

Kalman Filter Pattern Recognition and Fitting Status Update

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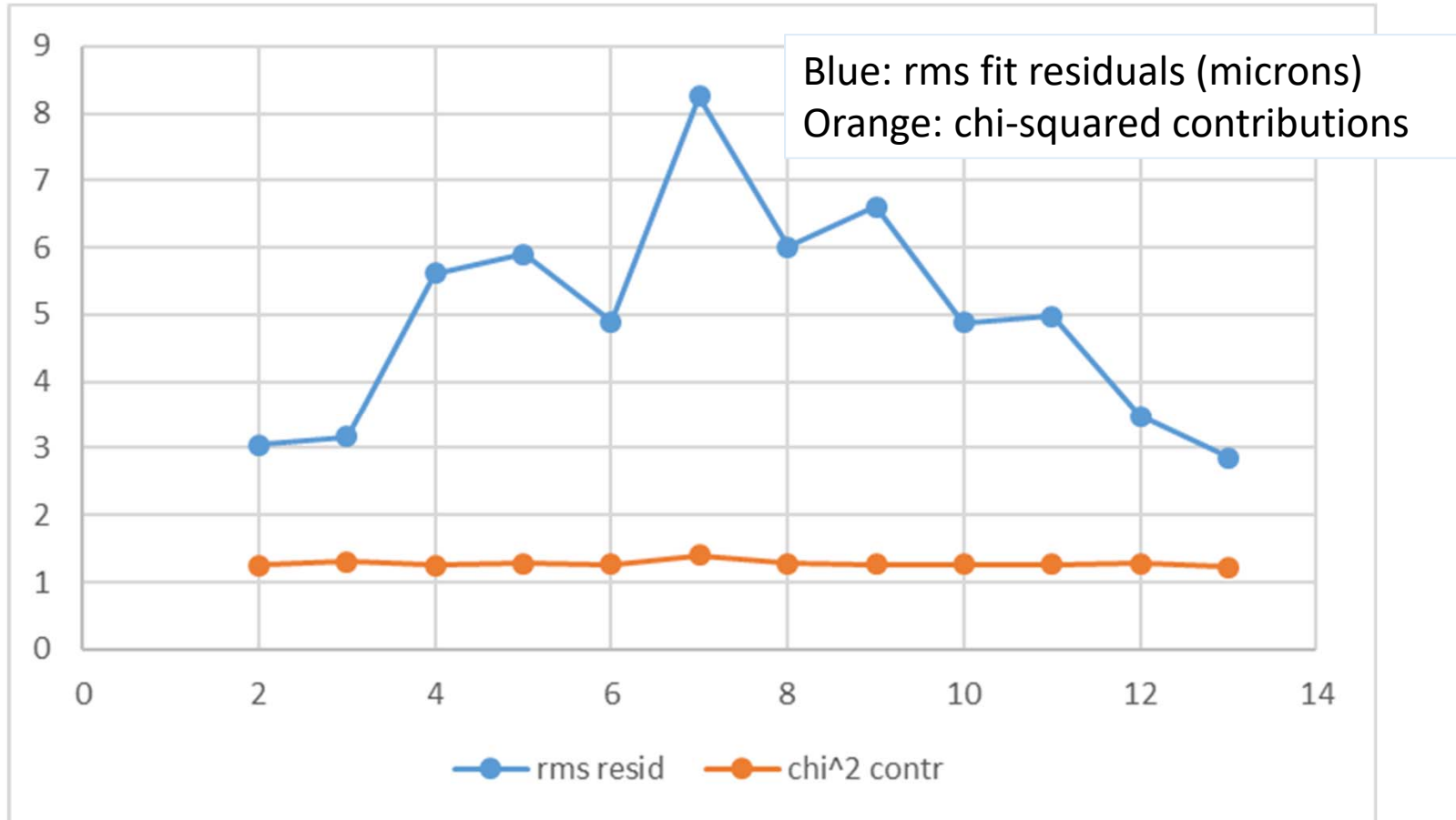
U.C. Santa Cruz

April 7, 2020

Progress since the last collaboration Mtg.

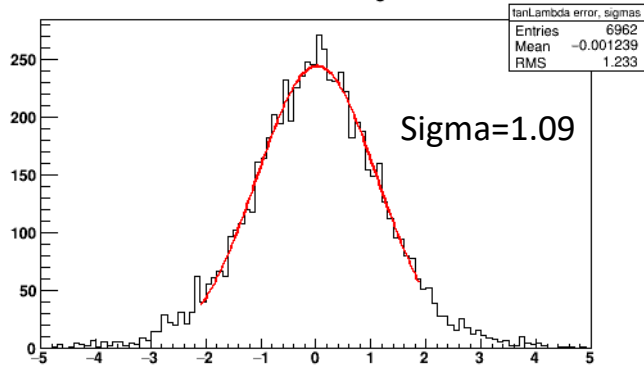
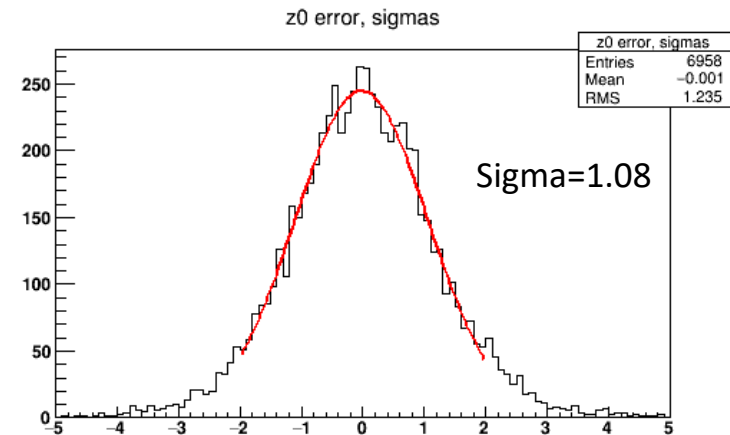
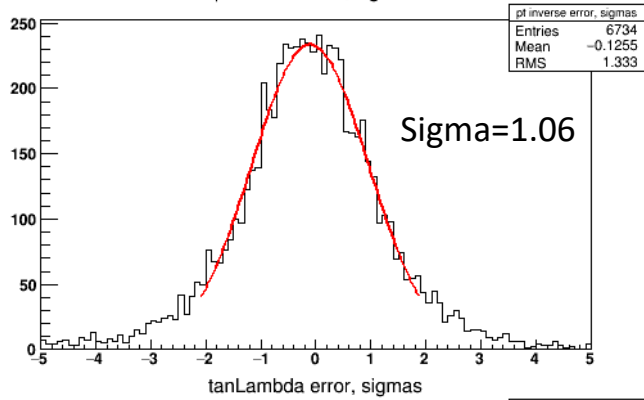
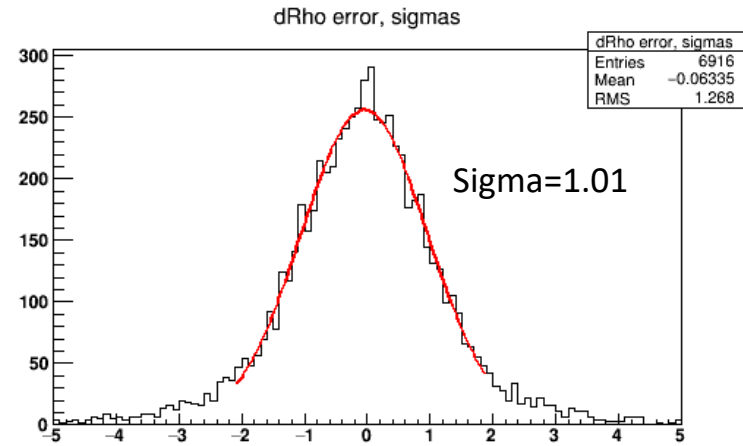
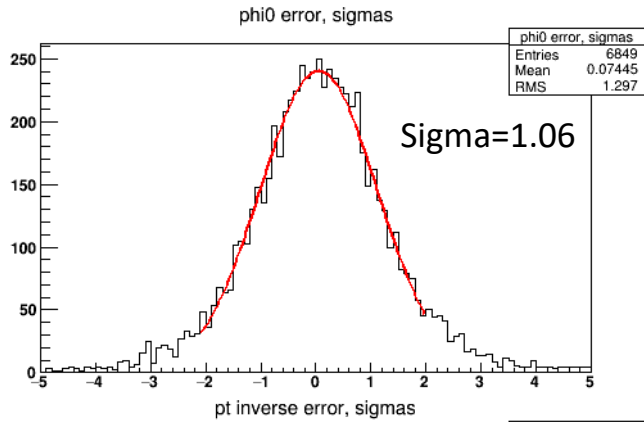
- Problems with coordinate transformations were understood, so the fit works properly in HPS java.
 - The Kalman fit quality is reasonable for single-particle events. The chi-squared mean, unlike in the toy MC case, is 20% to 30% high, but that is probably due to
 - Non-Gaussian scattering contributions
 - Tails in the hit error distributions, as seen in MC truth.
- The pattern recognition code was completed and tested on 2016 HPS MC (tri-trig-beam) as well as the toy MC.
 - Based on testing with realistic events, this involved fairly major re-writes since the November 2019 status.
 - Timing cuts were added to the pattern recognition.
- Integration into the hps-java framework and event reconstruction was (nearly) completed, thanks to a lot of contributions from PF.

2 GeV Single MC Electrons, 2016 detector



In all cases the chi-squared contributions are about the same from all 12 layers, as they should be, but are 20% to 30% higher than unity.

Helix Parameter Pull Distributions



Pattern Recognition Strategy (simplified)

- Seed the Kalman Filter with a linear 5-parameter fit.
 - Loop over seed strategies, each a set of 3 stereo and 2 axial layers
 - Zero d.o.f. fit when only 5 hits are input, as is done here.
 - 3-D hits are never formed or used!
 - Do the linear fit on all combinations of hits in the 5 layers (this quickly gets out of hand if there is a huge number of garbage hits)
 - Reject seeds that don't look interesting (e.g. don't extrapolate near to the vertex). Some speedup can probably be had by improving this.
- Sort the seeds and input each into the Kalman Filter to follow the track and pick up more hits.
 - The resulting track candidates are sorted, and poorer candidates that share too many hits with better ones are discarded.
 - A shared hit is allowed only if it fits very well to both tracks.
- Remaining candidates are re-filtered and smoothed, including some bad hit removal.
- Extrapolation to the origin (or target).

Event Number 8252

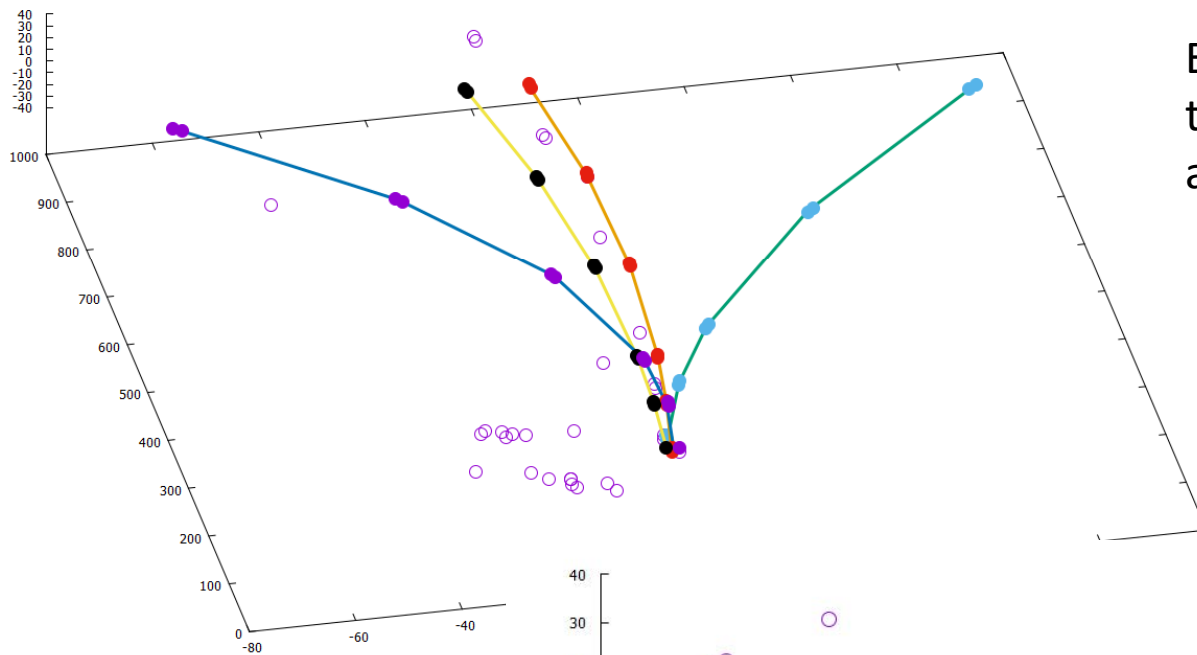
TB 0 Track 1, 10 hits, $\chi^2=$ 19.0, $a=$ -0.314 -0.015 1.857 -0.436 0.026 $t=$ 16.6

TB 1 Track 103, 12 hits, $\chi^2=$ 13.5, $a=$ -0.324 -0.038 -0.439 -0.017 -0.021 $t=$ -21.5

TB 1 Track 101, 11 hits, $\chi^2=$ 14.3, $a=$ 0.229 -0.023 -0.415 0.034 -0.019 $t=$ -53.0

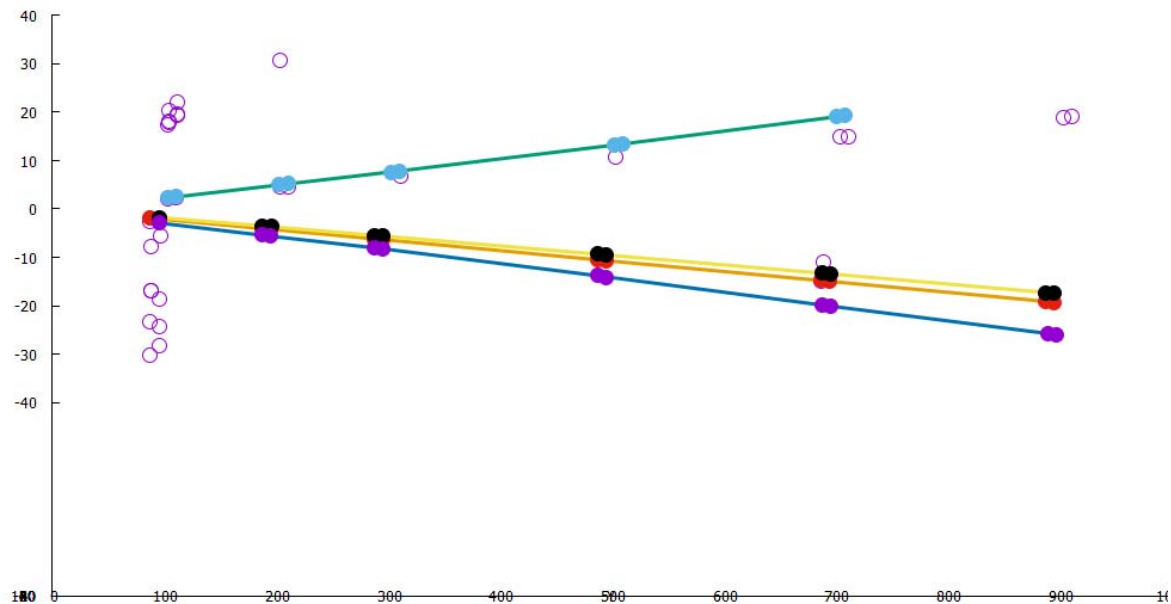
TB 1 Track 102, 11 hits, $\chi^2=$ 21.8, $a=$ -1.502 -0.064 -1.874 -0.284 -0.027 $t=$ 17.8

- Spts u 1:2:3 ○
- \$tkr1_0 u 1:2:3 —
- \$tkp1_0 u 1:2:3 ●
- \$tkr103_1 u 1:2:3 —
- \$tkr101_1 u 1:2:3 —
- \$tkr102_1 u 1:2:3 —
- \$tkp103_1 u 1:2:3 ●
- \$tkp101_1 u 1:2:3 ●
- \$tkp102_1 u 1:2:3 ●



Example event with 4 tracks found and one apparently missed.

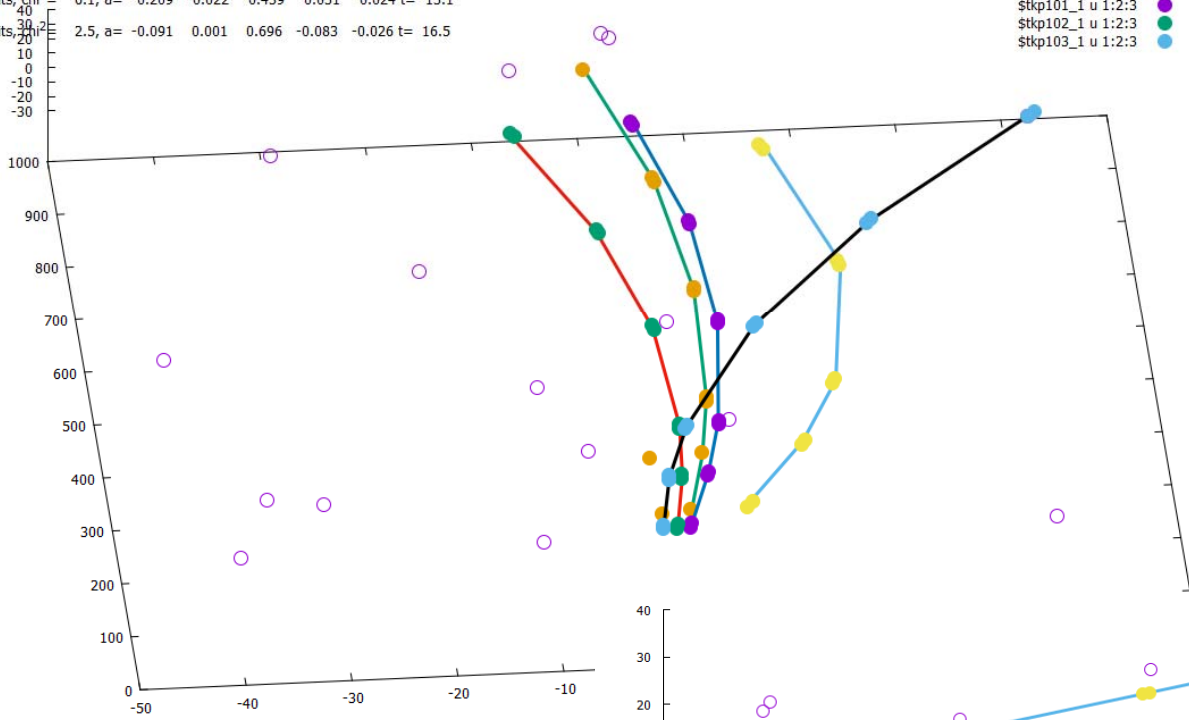
4/07/2020



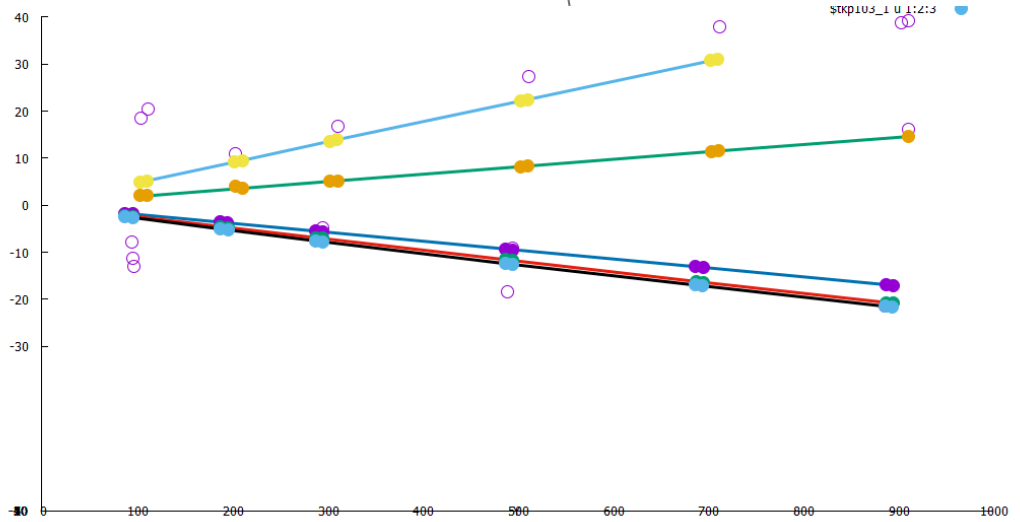
Event Number 8502

TB 0 Track 2, 11 hits, $\chi^2=$ 21.5, a= 0.218 -0.029 -0.414 0.199 0.016 t= -16.4
TB 0 Track 1, 10 hits, $\chi^2=$ 18.1, a= -0.301 -0.089 -1.231 0.339 0.043 t= 13.5
TB 1 Track 101, 12 hits, $\chi^2=$ 3.7, a= 0.044 -0.033 -0.414 -0.051 -0.019 t= 18.8
TB 1 Track 102, 12 hits, $\chi^2=$ 6.1, a= -0.209 -0.022 -0.439 -0.031 -0.024 t= -13.1
TB 1 Track 103, 11 hits, $\chi^2=$ 2.5, a= -0.091 0.001 0.696 -0.083 -0.026 t= 16.5

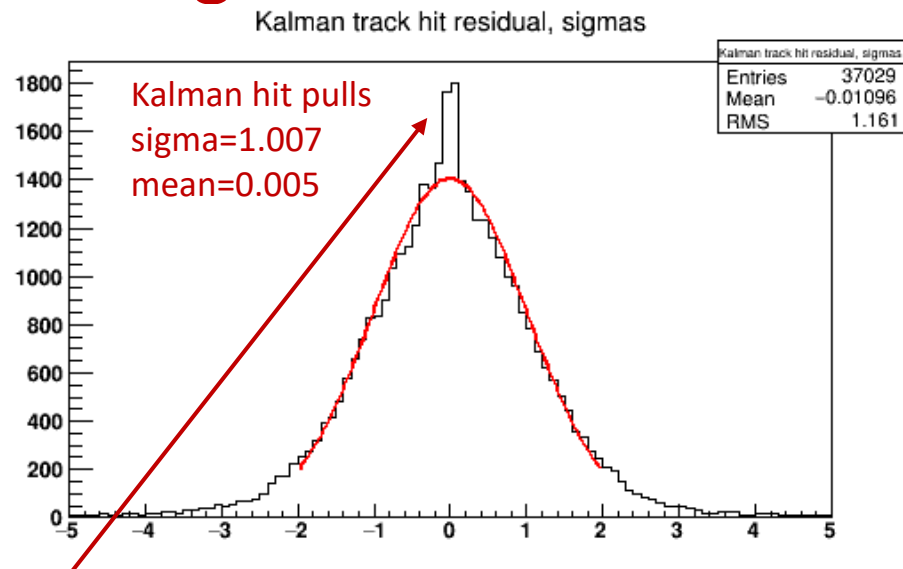
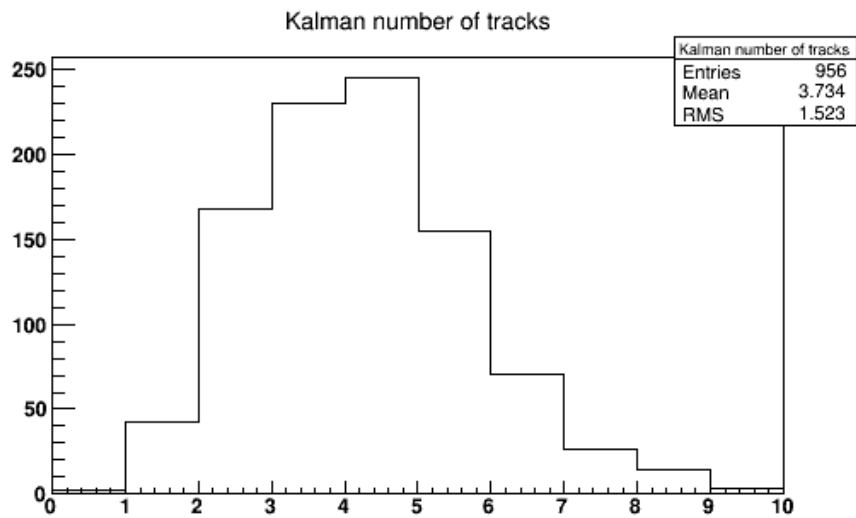
- \$spts u 1:2:3
- \$tkr2_0 u 1:2:3
- \$tkr1_0 u 1:2:3
- \$tkp2_0 u 1:2:3
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- \$tkr101_1 u 1:2:3
- \$tkr102_1 u 1:2:3
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- \$tkp102_1 u 1:2:3
- \$tkp103_1 u 1:2:3



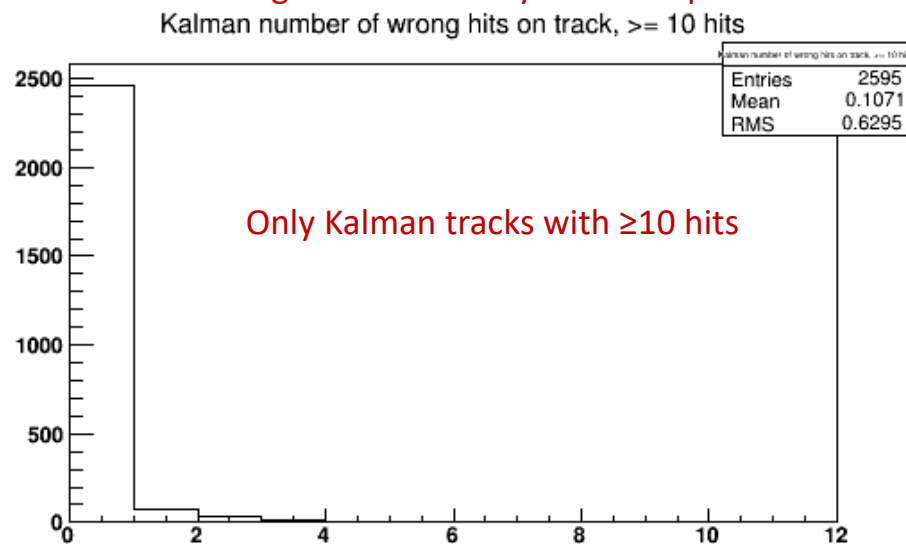
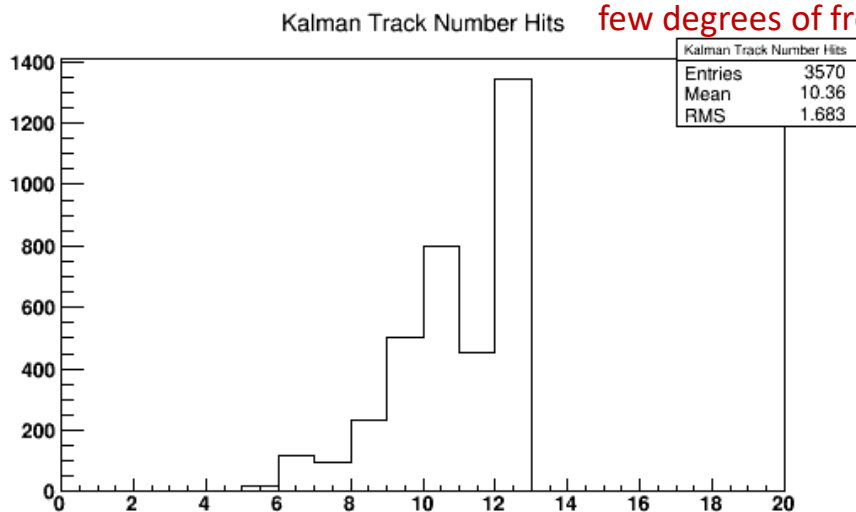
Another example
busy event



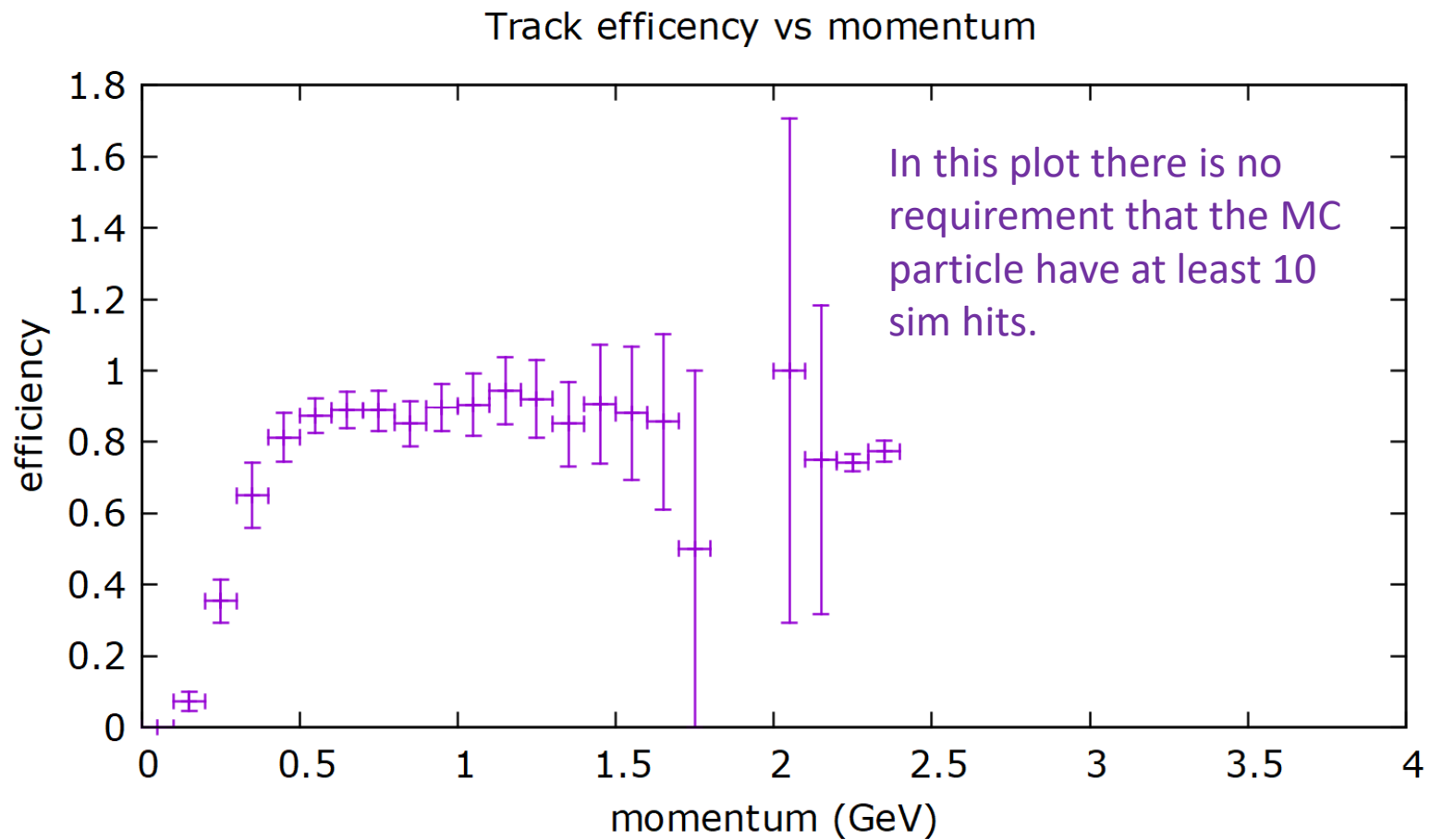
Some Results from 956 Tri-Trig-Beam Events



The too narrow peak at the center is from tracks with few hits and very few degrees of freedom. Such tracks give anomalously low chi-squared.



- I tried to analyze the tracking efficiency by defining success to be when at least 6 hits match back to a single MC particle and not more than 2 hits belong to a different MC particle.
- In that case, **the overall efficiency above 0.7 GeV for MC particles associated with at least 10 sim hits is 93%.**

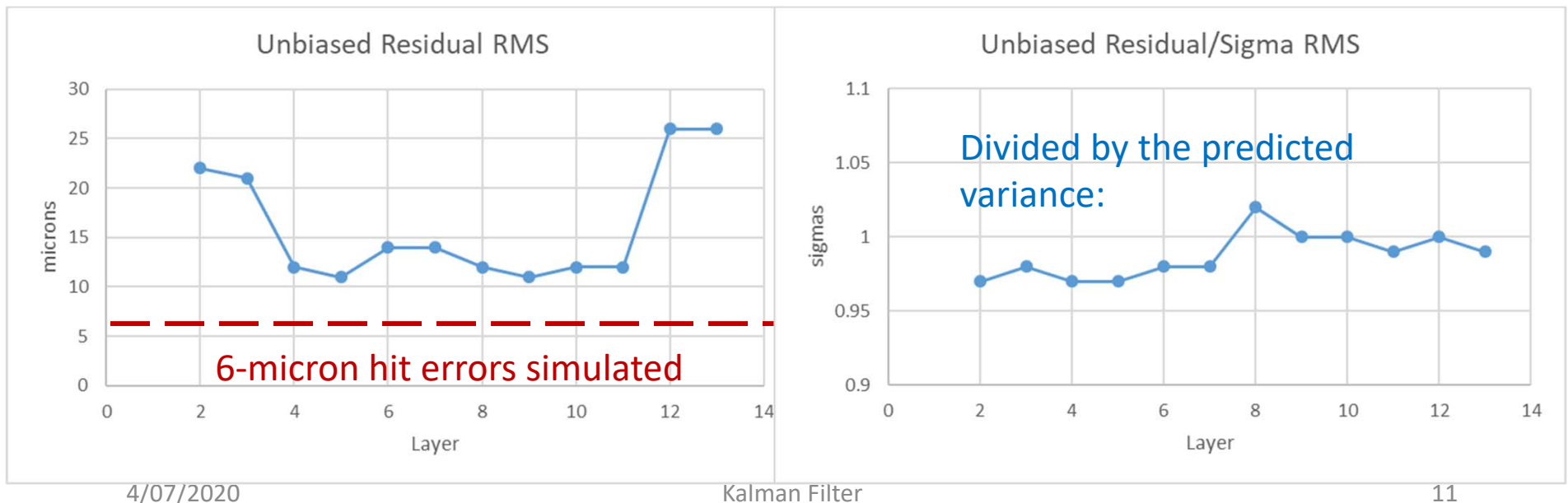


Work in Progress

- Generalize the helix extrapolation in the non-uniform field (e.g. to the target and to the ECAL).
 - 4th order Runge-Kutta integration works well for the helix, but not for its covariance.
 - Stepping the helix through a series of ~25 mm steps, each time making a pivot transform using the local field, should work well for the covariance.
 - It also gives the helix extrapolation with a result that I cannot distinguish to >6 decimal places from the Runge-Kutta result.
 - Scattering contributions to the covariance get added in whenever a silicon plane is crossed (e.g. if extrapolating from the second layer to the target for a track that has no hit on the first layer).
 - This is implemented, but I'm not yet certain that the covariance extrapolation is correct. I'm trying to work out a solid way to check the result numerically (by MC).

Work in Progress

- Unbiased Residuals
 - The Kalman-Filter framework provides a convenient way to calculate an unbiased residual at each layer, using the so-called Inverse Filter, which removes a given hit from the fit while retaining all of the input from the other hits.
 - This is implemented and works nearly perfectly for 12-hit tracks in the toy Monte Carlo, see below.
 - Still trying to understand some negative predicted variances that arise in more complicated environments.



Why use the Kalman P.R. for 2019 data?

- It appears to be faster than the existing tracking code, and with some efforts it could probably be made faster yet.
- It never uses the 3-D hit artifice, which allows it to handle naturally double-layers with a missing axial or stereo hit.
- It uses the full HPS field map.
 - This seems not to be an important point, however, based on my testing, comparing fits with uniform vs non-uniform field.
- It's performance on MC events already seems to be at least as good (see PF's following talk).