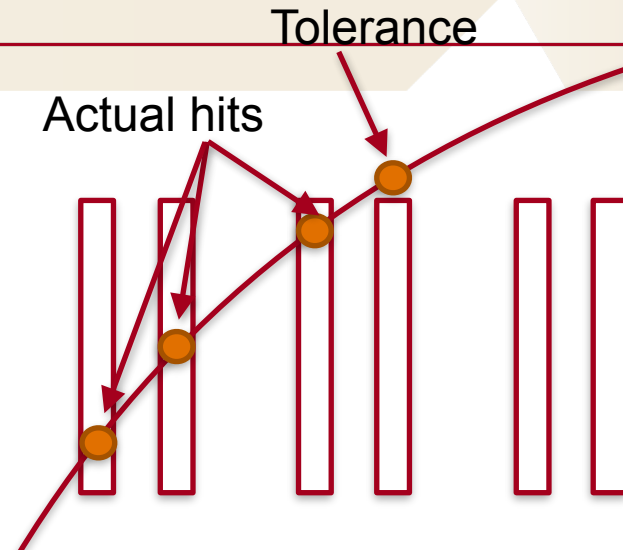
MattG

# Multiple Scattering treatment

- The Multiple scattering contribution is estimated from the track helical fit:

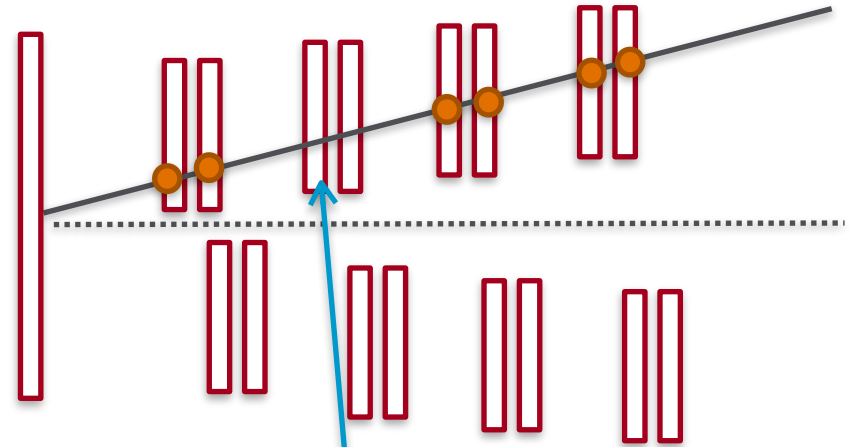
## (1) Find Scatter Points along Helical Fit

- Check  $x(y) > (\Delta u(v)/2) + 100\mu\text{m}$
- Strips are along  $y$
- 100  $\mu\text{m}$  of tolerance (fixed)
- Scattering angle is computed from PDG
- **Found small issue with missing hits and multiple scattering in GBL Refits**
  - Scatter points were only added for hitsOnTrack
  - Holes were neglected



# Multiple Scattering treatment

- Treatment of MS not fully understood (by me)
- Second:
  - Multiple scattering only added if hit-on-track is present
- Fixed from [iss630](#)
  - Effect on 2016 should be small:
    - Vertex analysis asks for L1 hits in main SR, will affect LXL2 searches
- Different for 2019 as some hybrids are dead in Ly4

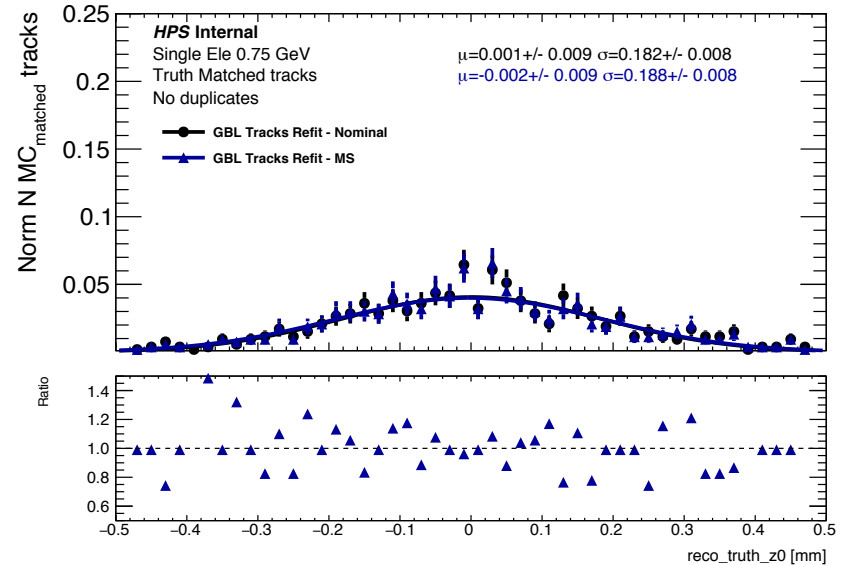
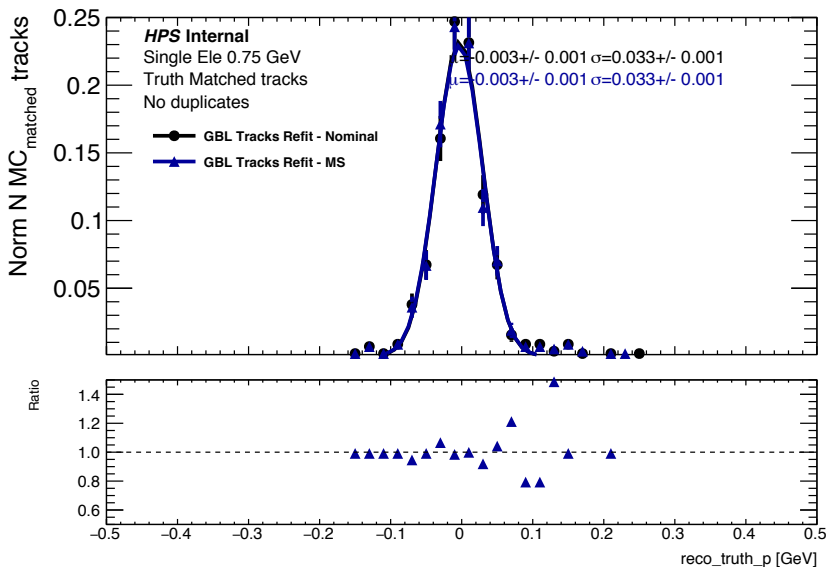


Track misses hits on track here:  
MS is not added



# Truth residuals and pulls - linear fit

- Single electrons E=0.75 GeV sample
- **Tracks are required to have 5 hits and one hit on L6**
  - Ensures maximum effect for the change done
- Better description of the error for these tracks
- **Black:** “proper” treatment of multiple scattering
- **Blue:** nominal

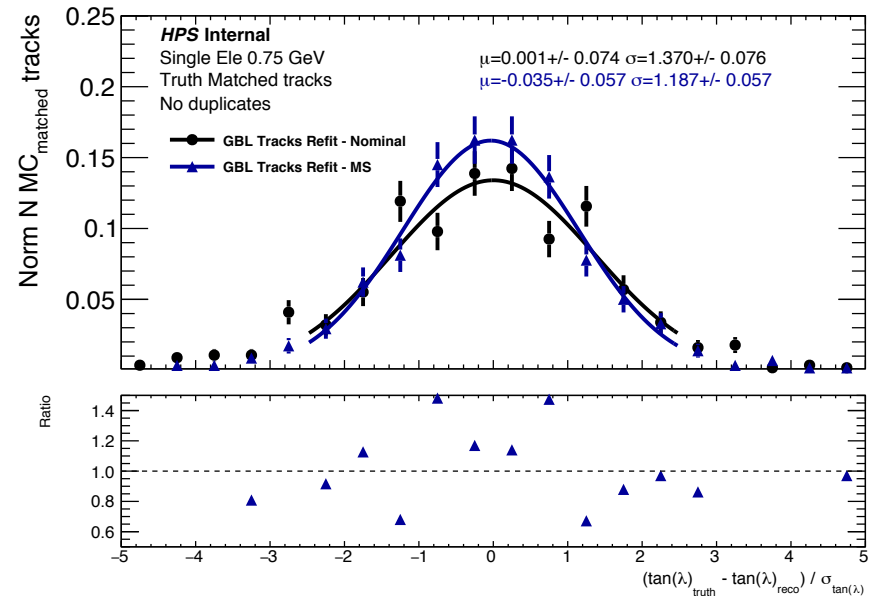
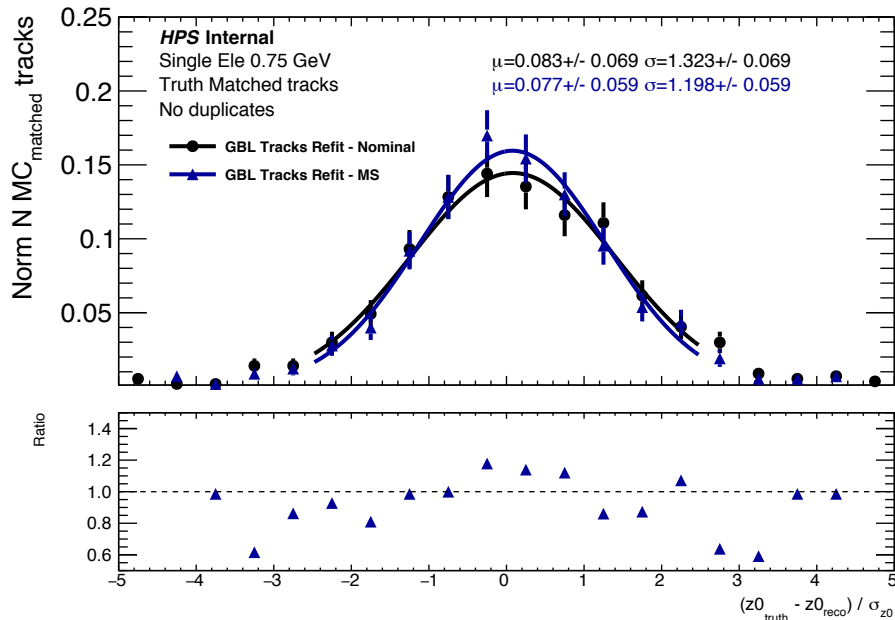


• No effect on truth-residuals with respect to nominal - Expected

# Truth residuals and pulls - linear fit

- Single electrons E=0.75 GeV sample
- **Tracks are required to have 5 hits and one hit on L6**
  - Ensures maximum effect for the change done
- No effect on truth-residuals with respect to nominal - OK
- Better description of the error for these tracks
- **Black:** “proper” treatment of multiple scattering
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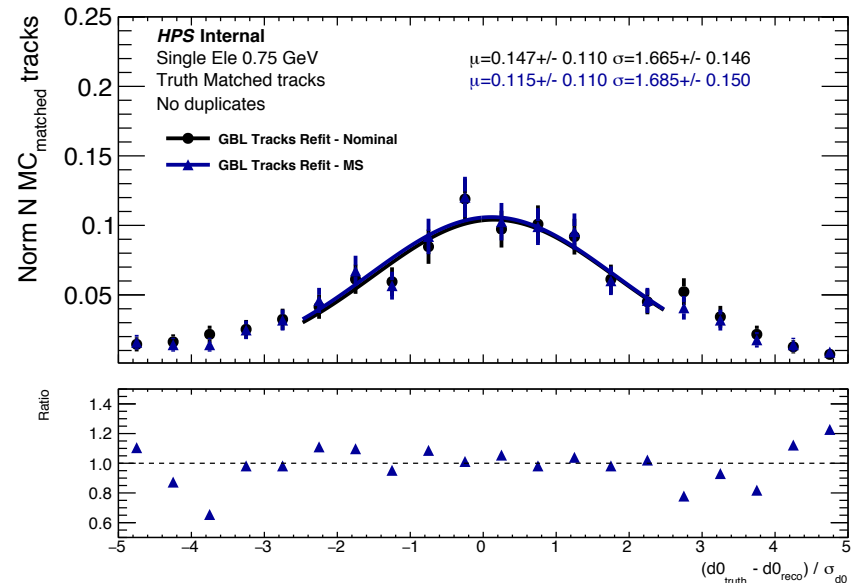
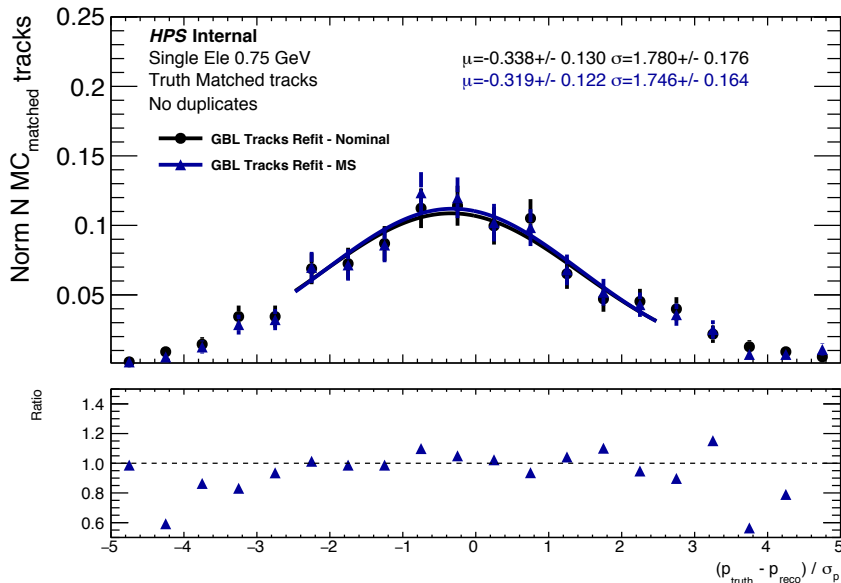
$z_0 / \tan\lambda \Rightarrow 10\%$  improvement  
In error description  
Pull similar quality of all track



# Truth residuals and pulls - circle fit

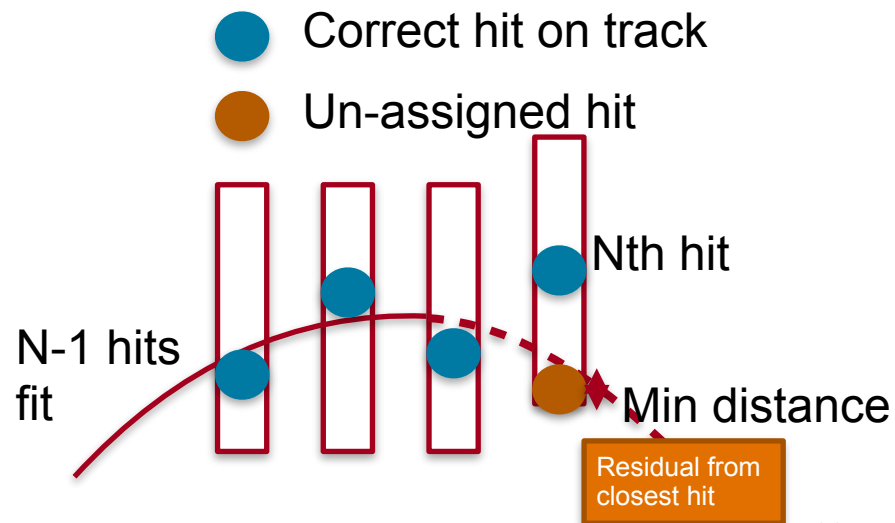
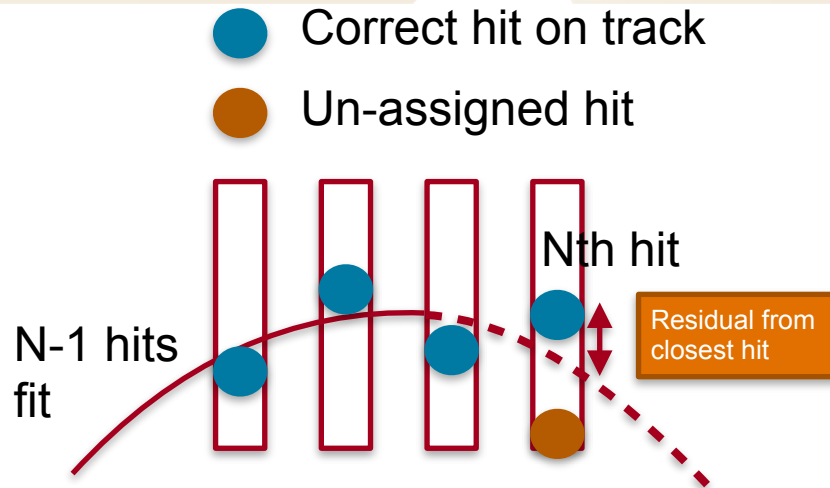
- Single electrons E=0.75 GeV sample
- **Tracks are required to have 5 hits and one hit on L6**
  - Ensures maximum effect for the change done
- No effect is observed on circle fit
- **Is that expected?**
  - No resolution to guess phi kinks (?)
  - Multiple scattering in phi not properly computed in Java Port of GBL fit (?)
- **Unfortunately another thing to check**

No Effect on Circle Fit ?!  
Un-expected



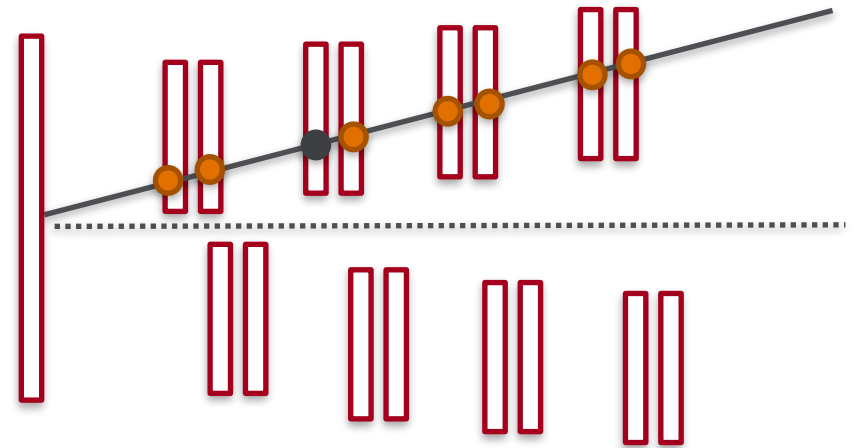
# New driver was needed for GBL unbiased residuals

- Revisited the Unbiased hit-on-track residuals driver
  - issXX to be opened
- The reason being that a whole track finding was re-performed removing hits on layers=> residuals were then defined wrt the closest measurement in the removed layer [at least the Unbiased Residual Driver I was pointed to]
  - Doesn't catch properly detector movements in case of other hits on layer
- Unbiased residuals are now formed refitting the original GBL track
  - GBLStripClusterData list is persisted
  - GBLPoint under check is removed and substituted with a scatter (to keep MCS effects)
  - GBL Trajectory is refit (\*)
  - Hit-on-track is computed
- This, in principle, should be the right way to compute the GBL residual
- (\*) GBL doesn't converge over a single refit. I haven't iterated the refit yet should be done



# Computation of the unbiased residuals

- Added persistency of the GBLStripClusterData associated to a GBL Fit trajectory
- Each GBLStripClusterData object holds:
  - ID for the sensor
  - measurement (+err) in local coord
  - Track fit position (for biased residual)
- Loop on the hits, each hit is removed and substituted with a scatter
- GBL Refit is re-performed

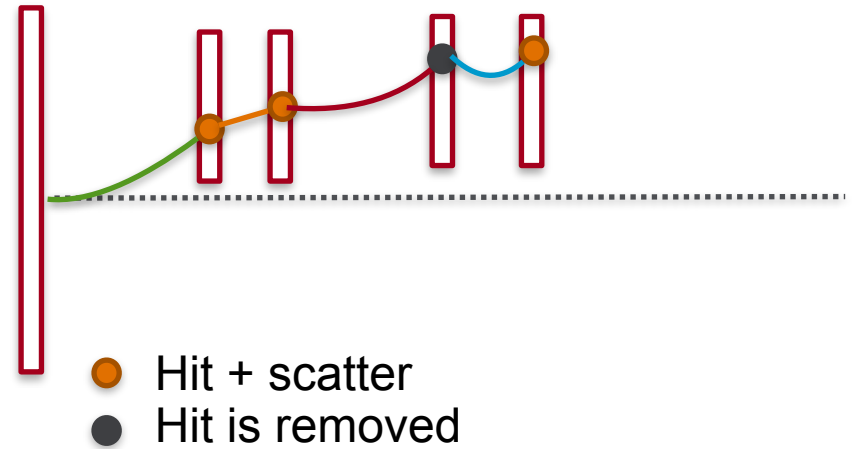


- Hit + scatter
- Hit is removed

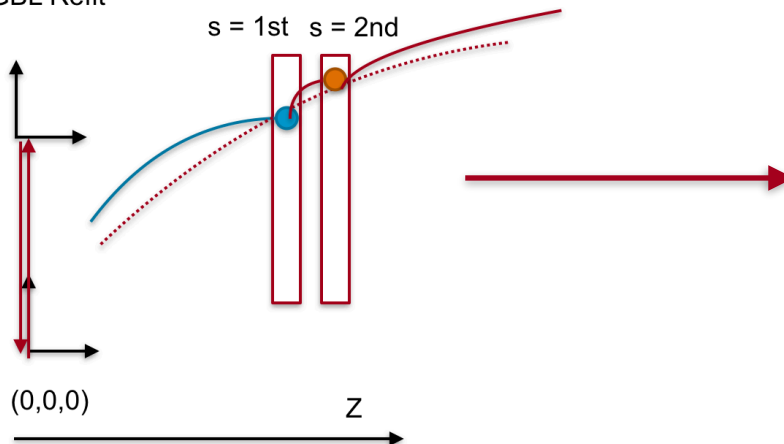
Work in progress being done for fully unbiased residuals (both sides are removed)

# Computation of the unbiased residuals

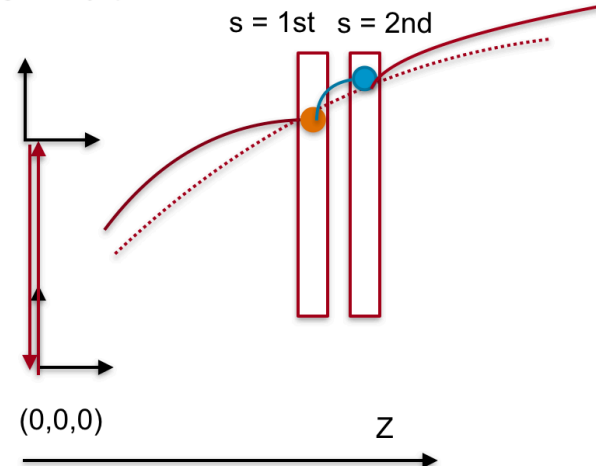
- GBL track has different track states on surface at each sensor [ between each measurement a different helix is computed]
- **Extrapolated track position is corrected for each track state on surface**
- The residual is then computed  $r = m - e$  where  
 $m$ = measurement position  
 $e$ = extrapolation



- GBL Point => locPar / locCov
- GBL Point
- ..... Original helix
- GBL Refit



- GBL Point => locPar / locCov
- GBL Point
- ..... Original helix
- GBL Refit

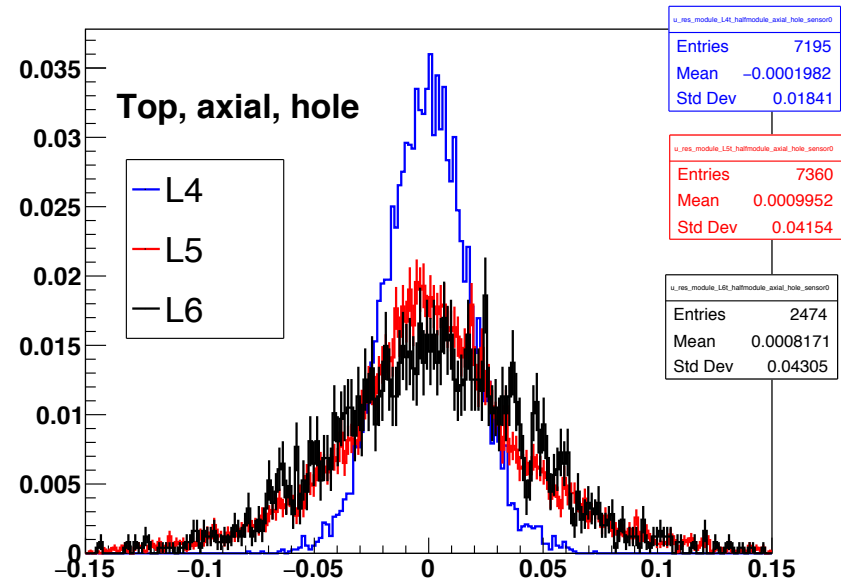
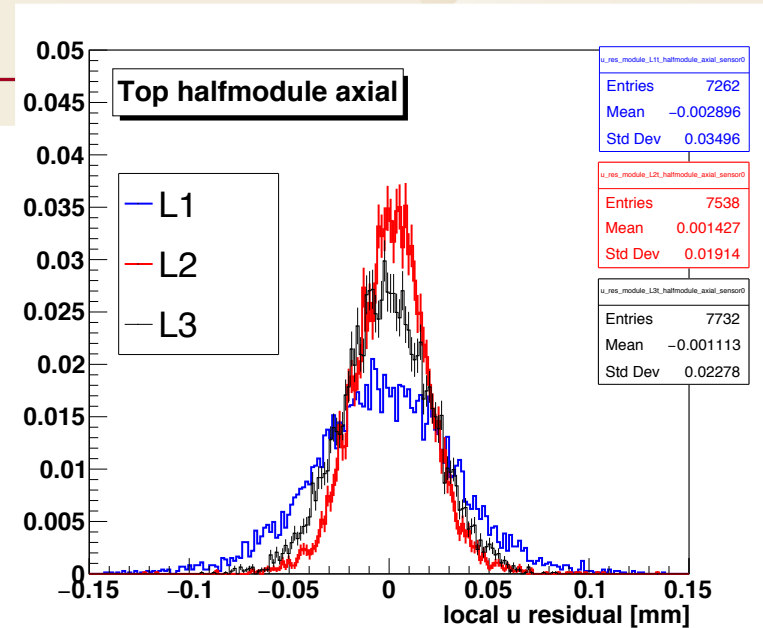


# Unbiased Residuals

- Unbiased residuals are centered on zero with a width  $\sim 23\mu\text{m}$  [avg] for single electrons at  $\sim 2.4\text{GeV}$
- RMS Ly2  $\sim$  RMS Ly4 (?)
- MS not included for holes-on-tracks
- Single GBL Refit for unbiased track

Re-Observed (originally done by MattS) that Ly4 has best residual with respect to the other layers

- Somewhat un-expected
- Cause should be investigated [perhaps lower priority though?]



# Conclusions

- In the process of learning the software for the GBL refitting
- **Found really small issues in:**
  - **Multiple Coulomb Scattering treatment** - corrected in [iss634](#)
    - **Effect on z0:** error enlarged, better pull
  - Fix to an element of **CLtoPerigee jacobian**, for the rest is exact
    - issue to be made
  - Tested proper **application of Jacobian for change for reference frame from s=0 to (0,0,0)**. Minor effects (backup)
    - Minor, as electrons and positron tracks are corrected to Vtx position in analysis.
  - Order of our track parameters is different wrt GBL svn code
    - **If matrix algebra has been copied directly, might cause issues. Algorithm needs a check, in principle.**
- **Strategy to obtain track parameters to ref-point (0,0,0)**
  - Intrinsically uses B-Field uniform => need to be changed for 2019
  - Worth checking on 2016, which is data/MC we understand better
- **Observed no multiple scattering effects on the circular fit. Un-expected.**



# Next steps - Track fitting and Tracking performance

- **Recompute** truth\_residuals / pulls / errors at first measurement instead at  $s=0$ 
  - This should be the degree of precision of our covariance matrix from GBL port
- Fit in **Ly1-Ly6** and use **RK to extrapolate** the track parameters back to reference point or vertex position
  - Should be easy as already implemented for extrapolation to ECAL (code is available)
  - Same as Robert does with KF!
- **Use a step-by-step approach with a full Jacobian between layers (2019)**
  - Robert uses a variable B magnitude + Rotation to align to the direction of b-field. Reference ["Jacobians in Homogeneous B-Field"](#) contains the full expression.
  - Worth implementing?

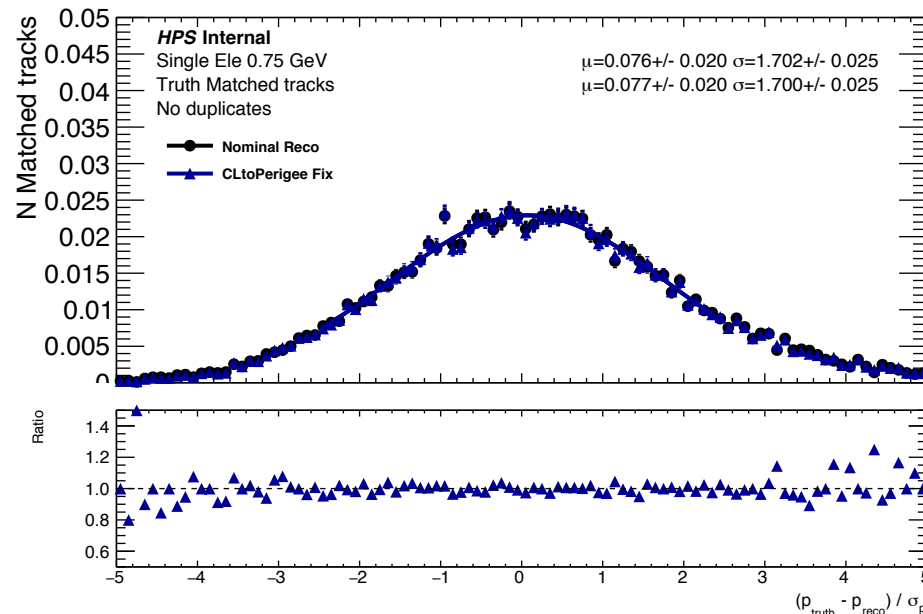
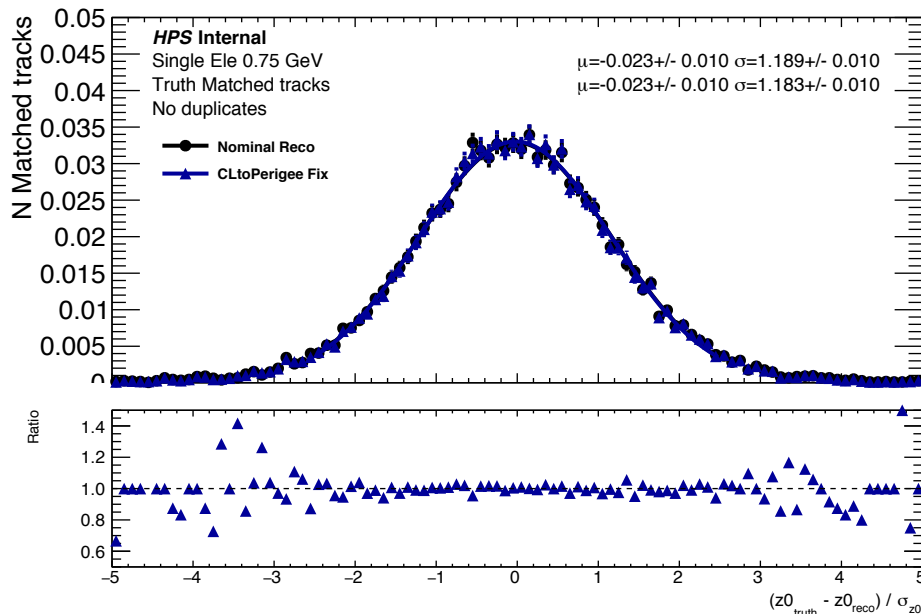
## Next steps - Alignment

- I've begun looking into millepede configuration and code
  - **Ramp-up work still in progress**
  - Sorted out how GBLData is filled, discussed with other collaborations experts
  - **Preferred to have a feeling of what is actually fed into the algorithm before running it, then things started to pile up**
  - Plan to dig into it before Xmas break.
- **High-priority to-do list:**
  - Generate a compact + lcmd with sensors moved by hand and check new code for unbiased residuals
  - Re-align and check results with metrics developed
  - For 2019 need to decide a structure for L0 - L1
    - Fixed Millepede-ID indexing for 2019, [iss622](#), which is a start...

## Next steps - Track selection (not only GBL)

- Need to urgently revisit the strategies used for track finding:
  - Strategy efficiency and fake rate should be evaluated and run separately
  - Remove duplicates from analysis level, tracks should (in principle) arrive to analysers **clean** and **non-ambiguous**
- Request to **revisit** and **optimise object identification cuts**
  - Should be possible to address in a short time scale
- Decide a set of generic track quality cuts for analysers
  - Assess selection efficiency and fake rate.
- Aim to a performance support note for 2016 analysis (and 2019)

# Curvilinear to Perigee Jacobian Checks



- **The curvilinear to perigee** Jacobian is used when the correction to the track parameters is applied to the original track
- I've checked (to my best knowledge) if the transformation was correct:
  - Found small issue in one element
  - **Minimal effect.**
- Checked pulls after correction:
  - Consistent with the fix
- **After fix, I'd say Jacobian is correct**